

UNIVALENT HARMONIC EXTERIOR MAPPINGS

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ABSTRACT. In this paper, we will show that the bounds for coefficients of harmonic, orientation-preserving, univalent mappings f defined on $\Delta = \{z : |z| > 1\}$ with $f(\Delta) = \Delta$ are sharp by finding extremal functions.

1. Introduction

Consider the class Σ of all complex-valued, harmonic, orientation-preserving, univalent mappings f defined on $\Delta = \{z : |z| > 1\}$, which are normalized at infinity by $f(\infty) = \infty$ ([1]). If $f \in \Sigma$ with $f(\Delta) = \Delta$, then f has a Poisson integral representation

$$f(z) = \alpha z + \bar{\beta} z + A \log |z| - \frac{1}{2\pi} \int_0^{2\pi} \operatorname{Re} \left[\frac{e^{it} + z}{e^{it} - z} \right] (e^{i\theta(t)} - \alpha e^{it} - \bar{\beta} e^{-it}) dt$$

where θ is a nondecreasing continuous function on \mathbb{R} with $\theta(t + 2\pi) = \theta(t) + 2\pi$, $0 \leq |\beta| < |\alpha|$, and $|A|/2 \leq |\alpha| + |\beta|$ ([1, 2]). Since $\operatorname{Re} \left[\frac{e^{it} + z}{e^{it} - z} \right] = -1 - \sum_{m=1}^{\infty} \left(\frac{e^{imt}}{z^m} + \frac{e^{-imt}}{\bar{z}^m} \right)$, we have

$$(1) \quad f(z) = \alpha z + \bar{\beta} z + A \log |z| + \sum_{m=0}^{\infty} \frac{a_m}{z^m} + \sum_{m=1}^{\infty} \frac{\bar{b}_m}{\bar{z}^m},$$

where

$$\begin{aligned} a_0 &= \frac{1}{2\pi} \int_0^{2\pi} e^{i\theta(t)} dt, \\ a_1 &= -\bar{\beta} + \frac{1}{2\pi} \int_0^{2\pi} e^{i[t+\theta(t)]} dt, \quad \bar{b}_1 = -\alpha + \frac{1}{2\pi} \int_0^{2\pi} e^{-i[t-\theta(t)]} dt, \\ a_m &= \frac{1}{2\pi} \int_0^{2\pi} e^{i[mt+\theta(t)]} dt \quad \text{and} \quad \bar{b}_m = \frac{1}{2\pi} \int_0^{2\pi} e^{-i[mt-\theta(t)]} dt \end{aligned}$$

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for $m \geq 2$. Thus we know that $|a_m| \leq \frac{1}{m}$, $|b_m| \leq \frac{1}{m}$ for $m \geq 2$ ([2, Corollary 2.5]).

In this paper, we will show that the bounds $|b_m| \leq \frac{1}{m}$ are sharp for $m \geq 2$ by finding an extremal function for each m .

2. Extremal Functions

From now on $n \geq 2$ unless there is further mention. Consider the function $e^{i\theta_n(t)}$, where $\theta_n(t) = \begin{cases} nt & \text{if } 0 \leq t \leq 2\pi/n \\ 2\pi & \text{if } 2\pi/n \leq t \leq 2\pi. \end{cases}$ Then $f(z)$ of the form (1) is a harmonic function in Δ and $f(\infty) = \infty$. And also we have

$$a_0 = 1 - \frac{1}{n}, \quad a_m = \begin{cases} -\bar{\beta} - \frac{in(1-e^{i2\pi/n})}{2\pi(n+1)} & \text{if } m = 1 \\ -\frac{in(1-e^{i2\pi m/n})}{2\pi m(n+m)} & \text{if } m \geq 2, \end{cases}$$

and

$$\bar{b}_m = \begin{cases} -\alpha + \frac{in(1-e^{-i2\pi/n})}{2\pi(n-1)} & \text{if } m = 1 \\ \frac{1}{n} & \text{if } m = n \\ \frac{in(1-e^{-i2\pi m/n})}{2\pi m(n-m)} & \text{if } m \neq n, m \geq 2. \end{cases}$$

Thus we obtain

$$(2) \quad f(z) = \alpha z + \bar{\beta} \bar{z} + A \log |z| + \left(1 - \frac{1}{n}\right) - \frac{\bar{\beta}}{z} - \frac{\alpha}{\bar{z}} \\ - \frac{in}{2\pi} \sum_{m=1}^{\infty} \frac{1 - e^{i2\pi m/n}}{m(n+m)z^m} + \frac{in}{2\pi} \sum_{\substack{m=1 \\ m \neq n}}^{\infty} \frac{1 - e^{-i2\pi m/n}}{m(n-m)\bar{z}^m} + \frac{1}{n\bar{z}^n}$$

and $b_n = \frac{1}{n}$.

