

HEREDITARY PROPERTIES OF CERTAIN IDEALS OF COMPACT OPERATORS

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ABSTRACT. Let X be a Banach space and Z a closed subspace of a Banach space Y . Denote by $\mathcal{L}(X, Y)$ the space of all bounded linear operators from X to Y and by $\mathcal{K}(X, Y)$ its subspace of compact linear operators. Using Hahn-Banach extension operators corresponding to ideal projections, we prove that if either X^{**} or Y^* has the Radon-Nikodým property and $\mathcal{K}(X, Y)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, Y)$, then $\mathcal{K}(X, Z)$ is also an M -ideal (resp. HB -subspace) in $\mathcal{L}(X, Z)$. If $\mathcal{K}(X, Y)$ has property SU instead of being an M -ideal in $\mathcal{L}(X, Y)$ in the above, then $\mathcal{K}(X, Z)$ also has property SU in $\mathcal{L}(X, Z)$. If X is a Banach space such that X^* has the metric compact approximation property with adjoint operators, then M -ideal (resp. HB -subspace) property of $\mathcal{K}(X, Y)$ in $\mathcal{L}(X, Y)$ is inherited to $\mathcal{K}(X, Z)$ in $\mathcal{L}(X, Z)$.

1. Introduction

A closed subspace E of a Banach space X is called an *ideal* in X if E^\perp , the annihilator of E in X^* , is the kernel of a norm one projection P on X^* . In this case P is called the *ideal projection*. The notion of an ideal in a Banach space was introduced by Godefroy, Kalton and Shaper [4] in 1993.

Let E be an ideal in X with the ideal projection P on X^* , let $x^* \in X^*$ and consider the following norm conditions ;

$$(1.1) \quad \|x^*\| = \|Px^*\| + \|(I - P)x^*\|,$$

$$(1.2) \quad \|x^*\| > \|Px^*\| \quad \text{if } x^* \neq Px^*,$$

$$(1.3) \quad \|x^*\| \geq \|x^* - Px^*\|,$$

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$$(1.4) \quad \|x^*\| \geq \|x^* - 2Px^*\|.$$

An ideal E is called an M -ideal if the condition (1.1) holds for all $x^* \in X^*$. An M -ideal was introduced by Alfsen and Effros [1] in 1972 and has been studied seriously by many authors [5].

Following Hennefeld [6], an ideal E is called an HB -subspace if conditions (1.2) and (1.3) hold for all $x^* \in X^*$. It is easy to see that an HB -subspace has property U in the sense of Phelps. According to Phelps [17], a subspace E of a Banach space X is said to have *property U* in X if every $e^* \in E^*$ has a unique norm-preserving extension $x^* \in X^*$. E. Oja [15] defined property SU which is an intermediate property between property U and HB -subspace. A subspace E is said to have *property SU* in X if E is an ideal in X and the condition (1.2) holds for all $x^* \in X^*$.

An ideal E is called a u -ideal if condition (1.4) holds. A u -ideal was introduced by Casazza and Kalton [2].

An ideal is closely linked with a Hahn-Banach extension operator. For a closed subspace E of a Banach space X a linear operator $\phi : E^* \rightarrow X^*$ is called a Hahn-Banach extension operator if $\phi(e^*)$ is a norm preserving extension of e^* for all $e^* \in E^*$. It is well known that there exists a Hahn-Banach extension operator $\phi : E^* \rightarrow X^*$ if and only if E is an ideal in X . In this case, the Hahn-Banach extension operator ϕ and the corresponding ideal projection $P : X^* \rightarrow X^*$ are related by $Px^* = \phi(x^*|_E)$, where $x^*|_E$ is the restriction of x^* to E . Therefore, if a subspace E is an ideal with property U in X , then the ideal projection is unique.

Let X and Y be Banach spaces. We denote by $\mathcal{L}(X, Y)$ the space of all bounded linear operators from X to Y and by $\mathcal{K}(X, Y)$ its subspace of compact operators.

In 1994, Lima, Oja, Rao and Werner [14] proved a sort of hereditary property of an M -ideal for $\mathcal{K}(X, Y)$. More specifically, they proved the following results.

