

## EXOTIC SMOOTH STRUCTURE ON $\mathbb{C}\mathbb{P}^2 \# 13\overline{\mathbb{C}\mathbb{P}^2}$

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ABSTRACT. In this paper, we construct a new exotic smooth 4-manifold  $X$  which is homeomorphic, but not diffeomorphic, to  $\mathbb{C}\mathbb{P}^2 \# 13\overline{\mathbb{C}\mathbb{P}^2}$ . Moreover the manifold  $X$  has vanishing Seiberg-Witten invariants for all  $\text{Spin}^c$ -structures of  $X$  and has no symplectic structure.

### 1. Introduction

We say that a simply connected, oriented, smooth 4-manifold  $X$  has type  $(1, k)$  for some integer  $k \geq 1$  if the self-intersection form  $q_M : H^2(X; \mathbb{Z}) \rightarrow \mathbb{Z}$  defined by  $q_M(x) = \int_M x \cup x$  is isomorphic to the form  $x_1^2 - y_1^2 - \cdots - y_k^2$  on  $\mathbb{Z}^{k+1}$  with a basis  $\{x_1, y_1, \dots, y_k\}$ .

By M. Freedman's result [13], any two simply-connected, oriented 4-manifolds of type  $(1, k)$  are homeomorphic. However S. K. Donaldson showed in [11] that not all such manifolds are diffeomorphic. This provides the first example of simply-connected  $h$ -cobordant manifolds which are not diffeomorphic. In fact, he showed that there are two simply-connected algebraic surfaces of type  $(1, 9)$  which are not diffeomorphic.

Many people consider the problem of classifying up to diffeomorphism the complex surfaces homeomorphic to some  $\mathbb{C}\mathbb{P}^2 \# k\overline{\mathbb{C}\mathbb{P}^2}$ , that is, the problem to find exotic smooth structures on  $\mathbb{C}\mathbb{P}^2 \# k\overline{\mathbb{C}\mathbb{P}^2}$ .

When  $k = 0$ , by Yau's result, any complex surface which is homeomorphic to  $\mathbb{C}\mathbb{P}^2$  is diffeomorphic to  $\mathbb{C}\mathbb{P}^2$ .

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For  $k = 1$ , there are the Hirzebruch surfaces  $\Sigma_n$  ( $n$  : odd) which are known to be diffeomorphic to  $\mathbb{C}P^2 \# \overline{\mathbb{C}P^2}$ .

When  $0 < k < 9$ , up to now it has not been known whether  $\mathbb{C}P^2 \# k\overline{\mathbb{C}P^2}$  can have infinite family of smooth structures. For a long time the smallest known example was the Barlow surface [2]. D. Kotschick proved in [19] that the Barlow surface, which was known to be homeomorphic to  $\mathbb{C}P^2 \# 8\overline{\mathbb{C}P^2}$ , is not diffeomorphic to it.

Recently, J. Park [20] found an example with exotic structure on  $\mathbb{C}P^2 \# 7\overline{\mathbb{C}P^2}$ . A. Stipsicz and Z. Szabó [23] used a technique similar to Park's construction and constructed an exotic manifold of type  $(1, 6)$ . Furthermore, R. Fintushel and R. J. Stern [12] introduce a new technique to show that  $\mathbb{C}P^2 \# k\overline{\mathbb{C}P^2}$  does have an infinite family of smooth structures when  $k = 6, 7, 8$ .

When  $k = 5$ , J. Park, A. Stipsicz, and Z. Szabó showed in [21] that there exist infinitely many pairwise non-diffeomorphic 4-manifolds which are all homeomorphic to  $\mathbb{C}P^2 \# 5\overline{\mathbb{C}P^2}$  using Fintushel and Stern's technique of knot surgery in a double node neighborhood with a particular form of generalized rational blow-down.

