

Signal Transduction Events Elicited by Natural Products: Role of MAPK and Caspase Pathways in Homeostatic Response and Induction of Apoptosis

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(Received December 21, 1999)

Many natural products elicit diverse pharmacological effects. Using two classes of potential chemopreventive compounds, the phenolic compounds and the isothiocyanates, we review the potential utility of two signaling events, the mitogen-activated protein kinases (MAPKs) and the ICE/Ced-3 proteases (caspases) stimulated by these agents in mammalian cell lines. Studies with phenolic antioxidants (BHA, tBHQ), and natural products (flavonoids; EGCG, ECG, and isothiocyanates; PEITC, sulforaphane), provided important insights into the signaling pathways induced by these compounds. At low concentrations, these chemicals may activate the MAPK (ERK2, JNK1, p38) leading to gene expression of survival genes (c-Fos, c-Jun) and defensive genes (Phase II detoxifying enzymes; GST, QR) resulting in survival and protective mechanisms (homeostasis response). Increasing the concentrations of these compounds will additionally activate the caspase pathway, leading to apoptosis (potential cytotoxicity). Further increment to suprapharmacological concentrations will lead to nonspecific necrotic cell death. The wider and narrow concentration ranges between the activation of MAPK/gene induction and caspases/cell death exhibited by phenolic compounds and isothiocyanates, respectively, in mammalian cells, may reflect their respective therapeutic windows *in vivo*. Consequently, the studies of signaling pathways elicited by natural products will advance our understanding of their efficacy and safety, of which many may become important therapeutic drugs of the future.

Key words: MAPK, Caspases, Chemopreventive agents, Phase II drug metabolizing enzymes, Apoptosis

INTRODUCTION

Many natural products elicit diverse pharmacological / biological responses such as anti-inflammatory, anti-platelet, cardiovascular protection, chemotherapeutic and chemopreventive effects. However, the cellular signal transduction events produced by many of these agents are not well characterized and poorly understood. Using two classes of potential chemopreventive compounds the phenolic compounds / antioxidants and the isothiocyanates, we would like to review the potential utility

of two signaling events, the mitogen-activated protein kinases (MAPKs) and the ICE/Ced-3 family proteases (caspases) stimulated by these agents in many human cell lines. The biological consequences of the activation of the MAPK signaling pathway / gene expression versus the caspase pathway / cell death, could be potentially important to predict the pharmacological responses in the enhancement of cell survival or apoptotic cell death and potential cytotoxicity effect.

Many natural products are potent cancer protective agents

The potent anticarcinogenic effects of phenolic antioxidants that are widely used as preservatives in processed foods were first reported by Wattenberg and coworkers (Wattenberg, 1973; Wattenberg, 1977; Wattenberg et al., 1977; Wattenberg et al., 1980; Watt-

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enberg, 1983; Wattenberg, 1985; Wattenberg, 1986; Wattenberg and Bueding, 1986). 2(3)-*tert*-butyl-4-hydroxyanisole (BHA) and 3,5-Di-*tert*-butyl-4-hydroxytoluene (BHT) were found to protect rodents against tumors formation of a variety of carcinogens. These studies were critical because they showed chemo-protection or chemoprevention by relatively benign chemicals already present in the food chain, and because of the possibility that these food additives were already providing some degree of protection in man. The list of chemicals, both synthetic and natural, were found to protect against various carcinogens began to grow. Ethoxyquin (a dihydroquinoline antioxidant used to preserve animal food), naturally occurring lactones such as coumarin, synthetic and naturally flavonoids, organic isothiocyanates, thiocarbamates (disulfiram, diethyldithiocarbamate, bisethylxanthogen), 1,2-dithiol-3-thiones, indoles and cinnamates, were all found to have chemoprevention effects in rodents and some are already minor components of human diets (Wattenberg, 1973; Wattenberg, 1977; Wattenberg *et al.*, 1977; Wattenberg *et al.*, 1980; Wattenberg, 1983; Wattenberg, 1985; Wattenberg, 1986; Wattenberg and Bueding, 1986; Talalay, 1987; Yang *et al.*, 1992; Pezzuto, 1993; Yang and Wang, 1993; Yang *et al.*, 1994; Pezzuto, 1995; Gerha-user *et al.*, 1997; Jang *et al.*, 1997; Pezzuto, 1997; Yang *et al.*, 1998; 1999; Kim and Kim, 1999).

A large number of studies have established that isothiocyanates and the phenolic compounds / antioxidants are effective chemopreventive agents for carcinogenesis / tumorigenesis induced by a variety of carcinogens at numerous organ sites (Wattenberg, 1977; Wattenberg, 1983; Stoner *et al.*, 1991; Xu *et al.*, 1992; Katiyar *et al.*, 1993; Yang and Wang, 1993; Hecht, 1995). The isothiocyanates are potent inhibitors of esophageal, lung, and pancreatic tumor in rodents treated with nitrosamines and block forestomach, lung, liver and mammary tumorigenesis by polycyclic aromatic hydrocarbons (PAHs), aflatoxin, and heterocyclic amines (Hecht, 1995). Both *in vitro* and *in vivo* studies have shown that the tumor inhibitory activity of isothiocyanates can be attributed to their ability to inhibit cytochrome P450s and/or to induce Phase II drug metabolizing enzymes involved in activation and detoxification of carcinogens (Sparnins *et al.*, 1982a; Benson *et al.*, 1986; Bogaards *et al.*, 1990; Guo *et al.*, 1993; Zhang and Talalay, 1994; Hecht, 1995). Phenolic compounds, including green tea polyphenols (GTPs) and its major constituents, such as (-)-epigallocatechin gallate (EGCG), have been shown to effectively inhibit the tumorigenesis induced by various carcinogens in the skin, lung, forestomach, duodenum/small intestine, colon, liver, pancreas, mammary gland, and esophagus in experimental animals (Benson *et al.*, 1978; Fujita *et al.*, 1989; Wang *et al.*, 1989; Xu *et al.*, 1992; Yang and Wang, 1993; Wang *et al.*, 1994).

