

# A note on the second Gaussian curvature of the helicoidal surfaces\*

Division of Mathematics and Informational Statistics, Wonkwang University

Bong-Sik Cho

You-Mi Seo

## Abstract

We define the second Gaussian curvature  $K_{II}$  by using Brioschi's formula and shall discuss the helicoidal surfaces satisfying  $K_{II} = H$ .

## 0. History and Introduction

A regular surface  $M \subset \mathbf{R}^3$  with Gaussian curvature  $K > 0$  has a positive definite symmetric bilinear second fundamental form  $II$ . Viewing the second fundamental form as a new metric, we can obtain its Gaussian curvature  $K_{II}$ . It is well-known that the concept of the second Gaussian curvature  $K_{II}$  plays an important role in a regular surface.

A surface is called a Weingarten surface or W-space if there is a nontrivial relation between the mean curvature  $H$  and the second Gaussian curvature  $K_{II}$ . We first note that a minimal surface satisfies  $K_{II} = 0$ . In 1972, R. Schneider has shown that a surface with constant  $K_{II}$  is a sphere. In 1977, T. Koufogiorgos and T. Hasanis has given a proof that the sphere is the only closed ovaloid satisfying  $K_{II} = H$ . In 1981, W. Kuhnel

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is studied for surfaces of revolution with  $K_{II} = H$ . In 1992, D. E. Blair and T. Koufogiorgos has shown that a ruled surface with  $K_{II} = H$  yields to  $K_{II} = 0$  and the sphere must be a piece of a helicoid.

A natural generalization of rotation surfaces are the helicoidal surface. Many authors have been concerned with the problem of characterization of the helicoidal surface by the curvature  $K_{II}$  of the second fundamental form.

The aim of this paper is to prove that the helicoidal surfaces satisfying  $K_{II} = H$  are locally characterized by constancy of the ratio of the principal curvatures.

## 1. Second Gausssian curvature

In 1852, Brioschi expresses the Gaussian curvature of a patch  $\mathbf{x} : U \rightarrow \mathbf{R}^3$  entirely in terms of the first fundamental form and obtains Brioschi's Formula which is

$$K = \frac{1}{(EG - F^2)^2} \left( \begin{array}{ccc} -\frac{1}{2} E_{vv} + F_{uv} - \frac{1}{2} G_{uu} & \frac{1}{2} E_u & F_u - \frac{1}{2} E_v \\ F_v - \frac{1}{2} G_u & E & F \\ \frac{1}{2} G_v & F & G \end{array} \right) - \left( \begin{array}{ccc} 0 & \frac{1}{2} E_v & \frac{1}{2} G_u \\ \frac{1}{2} E_v & E & F \\ \frac{1}{2} G_u & F & G \end{array} \right).$$

The Gaussian curvature  $K$  of  $M$  in  $\mathbf{R}^3$  equipped with a metric  $I$  is given by Brioschi's formula. Since  $E, G > 0$ ,  $\begin{vmatrix} E & F \\ F & G \end{vmatrix} > 0$ . A regular surface  $M$  in  $\mathbf{R}^3$  with positive Gaussian curvature  $K$  has a positive definite second fundamental form  $II$ . Thus  $II$  is bilinear and symmetric and positive definite.

Viewing the second fundamental form  $II$  as a new metric we can consider another Gaussian curvature, we derive the second Gaussian curvature  $K_{II}$  by applying  $e, f, g$  instead of  $E, F, G$  in Brioschi's formula. The second Gaussian curvature is defined by

$$K_{II} = \frac{1}{(eg-f^2)^2} \left\{ \begin{array}{l} \left| \begin{array}{ccc} -\frac{1}{2}e_{vv} + f_{uv} - \frac{1}{2}g_{uu} & \frac{1}{2}e_u & f_u - \frac{1}{2}e_v \\ f_v - \frac{1}{2}g_u & e & f \\ \frac{1}{2}g_v & f & g \end{array} \right| \\ - \left| \begin{array}{ccc} 0 & \frac{1}{2}e_v & \frac{1}{2}g_u \\ \frac{1}{2}e_v & e & f \\ \frac{1}{2}g_u & f & g \end{array} \right| \end{array} \right\}.$$

## 2. Helicoidal surfaces

A natural generalization of rotation surfaces are the helicoidal surfaces that can be defined as follows. Consider the one-parameter subgroup  $g_t : \mathbf{R}^3 \rightarrow \mathbf{R}^3$  of the group of rigid motions of  $\mathbf{R}^3$  given by

$$g_t(x, y, z) = (x \cos t + y \sin t, -x \sin t + y \cos t, z + ht), \quad t \in \mathbf{R}^3.$$

The motion  $g_t$  is called a helicoidal motion with axis  $O_z$  and pitch  $h$ . A helicoidal surface  $M$  with axis  $O_z$  and pitch  $h$  is a surface that is invariant by  $g_t$  for all  $t$ . A surface  $M$  has the form

$$\mathbf{x}(\phi, \rho) = (\rho \cos \phi, \rho \sin \phi, \lambda(\phi) + h\phi),$$

where  $\rho$  and  $\phi$  are polar coordinates in the  $xy$ -plane and the  $xy$ -plane has been rotated so that  $O_x$  is the origin of  $\phi$ . If  $h=0$ , the helicoidal surface is a surface of revolution. If  $\lambda' = \frac{d\lambda}{d\rho} = 0$ ,  $M$  is a helicoidal.