When  $k = 9$ , S. K. Donaldson showed in [11] that two well-known simply-connected algebraic surfaces  $E(1)$  and  $S(2, 3)$  of type  $(1, 9)$  are not diffeomorphic. Here  $E(1)$  is  $\mathbb{C}P^2 \# 9\overline{\mathbb{C}P^2}$  as being equipped with an elliptic fibration and  $S(p, q)$  is an algebraic surface which is obtained from  $\mathbb{C}P^2 \# 9\overline{\mathbb{C}P^2}$  by performing log transformations at two generic elliptic smooth fibers of  $\pi : \mathbb{C}P^2 \# 9\overline{\mathbb{C}P^2} \rightarrow \mathbb{C}P^1$  with multiplicities  $p$  and  $q$ , respectively.

I. Dolgachev showed in [10] that if the greatest common divisor of  $p$  and  $q$ ,  $\text{g.c.d}(p, q) = 1$ , then  $S(p, q)$  is simply-connected and of type  $(1, 9)$ .

When  $k > 9$ , R. Friedman and J. W. Morgan showed in [14] that  $\mathbb{C}P^2 \# k\overline{\mathbb{C}P^2}$  has infinitely many smooth structures underlying algebraic surface. They found algebraic surfaces  $\tilde{S}(p, q)$  with type  $(1, 9+r)$ ,  $r > 0$ , by blowing up at  $r$  points of  $S(p, q)$  where  $p$  and  $q$  are relatively prime numbers greater than 1. They showed that  $\tilde{S}(p, q)$  is not diffeomorphic to a rational surface.

It still will be interesting to find a new exotic 4-manifold with type  $(1, k)$ ,  $k \in \mathbb{N}$ . In this paper, we construct a new exotic 4-manifold  $X$  which is not diffeomorphic to  $\tilde{S}(p, q)$  with type  $(1, 13)$ . The manifold  $X$  is homeomorphic to  $\mathbb{C}P^2 \# 13\overline{\mathbb{C}P^2}$ , but not diffeomorphic to it. Moreover

$X$  has trivial Seiberg-Witten invariants for all  $\text{Spin}^c$ -structures of  $X$  and has no symplectic structure.

## 2. Construction of new four-manifold

Let  $(X, \omega)$  be a closed, symplectic, 4-manifold with a symplectic structure  $\omega$ . A smooth map  $\sigma : X \rightarrow X$  is an anti-symplectic involution if and only if  $\sigma^*\omega = -\omega$  and  $\sigma^2 = \text{Id}$ . If  $X$  is a Kähler surface, then  $\sigma$  is anti-symplectic if and only if  $\sigma$  is anti-holomorphic, that is,  $\sigma_* \circ J = -J \circ \sigma_*$  for the complex structure  $J$  on  $X$ .

When  $X$  is a Kähler surface, we will say that  $(X, \sigma)$  is a real manifold and  $X^\sigma$  is the fixed point sets of  $\sigma$  on  $X$ .

We start with a Silhol's real manifold  $(Y, \rho)$  which is constructed as follows: in  $\mathbb{C}\mathbb{P}^2$ , take four real points  $x_i, i = 1, \dots, 4$ , in general position and choose a conic  $C_0$  passing through all the  $x_i$ .

Choose another point  $b$  different from the  $x_i$  on  $C_0, i = 1, \dots, 4$ . If  $D_i$  denotes the line through  $b$  and  $x_i$ , then we can define a holomorphic involution

$$T : \mathbb{C}\mathbb{P}^2 - \{C_0 \cup_{i=1}^4 D_i\} \longrightarrow \mathbb{C}\mathbb{P}^2 - \{C_0 \cup_{i=1}^4 D_i\}$$

in the following way: for any point  $u$  in the domain above, the five points  $u, x_i, i = 1, \dots, 4$ , determine a unique conic  $C_u$  which intersects the line  $D_u = \overline{ub}$  at  $u$  and another point, which is defined to be  $T(u)$ . See the following figure.

Since the complex conjugation  $c$  is an anti-holomorphic involution, we have  $(T \circ c)_* \circ J = T_* \circ c_* \circ J = T_* \circ (-J \circ c_*)$ .

