Antifungal Activity of Phenanthrene Derivatives from Aerial Bulbils of Dioscorea batatas Decne

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Plants of the genus *Dioscorea* have long been used as oriental folk medicine, and *Dioscorea* batatas Decne has been cultivated for healthy food in Korea. Although the bulbils were produced 2,000 ton annually, there are few reports for bioactive compounds in bulbils. In this study, three phenanthrenes and two phenanthraquinones were isolated from the aerial bulbils of *D. batatas* Decne, and their structures were elucidated. Among them, compound **2** (6-hydroxy-2,7-dimethoxy-1,4-phenanthraquinone) has not been reported previously. Evaluation of antimicrobial activities based on disk-diffusion assay, MIC and MFC showed the compound **12** (6,7-dihydroxy-2,4-dimethoxyphenanthrene) has strong antimicrobial activity with 25 μ g/ml of MIC and MFC against *Candida albicans*. Our results suggested that compound **12** has a potent antifungal activity, and the antimicrobial activity and its spectrum are modulated by hydroxylation and methoxylation of phenanthrene ring moiety of the compound.

Key words – 6,7-Dihydroxy-2,4-dimethoxyphenanthrene, antifungal activity, *Dioscorea batatas* Decne, fungicidal activity

Plants of the genus *Dioscorea* have long been used as folk medicine to treat intestinal spasm, asthma, rheumatoid arthritis, bronchitis, poor appetite, frequent and uncontrollable urination and other diseases[3,10]. Recently, it was demonstrated that the leaves of *D. preussii* have a strong antileishmanial activity[11], and dioscin, prosapogenin A of dioscin and beta-sitosterol, from the *Dioscorea* have strong antifungal activity[1,12,15,16].

Among the *Dioscorea* genus which has over 600 species, *D. batatas* Decne has been cultivated in Korea, and recognized as a healthy food and digestive. In Korea, the annual production of yam exceeds 4,311 ton and the consumption has been increased during last decades[13]. Although the amount of bulbils of yam annually produced is estimated to 2,000 ton, only limited amount of bulbils is used as a seed for next year, and the majority of bulbils is discarded. In Andong area, a major producing district in Korea, the aerial bulbils of this edible yam have been used as seeds and digestive healthy food like a yam tubers. The taste of boiled bulbils is similar to that of boiled potato or sweet-potato.

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Recent studies revealed that the root and rhizome of *D. batatas* Decne contains various bioactive substances, such as steroidal saponin[2], beta-sitosterol, antifungal bibenzyls, antifungal phenanthrenes[4,19], and immune cell stimulating mucopolysaccharide[3]. However, the studies on the bioactive compounds from the aerial bulbils still remained rudimentary. Simply, *in vitro* micropropagation by direct induction and determination of diosgenin content in bulbils were reported[14,17].

The antimicrobial and spasmolytic activities were observed with different phenanthrene derivatives. For example, 3,7-dihydroxy-2,4-dimethoxyphenanthrene and 3,7-dihydroxy-2,4,8-trimethoxyphenanthrene from the orchid *Scaphyglottis livida* [5], 1,5,7-trimethoxy-9,10-dihydrophenanthrene-2,6-diol and 1,5,7-trimethoxyphenanthrene-2,6-diol from *Nidema boothii*[7], 2,5-dihydroxy-3,4-dimethoxyphenanthrene, and 9,10-dihydro-2,5-dihydroxy-3,4-dimethoxyphenanthrene from *Maxillaria densa*[6], and 2,5-dihydroxy-4,9,10-trimethoxyphenanthrene, 2,5-dihydroxy-4-methoxyphenanthrene and 2,5,9-trihydroxy-4-methoxy-9,10-dihydrophenanthrene from *Dendrobium plicatile*[8]. In addition, similar antifungal phenanthrenes were reported in yam tuber[4,19].

In this study, we isolated different phenanthrenes and phenanthraquinones from the aerial bulbils of *D. batatas*

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Decne, and evaluated antimicrobial activities of isolated phenanthrene derivatives. Our results suggest that 6,7-di-hydroxy-2,4-dimethoxyphenanthrene possess a potential as an antifungal agent, and the antimicrobial activity and its spectrum may be modulated by hydroxylation and methoxylation of phenanthrene ring moiety of the compound.

