

## Transparent Conducting Zinc-Indium Oxides Thin Films by an Electron Beam Evaporation Method

Choon-Ho Lee<sup>†</sup> and Sun-Il Kim

Department of Materials Engineering, College of Engineering, Keimyung University, Daegu 704-701, Korea  
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### ABSTRACT

ZnO-In<sub>2</sub>O<sub>3</sub> films were fabricated on Corning 1737 glass substrate by an electron beam evaporation technique and their characteristics were investigated. The composition of ZnO-In<sub>2</sub>O<sub>3</sub> films had a marked effect on the electrical properties of the films. The ZnO-In<sub>2</sub>O<sub>3</sub> films showed superior transparent-conducting characteristics with increase of Zn content. The resistivity and carrier concentration of the film having Zn content of 45 at% are  $4.45 \times 10^{-3}$  cm and  $3.1 \times 10^{19}$  cm<sup>-3</sup>, respectively. Also, the transmittance was higher than 80% throughout the visible range. The average roughness of the film was 14.6 Å in terms of root mean square.

**Key words :** ZnO-In<sub>2</sub>O<sub>3</sub> film, Electron beam evaporation, Resistivity, Carrier concentration, Transmittance

### 1. Introduction

Transparent Conducting Oxide (TCO) films are widely used for transparent electrode, heaters and IR reflective coatings.<sup>1,2)</sup> The electrical and optical properties of these films were affected by the large number of parameters such as deposition parameters, microstructure, growth technique, dopant and its concentration. Although ITO is the most commonly used material for optoelectronic devices due to its excellent properties, its main disadvantage is that the indium source material is too expensive.<sup>3,4)</sup> Recently, thin films of Zinc Oxide (ZnO) have attracted large concerns as a transparent semiconducting material because coatings of this material are relatively inexpensive and have a sharp UV cut-off. But, for practical application all undoped ZnO conducting films are not suitable because of their thermal instability as well as low electrical conductivity. Doping some elements into ZnO improves not only the properties but also the stability of ZnO films.<sup>5-7)</sup> Up to now, the ZnO films with various doping materials have been investigated.<sup>8-14)</sup> Among them, zinc-indium oxide is one of the promising TCO candidates exhibiting electrical and optical properties comparable to or exceeding those of many other TCOs.

In the present study, we have studied on ZnO-In<sub>2</sub>O<sub>3</sub> system using an electron beam evaporation technique.<sup>15)</sup> The electrical and optical properties of ZnO-In<sub>2</sub>O<sub>3</sub> films with various compositions were investigated in this work.

### 2. Experimental Procedure

ZnO-In<sub>2</sub>O<sub>3</sub> films were prepared on Corning 1737F glass substrate at the substrate temperature of 400°C by an electron beam evaporation technique. ZnO-In<sub>2</sub>O<sub>3</sub> pellets were fabricated as the evaporation source for ZnO-In<sub>2</sub>O<sub>3</sub> films. The ZnO (99.9% purity) and In<sub>2</sub>O<sub>3</sub> (99.99% purity) powders were weighed in specific proportions to obtain the desired compositions and were mixed in ball mill for 24 h using zirconia balls. The mixed powders were dried and pressed into compacts under the isostatic pressure of 1300 Pa. The pellets were made by sintered at 1200°C during 2 h in an air atmosphere.

The crystal phase and surface morphology of ZnO-In<sub>2</sub>O<sub>3</sub> films was examined by X-ray diffraction and FE-SEM, respectively. Energy Dispersive Spectroscopy (EDS) was used to investigate the chemical composition of the films. The resistivity, charge carrier density and mobility of films were measured by Hall Effect Measurements (HEM-3000, EGK holdings, korea). Optical transmittance measurement was carried out using UV-visible-IR spectrophotometer. In addition, Atomic Force Microscope (AFM) was used to analyze surface roughness.