Since  $T$  is a holomorphic involution, we have  $T_* \circ J = J \circ T_*$  and then

$$\begin{aligned} (T \circ c)_* \circ J &= T_* \circ c_* \circ J = T_* \circ (-J \circ c_*) \\ &= -T_* \circ J \circ c_* = -J \circ (T_* \circ c_*) = -J \circ (T \circ c)_*. \end{aligned}$$

Thus composing  $T$  with the conjugation  $c$ , there is an anti-holomorphic involution  $\rho_0 = T \circ c$  on  $\mathbb{C}\mathbb{P}^2 - \{C_0 \cup_{i=1}^4 D_i\}$  which extends to an anti-holomorphic involution  $\rho$  on the manifold obtained by blowing up  $\mathbb{C}\mathbb{P}^2$  at the five points  $b, x_1, x_2, x_3, x_4$ .

Let  $Y$  be the resulting manifold of type  $\mathbb{C}\mathbb{P}^2 \# 5\overline{\mathbb{C}\mathbb{P}^2}$ . Then by R. Silhol, the fixed point set  $Y^\rho$  of  $\rho$  is  $S^2 \amalg S^2$  and the quotient  $Y/\rho \cong \#4\overline{\mathbb{C}\mathbb{P}^2}$ . For details, see [22].

In  $Y$ , take distinct four points  $x_i$  (not on the exceptional curves in  $\mathbb{C}\mathbb{P}^2 \# 5\overline{\mathbb{C}\mathbb{P}^2}$ ) such that  $\rho(x_i) = x_{i+1}, i = 5, 7$  and assume that all points  $x_i, i = 1, \dots, 8$ , and  $b$  are distinct.

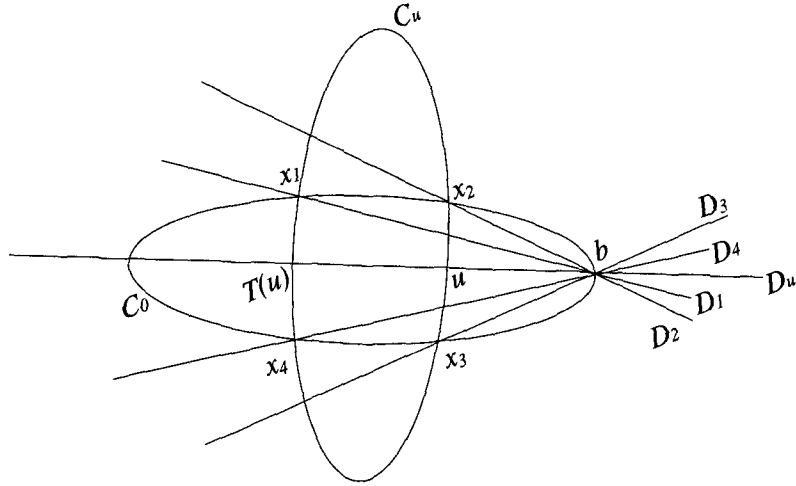


FIGURE 1

Let  $X_0$  be the blow-up of  $(Y, \rho)$  at the four points  $x_i, i = 5, 6, 7, 8$ . Then the anti-holomorphic involution  $\rho$  on  $Y$  extends canonically to an anti-holomorphic involution  $\sigma_0$  on the manifold  $X_0 = \mathbb{C}P^2 \# 9\overline{\mathbb{C}P^2}$  such that the diffeomorphism type of its fixed point set and the quotient are respectively  $X_0^\sigma = S^2 \amalg S^2$  and  $X_0/\sigma_0 = \#6\overline{\mathbb{C}P^2}$ .

From now, let  $X_i$  be the manifold  $X_0$  and  $\sigma_i : X_i \rightarrow X_i$  be the anti-holomorphic involution  $\sigma_0, i = 1, 2$ .

Let  $F_i$  and  $F'_i = \sigma_i(F_i)$  be generic fibers (Kähler torus) of  $X_i$  such that  $F_i \cap F'_i = \emptyset, i = 1, 2$ . Let  $N(F_i)$  and  $N(F'_i)$  be small tubular neighborhoods of  $F_i$  and  $F'_i$  with radius  $\epsilon > 0$  respectively,  $i = 1, 2$ .