Mechanisms of chemoprevention

To date, the mechanisms of action of most chemopreventive agents of carcinogenesis, both synthetic and naturally occurring, remain unclear (1999). Wattenberg has put forward three pathways by which chemopreventive agents potentially act (Wattenberg, 1983; Wattenberg, 1993): (1) Compounds that prevent the formation of carcinogens from precursor substances, examples of these include ascorbic acid (Vitamin C) and α -tocopherol (Vitamin E); (2) Compounds that act subsequent to exposures to carcinogenic agents and these have been called "suppressive agents" since they act by suppressing the expression of neoplasia in cells previously exposed to doses of a carcinogenic agent that will cause cancer; and examples of these include the retinoids, protease inhibitors, and non-steroidal anti-inflammatory drugs (NSAIDs) such as indomethacin; (3) The third group of compounds that inhibit carcinogenesis by preventing carcinogenic agents from reaching or reacting with critical target sites i.e., DNA in the tissues, and these have been termed "blocking agents". These third group of agents "block" the action of carcinogens either via the induction of phase II carcinogen detoxifying enzymes in tissues such as the liver, render the carcinogens to be detoxified and subsequently excreted in the urine or bile, before they have a chance to react with DNA molecules (Wattenberg, 1983), or via blocking the phase I cytochrome P450 metabolic activation process (Yang *et al.*, 1994). As it is well established that most chemical carcinogens require metabolic activation before exerting their carcinogenic effects. The activated carcinogens are usually electrophilic agents and are highly reactive toward DNA molecules. DNA modification, especially those on oncogenes and tumor suppressor genes, are generally the driving forces for cancer development (Yang *et al.*, 1994). Hence, in theory, chemical carcinogenesis can be prevented, in most cases, either by blocking the metabolic activation process, or by induction of some detoxifying enzymes that can get rid of the carcinogens faster. Indeed, there is ample evidence to support both scenarios. Since cytochrome P450 enzymes play key roles in the activation of most carcinogens (Conney, 1982; Yang *et al.*, 1994), the inhibition of P450-dependent carcinogen activation, especially by dietary chemicals, such as diallyl sulfide (contained in garlic) and benzyl isothiocyanate (contained in many cruciferous vegetables) have been documented (reviewed in (Yang *et al.*, 1994)).

Chemopreventive agents induce gene expression of phase II detoxifying enzymes

Chemopreventive agents that are effective by virtue of inducing increases in activity of carcinogen-detoxify-

ing systems are of particular interest because they have the capacity to inhibit a wide range of carcinogens. There are two general classes of these group of chemopreventive agents (Wattenberg, 1983). The first group of compounds induce an increase in Phase II enzymes, i.e., conjugating enzymes such as glutathione S-transferases (GST), NAD(P)H: quinone oxidoreductase (NQO)/quinone reductase (QR), UDP-glucuronosyltransferases (UGT), epoxide hydrolases (EH), and γ -glutamyl-cysteine synthetase (GCS) [e.g., the most extensively studied phenolic antioxidant, BHA] (Lam *et al.*, 1980). The second group of compounds induce both Phase II enzymes as well as certain Phase I enzymes such as cytochrome P450 1A1, [e.g., β -naphthoflavone] (Prochaska and Talalay, 1988). These latter group of chemicals may be complicated in that the microsomal P450 enzyme system can both activate and detoxify chemical carcinogens, as mentioned above. However, it is the first group of inducers with seemingly unrelated diverse chemical structures, both naturally occurring and synthetic, include flavonoids, phenolic antioxidants, isothiocyanates, diterpenes, indoles, unsaturated lactones, and thiocarbamates are of great interest in chemoprevention (Wattenberg, 1973; Wattenberg, 1977; Wattenberg *et al.*, 1977; Wattenberg *et al.*, 1980; Wattenberg, 1983; Wattenberg, 1985; Wattenberg, 1986; Wattenberg and Bueding, 1986; Talalay, 1987). Coincidentally, they have been shown to be preferential inducers of several hepatic Phase 2 drug metabolizing enzyme including GST, QR, EPH and UGT (Wattenberg, 1973; Cha and Bueding, 1979; Cha and Heine, 1982; De Long *et al.*, 1985; Wattenberg, 1985; Talalay, 1987; Rushmore and Pickett, 1990; Favreau and Pickett, 1991; Li and Jaiswal, 1992; Kashfi *et al.*, 1994). Treatment of animals with BHA, and its active demethylated metabolite tert-butyl-hydroquinone (tBHQ), and other chemicals that preferentially induce Phase II xenobiotic detoxifying enzymes reduces the conversion of chemical carcinogens (e.g., aflatoxin B1 and benzo[a]pyrene) to mutagenic metabolites and enhances their detoxification and excretion with the formation of conjugated metabolites. Consequently, this treatment reduces toxicity, mutagenicity, and carcinogenicity of various chemical carcinogens (Ulland *et al.*, 1973; Weisburger and Evarts, 1977; Batzinger *et al.*, 1978; Wattenberg, 1985; Kensler *et al.*, 1987; Talalay, 1987; Kensler *et al.*, 1992).

Induction of phase 2 detoxifying genes

Phase II drug metabolizing enzymes are superfamilies of genes which encode conjugating enzymes that conjugate xenobiotics either directly or after the Phase I metabolisms such as those mediated by cytochrome P450s (Nelson *et al.*, 1993). These include GST (Rushmore, 1994), QR (Jaiswal, 1994), UGT (Mackenzie

et al., 1997), SULT (Weinshilboum *et al.*, 1997), and EPH (Guenther, 1990). Conjugation with Phase II drug metabolizing enzymes usually increases hydrophilicity and thereby enhance excretion in the bile and/or urine and consequently a detoxification effect. Although under certain conditions, conjugation with Phase II enzymes can result in activated metabolites and increase toxicity (Mulder, 1990; Glatt, 1997). Each superfamily of Phase II enzymes consists of families and subfamilies of genes encoding the various isoforms with different substrate specificity, tissue and developmental expression, as well as induction and/or inhibition of the enzymes. Furthermore, analogous to the Phase I cytochrome P450 system, interspecies as well as interindividual variations in the expression of various Phase II drug metabolizing enzymes have been reported (Williams, 1978; Kitchen *et al.*, 1979; Hengstler *et al.*, 1998; Wormhoudt *et al.*, 1999).

