

## UNIVALENT FUNCTIONS ON $\Delta = \{z : |z| > 1\}$

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ABSTRACT. In this paper, we obtain the sharp estimates for coefficients of harmonic, orientation-preserving, univalent mappings defined on  $\Delta = \{z : |z| > 1\}$  when harmonic mappings are of bounded variation on  $|z| = 1$ .

### 1. Introduction

A continuous function  $f = u + iv$  defined in a domain  $D \subseteq \mathbb{C}$  is harmonic if  $u$  and  $v$  are real harmonic in  $D$ . Study of univalent harmonic functions is pioneered by J. G. Clunie and T. Sheil-Small. They[2] obtained a number of sharp results when a univalent harmonic orientation-preserving mapping  $f$  defined in  $\mathbb{D} = \{z : |z| < 1\}$  is convex, convex in one direction, or close-to-convex. Hengartner and Schober[4] studied the class  $\Sigma$  of all complex-valued, harmonic, orientation-preserving, univalent mappings  $f$  defined on  $\Delta = \{z : |z| > 1\}$ , which are normalized at infinity by  $f(\infty) = \infty$ . Such functions admit the representation

$$(1) \quad f(z) = h(z) + \overline{g(z)} + A \log|z|$$

where  $h(z) = \alpha z + \sum_{k=0}^{\infty} a_k z^{-k}$  and  $g(z) = \beta z + \sum_{k=1}^{\infty} b_k z^{-k}$  are analytic in  $\Delta$  and  $0 \leq |\beta| < |\alpha|$ ,  $|A|/2 \leq |\alpha| + |\beta|$ . In addition,  $a = \overline{f_z}/f_z$  is analytic and satisfies  $|a(z)| < 1$ . In this paper, we obtain the sharp bounds for the Fourier coefficients of (1) when the harmonic mapping  $f \in \Sigma$  is of bounded variation on  $|z| = 1$ .

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Received April 14, 2003.

2000 Mathematics Subject Classification: 30C15, 30C50.

Key words and phrases: Harmonic, univalent functions.

This work was supported by the research fund of Nat. Sci. Inst., Seoul Women's University, 2001.

## 2. Some Coefficient Estimates

Every homeomorphism of the unit circle onto a convex Jordan curve extends continuously to a univalent harmonic mapping of the unit disk  $\mathbb{D}$  onto a convex domain bounded by a Jordan curve. T. Radó posed this as a problem. H. Kneser[5] gave an elegant solution which uses the monodromy theorem to deduce global univalence from local univalence. In the converse direction, a univalent harmonic function which maps the unit disk  $\mathbb{D}$  onto a strictly convex domain bounded by a Jordan curve has a continuous extension to  $\overline{\mathbb{D}}$ [1]. We also have the same result for the function  $f \in \Sigma$  which maps onto the exterior  $U$  of a strictly convex Jordan curve  $\Gamma$ .

**THEOREM 2.1.** *Suppose that  $f$  is a complex-valued, harmonic, orientation-preserving, univalent mapping from  $\Delta = \{z : |z| > 1\}$  onto the exterior  $U$  of a strictly convex Jordan curve  $\Gamma$  with  $f(\infty) = \infty$ . Then  $f$  has a continuous extension to  $\overline{\Delta}$ .*