In the remainder of this section we shall show that there exists a choice of α , β , and A so that f is univalent, hence an extremal function in Σ .

It is obvious that $\sum_{j=1}^{\infty} \frac{\zeta^j}{j} = -\log(1-\zeta)$ for $|\zeta| < 1$. By replacing ζ by $1/z$ and $e^{i2\pi/n}/z$, we obtain

$$(3) \quad \sum_{j=1}^{\infty} \frac{1 - e^{i2\pi j/n}}{jz^j} = \log \frac{z - e^{i2\pi/n}}{z - 1} = L(z)$$

for $|z| > 1$. From (3), we have

$$(4) \quad \begin{aligned} \sum_{m=1}^{\infty} \frac{1 - e^{i2\pi m/n}}{m(n+m)z^m} &= \frac{1}{n} \left[L(z) - \sum_{m=1}^{\infty} \frac{1 - e^{i2\pi m/n}}{(n+m)z^m} \right] \\ &= \frac{1}{n} \left[(1 - z^n)L(z) + z^n \sum_{j=1}^n \frac{1 - e^{i2\pi j/n}}{jz^j} \right] \end{aligned}$$

by letting $j = n + m$ and then by applying (3) again. Similarly we also have

$$(5) \quad \sum_{\substack{m=1 \\ m \neq n}}^{\infty} \frac{1 - e^{i2\pi m/n}}{m(n-m)z^m} = \frac{1}{n} \left[\left(1 - \frac{1}{z^n}\right)L(z) + \frac{1}{z^n} \sum_{j=1}^{n-1} \frac{1 - e^{-i2\pi j/n}}{jz^{-j}} \right].$$

Substitution of (4) and (5) into (2) leads to the representation

$$(6) \quad \begin{aligned} f(z) &= \alpha z + \overline{\beta z} + A \log |z| + \left(1 - \frac{1}{n}\right) - \frac{\overline{\beta}}{z} - \frac{\alpha}{\bar{z}} + \frac{1}{n\bar{z}^n} \\ &\quad - \frac{i}{2\pi} \left[(1 - z^n)L(z) + z^n \sum_{j=1}^n \frac{1 - e^{i2\pi j/n}}{jz^j} \right] \\ &\quad + \frac{i}{2\pi} \left[\left(1 - \frac{1}{z^n}\right)L(z) + \frac{1}{z^n} \sum_{j=1}^{n-1} \frac{1 - e^{-i2\pi j/n}}{jz^{-j}} \right]. \end{aligned}$$

Thus

$$(7) \quad \begin{cases} f_z = \alpha + \frac{A}{2z} + \frac{\overline{\beta}}{z^2} + \frac{inz^{n-1}}{2\pi} \left[L(z) - \sum_{j=1}^n \frac{1 - e^{i2\pi j/n}}{jz^j} \right], \\ \overline{f_z} = \beta + \frac{\overline{A}}{2z} + \frac{\overline{\alpha}}{z^2} + \frac{in}{2\pi z^{n+1}} \left[\sum_{j=1}^{n-1} \frac{1 - e^{-i2\pi j/n}}{jz^{-j}} - L(z) \right] - \frac{1}{z^{n+1}}. \end{cases}$$

