

SINGLY GENERATED DUAL OPERATOR ALGEBRAS WITH PROPERTIES $(A_{m,n})$

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ABSTRACT. We discuss dual algebras generated by a contraction and properties $(A_{m,n})$ which arise in the study of the problem of solving systems of the predual of a dual algebra. In particular, we study membership for the class A_{1,N_0} . As some examples we consider dual algebras generated by a Jordan block.

1. Introduction and preliminaries

Let \mathcal{H} be a separable, infinite dimensional, complex Hilbert space and let $\mathcal{L}(\mathcal{H})$ be the algebra of all bounded linear operators on \mathcal{H} . Suppose that $\mathcal{C}_1 = \mathcal{C}_1(\mathcal{H})$ be the trace class in $\mathcal{L}(\mathcal{H})$. Then it is well known that the dual space \mathcal{C}_1^* is isometrically isomorphic to $\mathcal{L}(\mathcal{H})$ under the pairing $\langle T, L \rangle = \text{tr}(TL)$, $T \in \mathcal{L}(\mathcal{H}), L \in \mathcal{C}_1$. A *dual algebra* is a subalgebra of $\mathcal{L}(\mathcal{H})$ that contains the identity operator $I_{\mathcal{H}}$ and is closed in the weak*-topology on $\mathcal{L}(\mathcal{H})$. For $T \in \mathcal{L}(\mathcal{H})$, let \mathcal{A}_T denote the dual algebra generated by T . The theory of dual algebras is applied to the study of invariant subspaces, reflexivity and dilation theory. This theory is closely related to properties $(A_{m,n})$ which arise in the study of the problem of solving systems of the predual of a dual algebra (cf. [2]). In this paper we discuss dual algebras generated by a contraction and properties $(A_{m,n})$.

A brief outline of this work is as follows: in Section 2 we discuss some sufficient conditions for the membership of the classes $A_{m,n}$ which will

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be defined below. In Section 3 we study dual algebras generated by Jordan operators and properties $(\mathbb{A}_{m,n})$.

Now let us recall some notation and terminology from [2]. Suppose that \mathcal{A} is a dual algebra in $\mathcal{L}(\mathcal{H})$ and let ${}^\perp\mathcal{A}$ denote the preannihilator of \mathcal{A} in \mathcal{C}_1 . Let $\mathcal{Q}_{\mathcal{A}}$ denote the quotient space $\mathcal{C}_1/{}^\perp\mathcal{A}$. Then one knows that \mathcal{A} is the dual space of $\mathcal{Q}_{\mathcal{A}}$ and that the duality is given by $\langle T, [L]_{\mathcal{A}} \rangle = \text{tr}(TL)$, $T \in \mathcal{A}$, $[L]_{\mathcal{A}} \in \mathcal{Q}_{\mathcal{A}}$. Without any confusion, we write $[L]_{\mathcal{A}} = [L]$. For vectors x and y in \mathcal{H} , we write, as usual, $x \otimes y$ for the rank one operator in \mathcal{C}_1 defined by $(x \otimes y)(u) = (u, y)x$, for $u \in \mathcal{H}$.

Throughout this paper, we write \mathbb{N} for the set of natural numbers, \mathbb{D} for the unit disk in the complex plane \mathbb{C} and \mathbb{T} for the boundary of \mathbb{D} . For a Hilbert space \mathcal{K} and any operators $T_i \in \mathcal{L}(\mathcal{K})$, $i = 1, 2$, we write $T_1 \cong T_2$ if T_1 is unitarily equivalent to T_2 . For $T \in \mathcal{L}(\mathcal{K})$ we write the n -th *ampliation* of T by

$$(1.1) \quad T^{(n)} = \overbrace{T \oplus \cdots \oplus T}^{(n)}, \quad 1 \leq n \leq \infty.$$

Suppose that m and n are cardinal numbers such that $1 \leq m, n \leq \aleph_0$. A dual algebra \mathcal{A} will be said to have property $(\mathbb{A}_{m,n})$ if every $m \times n$ system of simultaneous equations of the form

$$(1.2) \quad [x_i \otimes y_j] = [L_{ij}], \quad 0 \leq i < m, \quad 0 \leq j < n,$$

where $\{[L_{ij}]\}_{\substack{0 \leq i < m \\ 0 \leq j < n}}$ is an arbitrary $m \times n$ array from $\mathcal{Q}_{\mathcal{A}}$, has a solution $\{x_i\}_{0 \leq i < m}, \{y_j\}_{0 \leq j < n}$ consisting of a pair of sequences of vectors from \mathcal{H} . Furthermore, if m and n are positive integers and r is a fixed real number satisfying $r \geq 1$, a dual algebra \mathcal{A} (with property $(\mathbb{A}_{m,n})$) is said to have property $(\mathbb{A}_{m,n}(r))$, if for every $s > r$ and every $m \times n$ array $\{[L_{ij}]\}_{\substack{0 \leq i < m \\ 0 \leq j < n}}$ from $\mathcal{Q}_{\mathcal{A}}$, there exist sequences $\{x_i\}_{0 \leq i < m}$ and $\{y_j\}_{0 \leq j < n}$ from \mathcal{H} that satisfy (1.2) and also satisfy the following conditions:

$$(1.3a) \quad \|x_i\|^2 \leq s \sum_{0 \leq j < n} \|[L_{ij}]\|, \quad 0 \leq i < m$$

and

$$(1.3b) \quad \|y_j\|^2 \leq s \sum_{0 \leq i < m} \|[L_{ij}]\|, \quad 0 \leq j < n.$$