**Lemma 1.** (Bour) For a helicoidal surface  $M$  there exists a two-parameter family of helicoidal surfaces isometric to  $M$ .

**Proof.** The first fundamental form of (4-1) can be written

$$\begin{aligned} ds^2 &= E d\rho^2 + 2F d\rho d\phi + G d\phi^2 \\ &= (1 + \lambda') d\rho^2 + 2h\lambda' d\rho d\phi + (\rho^2 + h^2) d\phi^2 \end{aligned}$$

where  $\lambda' = \frac{d\lambda}{d\rho}$ .

We introduce new parameter  $(u, v)$  by functions  $u = u(\rho, \phi)$  and  $v = v(\rho, \phi)$  that satisfy

$$\begin{aligned} \frac{\partial u}{\partial \rho} &= (\rho^2 + h^2)^{-\frac{1}{2}} [1 + \rho^2 \lambda'^2 (\rho^2 + h^2)^{-1}]^{\frac{1}{2}}, & \frac{\partial u}{\partial \phi} &= 0, \\ \frac{\partial v}{\partial \rho} &= (\rho^2 + h^2)^{-1} h\lambda', & \frac{\partial v}{\partial \phi} &= 1. \end{aligned}$$

Then  $\frac{\partial(u, v)}{\partial(\rho, \phi)} = \frac{\partial u}{\partial \rho} > 0$ . We can write in the natural parametrization

$$ds^2 = (\rho^2 + h^2)(du^2 + dv^2).$$

We are now reduced to showing that given a function  $x = x(u)$ , we can find function  $\rho$ ,  $\lambda$  and  $\phi$  of  $u$  and  $v$  satisfying

$$\begin{aligned} x^2 du^2 &= d\rho^2 + \rho^2 (\rho^2 + h^2)^{-1} d\lambda^2 \\ x dv &= \pm (\rho^2 + h^2)^{\frac{1}{2}} (d\phi + h(\rho^2 + h^2)^{-1} d\lambda) \end{aligned}$$

for an arbitrary constant  $h$ . From the above,  $\rho$  and  $\lambda$  do not depend on  $v$ . Since

$$x = \pm (\rho^2 + h^2)^{\frac{1}{2}} \left\{ \frac{\partial \phi}{\partial v} + \frac{h}{\rho^2 + h^2} \frac{d\lambda}{dv} \right\}$$

and

$$\begin{aligned} x \frac{dv}{du} &= \pm (\rho^2 + h^2)^{\frac{1}{2}} \left\{ \frac{\partial \phi}{\partial u} + \frac{h}{\rho^2 + h^2} \frac{d\lambda}{du} \right\}, \\ \frac{\partial \phi}{\partial u} &= -\frac{h}{\rho^2 + h^2} \dot{\lambda}, & \frac{\partial \phi}{\partial v} &= \pm \frac{x}{(\rho^2 + h^2)^{\frac{1}{2}}} \end{aligned}$$

where dot denote the derivative in  $u$ .

Hence  $\frac{\partial}{\partial u} \left( \frac{\partial \phi}{\partial v} \right) = 0$  and so  $\frac{x}{(\rho^2 + h^2)^{\frac{1}{2}}}$  does not depend on  $u$ . Therefore we can

set

$$\frac{x}{(\rho^2 + h^2)^{\frac{1}{2}}} = \frac{1}{m} \neq 0, \quad m = \text{constant}.$$

If follows that

$$\dot{\rho}^2 = m^4 x^2 \dot{x}^2 (m^2 x^2 - h^2)^{-1}.$$

Since

$$\begin{aligned} x^2 &= \dot{\rho}^2 + \rho^2 (\rho^2 + h^2)^{-1} \dot{\lambda}^2 \\ &= m^4 x^2 \dot{x}^2 (m^2 x^2 - h^2)^{-1} + (m^2 x^2 - h^2) m^{-2} x^{-2} \dot{\lambda}^2, \\ \dot{\lambda}^2 &= (m^2 x^2 - h^2)^{-2} m^2 x^4 y^2 \quad \text{where } y = (m^2 x^2 - m^{-1} \dot{x}^2 - h^2)^{\frac{1}{2}}. \end{aligned}$$