The fibration on  $X_i$  determines a canonical normal framing of  $F_i, i = 1, 2$ . Thus there is a fiber-orientation reversing bundle isomorphism  $\psi_1 : N(F_1) \rightarrow N(F_2)$ , respecting the given framings and an orientation preserving diffeomorphism  $\phi_1 : N(F_1) - F_1 \rightarrow N(F_2) - F_2$  by composing  $\psi_1$  with the diffeomorphism

$$f : r \mapsto \sqrt{\epsilon^2 - r^2}, \quad 0 < r < \epsilon,$$

that turns each punctured normal fiber inside out.

Similarly, the fibration on  $X_i$  determines a canonical normal framing of  $\sigma_i(F_i) = F'_i, i = 1, 2$ , so there is a fiber-orientation reversing bundle isomorphism  $\psi_2 : N(F'_1) \rightarrow N(F'_2)$ , respecting the given framings, and orientation preserving diffeomorphism  $\phi_2 : N(F'_1) - F'_1 \rightarrow N(F'_2) - F'_2$ .

Let  $X_1 \#_{\phi_1, \phi_2} X_2$  be a smooth, closed, oriented 4-manifold obtained from  $(X_1 - (F_1 \amalg F'_1)) \amalg (X_2 - (F_2 \amalg F'_2))$  by using  $\phi_1$  and  $\phi_2$  to identify  $N(F_1) - F_1$  and  $N(F_2) - F_2$  and  $N(F'_1) - F'_1$  and  $N(F'_2) - F'_2$ , respectively. Denote the resulting manifold  $X_1 \#_{\phi_1, \phi_2} X_2$  by  $\bar{X}$ .

LEMMA 2.1. *The manifold  $\bar{X}$  is a symplectic 4-manifold.*

*Proof.* The 4-manifold  $\bar{X}$  is obtained from the rational elliptic surfaces  $X_i (= X_0)$  by two fiber sums,  $i = 1, 2$ . It is well known that the space  $\bar{X}$  admits a Kähler structure.

Indeed, we can find a symplectic structure  $\omega$  over  $\bar{X}$  for any choice of the gluing maps  $\phi_i, i = 1, 2$ . Let  $\omega_0$  be a Kähler form on  $X_i, i = 1, 2$ .

Let  $K \supset F_1$  and  $\bar{K} \supset F'_1$  be compact subsets of  $N(F_1)$  and  $N(F'_1)$ , respectively. Furthermore, let  $\eta$  and  $\eta'$  be closed 2-forms compactly supported in  $N(F_2)$  and  $N(F'_2)$ , respectively.

Then, for some  $O(2)$ -bundle isomorphisms  $\psi'_1 : N(F_1) \rightarrow N(F_2)$  and  $\psi'_2 : N(F'_1) \rightarrow N(F'_2)$  which are fiber isotopic to  $\psi_1$  and  $\psi_2$  respectively, the manifold  $(\bar{X}, \omega)$  is obtained from  $(X_1 \amalg X_2 - (K \cup \bar{K} \cup F_2 \cup F'_2), \omega_0 + t_0\eta + t_1\eta')$  by gluing via

$$\begin{aligned} \xi_1 &= f \circ \psi'_1 : N(F_1) - F_1 \rightarrow N(F_2) - F_2, \\ \xi_2 &= f \circ \psi'_2 : N(F'_1) - F'_1 \rightarrow N(F'_2) - F'_2, \end{aligned}$$

for some sufficiently small, real values  $0 < t_0, t_1 < 1$ .

The gluing maps  $\xi_1$  and  $\xi_2$  are symplectic with respect to the symplectic form  $(\omega_0 + t_0\eta + t_1\eta')$ . For details, see [15]. □

LEMMA 2.2. *There is an involution  $\sigma$  on  $\bar{X}$  with  $\amalg_{i=1}^4 S_i^2$  as fixed point sets where  $S_i^2$  is diffeomorphic to the standard 2-sphere,  $i = 1, 2, 3, 4$ .*