*Proof.* Since  $f \in \Sigma$ ,  $f$  has the representation (1). Consider  $f(z) - \alpha z - \overline{\beta z} - A \log|z|$ . Then  $f(1/z) - \alpha/z - \overline{\beta}/z + A \log|z|$  is a bounded harmonic function in  $|z| < 1$ . Thus  $\text{Lim}_{r \rightarrow 1^-} \{f(r^{-1}e^{-i\theta}) - \alpha r^{-1}e^{-i\theta} - \overline{\beta}r^{-1}e^{i\theta} + A \log r\}$  exists a.e. Therefore the radial limits  $\text{Lim}_{r \rightarrow 1^+} f(re^{i\theta})$  exists a.e. and belongs to  $\Gamma$ . A univalent analytic mapping  $\phi$  from  $\Delta$  onto  $U$  extends homeomorphically to  $\overline{\Delta}$ , and  $\phi(\partial\Delta) = \Gamma$ . Let  $\Phi = \phi^{-1}$  in  $U$ . Then  $\Phi \circ f$  is an orientation-preserving homeomorphism of  $\Delta$  onto itself. Its radial limit function  $k$  exists and has modulus 1 a.e. on  $\partial\Delta$ . By redefining  $k$  on a set of measure zero, we may write  $k(e^{i\theta}) = e^{i\eta(\theta)}$ , where  $\eta$  is a nondecreasing function on  $\mathbb{R}$  and  $\eta(\theta + 2\pi) = \eta(\theta) + 2\pi$ . Define  $E$  to be the at most countable set of points  $e^{i\theta}$  on  $\partial\Delta$  that correspond to the discontinuities, which are finite jumps, of  $\eta$ . On  $(\partial\Delta) \setminus E$  the function  $\phi \circ k$  is continuous and its values belong to  $\Gamma$ . At the points of the countable set  $E$ , the function  $\phi \circ k$  has one-sided limits, which also belong to  $\Gamma$  since  $\Gamma$  is closed. Now  $\phi \circ k$  and the radial limit function of  $f$  agree almost everywhere, and so

$$\begin{aligned} f(z) - \alpha z - \overline{\beta z} - A \log|z| \\ = -\frac{1}{2\pi} \int_0^{2\pi} \text{Re} \left[ \frac{e^{i\theta} + z}{e^{i\theta} - z} \right] (\phi \circ k(e^{i\theta}) - \alpha e^{i\theta} - \overline{\beta} e^{-i\theta}) d\theta. \end{aligned}$$

Thus the unrestricted limits

$$\text{Lim}_{z \rightarrow e^{i\theta}} \{f(z) - \alpha z - \overline{\beta z} - A \log|z|\}$$

exist and are equal to  $\phi \circ k - \alpha e^{i\theta} - \overline{\beta} e^{-i\theta}$  at all points of  $(\partial\Delta) \setminus E$ . Therefore we conclude that the unrestricted limits  $\hat{f}(e^{i\theta}) \equiv \text{Lim}_{z \rightarrow e^{i\theta}} f(z)$  exist and are equal to  $\phi \circ k$  at all points of  $(\partial\Delta) \setminus E$ . Next, let  $e^{i\theta_0}$  belong to  $E$ . Then the cluster set of  $f$  at  $\theta_0$  is the straight-line segment joining  $A_0 = \text{Lim}_{\theta \uparrow \theta_0} \hat{f}(e^{i\theta})$  to  $B_0 = \text{Lim}_{\theta \downarrow \theta_0} \hat{f}(e^{i\theta})$ . If  $A_0 = B_0$ , then the cluster set is a singleton; so  $f$  has a limit and  $\hat{f}$  is continuous there. If  $A_0 \neq B_0$ , then  $\Gamma$  would have to contain line segments corresponding to points of the discontinuity set. Since  $U^c$  is assumed to be strictly convex, the discontinuity set must be empty. Therefore  $f$  extends continuously to  $\overline{\Delta}$ .  $\square$

LEMMA 2.2. *If  $f \in \Sigma$  and  $f$  extends to be of bounded variation on  $|z| = 1$ , then  $L_r \leq L_1 + 6\pi(|\alpha| + |\beta|)$  for  $1 \leq r \leq 2$  where  $L_r$  denotes the length of  $f(|z| = r)$ .*