Since

$$\begin{aligned} x dv &= \pm mx (d\phi + h(m^2 x^2)^{-1} d\lambda) \\ d\phi &= \pm m^{-1} dv - hm^{-2} x^{-2} d\lambda \\ &= \pm m^{-1} dv - \frac{y}{m(m^2 x^2 - h^2)} du \end{aligned}$$

Therefore the helicoidal surfaces

$$\mathbf{x}(u, v) = (\rho(u) \cos \phi(u, v), \rho(u) \sin \phi(u, v), \lambda(u) + h\phi(u, v)).$$

are all isometric with first fundamental form given by  $s^2 = x^2 (du^2 + dv^2)$ , where

$$\begin{aligned} \rho &= \int \frac{m^2 x}{\sqrt{m^2 x^2 - h^2}} dx = (m^2 x^2 - h^2)^{\frac{1}{2}}, \\ \phi &= \pm m^{-1} \left( \int dv - h \int \frac{y}{m^2 x^2 - h^2} du \right), \\ \lambda &= \pm m \int \frac{x^2 y}{m^2 x^2 - h^2} du. \end{aligned}$$

When  $m=1$  and  $h=0$ , Bour's lemma asserts the existence of a two-parameter family of helicoidal surfaces isometric to a given rotation surface.

Since the Gauss map of  $M$  is given by

$$U = \frac{1}{x^2} (\rho h \sin \phi - \rho \lambda \cos \phi, -\rho h \cos \phi - \rho \lambda \sin \phi, \rho \rho),$$

we get

$$K = \frac{\dot{x}^2 - x \ddot{x}}{x^4}, \quad H = \frac{\dot{y}}{2x \dot{x}}$$

and

$$\begin{aligned} K_{II} &= \frac{1}{(eg-f^2)^2} \left\{ -\frac{1}{2} \ddot{g}(eg-f^2) + \begin{vmatrix} 0 & -\frac{1}{2} \dot{g} & 0 \\ \frac{1}{2} \dot{g} & e & f \\ 0 & f & g \end{vmatrix} - \begin{vmatrix} 0 & 0 & \frac{1}{2} \dot{g} \\ 0 & e & f \\ \frac{1}{2} \dot{g} & f & g \end{vmatrix} \right\} \\ &= -\frac{\ddot{y}}{4(\dot{x}^2 - x \ddot{x})} - \frac{1}{4} \left\{ \frac{\ddot{y}}{(\dot{x}^2 - x \ddot{x})} - \frac{\dot{y}(\dot{x}^2 - x \ddot{x})'}{(\dot{x}^2 - x \ddot{x})^2} \right\} \\ &= -\frac{\ddot{y}}{4(\dot{x}^2 - x \ddot{x})} - \frac{1}{4} \left( \frac{\ddot{y}}{(\dot{x}^2 - x \ddot{x})} \right)'. \end{aligned}$$

$$\text{Let } c = \frac{k_1}{k_2} = \frac{H + \sqrt{H^2 - K}}{H - \sqrt{H^2 - K}} \text{ and } w = \frac{4H^2}{K} = \frac{(k_1 + k_2)^2}{k_1 k_2} = \frac{(1+c)^2}{c}. \quad (*)$$

Then we have  $\dot{x}^2 (\dot{x}^2 - x \ddot{x}) w = x^2 \dot{y}^2$ .

**Theorem 2.** Let  $M$  be a helicoidal surface with  $K \neq 0$ . Then  $K_{II} = H$  if and only if  $\frac{k_1}{k_2}$  is constant.

**Proof.** If the surface  $M$  is minimal, then  $H = 0$ . Thus  $\dot{y} = 0$ . Hence we have  $K_{II} = 0$ .  
If  $M$  is not minimal,

$$\begin{aligned} 4(K_{II} - H)H &= \left\{ -\frac{\ddot{y}}{(\dot{x}^2 - x \ddot{x})} - \left( \frac{\dot{y}}{(\dot{x}^2 - x \ddot{x})} \right)' - \frac{2\dot{y}}{x \dot{x}} \right\} \frac{\dot{y}}{2x \dot{x}} \\ &= \left\{ -\frac{\ddot{y}}{(\dot{x}^2 - x \ddot{x})} - \left( \frac{\dot{x}^2}{x^2 \dot{y}} \right)' w - \frac{2\dot{y}}{x \dot{x}} - \frac{\dot{x}^2}{x^2 \dot{y}} \dot{w} \right\} \frac{\dot{y}}{2x \dot{x}} \\ &= -\frac{\dot{x} \dot{w}}{2x^3} = (x^{-2})' \left( \frac{H^2}{K} \right)'. \end{aligned}$$

Using the above equation and (\*) we have the proof.

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