*Proof.* Since the manifolds  $X_i = X_0$  and the anti-holomorphic involutions  $\sigma_i = \sigma_0, i = 1, 2$ , we have

$$\phi_2(\sigma_1(x)) = \sigma_2(\phi_1(x)), \quad \phi_1(\sigma_1(x')) = \sigma_2(\phi_2(x'))$$

for all  $x \in N(F_1) - F_1$  and  $x' \in N(F'_1) - F'_1$ , and so there is an involution  $\sigma$  on  $\bar{X}$  induced from anti-holomorphic involutions  $\sigma_i = \sigma_0, i = 1, 2$ . In detail, there is a well-defined involution  $\sigma$  on  $\bar{X}$  such that

$$\sigma = \begin{cases} \sigma_i & X_i - (N(F_i) \amalg N(F'_i)) \subset \bar{X}, \quad i = 1, 2, \\ \sigma_1(x') = \sigma_2(\phi_2(x')) & (N(F_1) - F_1) \#_{\phi_1} (N(F_2) - F_2), \\ \sigma_1(x) = \sigma_2(\phi_1(x)) & (N(F'_1) - F'_1) \#_{\phi_2} (N(F'_2) - F'_2) \end{cases}$$

for all  $x \in N(F_1) - F_1$  and  $x' \in N(F'_1) - F'_1$ .

Since  $X_i^{\sigma_i} = S^2 \amalg S^2 \subset (X_i - (N(F_i) \amalg N(F'_i)))$ ,  $i = 1, 2$ , the fixed point sets of  $\sigma$  in  $\bar{X}$  is the disjoint union of 4 copies of 2-sphere, i.e.,

$$\bar{X}^{\sigma} = \amalg_{i=1}^4 S_i^2.$$

□

### 3. Exotic four-manifold

Let  $\bar{X}$  be the symplectic 4-manifold  $X_1 \#_{\phi_1, \phi_2} X_2$  in Lemma 2.1 which is obtained from  $(X_1 - (F_1 \amalg F'_1)) \amalg (X_2 - (F_2 \amalg F'_2))$  by using  $\phi_1$  and  $\phi_2$  to identify  $N(F_1) - F_1$  and  $N(F_2) - F_2$ , and  $N(F'_1) - F'_1$  and  $N(F'_2) - F'_2$ , respectively.

Then the involution  $\sigma$  on  $\bar{X}$  in Lemma 2.2 has fixed point sets  $\bar{X}^{\sigma} = \amalg_{i=1}^4 S_i^2$  where  $S_i^2$  is diffeomorphic to the standard 2-sphere,  $i = 1, 2, 3, 4$ . Denote the quotient  $\bar{X}/\sigma$  by  $X$ . Let  $X_i$ ,  $\sigma_i$ ,  $i = 1, 2$ , be the same as in Lemma 2.1.

For the proof of the Theorem 3.2, we briefly review the Seiberg-Witten invariant of  $X$ .

Let  $L \rightarrow X$  be a complex line bundle satisfying  $c_1(L) = w_2(TX) \pmod{2}$ . This determines a principal  $\text{Spin}^c$ -structure on  $X$  which induces a unique complex spinor bundle  $W \cong W^+ \oplus W^-$ , where  $W^{\pm}$  is the  $(\pm \frac{1}{2})$ -twisted spinor bundles on  $X$  with  $\det(W^{\pm}) \cong L$ .

For a unitary connection  $A$  in the set of all Riemannian connections on  $L$ , a positive spinor field  $\Psi \in \Gamma(W^+)$ , and a real valued, self-dual 2-form  $\delta$  on  $X$ , the perturbed Seiberg-Witten equations are defined by

$$\begin{cases} F_A^+ + i\delta = q(\Psi) \\ D_A \Psi = 0, \end{cases}$$

where  $D_A : \Gamma(W^+) \rightarrow \Gamma(W^-)$  is the Dirac operator associated with the connection  $A$ .  $q : C^{\infty}(W^+) \rightarrow \Omega_X^+(i\mathbb{R})$  is a quadratic map defined by  $q(\Psi) = \Psi \otimes \Psi^* - \frac{\|\Psi\|^2}{2} \text{Id}$ .

Let  $M$  be the moduli space of the gauge equivalence classes of all solutions of the perturbed Seiberg-Witten equations. Then  $M$  is a smooth manifold with its dimension  $\dim M = \frac{1}{4}(c_1(L)^2[X] - 2\chi(X) - 3\text{sign}(X))$ , where  $\chi(X)$  is the Euler characteristic of  $X$  and  $\text{sign}(X)$  is the signature of  $X$ .