Although the role of Phase II conjugation in the metabolism of carcinogenic and xenobiotic compounds in humans as well as in rodents, has been appreciated for a long time; the mechanism by which some of the Phase II genes are regulated remain unclear. As described above, this situation has resulted, in part, from the fact that diverse chemicals including barbiturates, planar aromatic hydrocarbons (PAHs), phorbol esters (PMA), and many chemopreventive agents including flavonoids, phenolic antioxidants, organic isothiocyanates, diterpenes, indoles, unsaturated lactones, and thiocarbamates were all found to induce Phase II genes (Wattenberg, 1977; Prochaska and Talalay, 1988; Friling *et al.*, 1990; Rushmore, 1994). Secondly, further studies of these Phase II genes revealed the existence of several *cis*-acting regulatory elements, such as the antioxidant response element (ARE)/electrophile response element (EpRE), xenobiotic-responsive element (XRE)/aromatic hydrocarbon responsive element (AhRE), activator protein-1 (AP-1), and nuclear factor-kappa B (NF- κ B) binding sites in their 5'-flanking regulatory region (Prochaska and Talalay, 1988; Friling *et al.*, 1990; Jaiswal, 1994; Rushmore, 1994; Pinkus *et al.*, 1996). However, recent findings from several laboratories suggest the increasingly important role of the ARE/EpRE in the regulation of expression of some Phase II genes such as NQO1, GST, and UGT by phenolic antioxidants and other chemopreventive agents (Prochaska and Talalay, 1988; Friling *et al.*, 1990; Jaiswal, 1994; Rushmore, 1994; Buetler *et al.*, 1995; Fei *et al.*, 1996; Pinkus *et al.*, 1996; Munzel *et al.*, 1999).

Transcription activation of the electrophile or antioxidant response element (EpRE/ARE)

The identification of an enhancer element in the rat GST-P gene having a phorbol 12-O-tetradecanoate 13-acetate responsive element-like (TRE- or AP-1-like) sequence (Okuda *et al.*, 1989), followed by the character-

zation of a similar xenobiotic-responsive element controlling inducible expression by phenolic antioxidants (ARE) in the rat GSTY α (Rushmore and Pickett, 1990), an electrophilic inducers responsive element (EpRE) in the mouse GSTY α (Friling *et al.*, 1990), and the hARE in the human NQOR1 gene (Li and Jaiswal, 1992), provided a common mechanism for Phase 2 gene induction. The consensus ARE/EpRE DNA sequence GTGACNNNGC resembles that of the AP-1 DNA sequence (TGAC/GTCA) (Okuda *et al.*, 1989; Friling *et al.*, 1990; Rushmore and Pickett, 1990; Li and Jaiswal, 1992), and the MARE (Maf recognition element; TGCTAC/GTCACT/C) (Igarashi *et al.*, 1994; Kataoka *et al.*, 1994). Furthermore, the discovery of the ARE/EpRE supported previous observations that monofunctional inducers such as tert-butyl-hydroquinone (tBHQ) induced Phase II genes expression by means of an electrophilic signal which operates independently of aromatic hydrocarbon (Ah) receptors or induction/expression of CYP1A1 enzyme, as this element responds to tBHQ in an Ah-receptor-defective cells as well as in cells lacking a functional CYP1A1 protein (Prochaska and Talalay, 1988; Talalay, 1989; Friling *et al.*, 1990; Rushmore and Pickett, 1990). Whereas, bifunctional inducers such as β -naphthoflavone (BNF) and 3-methylcholanthrene (3MC) can transcriptionally activate Phase II genes either directly through a Ah receptor-dependent mechanism, XRE/AhRE or they can also act through the ARE/EpRE once they are metabolized to compounds resembling monofunctional inducers. Non- or poorly-metabolizable inducers such as 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD), transcriptionally activate Phase 2 genes only through the XRE/AhRE-Ah receptor-dependent mechanism (Prochaska and Talalay, 1988; Talalay, 1989; Friling *et al.*, 1990; Rushmore and Pickett, 1990). The interactions of these *cis*-acting elements (XRE/AhRE or ARE/EpRE) and respective functional *trans*-acting factors will initiate the gene expression of Phase II enzymes induced by these xenobiotics. Recently, several novel ARE-binding proteins have been identified, including the members of basic leucine zipper transcription factor (bZIP) family, Nrf1 (Venugopal and Jaiswal, 1996), Nrf2 (Venugopal and Jaiswal, 1996; Itoh *et al.*, 1997; Alam *et al.*, 1999; Moinova and Mulcahy, 1999), and Maf (Itoh *et al.*, 1997). A nuclear protein, designated as ARE-BP1, has also been described to constitutively bind to the ARE-inducible sequence, the GC box, and to be activated by tBHQ through a post-translational mechanism (Wasserman and Fahl, 1997). Most recently, a cytosolic protein, named Keap1, has been identified to suppress Nrf2 transcriptional activity by retaining Nrf2 in the cytoplasm (Itoh *et al.*, 1999). However, currently, little is known about the upstream signal transduction events leading to the activation of these transcription factors in response to phenolic antioxidants and/or other mono-

functional phase 2 gene inducers, leading to ARE-mediated phase 2 genes (e.g. QR, GST) expression.

Activation of mitogen activated protein kinase (MAPK): signal transduction events leading to gene expression

Mitogen-activated protein kinases (MAPKs), characterized as proline-directed serine/threonine [ProXSer/Thr-Pro; (Alvarez *et al.*, 1991; Gonzalez *et al.*, 1991)] kinases (Marshall, 1994), are important cellular signaling components which convert various extracellular signals into intracellular responses through serial phosphorylation cascades (Cobb and Goldsmith, 1995). At present time, three distinct but parallel MAP kinase cascades (ERK, JNK, and p38) have been identified in mammalian cells (Cano and Mahadevan, 1995; Kyriakis and Avruch, 1996) as shown in Fig 1. Each consists of a module of three kinases: a MAPK kinase kinase (MAPKKK), which phosphorylates and activates a MAPK kinase (MAPKK), which, in turn, phosphorylates and activates a MAPK. The best characterized MAPK pathway is a Ras-dependent activation of extracellular signal-regulated protein kinases (ERKs) in response to growth factors. In this pathway, tyrosine-phosphorylated transmembrane receptors associate with the SH2 domain of the adapter protein Grb2 (Skolnik *et al.*, 1993) and target nucleotide exchange factor SOS to the membrane-bound small G-protein Ras (Egan *et al.*, 1993). Activated Ras recruits Raf-1 (a MAPKKK) to the membrane, leading the activation of Raf-1 (Stokoe *et al.*, 1994). Once activated, Raf-1 can phosphorylate and activate a dual specificity kinase MEK (a MAPKK), which, in turn, activates ERK