Let $\xi_n = \begin{cases} \frac{4 \cos(\pi/n) - 1}{6 \cos(\pi/n)} & \text{for } n \geq 3 \\ 0 & \text{for } n = 2. \end{cases}$ Then $\frac{1}{3} \leq \xi_n < \frac{1}{2}$ for $n \geq 3$ and Lemma 2.1 is true for this ξ_n . We made this choice for ξ_n with

help of a computer. If we choose $\alpha = ke^{i(n-1)\pi/n}$, $\beta = k\xi_n e^{-i\pi/n}$, and $A = k$ in (6), then the necessary conditions $0 \leq |\beta| < |\alpha|$ and $|A|/2 \leq |\alpha| + |\beta|$ for the mapping in Σ are satisfied for all $k > 0$. Therefore, from now on we restrict α , β , and A to be of this form. In order to prove our main theorem, we need several lemmas. In Theorem 2.7, we are going to show for each $n \geq 2$ that there exist α , β , and A of the form above such that $f \in \Sigma$ with $b_n = 1/n$.

LEMMA 2.1. *Let $Y = 2 \cos[(n-1)\pi/n - \theta(n-1)] + \cos n\theta + 2\xi_n \cos[\pi/n - \theta(n+1)]$ for $0 \leq \theta \leq 2\pi/n$. Then $Y > 0$.*

Proof. If $n = 2$, then $Y \geq 1$ since $0 \leq \sin \theta \leq 1$. In case $n \geq 3$, let $n\theta = t$. Then $0 \leq t \leq 2\pi$ and

$$(8) \quad Y = 2 \cos[\pi - (\pi - t)/n - t] + \cos t + 2\xi_n \cos[(\pi - t)/n - t].$$

Since $Y(2\pi - t) = Y(t)$, we only need to show $Y > 0$ for $0 \leq t \leq \pi$. We can rewrite (8) as follows

$$\begin{aligned} Y = 2 \sin \frac{(2n-1)t + \pi}{2n} \sin \frac{\pi - t}{2n} + 4\xi_n \sin \frac{\pi - t}{n} \sin t \\ + (2\xi_n - 1) \cos \frac{\pi + (n-1)t}{n} \end{aligned}$$

by using properties of trigonometric functions.

If $\frac{(n-2)\pi}{2(n-1)} < t \leq \pi$, then $\cos \frac{\pi + (n-1)t}{n} < 0$, $\sin \frac{(2n-1)t + \pi}{2n} \sin \frac{\pi - t}{2n} \geq 0$, and $\sin \frac{\pi - t}{n} \sin t \geq 0$. Thus $Y > 0$ because $1/3 \leq \xi_n \leq 1/2$ for $n \geq 3$.

If $0 \leq t \leq \frac{(n-2)\pi}{2(n-1)}$, then $0 \leq \cos \frac{\pi + (n-1)t}{n} \leq \cos \frac{\pi}{n}$, $\sin \frac{\pi}{2n} \leq \sin \frac{(2n-1)t + \pi}{2n}$, $\sin \frac{\pi}{4(n-1)} \leq \sin \frac{\pi - t}{2n}$, and $\sin \frac{\pi - t}{n} \sin t \geq 0$. Thus $Y \geq 2 \sin \frac{\pi}{2n} [\sin \frac{\pi}{4(n-1)} - \frac{1}{3} \sin \frac{\pi}{2n}]$ because $\xi_n = \frac{4 \cos(\pi/n) - 1}{6 \cos(\pi/n)}$ for $n \geq 3$. Since $\sin \frac{\pi}{2n} > 0$ for $n \geq 3$ and $\sin \frac{\pi}{4(n-1)} - \frac{1}{3} \sin \frac{\pi}{2n} \geq \sin \frac{\pi}{4(n-1)} - \frac{\pi}{6n}$, it is enough to show that $\sin \frac{\pi}{4(n-1)} - \frac{\pi}{6n}$ is positive. Let $x = \frac{\pi}{4(n-1)}$, then $0 < x \leq \frac{\pi}{8}$ and $\sin \frac{\pi}{4(n-1)} - \frac{\pi}{6n} = \sin x - \frac{2\pi x}{3(\pi + 4x)}$, say $g(x)$. Since $g(0) = 0$, $g(\frac{\pi}{8}) > 0$, and $g'(x) > 0$, we have $g(x) > 0$ for $0 < x \leq \frac{\pi}{8}$. Therefore $\sin \frac{\pi}{4(n-1)} - \frac{\pi}{6n} > 0$ for $n \geq 3$. This implies that $Y > 0$ for $0 \leq t \leq \frac{(n-2)\pi}{2(n-1)}$. \square

