

## PRIMITIVE POLYNOMIAL RINGS

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**ABSTRACT.** We show that the intersection of two standard torus knots of type  $(\lambda_1, \lambda_2)$  and  $(\beta_1, \beta_2)$  induces an automorphism of the cyclic group  $\mathbb{Z}_d$ , where  $d$  is the intersection number of the two torus knots and give an elementary proof of the fact that all non-trivial torus knots are strongly invertible knots. We also show that the intersection of two standard knots on the 3-torus  $S^1 \times S^1 \times S^1$  induces an isomorphism of cyclic groups

Throughout this paper all rings are associative with identity. Given a ring  $R$ ,  $R[x]$  denotes the polynomial ring over  $R$  with  $x$  its indeterminate. In this note we study the primitivity of polynomial rings, concerning the contraposition of the condition in [8] that is both a Morita invariant property and a generalization of the following two conditions:

- (1) the quasi-duo condition, which was initiated by Yu in [9] and is related to the Bass' conjecture in [2],
- (2) the pm condition that was studied by Birkenmeier-Kim-Park in [3].

A ring  $R$  is called *maximally right bounded* if every maximal right ideal of  $R$  contains a maximal ideal of  $R$ . Consider a condition: (\*)

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there exists a maximal right ideal that does not contain a maximal ideal. Clearly a ring  $R$  satisfies (\*) if and only if  $R$  is not maximally right bounded. A ring is called *right (left) duo* if every right ideal is two-sided, and a ring is called *right (left) quasi-duo* if every maximal right (left) ideal of is two-sided. Commutative rings and abelian regular rings are right duo, right duo rings are right quasi-duo, and right quasi-duo rings are maximally right bounded. The  $n$  by  $n$  full matrix ring over a division ring, with  $n$  any positive integer  $\geq 2$ , is not right quasi-duo and does not satisfies (\*); but it is maximally right bounded. However the ring of row finite infinite matrices over a division ring, say  $R$ , satisfies (\*) but is not maximally right bounded because there exist maximal right ideals of  $R$  that do not contain the nonzero proper ideal  $\{f \in R \mid \text{rank}(f) \text{ is finite}\}$  of  $R$ . A ring  $R$  is said to satisfy *pm* if every prime ideal of  $R$  is maximal. Such rings are maximally right bounded, but there are rings which are maximally right bounded but do not satisfy *pm* as in [3, Example 3.3]. In this note we also obtain direct proofs for the contrapositions of main results in [8].

We first take the contraposition of [8, Proposition 1] as follows.

**PROPOSITION 1.** *Given a ring  $R$  the following statements are equivalent:*

- (1)  $R$  satisfies (\*).
- (2) There exists a right primitive ideal of  $R$  that is not maximal.

**PROOF.** (1) $\Rightarrow$ (2). Since  $R$  satisfies (\*), there exists a maximal right ideal  $M$  of  $R$  that does not contain a maximal ideal of  $R$ . But  $M$  contains a right primitive ideal of  $R$  which is the bound of  $M$ , say  $P$ . Thus  $P$  is not a maximal ideal of  $R$ .

(2) $\Rightarrow$ (1). Let  $P$  be a right primitive ideal of  $R$  that is not maximal. There is a maximal right ideal of  $R$  whose bound is  $P$ , so  $R$  satisfies (\*).

**COROLLARY 2.** [8, Proposition 1] *Given a ring  $R$  the following statements are equivalent:*

- (1)  $R$  is a maximally right bounded ring.
- (2) Every right primitive ideal of  $R$  is maximal.

PROOF. By Proposition 1

We next recall some properties of maximally right bounded rings in [8]. A ring  $R$  is called a *PI-ring* if  $R$  satisfies a polynomial identity with coefficients in the ring of integers.

LEMMA 3. [8, Corollary 2, Corollary 3 and Lemma 4] Given a ring  $R$  we have the following statements:

(1) If every right primitive factor ring of  $R$  is artinian then  $R$  is maximally right bounded

(2) If  $R$  is a PI-ring then  $R$  is maximally right bounded.

(3) If  $R$  is a division ring that is finite dimensional over its center then  $R[x]$  is maximally right bounded.

(4) A semiprimitive maximally right bounded ring is a subdirect product of simple rings.

(5) If a ring  $R$  is maximally right bounded, then so is every homomorphic image of  $R$ .