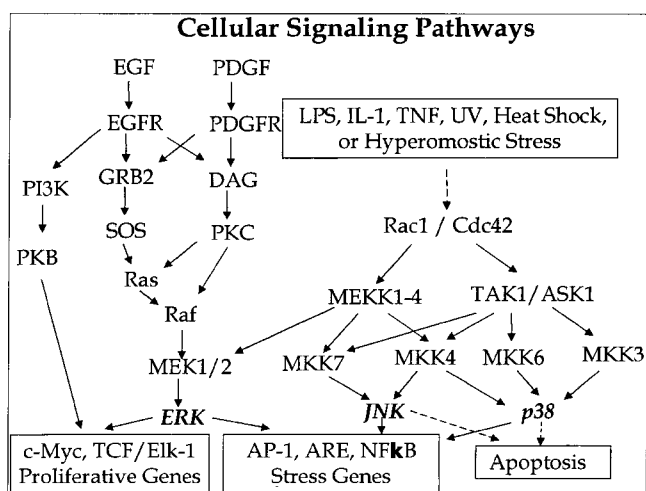


Fig. 1. Signal transduction events leading to the activation of the mitogen activated protein kinase (MAPK) pathway ERK, JNK, and p38, and the NF κ B pathway, which culminates in gene expression, and potentially resulting in cell proliferative, survival, or apoptotic responses.

(a MAPK). In addition to tyrosine kinase receptors, certain G-protein-coupled receptors and PKC are also capable of activating ERK cascade (Burgering and Bos, 1995). Another emerging MAPK group is c-Jun N-terminal kinase (JNK), which is operated by a parallel signaling module, consisting of MEKK1/MKK4 (or SEK1, JNKK)/JNK (Hibi *et al.*, 1993; Kyriakis *et al.*, 1994). However, JNK cascade, unlike ERK pathway, is only modestly activated by growth factors and phorbol esters, and is instead strongly activated by stress signals such as UV light (Chen *et al.*, 1996b), γ -radiation (Chen *et al.*, 1996a), protein synthesis inhibitors (Cano *et al.*, 1994), ceramide (Verheij *et al.*, 1996), DNA damaging agents (Yu *et al.*, 1996b), isothiocyanates chemopreventive agents (Yu *et al.*, 1996 a) and inflammatory cytokines (Raingeaud *et al.*, 1995). Therefore, JNK is also termed as stress-activated protein kinase (SAPK). A third parallel signaling module is called the p38 MAPK. p38 MAPK was originally identified in endotoxic lipopolysaccharide (LPS)-treated murine monocytes and macrophages as a homologue of a yeast gene, the high osmolarity glycerol response-1 (Hog1) (Brewster *et al.*, 1993; Han *et al.*, 1994). Independently, the p38 MAPK was identified in human cells as a cytokine-suppressive anti-inflammatory drug-binding protein (CSBP) (Lee *et al.*, 1994) or as a reactivating kinase (RK) (Freshney *et al.*, 1994; Rouse *et al.*, 1994). Recently, other new members of p38 subfamily have been cloned (Wang *et al.*, 1997). p38 is activated by phosphorylation on threonyl and tyrosyl residues within the tripeptide motif TGY by dual-specificity kinases, MKK3 and MKK6 (Derijard *et al.*, 1995), which, in turn, are regulated by the upstream MAP kinase kinase kinases (MEKKs) and small GTP-binding proteins, Rac1 and Cdc42 (Kyriakis and Avruch, 1996). Once activated, these three MAPKs (ERK, JNK, and p38) can phosphorylate many transcription factors, such as c-Myc, p62TCF/Elk-1, c-Jun, ATF2, CHOP/GADD153, MEF2C, and SAP-1, and ultimately leading to the changes in gene expression (Karin, 1995; Karin, 1998). Given the fact that MAPKs are activated by such a wide range of factors, these signaling cascades may serve as a common mechanism and integrate with other signaling pathways to control cellular responses to various extracellular stimuli, including xenobiotics and pharmacological agents.

Cell death signaling

Cell death is the process which culminates with cessation of all biological activity. It is generally well accepted that apoptosis and necrosis are two distinct, mutually exclusive, modes of cell death (Darzynkiewicz *et al.*, 1997). Apoptosis, frequently referred to as programmed cell death, is an active and physiological mode of cell death, in which the cell itself designs and executes the program of its own demise and subsequent body

disposal. While apoptosis is governed by an active participation of the affected cell, necrosis is passive, catabolic, and degenerative process. Necrosis generally represents a cell's response to gross injury such as induction by an overdose of cytotoxic agents. The early event of necrosis is mitochondria swelling followed by rupture of the plasma membrane and release of cytoplasmic materials, which include proteolytic enzymes. Nuclear chromatin shows patchy areas of condensation, and the nucleus undergoes slow dissolution (karyolysis). Necrosis usually triggers an inflammatory reaction in the tissue and often resulting in scar formation. DNA degradation is not very extensive during necrosis as in the case of apoptosis, and the products of degraded DNA are heterogeneous in size, failing to form discrete bands on electrophoretic gels.

Apoptosis or programmed cell death plays important roles in a variety of biological processes. For example, apoptosis plays a central role in both development and in homeostasis of multi-cellular eukaryotic organisms (Steller, 1995; Ashkenazi and Dixit, 1998). Cells die by apoptosis in the developing embryo during morphogenesis or synaptogenesis and in the adult animal during tissue repair / turnover or at the end of an immune response (Ashkenazi and Dixit, 1998). Because of the crucial physiological role of apoptosis, aberration of this process may have detrimental consequences. For instance, unscheduled apoptosis of certain brain neurons contributes to disorders such as Alzheimers and Parkinsons diseases, whereas the failure of dividing cells to initiate apoptosis after sustaining severe DNA damage may contribute to cancer (Thompson, 1995; Ashkenazi and Dixit, 1998).