LEMMA 2.2. Let $\zeta = H(z) = \frac{z-e^{i2\pi/n}}{z-1}$ for $|z| \geq 1$. Then the image of $|z| \geq 1$ is the half plane $\pi/n - \pi \leq \arg \zeta \leq \pi/n$ and $\arg H(e^{i\theta}) = \begin{cases} \pi/n & \text{if } 2\pi/n < \theta < 2\pi \\ \pi/n - \pi & \text{if } 0 < \theta < 2\pi/n. \end{cases}$

Proof. The proof is elementary. We omit it. \square

LEMMA 2.3. Let $L(z) = \log \frac{z-e^{i2\pi/n}}{z-1} = a(z) + ib(z)$, and $z = re^{i\theta}$ ($r \geq 1$).

Then $\begin{cases} a(re^{i\theta}) < 0 & \text{if } \pi/n < \theta < \pi + \pi/n \\ a(re^{i\theta}) = 0 & \text{if } \theta = \pi/n, \pi + \pi/n \\ a(re^{i\theta}) > 0 & \text{if } 0 \leq \theta < \pi/n, \pi + \pi/n < \theta \leq 2\pi. \end{cases}$

Proof. In a straight-forward manner, one analyzes the situation when $\left| \frac{z-e^{i2\pi/n}}{z-1} \right|$ is less than 1, equal to 1, and larger than one. \square

In the following lemma, we compare derivatives on $|z| = 1$.

LEMMA 2.4. Let $z = e^{i\theta}$ ($0 \leq \theta < 2\pi$). Then function (6) satisfies $|f_z| = |f_{\bar{z}}|$ for $2\pi/n < \theta < 2\pi$, and if $0 < \theta < 2\pi/n$, then $|f_{\bar{z}}| < |f_z|$ for all k sufficiently large.

Proof. From (7), we have

$$|f_z| = \frac{n}{2\pi} \left| \frac{2\pi}{in z^{n-1}} \left(\alpha + \frac{A}{2z} + \frac{\bar{\beta}}{z^2} \right) + L(z) - \sum_{j=1}^n \frac{1 - e^{i2\pi j/n}}{j z^j} \right|,$$

$$|f_{\bar{z}}| = \frac{n}{2\pi} \left| \frac{2\pi z^{n+1}}{in} \left(\beta + \frac{\bar{A}}{2z} + \frac{\bar{\alpha}}{z^2} - \frac{1}{z^{n+1}} \right) + \sum_{j=1}^{n-1} \frac{z^j (1 - e^{-i2\pi j/n})}{j} - L(z) \right|.$$

Since $z\bar{z} = 1$, we have

$$|f_{\bar{z}}| = \frac{n}{2\pi} \left| \frac{2\pi}{in \bar{z}^{n+1}} \left(\beta + \frac{\bar{A}z}{2} + \frac{\bar{\alpha}}{\alpha z^2} - \bar{z}^{n+1} \right) + \sum_{j=1}^{n-1} \frac{1 - e^{-i2\pi j/n}}{j \bar{z}^j} - L(z) \right|$$

$$= \frac{n}{2\pi} \left| \frac{2\pi}{in z^{n-1}} \left(\frac{\bar{\beta}}{z^2} + \frac{A}{2z} + \alpha - z^{n-1} \right) - \sum_{j=1}^{n-1} \frac{1 - e^{i2\pi j/n}}{j z^j} + \overline{L(z)} \right|.$$

Let $\frac{2\pi}{inz^{n-1}}(\alpha + \frac{A}{2z} + \frac{\bar{\beta}}{z^2}) - \sum_{j=1}^n \frac{1-e^{i2\pi j/n}}{jz^j} = K(z) = c(z) + id(z)$. Then

$$\begin{aligned} |f_z| &= \frac{n}{2\pi} |K + L| = \frac{n}{2\pi} |(c+a) + i(d+b)| \text{ and} \\ |f_{\bar{z}}| &= \frac{n}{2\pi} \left| K + \bar{L} - \frac{2\pi}{in} \right| = \frac{n}{2\pi} \left| (c+a) + i(d + \frac{2\pi}{n} - b) \right|. \end{aligned}$$