Note that if the metric on  $X$  is chosen so that the perturbed Seiberg-Witten equations admit no reducible solutions, then  $M$  is compact. Under these conditions, if  $\dim M = 2d \geq 0$ , then the Seiberg-Witten invariant is defined by

$$\int_M c_1(M_0)^d,$$

the integral of the maximal power of the Chern class of the circle bundle  $M_0 \rightarrow M$ , where  $M_0$  is the framed moduli space.

If  $\dim M$  is odd or negative then the Seiberg-Witten invariant is defined to be zero. For details, see [6] and [7].

**THEOREM 3.1.** *The quotient  $X$  is simply-connected, smooth 4-manifold which is homeomorphic to  $\mathbb{C}\mathbb{P}^2 \# 13\overline{\mathbb{C}\mathbb{P}^2}$ .*

*Proof.* For  $\tilde{X}$ , since we have a map  $\tilde{p} : \tilde{X} \rightarrow T^2$  which induces an isomorphism between  $\pi_1(\tilde{X})$  and  $\pi_1(T^2)$ , if we consider the quotient map  $\tilde{p}' : \tilde{X}/\sigma \rightarrow T^2/c$  then  $\tilde{p}'$  is an isomorphism between  $\pi_1(\tilde{X}/\sigma)$  and  $\pi_1(T^2/c)$ .

Thus we have  $\pi_1(X) = \pi_1(T^2/c) = \pi_1(S^4) = 0$  and so  $X$  is simply-connected.

The Euler characteristic and the signature of  $\tilde{X}$  are

$$\begin{aligned} \chi(\tilde{X}) &= \chi(X_1) + \chi(X_2) = 24, \\ \text{sign}(\tilde{X}) &= \text{sign}(X_1) + \text{sign}(X_2) = -16. \end{aligned}$$

Let  $\pi_i : X_i \rightarrow X_i/\sigma_i = X'_i$  be the projection map,  $i = 1, 2$ . Since  $X_i$  is a smooth, simply-connected double cover of  $X'_i$  branched along  $S^2 \amalg S^2$ , by [5] and [25] the quotient  $X'_i$  is smooth and simply-connected,  $i = 1, 2$ .

The Euler characteristic and signature of  $X'_i$  are

$$\begin{aligned} \chi(X'_i) &= \frac{1}{2}(\chi(X_i) + 2\chi(S^2)) = 6 + \chi(S^2), \\ \text{sign}(X'_i) &= \frac{1}{2}(\text{sign}(X_i) + 2S^2 \cdot S^2) = -4 + S^2 \cdot S^2, i = 1, 2. \end{aligned}$$

Since each  $S^2 \subset X_i^{\sigma_i} = S^2 \amalg S^2$  is a Lagrangian surface, it satisfies  $\chi(S^2) + S^2 \cdot S^2 = 0$  and its self-intersection number  $S^2 \cdot S^2 = -2$ ,  $i = 1, 2$ . Then  $b_2^+(X'_i) = 0$  and  $b_2^-(X'_i) = 6$  and we conclude that the quotient  $X_i/\sigma_i = X'_i = \#6\overline{\mathbb{C}\mathbb{P}^2}$  is not a symplectic 4-manifold,  $i = 1, 2$ .

Since  $\sigma_i(F_i) = F'_i$ , we have  $\pi_i(F_i) = \pi_i(F'_i) \subset X'_i$ ,  $i = 1, 2$ .

Denote  $\pi_i(F_i) = \pi_i(F'_i)$  by  $\tilde{F}_i$  and let  $N(F'_i)$  be a small tubular neighborhood of  $F'_i$  with radius  $\epsilon > 0$ ,  $i = 1, 2$ .

By [4] and [9], the anti-holomorphic involution  $\sigma_i$  sends  $N(F_i)$  to  $N(F'_i)$  respectively,  $i = 1, 2$ . Then  $\pi_i(N(F_i)) = \pi_i(N(F'_i))$  is a small tubular neighborhood of  $\tilde{F}_i$  with radius  $\epsilon > 0$ ,  $i = 1, 2$ . Let  $N(\tilde{F}_i)$  be the tubular neighborhood of  $\tilde{F}_i$ ,  $i = 1, 2$ .