Most growth factors prevent apoptosis, whereas death receptors such as tumor necrosis factor α (TNF- α) receptor, Fas (CD95 or Apo1), death receptor 3 (DR3; also called Apo3, WSL-1, TRAMP, or LARD), DR4, or DR5 (also called Apo2, TRAIL-R2, TRICK 2 or KILLER) (reviewed in (Ashkenazi and Dixit, 1998)) induce apoptosis. Death receptors are cell surface receptors that transmit apoptotic signals initiated on the plasma membrane by specific death ligands such as TNF α , FasL, or TRAIL (TNF related apoptosis induced ligand) play a central role in programmed cell death (Ashkenazi and Dixit, 1998). These death receptors belong to the TNF receptor gene superfamily, which contain a highly conserved cysteine-rich extracellular domains (Smith *et al.*, 1994). In addition, the death receptors also contain a highly conserved cytoplasmic death domain (Tartaglia *et al.*, 1993; Nagata, 1997). The death domains enable the death receptors to transmit signal from outside the cell to the inside of the cell via interaction with the intracellular apoptotic machinery such as the ICE/Ced-3 family proteases (caspases). To date, there are at least 15 different members of caspases in mammalian cells.

These include ICE, CPP32, ICH-1, -2, MCH-2, -3, -4, -5, -6, and TY) reviewed in (Thornberry and Lazebnik, 1998); (Fernandes-Alnemri *et al.*, 1994; Tewari *et al.*, 1995; Alnemri *et al.*, 1996; Chinnaiyan *et al.*, 1997). These caspases are synthesized as precursors that are normally inactive. Activation involves processing of a prodomain located at the amino terminus of the protein coupled with cleavages which generate two subunits of approximately 20 and 10 kDa. The activated form of these enzymes are found to be tetramer composed of two 20 and two 10 kDa subunits. Although over-expression of many of these caspases in many cells leads to apoptosis, CPP32 (caspase 3) is thought to play a more general role in mediating apoptosis induced by various stimuli. The exact physiological substrates of CPP32 is currently unknown, but may involve β -actin and poly-(ADP-ribose) polymerase or PARP (Martin and Green, 1995). Proteolytic cleavage of these proteins may result in the apoptotic morphological changes characterized by a decrease in volume and buoyant density, chromatin condensation, DNA fragmentation, and cell surface blebbing (McGowan *et al.*, 1996; Liu *et al.*, 1997). Thus, engagement of the membrane death receptors with the appropriate death ligands can activate death caspases within seconds of ligand binding (shown in Fig. 2), causing an apoptotic demise of the cell within hours (Ashkenazi and Dixit, 1998).

In addition to these physiological regulators of apoptosis via the death ligands and death receptors complexation, many environmental stresses also cause apoptosis. Recent studies have suggested that oxidative stress may play a critical role in apoptosis through these

signals (Buttke and Sandstrom, 1994; Busciglio and Yankner, 1995; Briehl, 1996; Slater, 1996). The mitochondria may orchestrate the process of apoptosis (Fig. 2), and these have been established in many systems (reviewed in (Green and Reed, 1998)). During apoptosis (*in vitro* and *in vivo*) cytochrome c (Cyto c) is released from the mitochondria, and this is inhibited by the presence of the anti-apoptotic protein Bcl-2 (Kluck *et al.*, 1997; Yang *et al.*, 1997). Cytosolic cytochrome c may form a critical part of the vertebrate apoptosome, which comprises cytochrome c, Apaf-1 (apoptotic protease activating factor 1) and procaspase 9 (Li *et al.*, 1997). The consequence of this complexation is the activation of caspase 9, which then processes and activates other caspases (upstream or downstream) to coordinate the biochemical execution of apoptotic cell death (Green and Reed, 1998) (Fig. 2).

Illustrative examples: phenolic compounds activate MAPK pathway and induce gene expression a cell survival response

Phenolic antioxidant BHA has been shown to be potent chemopreventive agent (Wattenberg, 1973; Benson *et al.*, 1978; Wattenberg *et al.*, 1980; King and McCay, 1983; Wattenberg, 1983; Wattenberg, 1985; Talalay, 1987) and a potent Phase II gene inducer (Benson *et al.*, 1978; Lam *et al.*, 1980; Sporn *et al.*, 1982a; Sporn *et al.*, 1982b). Recent studies from our laboratory showed that BHA potently activated JNK1, and ERK2 activities in many mammalian cell lines such as human cervical squamous carcinoma HeLa cells, human hepatoma HepG2 cells and murine hepatoma Hepa1c1c7 cells (Yu *et al.*, 1997b) in a time- and concentration-dependent fashion. Similarly, tBHQ, the demethylated active metabolite of BHA, which is also a potent inducer of Phase II genes (De Long *et al.*, 1985; Friling *et al.*, 1990; Rushmore *et al.*, 1991), stimulated the activity of ERK2, but not JNK, in Hepa1c1c7 and HepG2 cells (Yu *et al.*, 1997b; Yu *et al.*, 1999). Exposure of human hepatoma HepG2 cells to 10 to 100 mg/ml of green tea polyphenols (GTP) activated JNK1 activity (Yu *et al.*, 1997a). Activation of JNK activity was seen at 30 min, peaked at 120 min, and sustained up to 6 h, whereas, activation of ERK2 activity occurred only at higher concentrations (250 mg/ml to 1 mg/ml), with much earlier kinetics (detected at 5 min, peaked at 15 min, and declined after 120 min) (Yu *et al.*, 1997a). These data suggest that activation of ERK2 and JNK1 activities by GTP may occur by differential mechanisms. One of the consequences of the activation of MAPK by extracellular stimuli including GTP would implicate the induction of gene expression. Indeed, GTP induced the mRNA expression of immediate early genes such as *c-jun*, and *c-fos*, as well as

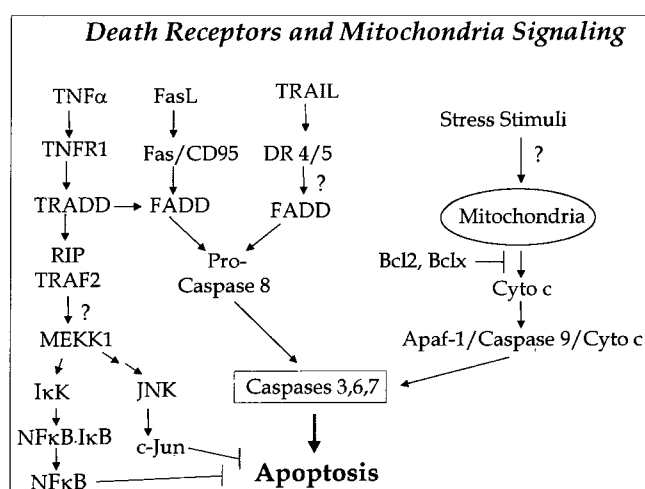


Fig. 2. Signal transduction events of cell death pathway triggered by either cell surface membrane death receptors, or mitochondrial pathway which may result in the blockade of apoptosis in some instances, but generally resulting in apoptosis.

transcriptionally activated the ARE/EpRE chloramphenicol acetyltransferase (CAT) reporter gene, which is present in many stress-response genes identifiable in the GeneBank (Wasserman and Fahl, 1997) including many Phase II drug metabolizing enzymes, GST, QR, (Rushmore and Pickett, 1990; Li and Jaiswal, 1992; Prester *et al.*, 1993) and genes encoding for the transcriptional factor such as hepatocyte nuclear factor 3/fork head homolog 11 (Ye *et al.*, 1997).