Materials and Methods

Plant material, extraction and isolation

The aerial bulbils of yam (D. batatas Decne) were harvested from farm of the Gyeongbuk Agricultural Technology Administration, Kyungpook, Korea in November, 2002. A voucher specimen (A-0360) was deposited at the Department of Food and Nutrition, Andong National University, Korea. The aerial bulbils of yam were chopped, dried at 60 °C, ground to powder, and stored at 4°C before use. The powder (65 kg) was extracted with refluxing methanol for 3 days. After evaporation of methanol in vacuo, the residue (1,030 g) was suspended in water and then extracted with CH2Cl2. The CH2Cl2-soluble fraction (198 g) was subjected to silica gel (7734, Merck Co., USA) column chromatography eluted with hexane with ethylacetate (gradient 0 to 70%) to give seven fractions designated as D1 to D7. The fraction D5 was chromatographed over silica gel with hexane:ethylacetate (98:2~9:1) to give ten subfractions. The seventh subfraction was chromatographed over silica gel with CH2Cl2 to give compound 1 and 10.

The fraction D6 was subjected to silica gel column chromatography eluted with hexane:ethylacetate (98:2~6:4) to give twelve subfractions. The ninth subfraction was re-chromatographed over silica gel with CH₂Cl₂:methanol (1000:0~1000:8) to give compound 2 and 11. The fraction D7 was subjected to silica gel column chromatography eluted with CH₂Cl₂:methanol (100:0~95:5) to give four subfractions. The first subfraction was chromatographed over silica gel with hexane:ethylacetate (8:2) to give compound 12.

Identification of compound 1, 2, 10, 11 and 12.

Melting points (MP) were taken on a Fisher apparatus without correction. Optical rotations were measured on a Rudolph autopol III. Infra Red (IR) spectra were recorded in KBr on a Bruker FT-IR spectrophotometer, and UV spectra were recorded on Hitachi U-3010 spectrophotometer. Nuclear Magnetic Resonance (NMR) spectra were obtained on a Varian Unity INOVA500 (500 MHz for ¹H NMR and

125 MHz for ¹³C NMR) spectrometer using tetramethylsilane as an internal standard at room temperature. Electrical ionization mass spectra and fast-atom bombardment mass spectra were obtained on a JEOL JMS-700 MSTATION. Silica gel and TLC plate used were Kieselgel 60 (70-230 mesh, Merck) and Kieselgel 60 F₂₅₄ (precoated silicagel, Merck), respectiviely.

Antibacterial activity assay

Bacterial strains used were Proteus vulgaris KCTC 2433, Salmonella typhimurium KCTC 1926, Escherichia coli O157 ATCC 43895, Staphylococcus epidermidis ATCC 12228, Streptococcus mutans IC-2, and Staphylococcus aureus KCTC 1916. The antibacterial activity was evaluated by disk-diffusion assay as determined by growth inhibition zone [18,20]. The bacteria to be tested were grown in nutrient broth (Difco Co. USA) at 37°C for 24 h, and collected by centrifugation. After spreading of each microorganism (2x106 cells) on nutrient agar (Difco Co., USA), disk of Whatman No.2 filter paper (diameter of 6 mm) containing the isolated compound (70 µg) was applied, and the growth inhibition was measured after 24 hr. Compounds 1, 2, 10, 11, and 12 were dissolved in dimethylsulfoxide, respectively. Dimethylsulfoxide (0.5%) was used as a solvent control, and ampicillin (1 µg/disk) was used as a positive control. All data were presented as the mean values of triplicates for each microorganism.