Note that if given a ring  $R$  is a right primitive, then  $eRe$  is also a right primitive ring for every nonzero idempotent  $e \in R$ . The following is one of our main results in this note.

THEOREM 4. Let  $R$  be a ring and  $0 \neq e^2 = e \in R$ . Suppose that  $eIe \subsetneq eRe$  for each proper ideal  $I$  of  $R$ . Then the following statements are equivalent:

(1)  $R$  satisfies (\*).

(2)  $eRe$  satisfies (\*).

PROOF. (2) $\Rightarrow$ (1) By [8, Lemma 7]

(1) $\Rightarrow$ (2). We use the proof of [8, Theorem 8]. Let  $I$  be a maximal right ideal of  $R$  whose bound is  $P$ , such that  $P$  is not maximal. Then  $P$  is a right primitive ideal of  $R$ . We will show that  $ePe$  is not a maximal ideal in  $eRe$ . For convenience, let  $\bar{R} = R/P$ , and  $\bar{r} = r+P$  for all  $r \in R$ . Then  $\bar{R}$  is a right primitive ring. Since  $ePe = eRe \cap P$  and  $ePe \neq eRe$  by hypothesis, we have  $e \notin P$  and hence  $\bar{e}$  is a nonzero idempotent in  $\bar{R}$ . Thus  $\bar{e}\bar{R}\bar{e}$  is also a right primitive ring. Since  $eRe/ePe \cong \bar{e}\bar{R}\bar{e}$ ,  $ePe$  is a right primitive ideal of  $eRe$ . Now let  $Q$  be a maximal ideal of  $R$  that contains  $P$  (of course  $P \subsetneq Q$ ). Then  $ePe \subseteq eQe \subsetneq eRe$  by hypothesis

and  $eQe$  is maximal in  $eRe$  by Lemma 2.6. Assume  $ePe = eQe$ . Then  $eQe = ePe \subseteq P$ , and hence  $(Re)Q(Re) = R(eQe) \subseteq RP = P$ . Since  $P$  is right primitive and  $e \notin P$ , we get  $Q \subseteq P$ , a contradiction to the fact that  $P \subsetneq Q$ . Therefore  $ePe \subsetneq eQe$  and this completes the proof.

**COROLLARY 5.** [8, Theorem 8] *Let  $R$  be a ring and  $0 \neq e^2 = e \in R$ . Suppose that  $eIe \subsetneq eRe$  for each proper ideal  $I$  of  $R$ . Then the following statements are equivalent:*

- (1)  $R$  is maximally right bounded.
- (2)  $eRe$  is maximally right bounded.

We may compare the following result with [9, Proposition 2.1].

**PROPOSITION 6.** *For a ring  $R$  the following statements are equivalent:*

- (1)  $R$  satisfies (\*).
- (2) Every  $n$  by  $n$  upper triangular matrix ring over  $R$  satisfies (\*).
- (3) Every  $n$  by  $n$  lower triangular matrix ring over  $R$  satisfies (\*), where  $n$  is any finite (in this case assume  $n \geq 2$ ) or an infinite cardinal number.

**PROOF.** We use the proofs of [8, Corollary 9]. (1) $\Rightarrow$ (2). Let  $S$  be the  $n$  by  $n$  upper triangular matrix ring over  $R$ . Note that every right primitive ideal  $J$  of  $S$  is of the form. the  $(i, i)$ -entry of  $J$  is a right primitive ideal of  $R$  for some  $i \in \{1, 2, \dots\}$ . say  $P$ , and every other entry of  $J$  is  $R$ . By Proposition 1 and the condition (1), we may take a right primitive ideal  $P$  in  $R$  that is not a maximal ideal of  $R$ . So  $J$  is not maximal in  $S$  and this gives (2).

(2) $\Rightarrow$ (1). Let  $e$  be the matrix such that  $(1, 1)$ -entry of  $e$  is  $1_R$  and other entries of  $e$  are  $0_R$ . Then  $0 \neq e^2 = e \in S$  and  $eSe \cong R$ . So  $R$  satisfies (\*) by the condition (2) and [8, Lemma 7].

We next obtain the equivalence (1) $\Leftrightarrow$ (3) by the symmetry.

**COROLLARY 7.** [8, Corollary 9] *For a ring  $R$  the following statements are equivalent:*

- (1)  $R$  is maximally right bounded.