Studies with BHA in HeLa cells demonstrated rapid but transient activation of ERK2 activity whereas a more delayed and sustained JNK1 activity, similar to that induced by GTP as described above (Yu *et al.*, 1997b). In contrast, its active metabolite tBHQ activated ERK2, but weakly stimulated JNK1 activity. Furthermore, activation of ERK2 by tBHQ was delayed and prolonged, showing kinetics different from that stimulated by BHA. This difference in activation kinetics suggests that the signaling events elicited by BHA may not be dependent on its metabolite tBHQ via metabolic demethylation. ERK2 activation by BHA and tBHQ required the involvement of an upstream signaling kinase MEK, as evidenced by the inhibitory effect of a MEK inhibitor, PD98059 (Yu *et al.*, 1997b). Pretreatment with *N*-acetyl-L-cysteine (NAC), glutathione (GSH), and vitamin E, inhibited ERK2 activation by BHA and tBHQ, but not JNK1 activity. These data again suggest that differential mechanisms may be involved in the activation of ERK2 and JNK1 activities by BHA and tBHQ. The activation of ERK2 by BHA and tBHQ may involve phenoxyl radicals and/or their derivatives (Yu *et al.*, 1997b), whereas activation of JNK1 may involve intracellular calcium and protein kinase C (PKC) (Yu, 1998b).

To elucidate the physiological role of MAPK in the regulation of Phase II gene expression, recently we have reported that inhibition of ERK pathway with its inhibitor, PD98059, abolished ERK2 activation and impaired the induction of QR enzymatic activity and ARE-luciferase (LUC) reporter gene by tBHQ (Yu *et al.*, 1999). Overexpression of a dominant-negative mutant of ERK2 also attenuated tBHQ induction of ARE-LUC reporter gene activity (Yu *et al.*, 1999). This result suggests that ERK2 plays a positive role in the induction of ARE-LUC and Phase II QR enzyme by phenolic antioxidant such as tBHQ. Since, tBHQ does not stimulate JNK1 activity, this pathway may not contribute to the induction of ARE-LUC and phase 2 NQO1 enzyme by tBHQ. In contrast, BHA potently stimulated JNK1 activity, the role of JNK1 in the induction of ARE-LUC and Phase II QR enzyme by BHA is currently under investigation.

Most recently, we have found that both BHA and tBHQ stimulated the third MAPK, p38 in a time- and dose-dependent fashion in Hepa1c1c7 and HepG2 cells (Yu, February 2000 (in the press)). Inhibition of p38 activation by its inhibitor, SB203580, enhanced the

induction of QR activity and the activation of ARE-LUC reporter gene by tBHQ. In contrast, SB202474, an inactive structural analog of SB203580, had little effect. Consistent with this result, interfering with p38 kinase pathway by overexpression of a dominant-negative mutant of p38 or MKK3, an immediate upstream regulator of p38, potentiated the activation of ARE-LUC reporter gene by tBHQ, whereas the wild type of p38 and MKK3 diminished such activation. In addition, inhibition of p38 activity augmented the induction of ARE-LUC reporter gene activity by BHA, and *b*-naphthoflavone (BNF). Thus, p38 MAPK pathway may function as a negative regulator in the ARE-mediated induction of Phase II QR enzyme. These results suggest that the coordinate modulation of MAPK cascades may be critical in the regulation of Phase II genes through the ARE/EpRE induced by various xenobiotics.

Recent studies from several laboratories showed that the basic-leucine zipper (bZIP) transcription factors, including Nrf1 (Venugopal and Jaiswal, 1996), Nrf2 (Venugopal and Jaiswal, 1996; Itoh *et al.*, 1997) and small Maf (Itoh *et al.*, 1997) were implicated in the binding and gene activation through ARE sequences from rat and human gene promoters. The importance of Nrf2 in the transcriptional activation of ARE-reporter genes has been confirmed recently in other ARE-mediated genes including human γ -glutamylcysteine synthetase (GCS) (Moinova and Mulcahy, 1999), and mouse heme oxygenase-1 (HO-1) (Alam *et al.*, 1999). In knockout studies, the induction of QR and GST by BHA was largely eliminated in the liver and intestine of Nrf2^{-/-} mice (Itoh *et al.*, 1997), and the gene expression of several detoxification enzymes including QR is markedly reduced in the lung of Nrf2^{-/-} mice (Chan and Kan, 1999). This lack of Phase II enzyme induction in Nrf2^{-/-} mice suggests that Nrf2 is the most likely transcriptional factor involved in the transcriptional activation of ARE-mediated phase 2 gene induction. Recent data from our laboratory found that co-transfection of different amount of Nrf2 expression plasmid (Moi *et al.*, 1994) with ARE-LUC reporter construct, the transcriptional activation of ARE-LUC reporter gene was increased in a dose-dependent manner as compared to the control vector (unpublished observations). Dominant negative (DN) mutant (deletion of N-terminal 1-430 amino acid residues) of Nrf2 blocked transcriptional activation of ARE-LUC reporter gene, as well as blocked transcriptional induction of ARE-LUC by inducers of Phase II genes including BHA and tBHQ. Other member of the bZIP transcription factor such as c-Jun which binds AP-1 DNA element, are transcriptionally activated by the MAPK pathway (Karin, 1998). Recently, we have reported that Ras and c-Raf (the upstream regulators of ERK MAPK; as shown in Fig. 1) are involved in the induction of ARE-dependent Phase II QR gene (Yu *et*

al., 1999). We have conducted preliminary studies to determine whether Ras and c-Raf can enhance transcriptional activity of Nrf2 using ARE-LUC reporter gene. We found that the activated forms of Ras(61L) and c-Raf(BXB) substantially enhanced Nrf2 transcriptional activity of ARE-LUC reporter gene; whereas, their dominant negative mutants had no effect (unpublished observations). Taken together, our observations suggest that stimulation of MAPK by phenolic antioxidants BHA/tBHQ may play a critical role in the early signaling events leading to transcriptional activation of Nrf2 transcription factor and subsequent coupling to the ARE/EpRE DNA element, presents in many stress response genes including Phase II drug metabolizing enzymes.