Antifungal activity assay

Fungal strains used were Candida albicans ATCC 10231 as a representative fungus of Candidiosis, Botrytis cinerea KACC 40574 as a plant pathogen and Saccharomyces cerevisiae IFO 0233 as a control strain. The antifungal activity was evaluated by disk-diffusion assay, and determination of minimum inhibitory concentration (MIC) and minimal fungicidal concentration (MFC) using Sabouraud dextrose broth (Difco Co., USA), as previously reported[18,20]. For MIC determination, the fungi were grown in Sabouraud dextrose broth at 30°C for 24 h, and then 0.1 ml of cell culture was inoculated with 0.9 ml of fresh medium with different concentrations of phenanthrenes and phenanthraquinones (0, 1.5, 3.1, 6.2, 12.5, 25, 50, 70, and 100 μ g/ml), which were dissolved in dimethylsulfoxide. The MIC was defined as the lowest concentration able to inhibit any visible microorganism growth, and was determined by measuring cell growth OD after 48 h. The MFC was determined by culturing 0.1 ml of the vortexed broth from all tubes in the MIC assay on Sabouraud dextrose agar plates at 30°C for 48 h. The MFC was defined as the lowest concentration which growth of fungal colony was completely inhibited. Dimethylsulfoxide (0.5%) was used as a solvent control, and miconazole and amphotericin B (1.0, 1.5, and 2.0 μg/ml) were used as positive controls. All data were presented as the mean values of triplicates for each microorganism.

Results and Discussion

From the bulbils of *D. batatas* Decne, three phenanthrenes and two phenanthraquinones were identified by comparison of their physico-chemical properties and spectral data with those reported in the literature[4,9,19].

Compound 1 showed following characteristics and identified as a 6-hydroxy-2,4,6-trimethoxyphenanthrene (batatasin 142~143 °C; UV λ_{max} (CH₃OH) nm : 220, I) [4,19]. MP (sh)237, (sh)250, 259, 282(sh), 293(sh), 306(sh), 328, 344, 360; FAB-MS m/z 284 [M]⁺; IR (KBr) v_{max} cm⁻¹ : 3494 (O-H), 1624, 1483, 880, 852, 825, 816, 679, 619 (aromatic ring), 1611, 1578, 1527, 1502, 1462, 1437, 1394, 1367, 1336, 1302, 1285, 1267, 1207, 1193, 1163, 1156, 1140, 1088, 1061, 1033, 956, 940; 1 H-NMR (DMSO- d_{6} , 500 MHz) δ : 3.89 (3H, s, 2-OCH₃), 3.91 (3H, s, 7-OCH₃), 4.05 (3H, s, 4-OCH₃), 6.78 (1H, d, J=2.5 Hz, H-3), 7.00 (1H, d, J=2.5 Hz, H-1), 7.36 (1H, s, H-8), 7.51 (1H, d, J=9.0 Hz, H-10), 7.66 (1H, d, J=9.0 Hz, H-9), 8.90 (1H, s, H-5), 9.33 (s, 6-OH); ¹³C-NMR (DMSO-d₆, 125 MHz) δ : 158.8 (C-4), 157.1 (C-2), 146.8 (C-7), 146.3 (C-6), 134.2 (C-10a), 127.5 (C-9), 125.9 (C-8a), 124.7 (C-4b), 123.8 (C-10), 114.4 (C-4a), 112.4 (C-5), 108.7 (C-8), 101.3 (C-1), 98.7 (C-3), 55.7 (4-OCH₃), 55.3 (7-OCH₃), 55.2 $(2-OCH_3).$

Compound **2** showed following characteristics and identified as a 6-hydroxy-2,7-dimethoxy-1,4-phenanthraquinone, which has not been reported previously. MP 250~253 °C; IR (KBr) ν_{max} cm⁻¹: 3440 (O-H), 1634, 1487 (1,4-pheananthrquinone C=O), 1612, 1583, 1568, 1535, 1436, 1398, 1366, 1270, 1236, 1165, 1132, 1072, 1024, 939, 886, 850, 798, 750; EI-MS m/z 284 [M]⁺, 269, 255, 226, 213, 185, 170, 142; HREI-MS m/z 284.0688 (calculated for C₁₆H₁₅O₅, 284.0683); ¹H-NMR (DMSO- d_6 , 500 MHz) δ : 3.85 (3H, s, 2-OCH₃), 3.95 (3H, s, 7-OCH₃) 6.25 (1H, s, H-3), 7.43 (1H, s, H-8), 7.85 (1H, s, H-5), 10.29 (6-OH); ¹³C-NMR (DMSO- d_6 , 125 MHz) δ :

188.92 (C-4), 181.08 (C-1), 158.79 (C-2), 151.69 (C-6), 151.46 (C-7), 134.15 (C-8a), 132.98 (C-9), 129.77 (C-10a), 126.44 (C-4b), 124.77 (C-4a), 119.85 (C-10), 111.95 (C-3), 109.91 (C-5), 107.98 (C-8), 56.87 (2-OCH₃), 56.12 (7-OCH₃)