From these, we have $|f_z|^2 - |f_{\bar{z}}|^2 = \frac{n^2}{\pi^2}(d + \frac{\pi}{n})(b - \frac{\pi}{n})$. By Lemma 2.2, we know that

$$b(e^{i\theta}) = \begin{cases} \pi/n & \text{if } 2\pi/n < \theta < 2\pi \\ \pi/n - \pi & \text{if } 0 < \theta < 2\pi/n. \end{cases}$$

Therefore,

$$(9) \quad |f_z|^2 - |f_{\bar{z}}|^2 = \begin{cases} 0 & \text{if } \frac{2\pi}{n} < \theta < 2\pi \\ -\frac{n^2}{\pi}(d + \frac{\pi}{n}) & \text{if } 0 < \theta < \frac{2\pi}{n}. \end{cases}$$

Now for $0 < \theta < 2\pi/n$, we want to show that $|f_{\bar{z}}| < |f_z|$ for all k sufficiently large. Since $\alpha = ke^{i(n-1)\pi/n}$, $\beta = k\xi_n e^{-i\pi/n}$, and $A = k$, we have

$$\begin{aligned} d &= -\frac{\pi k}{n} \{2 \cos(n-1)(\pi/n - \theta) + \cos n\theta + 2\xi_n \cos[\pi/n - (n+1)\theta]\} \\ &\quad + \sum_{j=1}^{n-1} \frac{\sin \theta j + \sin(\frac{2\pi j}{n} - \theta j)}{j}. \end{aligned}$$

By using the notation of Lemma 2.1, we have

$$d = -\frac{\pi k}{n} Y + \sum_{j=1}^{n-1} \frac{\sin \theta j + \sin(2\pi/n - \theta)j}{j}.$$

By substituting d into (9), we have

$$|f_z|^2 - |f_{\bar{z}}|^2 = nkY - \frac{n^2}{\pi} \sum_{j=1}^{n-1} \frac{\sin \theta j + \sin(2\pi/n - \theta)j}{j} - n.$$

From Lemma 2.1, we know that $C = \min_{0 \leq \theta \leq 2\pi/n} Y > 0$. Thus for $0 < \theta < 2\pi/n$,

$$|f_z|^2 - |f_{\bar{z}}|^2 \geq n \left[kC - \frac{n}{\pi} \sum_{j=1}^{n-1} \frac{\sin \theta j + \sin(2\pi/n - \theta)j}{j} - 1 \right].$$

Since $\frac{n}{\pi} \sum_{j=1}^{n-1} \frac{\sin \theta j + \sin(2\pi/n - \theta)j}{j} + 1$ is bounded, there exists $M_n > 0$ such that $\left| \frac{n}{\pi} \sum_{j=1}^{n-1} \frac{\sin \theta j + \sin(2\pi/n - \theta)j}{j} + 1 \right| \leq M_n$. Therefore $|f_z|^2 - |f_{\bar{z}}|^2 \geq n(kC - M_n)$. Choose k such that $k > M_n/C$. Then $|f_z|^2 - |f_{\bar{z}}|^2 > 0$, that is, $|f_z| > |f_{\bar{z}}|$. \square

LEMMA 2.5. On $E = \{z : |z| \geq 1, z \neq 1, z \neq e^{i2\pi/n}\}$, we have $f_z \neq 0$ for all k sufficiently large.

Proof. Let $F = k(-e^{-i\pi/n} + \frac{1}{2z} + \frac{\xi_n e^{i\pi/n}}{z^2})$, and let $S = \frac{inz^{n-1}}{2\pi} \sum_{j=n+1}^{\infty} \frac{1 - e^{i2\pi j/n}}{jz^j}$. Then $f_z = F + S$ by applying (3).

Part I: Let $z = re^{i\theta}$. Then we have $|S| \leq \frac{nr^{n-1}}{\pi} \sum_{j=n+1}^{\infty} \frac{1}{jr^j} < \frac{n}{(n+1)\pi r(r-1)}$ for $r > 1$ and

$$(10) \quad |F| \geq k(1 - \frac{1}{2r} - \frac{\xi_n}{r^2}) \geq k(\frac{1}{2} - \xi_n) \text{ for } r \geq 1.$$

Thus $|f_z| > k(\frac{1}{2} - \xi_n) - \frac{n}{(n+1)\pi r(r-1)}$ for $r > 1$. If $r \geq 2$, then $|f_z| > 0$ for all $k \geq \frac{n}{(n+1)\pi(1-2\xi_n)}$.