(2) Every  $n$  by  $n$  upper triangular matrix ring over  $R$  is maximally right bounded.

(3) Every  $n$  by  $n$  lower triangular matrix ring over  $R$  is maximally right bounded, where  $n$  is any finite or an infinite cardinal number.

We denote the  $n$  by  $n$  full matrix ring over a ring  $R$  by  $Mat_n(R)$  for any positive integer  $n$ .

LEMMA 8. [8, Corollary 24] For a ring  $R$  and any positive integer  $n$ , the following statements are equivalent:

- (1)  $R$  is maximally right bounded.
- (2)  $Mat_n(R)$  is maximally right bounded.

By Lemma 8, we have the following equivalence for rings that satisfy (\*).

COROLLARY 9. For a ring  $R$  and any positive integer  $n$ , the following statements are equivalent:

- (1)  $R$  satisfies (\*).
- (2)  $Mat_n(R)$  satisfies (\*).

Therefore we have the following by Theorem 4, Corollary 9 and [1, Corollary 22.7].

COROLLARY 10. Suppose that a ring  $R$  satisfies (\*). Then for every finitely generated projective right  $R$ -module  $P$ ,  $End_R(P)$  also satisfies (\*); especially the condition (\*) is a Morita invariant property, where  $End_R(P)$  is the endomorphism ring of  $P$  over  $R$ .

Next we study the primitivity of polynomial rings over division rings. First we observe the polynomial rings over rings satisfying (\*).

PROPOSITION 11. If a ring  $R$  satisfies (\*), then  $R[x]$  satisfies (\*).

PROOF. Notice first that  $I + R[x]x$ , with  $I$  a right primitive ideal of  $R$ , is also a right primitive ideal of  $R[x]$ . Since  $R$  satisfies (\*), we may take  $I$  such that  $I$  is not a maximal ideal. So  $I + R[x]x$  is also not a maximal ideal of  $R[x]$  but a right primitive ideal of  $R[x]$ ; hence  $R[x]$  satisfies (\*) by Proposition 1.

As the converse of Proposition 11, we may raise the following question.

*Question.* Does a ring  $R$  satisfy (\*) if  $R[x]$  satisfies (\*)?

However the answer is negative by the following example.

**EXAMPLE 12.** Let  $W = W_1[\mathbb{Q}]$  be the first Weyl algebra over the field  $\mathbb{Q}$  of rational numbers, subject to  $yx = xy + 1$ , and let  $R$  be the right quotient division ring of  $W$ . Then the center of  $R$  is  $\mathbb{Q}$ , and since  $R$  is purely transcendental over  $\mathbb{Q}$ , it follows that  $A = R \otimes_{\mathbb{Q}} \mathbb{Q}(t)$  is not a division ring by [5, Theorem 3. 21], where  $\mathbb{Q}(t)$  is the quotient field of the polynomial ring  $\mathbb{Q}[t]$  in an indeterminate  $t$ . Hence  $A \neq R(t)$ ; so  $R[t]$  is right primitive by [5, Theorem 3. 21], where  $R[t]$  is the polynomial ring over  $R$  in  $t$  and  $R(t)$  is the right quotient division ring of  $R[t]$ . Clearly  $R$  does not satisfy (\*). But the zero ideal of  $R[t]$  is right primitive which is not maximal. Therefore  $R[t]$  satisfy (\*) by Proposition 1.

The following is also one of our main results in this paper.

**THEOREM 13.** *For a simple ring  $R$  the following statements are equivalent:*

- (1)  $R[x]$  satisfies (\*).
- (2)  $R[x]$  is right primitive.

**PROOF.** (2) $\Rightarrow$ (1). Note that the zero ideal of  $R[x]$  is always not maximal. Since  $R[x]$  is right primitive by the condition,  $R[x]$  satisfies (\*) by Proposition 1.