Compound 10 showed following characteristics and identified as a 7-hydroxy-2,4,6-trimethoxyphenanthrene[4]. MP 174 °C; UV λ_{max} (CH₃OH) nm : (sh)237, (sh)253, 260, 282(sh), 293(sh), 305(sh), 329, 345, 362; IR (KBr) v_{max} cm⁻¹: 3434 (O-H), 1612, 1486, 870, 837, 805 (aromatic nucleus), 1450, 1442, 1417, 1280, 1215, 1165, 1140, 1088, 1058, 1022, 935; FAB-MS m/z 284 [M]⁺; ¹H-NMR (DMSO-d₆, 500 MHz) δ: 3.89 (3H, s, 4-OCH₃), 3.95 (3H, s, 6-OCH₃), 4.08 (3H, s, 2-OCH₃), 6.80 (1H, d, J=3.0 Hz, H-3), 7.01 (1H, d, J=2.5 Hz, H-1), 7.22 (1H, d, J=2.0 Hz, H-8), 7.54 (1H, d, J=9.0 Hz, H-10), 7.58 (1H, d,]=8.5 Hz, H-9), 8.98 (1H, s, H-5), 9.35 (1H, s, 7-OH); 13 C-NMR (DMSO- d_6 , 125 MHz) δ : 158.57 (C-2), 156.92 (C-4), 147.87 (C-6), 145.29 (C-7), 133.92 (C-10a), 126.96 (C-9), 126.88 (C-8a), 124.63 (C-10), 123.55 (C-4b), 111.89 (C-8), 114.76 (C-4a), 108.75 (C-5), 101.45 (C-1), 98.98 (C-3), 55.93 (2-OCH₃), 55.24 (6-OCH₃), 55.16 (4-OCH₃).

Compound **11** showed following characteristics and identified as a 7-hydroxy-2,6-dimethoxy-1,4-phenanthraquinone (dioscoreanone)[9]. MP 250~253 °C; IR (KBr) v_{max} cm⁻¹: 3436 (O-H), 1635, 1482 (1,4-phenanthraquinone C=O), 1583, 1418, 1340, 1277, 1233, 1213, 1167, 1135, 1070, 1027, 947, 868, 790; FAB-MS m/z 285 [M+H]⁺; ¹H-NMR (DMSO- d_6 , 500 MHz) δ : 3.86 (3H, s, 2-OCH₃), 3.96 (3H, s, 6-OCH₃), 6.23 (1H, s, H-3), 7.26 (1H, s, H-8), 7.87 (1H, d, J=8.5 Hz, H-10), 8.03 (1H, d, J=9.0 Hz, H-9), 9.0 (1H, s, H-5), 10.3 (s, 7-OH); ¹³C-NMR (DMSO- d_6 , 125 MHz) δ : 188.5 (C-4), 180.3 (C-1), 158.2 (C-2), 152.4 (C-6), 149.5 (C-7), 134.3 (C-8a), 131.6 (C-9), 128.6 (C-10a), 124.73 (C-4b), 124.69 (C-4a), 120.0 (C-10), 111.2 (C-3), 110.2 (C-8), 106.0 (C-5), 56.3 (2-OCH₃), 55.4 (6-OCH₃).

Compound **12** showed following characteristics and identified as a 6,7-dihydroxy-2,4-dimethoxyphenanthrene[19]. MP 206 °C; UV λ_{max} (CH₃OH) nm: 220, (sh)253, 260, 283(sh), 293(sh), 306(sh), 330, 345, 363; IR (KBr) ν_{max} cm⁻¹: 3383 (O-H), 1616, 1587, 1548, 1504, 1486, 866, 839, 814, 673 (aromatic nucleus), 1466, 1446, 1423, 1396, 1273, 1211, 1200, 1169, 1130, 1087, 1065, 935; EI-MS m/z (rel. int.) 270 (100) [M]⁺, 255 (18), 237 (10), 227 (16), 212 (16), 184 (11), 135 (10); ¹H-NMR (DMSO- d_{b} , 500 MHz) δ : 3.88 (3H, s, 2-OCH₃), 4.04 (3H, s, 4-OCH₃), 6.76 (1H, d, J=2.5 Hz, H-3), 6.97 (1H, d, J=3.0 Hz, H-1), 7.17 (1H, s, H-8), 7.45 (1H, d, J=9.0 Hz, H-10), 7.53 (1H, d, J=9.0 Hz, H-9), 8.86 (1H, br s, H-5), 9.30