*Proof.* If  $\psi(z) = f(z) - \alpha z - \overline{\beta z} - A \log|z|$ , then  $\psi(\infty) = a_0$ . For any partition  $P = [t_0, t_1, \dots, t_N]$  of  $[0, 2\pi]$ , the expression  $\sum_{k=1}^N |\psi(ze^{it_k}) - \psi(ze^{it_{k-1}})|$  is a subharmonic function of  $z$  in  $\Delta \cup \{\infty\}$ . Hence, by the Maximum Principle for subharmonic functions, we have

$$\sum_{k=1}^N |\psi(ze^{it_k}) - \psi(ze^{it_{k-1}})| \leq \limsup_{|z| \rightarrow 1} \sum_{k=1}^N |\psi(ze^{it_k}) - \psi(ze^{it_{k-1}})|.$$

Since

$$\begin{aligned} & \sum_{k=1}^N |\psi(ze^{it_k}) - \psi(ze^{it_{k-1}})| \\ &= \sum_{k=1}^N |f(ze^{it_k}) - f(ze^{it_{k-1}}) - \alpha(ze^{it_k} - ze^{it_{k-1}}) - \overline{\beta(ze^{it_k} - ze^{it_{k-1}})}| \end{aligned}$$

, we have

$$\begin{aligned}
& \sum_{k=1}^N \{|f(ze^{it_k}) - f(ze^{it_{k-1}})| - |e^{it_k} - e^{it_{k-1}}|(|\alpha| + |\beta|)|z|\} \\
& \leq \sum_{k=1}^N |\psi(ze^{it_k}) - \psi(ze^{it_{k-1}})| \\
& \leq \limsup_{|z| \rightarrow 1} \sum_{k=1}^N |\psi(ze^{it_k}) - \psi(ze^{it_{k-1}})| \\
& \leq \limsup_{|z| \rightarrow 1} \sum_{k=1}^N \{|f(ze^{it_k}) - f(ze^{it_{k-1}})| + (|\alpha| + |\beta|)|z||e^{it_k} - e^{it_{k-1}}|\} \\
& \leq L_1 + 2\pi(|\alpha| + |\beta|).
\end{aligned}$$

Thus this implies that

$$\sum_{k=1}^N |f(ze^{it_k}) - f(ze^{it_{k-1}})| \leq L_1 + 2\pi(|\alpha| + |\beta|)(1 + |z|).$$

Let  $z = r$ , then

$$\sum_{k=1}^N |f(re^{it_k}) - f(re^{it_{k-1}})| \leq L_1 + 2\pi(|\alpha| + |\beta|)(1 + r).$$

Since  $P$  is arbitrary, we have  $L_r \leq L_1 + 2\pi(|\alpha| + |\beta|)(1 + r)$ . For  $r \leq 2$ ,  $L_r \leq L_1 + 6\pi(|\alpha| + |\beta|)$ .  $\square$

**THEOREM 2.3.** *If  $f \in \Sigma$  and  $f$  extends to be of bounded variation on  $|z| = 1$ , then*

$$\begin{aligned}
|\alpha + \bar{b}_1| &\leq \frac{L_1}{2\pi}, & |\beta + \bar{a}_1| &\leq \frac{L_1}{2\pi}, \\
|b_n| &\leq \frac{L_1}{2n\pi} & \text{and} & |a_n| &\leq \frac{L_1}{2n\pi} & \text{for } n \geq 2,
\end{aligned}$$

where  $L_1$  is the length of  $f(|z| = 1)$ . The first inequality  $|\alpha + \bar{b}_1| \leq L_1/(2\pi)$  is sharp for the function  $f(z) = z + i/(2\bar{z}) + (1/2)\log|z|$ . The

inequality  $|\beta + \bar{a}_1| \leq L_1/(2\pi)$  is sharp for the function  $f(z) = z - 1/\bar{z} + 2\log|z|$ . The inequalities  $|b_n| \leq L_1/(2n\pi)$  and  $|a_n| \leq L_1/(2n\pi)$  for  $n \geq 2$  are sharp for the function  $f(z) = z - 1/\bar{z} + 2\arg\left(\frac{1+i/z}{1-i/z}\right)$ .