(1) $\Rightarrow$ (2). Suppose that the condition (1) holds. Then there is a right primitive ideal  $P$  of  $R[x]$  that is not maximal by Proposition 1. Let  $M$  be a maximal ideal of  $R[x]$  such that  $P \subsetneq M$ . Here assume  $P \neq 0$ . Then [8, Lemma 15] implies that  $P$  is generated by a nonzero central monic polynomial in  $R[x]$  because  $R$  is simple by hypothesis, say  $P = f(x)R[x]$ . Also by [8, Lemma 15],  $M = h(x)R[x]$  for some nonzero central monic polynomial  $h(x) \in R[x]$ . Since  $M$  contains  $P$ ,  $f(x) = h(x)g(x)$  for some  $g(x) \in R[x]$  and so  $P = f(x)R[x] = h(x)R[x]g(x)R[x]$ . But  $P$  is right primitive (hence prime), so  $M = h(x)R[x] \subseteq P$  (a contradiction to the fact that  $P \subsetneq M$ )

or  $g(x)R[x] \subseteq P$ . If  $g(x)R[x] \subseteq P$ , then  $g(x) = f(x)m(x)$  for some  $m(x) \in R[x]$  and so  $f(x) = h(x)f(x)m(x) = f(x)h(x)m(x)$ . It then follows that  $h(x)m(x) = m(x)h(x) = 1_{R[x]}$  since  $f(x)$  is monic; hence  $M = R[x]$ , a contradiction to the fact that  $M$  is a maximal ideal of  $R[x]$ . Consequently  $P$  must be the zero ideal and therefore  $R[x]$  is right primitive.

By Theorem 13, we obtain the following result.

**COROLLARY 14.** [8, Theorem 16] *For a simple ring  $R$  the following statements are equivalent:*

- (1)  $R[x]$  is maximally right bounded.
- (2)  $R[x]$  is not right primitive.

We do not know whether the condition (\*) is left-right symmetric. But if  $R$  is a division ring, then  $R[x]$  satisfies (\*) if and only if  $R[x]$  satisfies the "left-handed" version of (\*) as in the following

**COROLLARY 15.** *Let  $R$  be a division ring. Then the following statements are equivalent:*

- (1)  $R[x]$  satisfies (\*).
- (2)  $R[x]$  is right primitive.
- (3)  $R[x]$  is left primitive.
- (4)  $R[x]$  satisfies the left version of (\*)

**PROOF.** By [8, Lemma 18] and Theorem 13.

Due to Jacobson [7], a ring is called *strongly right (left) bounded* if every nonzero right (left) ideal contains a nonzero ideal and a ring is called *right (left) bounded* if every essential right (left) ideal contains a nonzero ideal. Strongly right bounded rings are clearly right bounded. In [4], we have that a ring  $R$  is right duo if and only if every factor ring of  $R$  is strongly right bounded. In the following arguments we obtain the connections among the preceding conditions, right duoness, maximally right boundedness and the condition (\*).

LEMMA 16. [6, Theorem 15.2] *Let  $R$  be a simple Artinian ring. Then the following statements are equivalent:*

- (1)  $R[x]$  is right bounded.
- (2)  $R[x]$  is not right primitive.

A ring  $R$  is called right Ore if given  $a, b \in R$  with  $b$  regular there exist  $a_1, b_1 \in R$  with  $b_1$  regular such that  $ab_1 = ba_1$ . It is a well-known fact that  $R$  is a right Ore ring if and only if there exists the classical right quotient ring of  $R$ . Left case may be defined similarly. Given a division ring  $D$ ,  $D[x]$  is an Ore (i.e., both right and left Ore) domain, so every nonzero right (left) ideal is essential; hence  $D[x]$  is strongly right (left) bounded if and only if it is right (left) bounded. Consequently we have the following results.

PROPOSITION 17. *Let  $R$  be a simple Artinian ring. Then the following statements are equivalent:*

- (1)  $R[x]$  is right bounded.
- (2)  $R[x]$  is not right primitive.
- (3)  $R[x]$  is maximally right bounded.

PROOF. By Corollary 14 and Lemma 16.

COROLLARY 18. *Let  $D$  be a division ring. Then the following statements are equivalent:*

- (1)  $D[x]$  is strongly right bounded.
- (2)  $D[x]$  is right bounded.
- (3)  $D[x]$  is not right primitive.
- (4)  $D[x]$  is maximally right bounded.
- (5) The left versions of the statements (1)-(4).

PROOF. By Corollary 15, Proposition 17 and the argument prior to Proposition 17.

There exists a division ring that does not satisfy the statements in Corollary 18. Let  $R$  be the Weyl division algebra over a field of characteristic zero. Then  $R[x]$  is right primitive by [6, Theorem 15.16].



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