Part II: Let $\tilde{F}(z) = \frac{F}{k}$, $\Theta_1 = \{w : \operatorname{Re} w > 0, \operatorname{Im} w > 0\}$, $\Theta_2 = \{w : \operatorname{Re} w < 0, \operatorname{Im} w > 0\}$, $\Theta_3 = \{w : \operatorname{Re} w < 0, \operatorname{Im} w < 0\}$, and $\Theta_4 = \{w : \operatorname{Re} w > 0, \operatorname{Im} w < 0\}$. Then $\tilde{F}(1) \in \Theta_1$, $\tilde{F}(e^{i2\pi/n}) \in \Theta_2$

for $n = 2, 3$, and $\tilde{F}(e^{i2\pi/n}) \in \Theta_3$ for $n \geq 4$ since $\xi_n = \begin{cases} \frac{4 \cos(\pi/n) - 1}{6 \cos(\pi/n)} & \text{if } n \geq 3 \\ 0 & \text{if } n = 2. \end{cases}$

Choose ϵ ($0 < \epsilon < \frac{\pi}{2n}$) such that $\{w : |\tilde{F}(1) - w| < 2\epsilon\} \subset \Theta_1$ and $\{w : |\tilde{F}(e^{i2\pi/n}) - w| < 2\epsilon\} \subset \Theta_2$ for $n = 2, 3$, and $\{w : |\tilde{F}(e^{i2\pi/n}) - w| < 2\epsilon\} \subset \Theta_3$ for $n \geq 4$. Since \tilde{F} is continuous at $z = 1, e^{i2\pi/n}$, there exists $\delta > 0$ for which $|\tilde{F}(z) - \tilde{F}(1)| < \epsilon$ if $|z - 1| < \delta$ and $|\tilde{F}(z) - \tilde{F}(e^{i2\pi/n})| < \epsilon$ if $|z - e^{i2\pi/n}| < \delta$, and $\{z : |z - 1| < \delta\} \cap \{z : |z - e^{i2\pi/n}| < \delta\} = \emptyset$. Thus we have the following facts:

(11) i) For $|z - 1| < \delta$, we have $\operatorname{Re} \tilde{F} > \epsilon/2$ and $\operatorname{Im} \tilde{F} > \epsilon/2$.

(12)

ii) If $n = 2, 3$, then $Re\tilde{F} < -\epsilon/2$ and $Im\tilde{F} > \epsilon/2$ for $|z - e^{i2\pi/n}| < \delta$.

(13)

iii) If $n \geq 4$, then $Re\tilde{F} < -\epsilon/2$ and $Im\tilde{F} < -\epsilon/2$ for $|z - e^{i2\pi/n}| < \delta$.

Now consider $L(z) = \log \frac{z - e^{i2\pi/n}}{z-1}$. Then by Lemmas 2.2 and 2.3, we know that $\lim_{z \rightarrow 1} a(z) = \infty$, $\lim_{z \rightarrow e^{i2\pi/n}} a(z) = -\infty$, and $L(|z| \geq 1) = \{w : \pi/n - \pi \leq Im w \leq \pi/n\}$. For $M = \frac{(n-1)\pi}{n \tan \epsilon}$, $|z| \geq 1$, there is a δ_1 ($0 < \delta_1 \leq \delta$) for which $a(z) > M$ if $|z - 1| < \delta_1$ and $a(z) < -M$ if $|z - e^{i2\pi/n}| < \delta_1$. Choose δ_0 small enough that

i) $\delta_0 < \delta_1$,

ii) $z = re^{i\theta} \in \{z : |z - 1| < \delta_0, |z| \geq 1\} = Q_1 \Rightarrow 0 \leq \theta < \frac{\pi}{2n}$

or $2\pi - \frac{\pi}{2n} < \theta \leq 2\pi$,

iii) $z = re^{i\theta} \in \{z : |z - e^{i2\pi/n}| < \delta_0, |z| \geq 1\} = Q_2 \Rightarrow \frac{3\pi}{2n} < \theta < \frac{5\pi}{2n}$.