(1H, *d*, *J*=6.5 Hz, 7-OH), 9.33 (1H, *d*, *J*=7.0 Hz, 6-OH); 13 C-NMR (DMSO- d_6 , 125 MHz) δ : 158.59 (C-4), 156.73 (C-2), 145.76 (C-6), 144.54 (C-7), 133.85 (C-10a), 127.10 (C-9), 126.12 (C-8a), 123.79 (C-4b), 123.60 (C-10), 114.47 (C-4a), 112.41 (C-5), 111.86 (C-8), 101.27 (C-1), 98.57 (C-3), 55.61 (4-OCH₃), 55.05 (2-OCH₃). The structures of compound 1, 2, 10, 11, and 12 were shown in Fig. 1.

Antimicrobial activity of isolated compounds was evaluated against various pathogenic, food spoilage and plant pathogenic microorganisms based on disk-diffusion assay (Table 1). Ampicillin showed high activity against gram positive-, and gram negative- bacteria, while miconazole was active against fungi. Compound 12 (6,7- dihydroxy-2,4-dimethoxyphenanthrene) showed strong and broad-spectrum antimicrobial activity, but compound 1 (batatasin 1) is slightly active against only fungi. Compound 2, 10, and 11 did not show antibacterial and antifungal activity. Compound 11 has minor antibacterial activity against *S. epidermidis*. Compound 12 was more effective against *S. cerevisiae* or *C. albicans* than *B. cinerea*.

The MICs of compound 12 against different bacteria and fungi were determined (Table 2). Miconazole and ampho

1: R1=OH, R2=OMe (6-hydroxy-2,4,6-trimethoxyphenanthrene) 10: R1=OMe, R2=OH (7-hydroxy-2,4,6-trimethoxyphenanthrene) 12: R1, R2=OH (6,7-dihydroxy-2,4-dimethoxyphenanthrene)

(b)

2: R1=OH, R2=OMe (6-hydroxy-2,7-dimethoxy-1,4-phenanthraquinone)
11: R1=OMe, R2=OH (7-hydroxy-2,6-dimethoxy-1,4-phenanthraquinone)

Fig. 1. Structures of the (a) phenanthrenes (compound 1, 10 and 12) and (b) phenanthraquinones (compound 2 and 11) from the aerial bulbils of *D. batatas* Decne.

Table 1. Evaluation of antimicrobial activities of phenanthrenes and phenanthraquinones from the bulbils of *D. batatas* Decne against different bacteria and fungi*

Compound	Diameter of growth inhibition zone (mm)									
	Fungi			Gram negative bacteria			Gram positive bacteria			
	CA ¹	BC	SC	PV	ST	EC	SE	SM	SA	
Ampicillin	_2	-	-	28	20	13	13	15	30	
Miconazole	17	12	12	-	•	-	-	-	-	
1	9	8	10		-	•	-	-	-	
2	-	-	-	-		-	-	-	•	
10	-	-	•	-	•	•	-	-	-	
11	-	-	-	-	-	-	9	-	-	
12	18	8	19	25	20	9	13	15	25	

*70 μg of samples (compounds 1, 2, 10, 11, and 12), and 1 μg of ampicillin or 1 μg of miconazole were used per disk, respectively.

¹CA: Candida albicans, BC: Botrytis cinerea, SC: Saccharomyces cerevisiae, PV: Proteus vulgaris, ST: Salmonella typhimurium, EC: Escherichia coli O157, SE: Staphylococcus epidermidis, SM: Streptococcus mutans, SA: Staphylococcus aureus.

²-: No inhibition.

Table 2. MICs of compound 12 (6,7-dihydroxy-2,4-dimethoxyphenanthrene) against different bacteria and fungi

Compound	Minimal Inhibitory Concentration (µg/ml) ¹									
	Fungi			Gram negative bacteria			Gram positive bacteria			
	CA^2	BC	SC	PV	ST	EC	SE	SM	SA	
Ampicillin	_3	-	-	1.25	1.25	1.25	2.5	5.0	0.63	
Miconazole	1.5	2.0	1.5	-	-	-	-	-	-	
Amphotericin B	1.5	2.0	1.5	-	-	-	-	-	-	
12	25	125	25	50	50	> 100	100	> 100	50	

¹MIC: Minimal Inhibitory concentration (µg/ml),

²CA: the abbreviations of bacteria and fungi were the same as those of Table. 1.