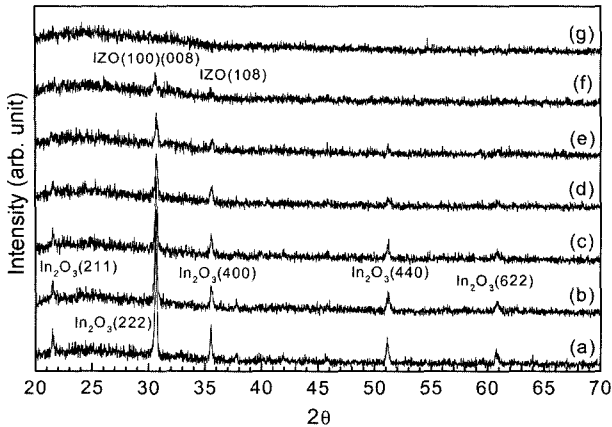
### 3. Results and Discussion

Fig. 1 shows the XRD patterns of the films deposited on glass substrate at 400°C as a function of ZnO content in ZnO-In<sub>2</sub>O<sub>3</sub> pellets. As the ZnO content in ZnO-In<sub>2</sub>O<sub>3</sub> pellets increases, the crystallinity of the films decreases and the peaks at near  $2\theta = 30^\circ$  which is the main peak of In<sub>2</sub>O<sub>3</sub> and In<sub>2</sub>Zn<sub>2</sub>O<sub>5</sub> was shifted as shown in Fig. 1. This seemed to be caused by the fact that In<sub>2</sub>Zn<sub>2</sub>O<sub>5</sub> phase be formed as ZnO was added. The films with ZnO content of 95 at% appeared

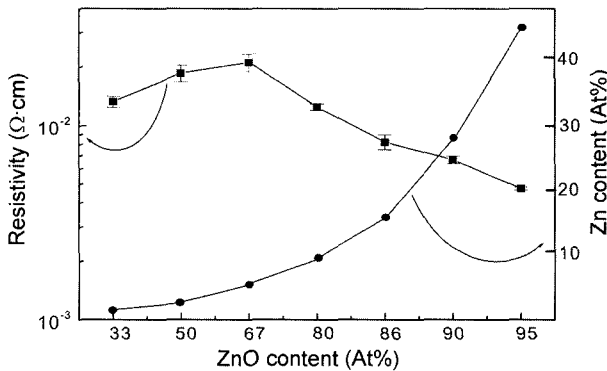
<sup>†</sup>Corresponding author : Choon-Ho Lee

E-mail : chl594@kmu.ac.kr

Tel : +82-53-580-5566 Fax : +82-53-580-5165



**Fig. 1.** X-ray diffraction patterns of the films deposited by the ZnO-In<sub>2</sub>O<sub>3</sub> pellets with various ZnO contents of: (a) 33, (b) 50, (c) 67, (d) 80, (e) 86, (f) 90, and (g) 95 at%.

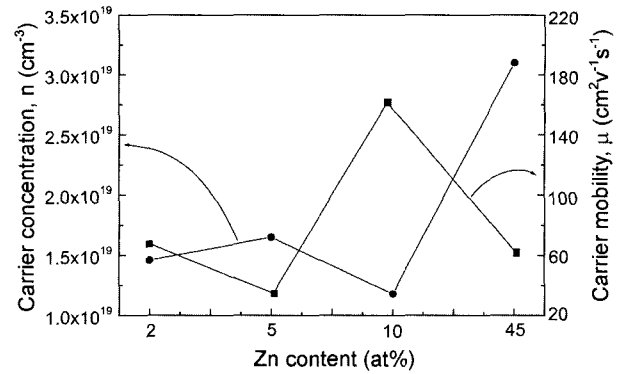


**Fig. 2.** Resistivity and Zn content of ZnO-In<sub>2</sub>O<sub>3</sub> films as a function of ZnO content of the pellets.

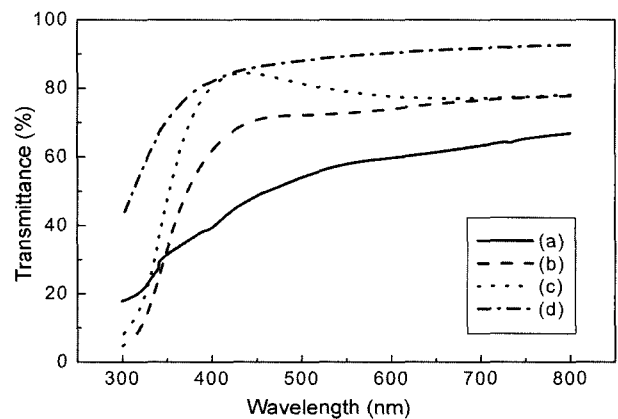
to have amorphous phase.