Illustrative examples: isothiocyanates activate mapk pathway and induce gene expression a cell survival response

Similar to the phenolic compounds described above, exposure of cells to various natural or synthetic chemopreventive isothiocyanates such as phenethyl isothiocyanate (PEITC), and sulforaphane, induce expression of Phase II drug metabolizing enzymes such as GST, QR, and UGT (Sparnins *et al.*, 1982a; Guo *et al.*, 1992; Pretera *et al.*, 1993; Fei *et al.*, 1996). We and other investigators have recently shown that various isothiocyanates including PEITC (Yu *et al.*, 1996a), sulforaphane (Yu *et al.*, 1999), and phenylmethyl isothiocyanate (PMITC) or benzyl isothiocyanate (Patten and DeLong, 1999) activated the MAPK pathway. However, differences exist in the activation of MAPK by PEITC and sulforaphane in HepG2 cells. For example, PEITC stimulated both JNK and ERK activities, whereas sulforaphane stimulated only the ERK activity but inhibited both basal and UV-stimulated JNK activity (Yu *et al.*, 1999), suggesting that the difference in chemical structure may play an important determinant in the activation of MAPK by the different isothiocyanates. This may be due to the presence of the aromatic ring in PEITC which is more reactive (Michael acceptor) versus the sulfone for sulforaphane (Zhang *et al.*, 1992; Zhang *et al.*, 1995; Jiao *et al.*, 1997), or differences in lipophilicity between the two compounds. Future studies will elucidate their mechanistic differences. Similar to tBHQ described above, inhibition of MAPK kinase with its inhibitor, PD98059, abolished ERK2 activation and impaired the induction of QR enzyme activity, and ARE-reporter gene activity by sulforaphane (Yu *et al.*, 1999). Overexpression of a dominant negative mutant of ERK2 also attenuated sulforaphane induction of ARE-reporter gene activity. Interestingly, expression of Ras and its constitutively activated form of Ras (61 L) showed increased basal ARE-reporter gene activity, they did not affect the activation of reporter gene by the inducers sulforaphane or tBHQ

(Yu *et al.*, 1999). Furthermore, a dominant-negative mutant of Ras had little effect on ERK2 activation by sulforaphane or tBHQ, implicating a Ras-independent mechanism. Indeed, both sulforaphane and tBHQ were able to stimulate Raf-1 kinase activity *in vivo* as well as *in vitro* (Yu *et al.*, 1999). Thus, our results indicate that the induction of ARE-dependent phase II detoxifying enzymes may be mediated by a MAPK pathway, which may involve direct activation of the Raf-1 kinase by the isothiocyanates (sulforaphane) and phenolic compounds (BHA and tBHQ).

Illustrative examples: phenolic compounds and isothiocyanates activate ice/ced-3 proteases (caspases) leading to apoptosis

Naturally occurring chemopreventive agents that induce phase 2 detoxifying enzymes such as GST, and QR as discussed above, can prevent cancer by enhancing elimination of endogenous and/or exogenous chemical carcinogens. On the other hand, the induction of apoptosis or programmed cell death of precancerous cells by these compounds may provide a distinct mechanism for their chemopreventive functions (Pascale *et al.*, 1992; Delia *et al.*, 1993; Fesus *et al.*, 1995; 1996; Kelloff *et al.*, 1996; Lotan, 1996; Lupulescu, 1996; Yu, 1998a). The phenolic compounds such as quercetin (Castillo *et al.*, 1989), apigenin (Hirano *et al.*, 1989), green tea extracts (Yan, 1990) have been shown to be antitumor, or anti-proliferative on many cancer cell lines. Recently many studies have clearly demonstrated that various chemopreventive agents both natural and synthetic can trigger apoptosis of many human cancer types (Ahmad *et al.*, 1997; Samaha *et al.*, 1997; Weinstein *et al.*, 1997; Yu *et al.*, 1997b; Langman and Boyle, 1998; Shao *et al.*, 1998; Yang *et al.*, 1998; Yu, 1998a; Crowell, 1999; Kirilin *et al.*, 1999; Lin *et al.*, 1999).

To understand the signaling events leading to apoptosis elicited by chemicals or natural products, we have studied the concentration- or dose-response of various compounds. In human hepatoma HepG2 cells, low concentrations of BHA (50 to 100 μ M) activated ERK2 and JNK1, whereas tBHQ activated only ERK2 (Yu *et al.*, 1997b), as discussed above. At these concentrations, the survival of HepG2 cells was not affected by either BHA or tBHQ, but at concentrations above 100 μ M, both BHA and tBHQ began to induce cell growth inhibitory effect (Yu *et al.*, 1997b). These results were similar to that previously reported by Choi *et al.* (Choi and Moore, 1993) in HepG2 cells, low concentrations (100 μ M) of BHA, it induced *c-jun* and *c-fos* mRNA expression, and reached maximum induction at 150 μ M in HepG2 cells, whereas cytotoxic effects were seen at BHA concentrations above 150 μ M. Our recent studies with various green tea polyphenols, such as (-)-epigal-

locatechin-3-gallate (EGCG), and epicatechin gallate (ECG) strongly activated MAPK (ERK, JNK and p38), as well as induction of ARE-reporter gene activity between 100 to 250 μM concentrations, whereas potent cytotoxicity effects were observed at 500 μM (unpublished observations).