*Proof.* Let  $n$  be any nonzero integer. Lemma 2.2 implies that the  $L_r$ 's are uniformly bounded for  $1 \leq r \leq 2$ . By the Helly selection theorem, there exists a sequence  $\langle r_k \rangle$  such that  $r_k \searrow 1$  and  $\int_{|z|=r_k} z^n df \rightarrow \int_{|z|=1} z^n df$  as  $k \rightarrow \infty$ . Since

$$\begin{aligned} \int_{|z|=r_k} z^n df &= \int_{|z|=r_k} z^n (f_z dz + f_{\bar{z}} d\bar{z}) \\ &= \int_{|z|=r_k} z^n \left\{ \left( \alpha + \frac{A}{2z} + \sum_{k=1}^{\infty} a_k (-k) z^{-k-1} \right) dz \right. \\ &\quad \left. + \left( \bar{\beta} + \frac{A}{2\bar{z}} + \sum_{k=1}^{\infty} \bar{b}_k (-k) \bar{z}^{-k-1} \right) d\bar{z} \right\} \\ &= \begin{cases} 2\pi i (\alpha + \bar{b}_1 r_k^{-2}) & \text{if } n = -1 \\ 2\pi i (-n \bar{b}_{-n} r_k^{2n}) & \text{if } n \leq -2 \\ 2\pi i (-a_1 - r_k^2 \bar{\beta}) & \text{if } n = 1 \\ 2\pi i (-n a_n) & \text{if } n \geq 2, \end{cases} \end{aligned}$$

it follows that

$$\frac{1}{2\pi i} \int_{|z|=1} z^n df = \begin{cases} \alpha + \bar{b}_1 & \text{if } n = -1 \\ -n \bar{b}_{-n} & \text{if } n \leq -2 \\ -a_1 - \bar{\beta} & \text{if } n = 1 \\ -n a_n & \text{if } n \geq 2. \end{cases}$$

Since  $\left| \int_{|z|=1} z^n df \right| \leq \int_{|z|=1} |df| = L_1$ , we have

$$\begin{aligned} |\alpha + \bar{b}_1| &\leq \frac{L_1}{2\pi}, & |\beta + \bar{a}_1| &\leq \frac{L_1}{2\pi}, \\ n|b_n| &\leq \frac{L_1}{2\pi} & \text{and } n|a_n| &\leq \frac{L_1}{2\pi} \quad \text{for } n \geq 2. \end{aligned}$$

These inequalities are equivalent to the desired ones.  $\square$

COROLLARY 2.4. *If  $f \in \Sigma$  and  $\mathbb{C} \setminus f(\Delta)$  is strictly convex, then*

$$|\alpha + \bar{b}_1| \leq \frac{L}{2\pi}, \quad |\beta + \bar{a}_1| \leq \frac{L}{2\pi},$$

$$n|b_n| \leq \frac{L}{2\pi} \quad \text{and} \quad n|a_n| \leq \frac{L}{2\pi} \quad \text{for } n \geq 2,$$

where  $L$  is the length of  $\partial(\mathbb{C} \setminus f(\Delta))$ .

*Proof.* Since  $f \in \Sigma$  and  $\mathbb{C} \setminus f(\Delta)$  is strictly convex,  $f$  has a continuous extension to  $\bar{\Delta}$  by Theorem 2.1. Thus  $f$  extends to be of bounded variation on  $|z| = 1$ . The equalities follow directly from Theorem 2.3.  $\square$

COROLLARY 2.5. *If  $f \in \Sigma$  and  $f(\Delta) = \Delta$ , then*

$$|\alpha + \bar{b}_1| \leq 1, \quad |\beta + \bar{a}_1| \leq 1,$$

$$|b_n| \leq \frac{1}{n} \quad \text{and} \quad |a_n| \leq \frac{1}{n} \quad \text{for } n \geq 2.$$

*Proof.* Since  $\mathbb{C} \setminus \Delta$  is strictly convex and the unit circle has the length  $2\pi$ , the inequalities follow directly from Corollary 2.4.  $\square$

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