Fig. 2 shows Zn content and variation of resistivity of the film as a function of ZnO content in ZnO-In<sub>2</sub>O<sub>3</sub> pellets. The Zn content in ZnO-In<sub>2</sub>O<sub>3</sub> films is much lower than that in the pellets. This means that In<sub>2</sub>O<sub>3</sub> and ZnO have different equilibrium vapor pressure. It can be observed from this figure that the big change of resistivity didn't appear until to 5 at% Zn content in the film as the ZnO content increased. It means that there is little difference between carrier concentration and hall mobility as shown in Fig. 3. When the Zn content of ZnO-In<sub>2</sub>O<sub>3</sub> films is higher than 5 at%, the resistivity decreases sharply. This result may be explained by increasing either the carrier concentration or the carrier mobility. At 10 at% of Zn content, ZnO-In<sub>2</sub>O<sub>3</sub> film has the highest hall mobility value despite nearly unchangeable the carrier concentration as shown Fig. 3. At 95 at% of ZnO content in ZnO-In<sub>2</sub>O<sub>3</sub> pellets, ZnO-In<sub>2</sub>O<sub>3</sub> film with about 45 at% Zn content has the value of resistivity  $4.45 \times 10^{-3}$  cm. In our case, the carrier concentration mainly influenced by oxygen vacancies while the mobility, as we will show, may be influenced by change in microstructure of the films.

Fig. 3 shows the variation of carrier concentration and hall mobility of ZnO-In<sub>2</sub>O<sub>3</sub> films as a function of Zn content.



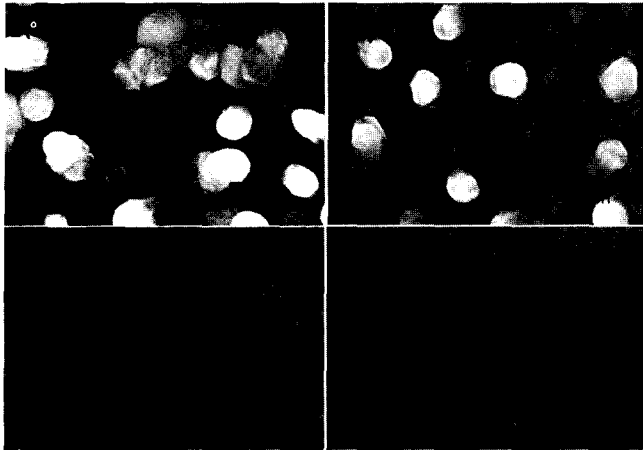
**Fig. 3.** Carrier concentration and hall mobility of the ZnO-In<sub>2</sub>O<sub>3</sub> films as a function of Zn content.



**Fig. 4.** Optical transmittance spectra of the ZnO-In<sub>2</sub>O<sub>3</sub> films with various Zn contents of: (a) 2, (b) 5, (c) 10, and (d) 45 at%.

The carrier concentration increases with Zn content changes from 10 to 45 at%. Maximum carrier concentration is reached for 45 at% Zn content. This increase in carrier concentration has been attributed to increase free electron. The amount of Zn content provides a large number of free electrons in the film. The free electrons in these films are supplied from donor sites associated with oxygen vacancies or excess metal ions by homologous phase. As the Zn content changed from 5 to 10 at%, the hall mobility increased. This seemed to be caused by crystallinity and decrease in grain boundary barrier potential in these films.<sup>16</sup> In the case of Zn contents higher than 10 at%, the hall mobility continuously decreases. It may be seen due to formed homologous phase such as ZnIn<sub>2</sub>O<sub>4</sub>, Zn<sub>2</sub>In<sub>2</sub>O<sub>5</sub>, and Zn<sub>3</sub>In<sub>3</sub>O<sub>6</sub> in crystalline, which appear amorphous film as shown in Fig. 5(d).

Fig. 4 shows the spectral dependence of transmission for ZnO-In<sub>2</sub>O<sub>3</sub> films. As the Zn content increases, transmittance property was improved and absorption edge shift slightly towards shorter wavelengths. This shift of absorption means band-gap wideness in ZnO-In<sub>2</sub>O<sub>3</sub> films. In the case of Fig. 4(d), the transmittance is greater than 80% throughout the visible range.