Previously, it was not clear how these phenolic antioxidants, such as BHA and tBHQ, induce cell death at higher concentrations, which may be associated with the toxicity observed in animals (Mizutani *et al.*, 1987; Clayson *et al.*, 1990). To address this cytotoxicity issue, we have studied the activation of the cell death protein caspases, particularly caspase-1 (ICE or ICE-like) and caspase-3 (CPP-32 or CPP-32-like) families, induced by BHA and tBHQ. Treatment of HeLa cells with 250 to 500 μM of BHA led to the activation of caspase-3 activity in a time- and concentration-dependent fashion (Yu, 1998b). Nuclei staining of the cells with diamidino-2-phenylindole (DAPI) as described previously (Lei *et al.*, 1998; Yu, 1998a), apoptotic morphologies such as chromatin condensation, and cell surface membrane blebbing were observed, indicating that most of the cells died *via* apoptosis. When BHA concentrations increased above 750 mM, extensive necrosis occurred very rapidly within 2 hr, as shown by trypan blue staining (Yu, 1998b). Most recently, we have found that BHA-induced apoptosis in primary cultured of rat hepatocytes triggered mitochondria permeability transition (PT) within 30 min of treatment and was inhibited by the pretreatment with cyclosporin A, a ligand of cyclophilin D, which prevents mitochondrial PT. This was followed by cytochrome c release and activation of caspases such as caspases 9, 3, and 8, but not caspase 1 (unpublished observations). Future *in vivo* animal studies will elucidate whether this concentration-dependent activation of MAPK, caspases, and apoptosis induced by phenolic compounds such as BHA or EGCG will occur in normal, preneoplastic, and/or tumor tissues.

The effects of various isothiocyanates on the activation of MAPK (Yu *et al.*, 1996a) and caspase (Yu, 1998a) pathways in various mammalian cells including HeLa cells have been performed in our laboratory. Treatment of HeLa cells with 5 - 10 μM of PEITC (in phenol red-free medium) strongly activated JNK1 in a time- and concentration-dependent manner. Previous studies that were conducted in phenol-red medium, necessitated the use of higher concentrations of PEITC to activate JNK activity (Yu *et al.*, 1996a). PEITC stimulated both JNK1 and ERK2 activities in HepG2 cells at similar concentrations. Increasing the concentrations of PEITC from 10 to 20 μM , strongly activated caspase-3-like (CPP32-like) protease activity in HeLa cells, and induced apoptosis as demonstrated by DAPI nuclear staining (Yu, 1998a). Pretreatment of cells with a specific inhibitor of caspase-3-like proteases, Ac-DEVD-CHO, attenuated PEITC-

induced caspase-3 activity, and apoptosis. Other structurally related isothiocyanates, PMITC, phenylbutyl isothiocyanate (PBITC) and phenylhexyl isothiocyanate (PHITC) but not phenyl isothiocyanate (PITC) induced apoptosis in HeLa cells in a time- and concentration-dependent manner. Similar observation with PMITC was recently reported in human colon carcinoma (HT29) cell line (Kirlin *et al.*, 1999). These isothiocyanates, except PITC, also stimulated proteolytic activity of caspase-3, leading to the cleavage of a protein substrate PARP (Yu, 1998a). In contrast, caspase-1 activity was not stimulated by these compounds. Further increase in PEITC concentrations induced cell death predominantly *via* necrosis. These results suggest that compounds such as PEITC has a narrower therapeutic window than phenolic antioxidants BHA or tBHQ as described above, in the various human and rodent cancer cell lines tested. Future studies will determine whether these concentration-dependent effects will be observed *in vivo* in animal studies.

DISCUSSION

In summary, our studies with natural products including flavonoids (GTP, EGCG, ECG), and various structurally related isothiocyanates (e.g., PMITC, PEITC, and sulforaphane), as well as studies with different chemicals such as phenolic antioxidants (BHA, tBHQ), have provided important insights into the signal transduction pathways induced by these compounds. At low concentrations, these chemicals activate the MAPKs pathway (ERK2, JNK1, and/or p38) which may lead to the induction of gene expression such as c-Fos, c-Jun, GST, and QR, resulting in protection and/or survival mechanisms (Fig. 3). Increasing the concentrations of the compounds will also activate the MAPK pathway, however, the ICE/Ced-3 protease (caspase) pathway will also be activated concomitantly, which will lead to apoptotic cell death. Further increment of concentrations to suprapharmacological concentrations will lead to nonspecific cell death predominantly occurring *via* necrosis. This concentration-dependent cellular response phenomenon may explain in part the beneficial pharmacological effects observed in animals after administration of low doses of BHA, whereas its undesirable toxicological responses after very high doses (Mizutani *et al.*, 1987; Clayson *et al.*, 1990). Furthermore, the wide and narrow concentration ranges between the activation of MAPK/gene induction and caspases/cell death by phenolic compounds and isothiocyanates in mammalian cell cultures, may reflect the wide and narrow therapeutic windows exhibited by these agents *in vivo*. Studying of cellular signal transduction events elicited by various natural products would yield important insights into the regulation of gene expression which will potentially resulting in homeostatic cell

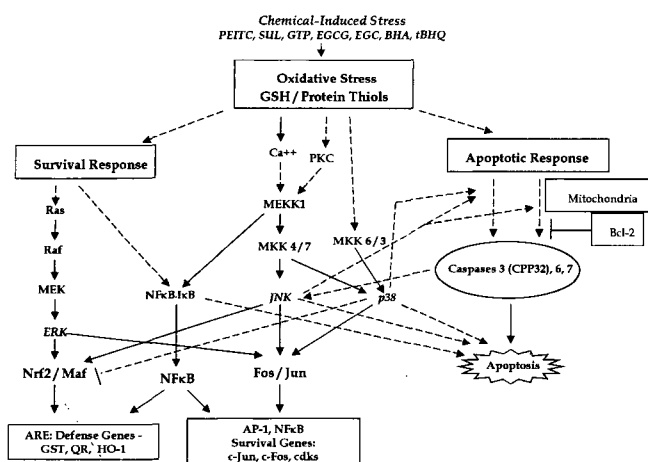


Fig. 3. Schematic representation of xenobiotic-induced oxidative stress leading to activation of the MAPK pathway ERK, JNK, or p38, and the NFκB pathway, which results in gene expression, and potentially cell survival response, or the activation of the ICE/Ced-3 (caspase) pathway leading to cell death and apoptotic response.

survival response, and activation of cell death proteins which will potentially resulting in beneficial effects if occurred in preneoplastic or tumor cells, however, may result in cytotoxicity if occurred in normal cells. Consequently, the studies of these and other signaling pathways will advance our knowledge and understanding of the efficacy and safety of many natural products of which many may become important therapeutic drugs of the future.

ACKNOWLEDGEMENTS

We thank Drs. David Ucker and Tse-Hua Tan for the exciting collaborative efforts and our colleagues for helpful discussions. This work was supported by the National Institutes of Health grant R01-CA73674 to A.-N. T. Kong.

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