Then $\left| \arg \left[\frac{inL(z)}{2\pi} \right] - \frac{\pi}{2} \right| < \epsilon$ for $z \in Q_1$, and $\left| \arg \left[\frac{inL(z)}{2\pi} \right] - \frac{3\pi}{2} \right| < \epsilon$ for $z \in Q_2$. This implies that

$$(14) \quad \frac{inz^{n-1}}{2\pi} L(z) \in \{w : Im w > 0\} \quad \text{for } z \in Q_1$$

and for $z \in Q_2$,

$$(15) \quad \frac{inz^{n-1}}{2\pi} L(z) \notin \overline{\Theta}_3 \cup \overline{\Theta}_4 \quad \text{if } n = 2,$$

$$(16) \quad \frac{inz^{n-1}}{2\pi} L(z) \notin \overline{\Theta}_4 \quad \text{if } n = 3,$$

$$(17) \quad \frac{inz^{n-1}}{2\pi} L(z) \notin \overline{\Theta}_1 \quad \text{if } n \geq 4.$$

The proof above is elementary. So we omit it.

By substituting $L(z)$ and $\tilde{F}(z)$ into (7), we have

$$f_z = k\tilde{F} + \frac{inz^{n-1}}{2\pi}L - \frac{inz^{n-1}}{2\pi} \sum_{j=1}^n \frac{1 - e^{i2\pi j/n}}{jz^j}.$$

Since $\frac{-inz^{n-1}}{2\pi} \sum_{j=1}^n \frac{1 - e^{i2\pi j/n}}{jz^j}$ is a polynomial of degree $n - 2$, there exists $M_0 > 0$ such that $\left| -\frac{inz^{n-1}}{2\pi} \sum_{j=1}^n \frac{1 - e^{i2\pi j/n}}{jz^j} \right| \leq M_0$ on $\Theta = Q_1 \cup Q_2$. So on Θ , We have

$$(18) \quad |f_z| \geq \left| k\tilde{F} + \frac{inz^{n-1}}{2\pi}L \right| - M_0.$$

If $z \in Q_1$, then from (11) and (14), we obtain

$$(19) \quad |f_z| \geq \left| k\tilde{F} + \frac{inz^{n-1}}{2\pi}L \right| - M_0 \geq \text{Im} \left[k\tilde{F} + \frac{inz^{n-1}}{2\pi}L \right] - M_0 > \frac{k\epsilon}{2} - M_0.$$

Similarly, when $z \in Q_2$, apply (12) and (15), (12) and (16), (13) and (17) to (18), then we also obtain

$$(20) \quad |f_z| > \frac{k\epsilon}{2} - M_0.$$

From (19) and (20), we have $|f_z| > k\epsilon/2 - M_0$ on Θ . Therefore $f_z \neq 0$ on Θ for $k \geq 2M_0/\epsilon$.

Part III: Let $\bar{U} = \{z : 1 \leq |z| \leq 2\} \setminus \Theta$. Then there exists $M_1 > 0$ such that $|L(z)| \leq M_1$. By applying (3) to S , we have

$$S = \frac{inz^{n-1}}{2\pi} \left(L(z) - \sum_{j=1}^n \frac{1 - e^{i2\pi j/n}}{jz^j} \right).$$

Thus

$$|S| \leq \frac{n|z|^{n-1}}{2\pi} \left(M_1 + \sum_{j=1}^{n-1} \frac{2}{j|z|^j} \right) \leq \frac{nM_1 2^{n-2}}{\pi} + \frac{n}{\pi} \sum_{j=1}^{n-1} \frac{2^{n-1-j}}{j} = R \text{ on } \bar{U}.$$

(10) implies that $|f_z| \geq k(1/2 - \xi_n) - R$ on $\bar{\cup}$. If $k > 2R/(1 - 2\xi_n)$, then $|f_z| > 0$ on $\bar{\cup}$.