Finally, a dual algebra $\mathcal{A} \subset \mathcal{L}(\mathcal{H})$ has property $(\mathbb{A}_{m, \aleph_0}(r))$ (for some real number $r \geq 1$) if, for every $s > r$ and every array $\{[L_{ij}]\}_{\substack{0 \leq i < m \\ 0 \leq j < \infty}}$ from $\mathcal{Q}_{\mathcal{A}}$ with summable rows, there exist sequences $\{x_i\}_{0 \leq i < m}$ and $\{y_j\}_{0 \leq j < \infty}$ of vectors from \mathcal{H} that satisfy (1.2) and (1.3a,b) with the replacement of n by \aleph_0 . Properties $(\mathbb{A}_{\aleph_0, n}(r))$ and $(\mathbb{A}_{\aleph_0, \aleph_0}(r))$ are defined similarly.

For the sake of brevity we shall denote $(\mathbb{A}_{n, n})$ by (\mathbb{A}_n) .

We denote by \mathcal{Q}_T the predual of \mathcal{A}_T . We denote by $\mathbb{A} = \mathbb{A}(\mathcal{H})$ the class of all absolutely continuous contractions T in $\mathcal{L}(\mathcal{H})$ for which the Foias-Sz.-Nagy functional calculus $\Phi_T : H^\infty \rightarrow \mathcal{A}_T$ is an isometry. Let $\phi_T : \mathcal{Q}_T \rightarrow L^1/H_0^1$ be the isometric corresponded by Φ_T such that $\phi_T^* = \Phi_T$. Furthermore, if m and n are any cardinal numbers such that $1 \leq m, n \leq \aleph_0$, we denote by $\mathbb{A}_{m, n} = \mathbb{A}_{m, n}(\mathcal{H})$ the set of all T in $\mathbb{A}(\mathcal{H})$ such that the dual algebra \mathcal{A}_T has property $(\mathbb{A}_{m, n})$.

Let P_λ be the Poisson kernel function in L^1 , for each $\lambda \in \mathbb{D}$. For a given contraction $T \in \mathbb{A}$, let us write $\phi_T^{-1}([P_\lambda]) = [C_\lambda]$. Then we have $\langle f(T), [C_\lambda] \rangle = \tilde{f}(\lambda)$, for $f \in H^\infty$.

Recall (e.g., from [12]) that the class C_0 consists of those operators T such that $\|T^n x\| \rightarrow 0$ for all $x \in \mathcal{H}$, $C_{\cdot 0} = (C_0)^*$, and $C_{00} = C_0 \cap C_{\cdot 0}$.

For $\mathcal{M} \in \text{Lat}(T)$, the class of invariant subspaces for an operator $T \in \mathcal{L}(\mathcal{H})$, we denote by $T|_{\mathcal{M}}$ the restriction of T to \mathcal{M} . If $T \in \mathcal{L}(\mathcal{H})$ and \mathcal{K} is a semi-invariant subspace for T (i.e., there exist $\mathcal{K}_1, \mathcal{K}_2 \in \text{Lat}(T)$ with $\mathcal{K}_1 \supset \mathcal{K}_2$ such that $\mathcal{K} = \mathcal{K}_1 \ominus \mathcal{K}_2$), we shall write $T_{\mathcal{K}} = P_{\mathcal{K}} T|_{\mathcal{K}}$ for the compression of T to \mathcal{K} , where $P_{\mathcal{K}}$ is the orthogonal projection whose range is \mathcal{K} .

2. Membership for the classes $\mathbb{A}_{m, n}$

For $T \in \mathcal{L}(\mathcal{H})$, we write $\mathcal{F}_+'(T)$ for the set of all points λ in \mathbb{C} such that $T - \lambda$ is a Fredholm operator with positive index.

The following theorem may be compared with [5, Theorem 3.1].

THEOREM 2.1. *Suppose that $T \in \mathbb{A}(\mathcal{H})$, $m \in \mathbb{N}$, $\Lambda \subset \mathbb{D}$ is dominating for \mathbb{T} and can be written as $\Lambda = \bigcup_{1 \leq i \leq m} \Lambda_i$, where $\Lambda_1 \subset \sigma_e(T)$. In the case of $m \geq 2$, assume that for $2 \leq i \leq m$, there exists a semi-invariant subspace \mathcal{M}_i for T such that $T_{\mathcal{M}_i} \in C_0$ (or $T_{\mathcal{M}_i} \in C_0$, resp.) and that for every $\lambda \in \Lambda_i$ and $i = 2, \dots, m$, there exists a sequence $\{x_n^\lambda\}_{n=1}^\infty$ of unit vectors in \mathcal{M}_i converging weakly to zero and satisfying*

$$(2.1) \quad \|[C_\lambda]_T - [x_n^\lambda \otimes x_n^\lambda]_T\| \rightarrow 0 \quad (n \rightarrow \infty).$$

Then $T \in \mathbb{A}_{1, \mathbb{N}_0}$ (or $T \in \mathbb{A}_{\mathbb{N}_0, 1}$, resp.).