**Fig. 5.** SEM photographs of the ZnO-In<sub>2</sub>O<sub>3</sub> films with Zn contents of : (a) 2, (b) 5, (c) 10, and (d) 45 at%.

Figs. 5 and 6 show the change in surface morphology of the films as a function of Zn content in ZnO-In<sub>2</sub>O<sub>3</sub> films. As the Zn content is lower than 10 at%, the In<sub>2</sub>O<sub>3</sub> phase is formed and obtain ZnO-In<sub>2</sub>O<sub>3</sub> phase without second phase as shown in Fig. 5(a), (b), and (c), respectively. In the case of Fig. 5(d), we observed amorphous phase. When the Zn content increases, the roughness of ZnO-In<sub>2</sub>O<sub>3</sub> films was decreased as shown in Fig. 6. The value of rms roughness with Zn content of 45 at% was 14.6 Å.

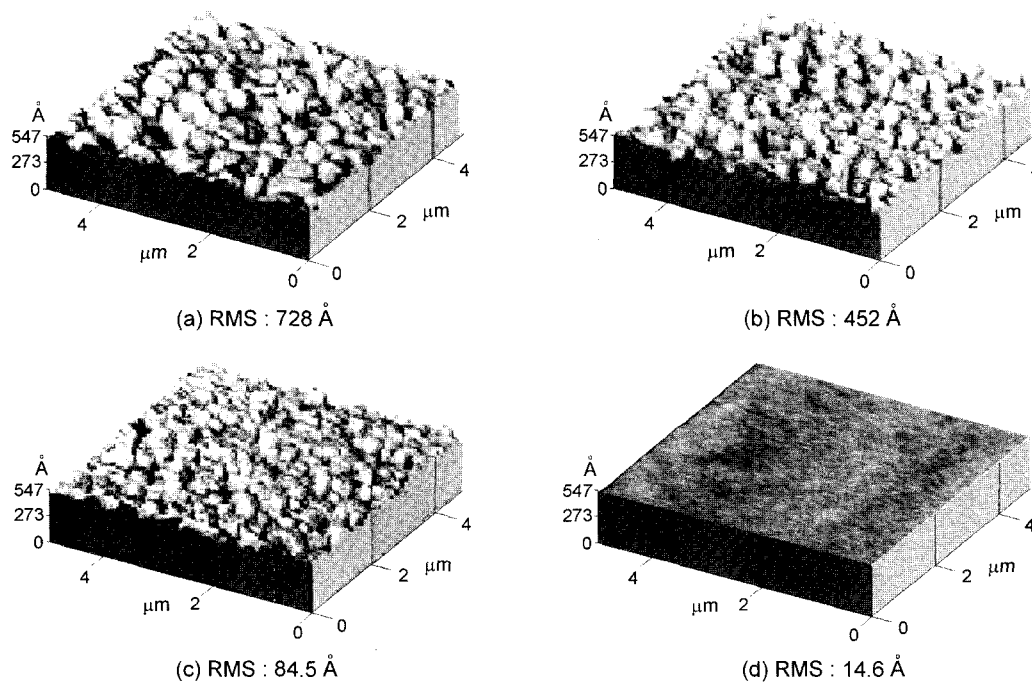
#### 4. Conclusions

ZnO-In<sub>2</sub>O<sub>3</sub> films have been prepared on glass substrate at 400°C by electron beam evaporation. ZnO-In<sub>2</sub>O<sub>3</sub> films with

various Zn content (such as at 2–45 at%) were investigated. Zn content in the ZnO-In<sub>2</sub>O<sub>3</sub> films has a significant effect on the characteristics of the films. From the XRD result, Zn content in the ZnO-In<sub>2</sub>O<sub>3</sub> films affected the change of the crystallinity. At 45 at% of Zn content, the value of resistivity is  $4.45 \times 10^{-3}$  cm. The carrier concentration and hall mobility are  $3.1 \times 10^{19}$  cm<sup>-3</sup> and  $62$  cm<sup>2</sup>V<sup>-1</sup>s<sup>-1</sup>, respectively. Also, the transmission is greater than 80% throughout the visible range. The surface morphology of the films observed amorphous phase. The value of rms roughness was 14.6 Å.

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**Fig. 6.** AFM image of the ZnO-In<sub>2</sub>O<sub>3</sub> films with Zn contents of : (a) 2, (b) 5, (c) 10, and (d) 45 at%.

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