Part I, II, and III imply that for all

$$k > \max \left\{ \frac{n}{(n+1)\pi(1-2\xi_n)}, \frac{2M_0}{\epsilon}, \frac{2R}{1-2\xi_n} \right\}$$

$f_z \neq 0$ on E . □

LEMMA 2.6. *The function $f(z)$ of the form (6) with $\alpha = ke^{i(n-1)\pi/n}$, $\beta = k\xi_n e^{-i\pi/n}$, and $A = k$ is locally univalent in Δ for all k sufficiently large.*

Proof. By Lemma 2.4, there exists k_1 such that $|f_z| \geq |f_{\bar{z}}|$ on $B = \{z : |z| = 1, z \neq 1, z \neq e^{i2\pi/n}\}$ for all $k > k_1$. By Lemma 2.5, there exists k_2 such that $f_z \neq 0$ on $E = \{z : |z| \geq 1, z \neq 1, z \neq e^{i2\pi/n}\}$ for all $k > k_2$. Let $k_f = \max\{k_1, k_2\}$. Then for all $k > k_f$, we have $|f_z| \geq |f_{\bar{z}}|$ for $z \in B$ and $f_z \neq 0$ for $z \in E$.

Let $\tilde{a}(z) = \overline{f_{\bar{z}}}/f_z$, then $\tilde{a}(z)$ is analytic on Δ and $|\tilde{a}(z)| \leq 1$ on B .

$$|\tilde{a}(z)| = \left| \frac{\overline{f_{\bar{z}}}}{f_z} \right| = \left| \frac{\text{Regular terms}/L(z) - \frac{in}{2\pi z^{n+1}}}{\text{Regular terms}/L(z) + \frac{inz^{n-1}}{2\pi}} \right| \rightarrow 1$$

as $z \rightarrow 1$ or $z \rightarrow e^{i2\pi/n}$. By the Maximum Principle, we have $|\tilde{a}(z)| \leq 1$ in Δ . If $|\tilde{a}| = 1$ at some point in Δ , then $|\tilde{a}| \equiv 1$; but $|\tilde{a}(\infty)| = |\beta/\alpha| = \xi_n < 1/2$. This implies that $|\tilde{a}| < 1$ in Δ . Thus $|f_z| > |\overline{f_{\bar{z}}}|$ in Δ . Therefore f is locally univalent in Δ , and at ∞ , too. □

THEOREM 2.7. *For each $n \geq 2$ there exists a harmonic, orientation-preserving, univalent mapping of Δ onto itself with the Fourier expansion (1) such that $b_n = \frac{1}{n}$.*

Proof. For each n , take (6) with $\alpha = ke^{i(n-1)\pi/n}$, $\beta = k\xi_n e^{-i\pi/n}$, and $A = k$ where $\xi_n = \begin{cases} \frac{4 \cos(\pi/n) - 1}{6 \cos(\pi/n)} & \text{if } n \geq 3 \\ 0 & \text{if } n = 2. \end{cases}$ Then there exists

$k > 0$ such that f is local homeomorphism on $\Delta \cup \{\infty\}$ and the Jacobian of f , $J_f = |f_z|^2 - |f_{\bar{z}}|^2$, is positive on $\Gamma = \{z = e^{i\theta} : 0 < \theta < 2\pi/n\}$ by Lemma 2.6 and Lemma 2.4. f is a local homeomorphism in

full neighborhood of each point p of Γ to some neighborhood of $f(p)$ since $J_f > 0$ on Γ .

Now define the reflection $G(z) = \begin{cases} f(z) & \text{if } |z| \geq 1 \\ \frac{1}{f(1/\bar{z})} & \text{if } |z| < 1. \end{cases}$ Then G is

local homeomorphism at each point of $S^2 \setminus \tilde{\Gamma}$ where S^2 is the Riemann sphere and $\tilde{\Gamma} = \{z = e^{i\theta} : 2\pi/n \leq \theta \leq 2\pi\}$. It is continuous on S^2 . However, G is constant on $\tilde{\Gamma}$. Now identify points of $\tilde{\Gamma}$ and call this element b . We obtain a new function F on a new domain, which is topologically a sphere S^2 . F is a homeomorphism since F is a local homeomorphism on $S^2 \setminus \{b\}$ and continuous on S^2 . Hence G is a homeomorphism on $S^2 \setminus \tilde{\Gamma}$. Therefore, $G \Big|_{\Delta} = f$ is a homeomorphism.

□

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