By [4] and [5],  $\tilde{F}_i \cdot \tilde{F}_i = 2F_i \cdot F_i = 2F'_i \cdot F'_i = 0, i = 1, 2$ . Thus the  $\tilde{F}_i$  are tori with trivial self-intersection numbers and so we can identify tubular neighborhoods  $N(\tilde{F}_i)$  with trivial normal bundles,  $i = 1, 2$ . Then there are fiber-orientation reversing bundle isomorphisms  $\tilde{\psi} : N(\tilde{F}_1) \rightarrow N(\tilde{F}_2)$ .

Let  $X'_1 \#_{\tilde{\phi}} X'_2$  be the smooth, closed, oriented 4-manifold obtained from  $(X'_1 - \tilde{F}_1) \amalg (X'_2 - \tilde{F}_2)$  identifying  $N(\tilde{F}_1) - \tilde{F}_1$  with  $N(\tilde{F}_2) - \tilde{F}_2$  by using  $\tilde{\phi} = f \circ \tilde{\psi}$ .

Since  $X_i/\sigma_i = X'_i, i = 1, 2$ , and  $\phi_2 \circ \sigma_1 = \sigma_2 \circ \phi_1$ , we conclude that the quotient  $X$  is diffeomorphic to  $X'_1 \#_{\tilde{\phi}} X'_2$ .

Since  $\chi(S^2) = 2$  and  $S^2 \cdot S^2 = -2$ , we have Euler characteristic and signature of  $X$  as follows:

$$\begin{aligned} \chi(X) &= \chi(X'_1) + \chi(X'_2) = 12 + 2\chi(S^2) = 16, \\ \text{sign}(X) &= \text{sign}(X'_1) + \text{sign}(X'_2) = -8 + 2S^2 \cdot S^2 = -12. \end{aligned}$$

Thus we have  $b_2^+(X) = 1$  and  $b_2^-(X) = 13$  and so the space  $X$  is of type  $(1, 13)$ . By M. Freedman [13]  $X$  is homeomorphic to  $\mathbb{C}\mathbb{P}^2 \# 13\overline{\mathbb{C}\mathbb{P}^2}$ . □

**THEOREM 3.2.** *The quotient  $X$  is not symplectic and has vanishing Seiberg-Witten invariants for all  $\text{Spin}^c$ -structures of  $X$ .*

*Proof.* By Theorem 3.1, the quotient  $X = \bar{X}/\sigma$  is diffeomorphic to  $X'_1 \#_{\tilde{\phi}} X'_2$ . If  $X'_1 \#_{\tilde{\phi}} X'_2$  is a symplectic 4-manifold then there is a non-trivial solution  $(A, \psi)$  of the Seiberg-Witten equations for the canonical class of  $X'_1 \#_{\tilde{\phi}} X'_2$ .

Let  $T^3 \subset X'_1 \#_{\tilde{\phi}} X'_2$  be a 3-dimensional torus dividing  $X'_1 \#_{\tilde{\phi}} X'_2$  into two pieces  $X'_1 - N(\tilde{F}_1)$  and  $X'_2 - N(\tilde{F}_2)$ .

Cutting  $X'_1 \#_{\tilde{\phi}} X'_2$  along the  $T^3$ ,  $(A, \psi)$  sends to  $(A_1 \vee A_2, \psi_1 \vee \psi_2)$  where  $(A_i, \psi_i)$  are solutions of the Seiberg-Witten equations on the spaces  $X'_i - N(\tilde{F}_i)$  with cylindrical ends,  $i = 1, 2$ .

This means that if  $(A, \psi)$  is a non-trivial solution of the Seiberg-Witten equations on  $X'_1 \#_{\tilde{\phi}} X'_2$ , then at least one of  $(A_i, \psi_i)$  is a non-trivial solution of the Seiberg-Witten equations,  $i = 1, 2$ .