THEOREM 1.1. *Suppose that X^{**} or Y^* has the Radon-Nikodým property and that $\mathcal{K}(X, Y)$ is an M -ideal in $\mathcal{L}(X, Y)$.*

- (a) *If X^* has the bounded compact approximation property with adjoint operators and Z is a closed subspace of Y , then $\mathcal{K}(X, Z)$ is an M -ideal in $\mathcal{L}(X, Z)$.*
- (b) *If Y^* has the bounded compact approximation property with adjoint operators and E is a closed subspace of X , then $\mathcal{K}(X/E, Y)$ is an M -ideal in $\mathcal{L}(X/E, Y)$.*

In this paper, we will investigate various ideal properties of $\mathcal{K}(X, Z)$ in $\mathcal{L}(X, Z)$ inherited from those of $\mathcal{K}(X, Y)$ in $\mathcal{L}(X, Y)$ for a closed subspace Z of Y .

In Theorem 3.3, we will assume that X and Y are Banach spaces such that either X^{**} or Y^* has the Radon-Nikodým property and show that if $\mathcal{K}(X, Y)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, Y)$, then $\mathcal{K}(X, Z)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, Z)$. If $\mathcal{K}(X, Y)$ has property SU in $\mathcal{L}(X, Y)$, then $\mathcal{K}(X, Z)$ also has property SU in $\mathcal{L}(X, Z)$. The idea of proofs is using suitable Hahn-Banach extension operators corresponding to ideal projections and using Feder-Saphar representation of the dual space of certain space of compact operators (Theorem 2.1).

In Theorem 3.5 we prove that if X^* has the metric compact approximation property with adjoint operators, then M -ideal (resp. HB -subspace) property of $\mathcal{K}(X, Y)$ in $\mathcal{L}(X, Y)$ is inherited to $\mathcal{K}(X, F)$ in $\mathcal{L}(X, F)$, where F is a closed subspace of Y . The same properties are inherited to $\mathcal{K}(X/E, Y)$ in $\mathcal{L}(X/E, Y)$ if Y has the metric compact approximation property, where E is a closed subspace of X .

2. Preliminaries

A Banach space X is said to have the *compact approximation property* if there exists a net (K_α) in $\mathcal{K}(X)$ such that $K_\alpha x \rightarrow x$ for all $x \in X$. If the net (K_α) in $\mathcal{K}(X)$ above can be chosen to be $\|K_\alpha\| \leq 1$ for all α , then we say that X has the *metric compact approximation property*. The dual space X^* of X is said to have the *compact approximation property with adjoint operators* if there exists a net (K_α) in $\mathcal{K}(X)$ such that $K_\alpha^* x^* \rightarrow x^*$ for all $x^* \in X^*$. We say that X^* has the *metric compact approximation property with adjoint operators* if the net (K_α) above can be taken to be $\|K_\alpha\| \leq 1$ for all α .

Let $X \widehat{\otimes} Y$ be the projective tensor product of Banach spaces X and Y . If $v \in X \widehat{\otimes} Y$, then there exist sequences (x_n) in X and (y_n) in Y such that $v = \sum_{n=1}^{\infty} x_n \otimes y_n$, and $\sum_{n=1}^{\infty} \|x_n\| \|y_n\| < \infty$. Moreover, $\|v\| = \inf \{ \sum_{n=1}^{\infty} \|x_n\| \|y_n\| \}$ with infimum being taken over all representations $v = \sum_{n=1}^{\infty} x_n \otimes y_n$, $x_n \in X$, $y_n \in Y$.

Let $v = \sum_{n=1}^{\infty} y_n^* \otimes x_n^{**} \in Y^* \widehat{\otimes} X^{**}$ with $\sum_{n=1}^{\infty} \|y_n^*\| \|x_n^{**}\| < \infty$. For any $T \in \mathcal{L}(X, Y)$, we define $T^{**}v = \sum_{n=1}^{\infty} y_n^* \otimes T^{**}x_n^{**}$. Then $T^{**}v \in Y^* \widehat{\otimes} Y^{**}$ and the map $T \rightarrow \text{trace}(T^{**}v) = \sum_{n=1}^{\infty} (T^{**}x_n^{**})(y_n^*)$ defines a bounded linear functional on $\mathcal{L}(X, Y)$ with norm no larger than $\|v\|$.

The following theorem is originally due to M. Feder and P. Sapher [3] and slightly modified by Lima, Nygaard and Oja [9].