³- : No inhibition.

tericin B at the concentration of 2.0 μ g/ml completely inhibited fungal growth and prevented fungal colony formation. Ampicillin showed strong activity against different bacteria. Compound 12 showed strong antifungal activity with MIC value of 25 μ g/ml against *C. albicans* and *S. cerevisiae*, while MIC value against *B. cinerea* was 125 μ g/ml. Against *P. vulgaris*, *S. typhimurium* and *Staphylococcus aureus*, compound 12 showed activities with MIC value of 50 μ g/ml. These results were consistent with the previous report[19], although only growth inhibition was reported. Our results indicated that the antimicrobial activity of phenanthrene is modulated by hydroxylation or methoxylation.

MFC values of compound 12 against *C. albicans* and *S. cerevisiae* were 25 $^{\circ}$ 50 μ g/ml, and the values were similar to MIC, suggested that the cell growth inhibition is mediated by fungicidal action. The effect of compound 12 on the growth of the fungi was investigated (Fig. 2). After treatcell was counted using Sabouraud dextrose agar plates ev-

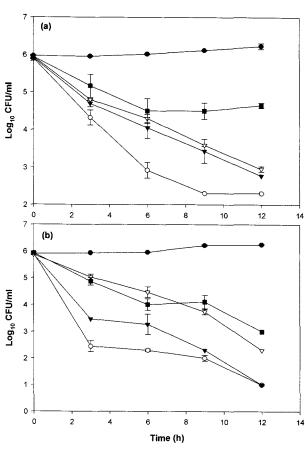


Fig. 2. The suppressive effect of compound **12** (6,7-dihydroxy-2,4-dimethoxyphenanthrene) on the growth of (a) *C. albicans* and (b) *S. cerevisiae*. Symbols: •, no treatment; ∇, 25 µg/ml; ▼, 50 µg/ml; ○, 100 µg/ml of compound **12** and •, 1 µg/ml of miconazole.

ment of compound 12 to culture (10^6 cell/ml), the viable ery 3 h. The suppressive effects of compound 12 were evident and showed concentration dependent manner. After treatment of compound 12 at 25 μ g/ml concentration, the cell viability was rapidly decreased showing first-order kinetics. These results indicate that compound 12 has a prominent fungicidal activity, and suggest that 6,7-dihydroxy-2,4-dimethoxyphenanthrene in the bulbils of yam is responsible for defense mechanism against microbial infection. Further researches on the mode of action of 6,7-dihydroxy-2,4-dimethoxyphenanthrene, and modification of the structure for activity increase are necessary.

Acknowledgments

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초록: 재배마 (Dioscorea batatas Decne)의 주아로부터 분리된 phenanthrene 유도체의 항진균 활성

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마속 식물들은 오래 전부터 다양한 민간처방에 사용되어 왔으며, 재배마의 근경은 다양한 생리활성물질들이 보고되어, 건강식품으로 인식되고 있다. 재배마의 주아는 매년 2,000톤 이상 생산되고 있으나, 다음해의 종자로 일부 이용될 뿐 거의 폐기되고 있으며, 생리활성에 대한 보고도 거의 없는 실정이다. 본 연구에서는 마의 주아로 부터 3종의 phenanthrene 및 2종의 phenanthraquinone를 분리하였으며, 이들의 구조를 규명하였다. 이들 중 6-hydroxy-2,7-dimethoxy-1,4-phenanthraquinone은 처음으로 분리되었다. 분리된 5종을 대상으로 항균활성을 평가한 결과, 6,7-dihydroxy-2,4-dimethoxyphenanthrene은 Candida albicans에 대해 25 μg/ml의 MIC와 MFC를 나타내어, 강력한 항균활성으로 실제적 사용 가능성을 나타내었다. 본 연구결과는 phenanthrene 링 구조의 -OH 및 -OCH₃ 수식이 항균활성 및 항균 스펙트럼 결정의 중요한 인자임을 제시하였다.