However, it is impossible. Indeed, by the additivity of Euler characteristic

$$\begin{aligned} \chi(X'_i) &= \chi(X'_i - N(\tilde{F}_i)) + \chi(N(\tilde{F}_i)) - \chi((X'_i - N(\tilde{F}_i)) \cap N(\tilde{F}_i)) \\ &= \chi(X'_i - N(\tilde{F}_i)), \quad i = 1, 2. \end{aligned}$$



By the Novikov additivity of signature,

$$\text{sign}(X'_i) = \text{sign}(X'_i - N(\tilde{F}_i)) + \text{sign}(N(\tilde{F}_i)) = \text{sign}(X'_i - N(\tilde{F}_i)), i = 1, 2.$$

Thus we conclude that  $2 - 2b_1(X'_i) + 2b_2^+(X'_i) = 2 - 2b_1(X'_i - N(\tilde{F}_i)) + 2b_2^+(X'_i - N(\tilde{F}_i))$ ,  $i = 1, 2$ .

Since  $X'_1 \#_{\tilde{\phi}} X'_2$  is simply-connected and obtained from  $(X'_1 - \tilde{F}_1) \amalg (X'_2 - \tilde{F}_2)$  identifying  $N(\tilde{F}_1) - \tilde{F}_1$  with  $N(\tilde{F}_2) - \tilde{F}_2$  by using the map  $\tilde{\phi} = f \circ \tilde{\psi}$ ,  $N(\tilde{F}_i) - \tilde{F}_i$  are simply-connected and so  $X'_i - N(\tilde{F}_i)$  are simply-connected,  $i = 1, 2$ .

Since  $X_i$  are simply-connected and  $b_2^+(X'_i) = 0$ , we have  $b_2^+(X'_i - N(\tilde{F}_i)) = 0$ . Thus by the definition of the Seiberg-Witten invariant as above, since  $b_2^+(X'_i - N(\tilde{F}_i)) = 0$ , there is no non-trivial solution of the Seiberg-Witten equations over the cylindrical end spaces  $X'_i - N(\tilde{F}_i)$ ,  $i = 1, 2$ .

Thus we conclude that there is no non-trivial solution of the Seiberg-Witten equations on  $X'_1 \#_{\tilde{\phi}} X'_2$  and so the quotient  $X$  is not symplectic and has vanishing Seiberg-Witten invariants for all  $\text{Spin}^c$ -structures of  $X$ . □

**THEOREM 3.3.** *The quotient  $X$  is homeomorphic, but not diffeomorphic to  $\tilde{S}(p, q)$  with type (1, 13).*

*Proof.* Since  $X$  has type (1, 13), by M. Freedman [13]  $X$  is homeomorphic to  $\mathbb{C}\mathbb{P}^2 \# 13\overline{\mathbb{C}\mathbb{P}^2}$ .

Since the algebraic surface  $\tilde{S}(p, q)$  is the blow-up of Dolgachev surface  $S(p, q)$  at 4 points where  $p$  and  $q$  are respectively prime numbers greater than 1, it is of type (1, 13) and so it is homeomorphic to  $\mathbb{C}\mathbb{P}^2 \# 13\overline{\mathbb{C}\mathbb{P}^2}$ .

Let  $C_1$  be the unique chamber of  $\tilde{S}(p, q) = S(p, q) \# 4\overline{\mathbb{C}\mathbb{P}^2}$  for which  $C_1 \cap \text{Im}(i) \neq \emptyset$ , where  $i : H^2(S(p, q); \mathbb{R}) \rightarrow H^2(S(p, q) \# 4\overline{\mathbb{C}\mathbb{P}^2}; \mathbb{R})$  is the inclusion.

By Z. Szabó [24], the blow-up formula shows that every basic class of  $C_1$  can be written as  $tK + \sum_{i=1}^4 (-1)^{\delta_i} E_i$  with some  $|t| \leq 1$ ,  $\delta_i = 0, 1$  where  $K$  is the canonical class of  $\tilde{S}(p, q)$  and  $E_i$  denotes the exceptional class of the  $i$ -th copy  $\overline{\mathbb{C}\mathbb{P}^2}$ .

Since, by Theorem 3.2, there is no non-trivial solution of the Seiberg-Witten equations over the quotient  $X = X_1 \#_{\phi_1, \phi_2} X_2 / \sigma$ , we conclude the quotient  $X$  is not diffeomorphic to  $\tilde{S}(p, q)$  with type (1, 13). □

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