THEOREM 2.1. *Let X and Y be Banach spaces such that either X^{**} or Y^* has the Radon-Nikodým property. Let $\Psi : Y^* \widehat{\otimes} X^{**} \rightarrow \mathcal{L}(X, Y)^*$ be defined by*

$$(\Psi v)(T) = \text{trace}(T^{**}v)$$

for all $T \in \mathcal{L}(X, Y)$ and $v \in Y^* \widehat{\otimes} X^{**}$. Then $\tau^* \Psi : Y^* \widehat{\otimes} X^{**} \rightarrow \mathcal{K}(X, Y)^*$ is a quotient map, where $\tau : \mathcal{K}(X, Y) \rightarrow \mathcal{L}(X, Y)$ is the inclusion map. Moreover, for each $f \in \mathcal{K}(X, Y)^*$, there exists $v \in Y^* \widehat{\otimes} X^{**}$ such that $f = (\Psi v)|_{\mathcal{K}(X, Y)}$ and $\|f\| = \|\Psi v\|$.

3. Hereditary properties of ideals of compact operators.

Let X and Y be Banach spaces, and let $E \subset X$ and $F \subset Y$ be closed subspaces. In this section we will investigate various ideal properties of $\mathcal{K}(X/E, F)$ in $\mathcal{L}(X/E, F)$ inherited from the corresponding ideal properties of $\mathcal{K}(X, Y)$ in $\mathcal{L}(X, Y)$.

Let $\pi : X \rightarrow X/E$ be the canonical projection and $i : F \rightarrow Y$ the inclusion mapping. Define $I : \mathcal{L}(X/E, F) \rightarrow \mathcal{L}(X, Y)$ and $J : \mathcal{K}(X/E, F) \rightarrow \mathcal{K}(X, Y)$ by $I(T) = i \circ T \circ \pi$ and $J(K) = i \circ K \circ \pi$ for $T \in \mathcal{L}(X/E, F)$ and $K \in \mathcal{K}(X/E, F)$, respectively. Then I and J are isometries into $\mathcal{L}(X, Y)$ and $\mathcal{K}(X, Y)$, respectively. By a diagram chase we can easily check the following Lemma.

LEMMA 3.1. *Let X and Y be Banach spaces and let $E \subset X$ and $F \subset Y$ be closed subspaces. If $\phi_1 : \mathcal{K}(X, Y)^* \rightarrow \mathcal{L}(X, Y)^*$ is a Hahn-Banach extension operator and $\phi_2 : \mathcal{K}(X/E, F)^* \rightarrow \mathcal{L}(X/E, F)^*$ is a linear operator such that*

$$I^* \circ \phi_1 = \phi_2 \circ J^*$$

then ϕ_2 is a Hahn-Banach extension operator.

PROPOSITION 3.2. *Let X and Y be Banach spaces and let $E \subset X$ and $F \subset Y$ be closed subspaces. Suppose that $\mathcal{K}(X, Y)$ is an M -ideal (resp. an HB -subspace, or a u -ideal) in $\mathcal{L}(X, Y)$ with an ideal projection P . If $\phi_1 : \mathcal{K}(X, Y)^* \rightarrow \mathcal{L}(X, Y)^*$ is the Hahn-Banach extension operator associated with P and $\phi_2 : \mathcal{K}(X/E, F)^* \rightarrow \mathcal{L}(X/E, F)^*$ is a linear operator such that*

$$I^* \circ \phi_1 = \phi_2 \circ J^*,$$

then $\mathcal{K}(X/E, F)$ is an M -ideal (resp. an HB -subspace, or a u -ideal) in $\mathcal{L}(X/E, F)$. If $\mathcal{K}(X, Y)$ has property SU in $\mathcal{L}(X, Y)$, then $\mathcal{K}(X/E, F)$ also has property SU in $\mathcal{L}(X/E, F)$.

Proof. By Lemma 3.1 ϕ_2 is a Hahn-Banach extension operator, and so $\mathcal{K}(X/E, F)$ is an ideal in $\mathcal{L}(X/E, F)$. Let Q be the ideal projection on $\mathcal{L}(X/E, F)^*$ induced by ϕ_2 . Then for $f \in \mathcal{L}(X, Y)^*$ and $g \in \mathcal{L}(X/E, F)^*$ we have $Pf = \phi_1(\bar{f})$ and $Qg = \phi_2(\bar{g})$, where \bar{f} and \bar{g} are the restrictions of f and g to $\mathcal{K}(X, Y)$ and $\mathcal{K}(X/E, F)$, respectively.

If $g \in \mathcal{L}(X/E, F)^*$, then there exists $f \in \mathcal{L}(X, Y)^*$ such that $\|g\| = \|f\|$, $g = I^*f$, and so $\bar{g} = J^*\bar{f}$. Therefore, $Qg = \phi_2(\bar{g}) = \phi_2(J^*\bar{f}) = I^*\phi_1\bar{f} = I^*Pf$.

If $\mathcal{K}(X, Y)$ is an M -ideal in $\mathcal{L}(X, Y)$, then

$$\begin{aligned} \|g\| &\leq \|I^*Pf\| + \|I^*f - I^*Pf\| \\ &\leq \|Pf\| + \|f - Pf\| \\ &= \|f\| = \|g\|, \end{aligned}$$

and hence

$$\begin{aligned} \|g\| &= \|I^*Pf\| + \|I^*f - I^*Pf\| \\ &= \|Qg\| + \|g - Qg\|. \end{aligned}$$

Therefore, $\mathcal{K}(X/E, F)$ is an M -ideal in $\mathcal{L}(X/E, F)$.

If $\mathcal{K}(X, Y)$ has property SU in $\mathcal{L}(X, Y)$ and $g \neq Qg$, then $f \neq Pf$ and so

$$\|g\| = \|f\| > \|Pf\| \geq \|I^*Pf\| = \|Qg\|.$$

Therefore, $\mathcal{K}(X/E, F)$ has property SU in $\mathcal{L}(X/E, F)$.

The inequalities,

$$\|g\| = \|f\| \geq \|f - Pf\| \geq \|I^*f - I^*Pf\| = \|g - Qg\|$$

and

$$1 \geq \|f - 2Pf\| \geq \|I^*f - 2I^*Pf\| = \|g - 2Qg\|$$

prove HB -subspaces and a u -ideal cases. □

In the above Proposition the existence of a linear operator $\phi_2 : \mathcal{K}(X/E, F)^* \rightarrow \mathcal{L}(X/E, F)^*$ such that $I^* \circ \phi_1 = \phi_2 \circ J^*$ plays a key role. An interesting question is when such an operator exists. Theorem 3.3 and Theorem 3.5 are cases in which such operators exist.

THEOREM 3.3. *Let X and Y be Banach spaces such that either X^{**} or Y^* has the Radon-Nikodým property. If $\mathcal{K}(X, Y)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, Y)$, then for every closed subspace Z of Y $\mathcal{K}(X, Z)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, Z)$. If $\mathcal{K}(X, Y)$*

has property *SU* in $\mathcal{L}(X, Y)$, then $\mathcal{K}(X, Z)$ also has property *SU* in $\mathcal{L}(X, Z)$ for every closed subspace Z of Y .

Proof. Let Z be a closed subspace of Y . Observe that if Y^* has the Radon-Nikodým property, then Z^* also has the Radon-Nikodým property. Let $\Psi : Z^* \widehat{\otimes} X^{**} \rightarrow \mathcal{L}(X, Z)^*$ be defined as in Theorem 2.1. Since $\mathcal{K}(X, Z)^* = Z^* \widehat{\otimes} X^{**} / \ker \Psi$, there exists a bounded linear operator $\phi_2 : \mathcal{K}(X, Z)^* \rightarrow \mathcal{L}(X, Z)^*$ such that $\Psi = \phi_2 \circ \rho$, where $\rho : Z^* \widehat{\otimes} X^{**} \rightarrow Z^* \widehat{\otimes} X^{**} / \ker \Psi$ is the canonical projection. Then we have that

$$\phi_2(z^* \otimes x^{**}) = z^* \otimes x^{**} \quad \text{for all } x^{**} \in X^{**} \text{ and } z^* \in Z^*.$$

Let $\phi_1 : \mathcal{K}(X, Y)^* \rightarrow \mathcal{L}(X, Y)^*$ be the Hahn-Banach extension operator corresponding to the ideal projection P on $\mathcal{L}(X, Y)^*$ with $\ker P = \mathcal{K}(X, Y)^\perp$. By Proposition 3.2, it suffices to show that $I^* \circ \phi_1 = \phi_2 \circ J^*$. Since either X^{**} or Y^* has the Radon-Nikodým property, by Theorem 2.1, every $f \in \mathcal{K}(X, Y)^*$ has a representation

$$f = \sum_n y_n^* \otimes x_n^{**}, \quad \sum_n \|x_n^{**}\| \|y_n^*\| < \infty, \quad x_n^{**} \in X^{**}, \quad y_n^* \in Y^*.$$

Therefore, it is sufficient to show that for each $x^{**} \in X^{**}$ and $y^* \in Y^*$,

$$I^* \circ \phi_1(y^* \otimes x^{**}) = \phi_2 \circ J^*(y^* \otimes x^{**}).$$

Since $\mathcal{K}(X, Y)$ has property *U* in $\mathcal{L}(X, Y)$, we have

$$\phi_1(y^* \otimes x^{**}) = y^* \otimes x^{**} \quad \text{for all } x^{**} \in X^{**} \text{ and } y^* \in Y^*.$$

Therefore, we have

$$\begin{aligned} I^* \circ \phi_1(y^* \otimes x^{**}) &= I^*(y^* \otimes x^{**}) \\ &= i^* y^* \otimes x^{**} \\ &= \phi_2(i^* y^* \otimes x^{**}) \\ &= \phi_2 \circ J^*(y^* \otimes x^{**}). \end{aligned}$$

□

Since a reflexive Banach space has the Radon-Nikodým property, we have the following corollary.

COROLLARY 3.4. *Let X be a reflexive Banach space and Z a closed subspace of a Banach space Y . If $\mathcal{K}(X, Y)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, Y)$, then $\mathcal{K}(X, Z)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, Z)$. If $\mathcal{K}(X, Y)$ has property *SU* in $\mathcal{L}(X, Y)$, then $\mathcal{K}(X, Z)$ also has property *SU* in $\mathcal{L}(X, Z)$.*

In 1979, J. Johnson [7] proved that if X and Y are Banach spaces, and Y has the metric compact approximation property, then there exists a Hahn Banach extension operator $\phi : \mathcal{K}(X, Y)^* \rightarrow \mathcal{L}(X, Y)^*$. His construction of ϕ easily proves the following Theorem (cf. [14, Proposition 2.9, Corollary 2.10]).

THEOREM 3.5. *Let X and Y be Banach spaces. Suppose that $\mathcal{K}(X, Y)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, Y)$.*

- (a) *If X^* has the metric compact approximation property with adjoint operators, then $\mathcal{K}(X, F)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X, F)$ for every closed subspace F of Y .*
- (b) *If Y has the metric compact approximation property, then $\mathcal{K}(X/E, Y)$ is an M -ideal (resp. an HB -subspace) in $\mathcal{L}(X/E, Y)$ for every closed subspace E of X .*

Proof. (a) Let (K_α) be a net in $\mathcal{K}(X)$ such that $\|K_\alpha\| \leq 1$ for all α and $K_\alpha^* x^* \rightarrow x^*$ for all $x^* \in X^*$. Then, by passing to a subnet of (K_α) , which we still denote by (K_α) , we can define Hahn-Banach extension operators [14, Lemma 1] $\phi_1 : \mathcal{K}(X, Y)^* \rightarrow \mathcal{L}(X, Y)^*$ and $\phi_2 : \mathcal{K}(X, F)^* \rightarrow \mathcal{L}(X, F)^*$ by

$$\phi_1(f)(T) = \lim_{\alpha} f(T \circ K_\alpha), \quad f \in \mathcal{K}(X, Y)^*, T \in \mathcal{L}(X, Y)$$

and

$$\phi_2(g)(T) = \lim_{\alpha} g(T \circ K_\alpha), \quad g \in \mathcal{K}(X, F)^*, T \in \mathcal{L}(X, F).$$

Then we can easily check that $I^* \circ \phi_1 = \phi_2 \circ J^*$. Now we appeal to Proposition 3.2 to finish the proof.

(b) We choose a suitable net (S_α) of compact operators on Y such that $\|S_\alpha\| \leq 1$ for all α and $S_\alpha y \rightarrow y$ for all $y \in Y$. We can define Hahn-Banach extension operators [14, Lemma 1] $\phi_1 : \mathcal{K}(X, Y)^* \rightarrow \mathcal{L}(X, Y)^*$ and $\phi_2 : \mathcal{K}(X/E, Y)^* \rightarrow \mathcal{L}(X/E, Y)^*$ by

$$\phi_1(f)(T) = \lim_{\alpha} f(S_\alpha \circ T), \quad f \in \mathcal{K}(X, Y)^*, T \in \mathcal{L}(X, Y)$$

and

$$\phi_2(g)(T) = \lim_{\alpha} g(S_\alpha \circ T), \quad g \in \mathcal{K}(X/E, Y)^*, T \in \mathcal{L}(X/E, Y).$$

Then $I^* \circ \phi_1 = \phi_2 \circ J^*$ and another appeal to Proposition 3.2 finishes the proof. \square

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