Proof. Suppose first that $m = 1$. Then $\sigma_e(T) \cap \mathbb{D}$ is dominating for \mathbb{T} . Let $\mathcal{F}_+'(T)$ be the set of all points λ in \mathbb{C} such that $T - \lambda$ is a Fredholm operator with positive index. So $\mathbb{D} \setminus \mathcal{F}_+'(T)$ is dominating for \mathbb{T} and it follows from [4, Theorem 6.2] that $T \in \mathbb{A}_{1, \mathbb{N}_0}$. If $m \geq 2$, we note that Λ_1 may be void and we can consider $\mathcal{K} = \mathcal{H} \oplus \mathcal{M}_2 \oplus \dots \oplus \mathcal{M}_m$ as a semi-invariant subspace for $T^{(m)}$. If we put

$$(2.2) \quad \tilde{T} = T \oplus T_{\mathcal{M}_2} \oplus \dots \oplus T_{\mathcal{M}_m},$$

then \tilde{T} is unitarily equivalent to the compression $(T^{(m)})_{\mathcal{K}}$. Since \tilde{T} has T as a direct summand, we have $\tilde{T} \in \mathbb{A}$. Thus it is sufficient to show that $\tilde{T} \in \mathbb{A}_{1, \mathbb{N}_0}(\mathcal{K})$. Furthermore, it follows from the proof of [5, Theorem 3.1] that for every $\lambda \in \Lambda$, there exists a sequence of unit vectors $\{x_n^\lambda\}_{n=1}^\infty$ from \mathcal{K} such that

$$(2.3a) \quad \|[C_\lambda]_{\tilde{T}} - [x_n^\lambda \otimes x_n^\lambda]_{\tilde{T}}\| \rightarrow 0 \quad (n \rightarrow \infty),$$

and

$$(2.3b) \quad \|[x_n^\lambda \otimes w]_{\tilde{T}}\| \rightarrow 0 \quad (n \rightarrow \infty),$$

for all $w \in \mathcal{K}$. Thus, by [4, Theorem 6.2], $T \in \mathbb{A}_{1, \mathbb{N}_0}$. □

The following is an improvement of [5, Theorem 3.7].

LEMMA 2.2. *Suppose that $T \in C_0 \cap \mathbb{A}(\mathcal{H})$. If $(\sigma_r(T) \cap \mathbb{D}) \cup (\mathbb{D} \setminus \mathcal{F}_+'(T))$ is dominating for \mathbb{T} , then $T \in \mathbb{A}_{\mathbb{N}_0}$. Therefore $(C_0 \cap \mathbb{A}_{1, \mathbb{N}_0}) \cup (C_0 \cap \mathbb{A}_{\mathbb{N}_0, 1}) \subset \mathbb{A}_{\mathbb{N}_0}$.*

Proof. By [4, Theorem 6.2], we have $T \in \mathbb{A}_{1, \mathbb{N}_0}$. Since $T \in C_0$, it follows from [5, Proposition 2.7] that $T \in \mathbb{A}_{\mathbb{N}_0}$. \square

C. Apostol, H. Bercovici, C. Foias and C. Pearcy [2] characterized subnormal operators in $\mathbb{A} \cap C_0$. The following theorem is a generalization of their result.

THEOREM 2.3. *If T is a hyponormal operator in $C_0(\mathcal{H})$, then the following two conditions are equivalent:*

- (i) $T \in \mathbb{A}$,
- (ii) $T \in \mathbb{A}_{\mathbb{N}_0}$.

In particular, if T is a subnormal operator, then each of (i) and (ii) is equivalent to

- (iii) $\sigma(T) \cap \mathbb{D}$ is dominating for \mathbb{T} .

Proof. If T is a hyponormal operator, then $\mathcal{F}_+'(T)$ is an empty set. By [4, Theorem 6.2], we have $T \in \mathbb{A}_{1, \mathbb{N}_0}$. Hence it follows from Lemma 2.2 that (i) implies (ii). On the other hand, obviously (ii) implies (i).

Under the hypothesis of the second part, it is also obvious from [2, Proposition 4.6] that (iii) implies (i). Now it remains to show that (i) implies (iii). Let N be the minimal normal extension of T acting on a Hilbert space $\mathcal{K} \supset \mathcal{H}$. According to [8, Propositions III 2.4 and III 2.11], we have

$$(2.4) \quad \mathcal{K} = \bigvee_{k=0}^{\infty} N^{*k} \mathcal{H}$$

and $\sigma(N) \subset \sigma(T)$. By [8, Proposition III 4.7], we have

$$(2.5) \quad \rho(N) = \lim \|N^n\|^{\frac{1}{n}} = \|N\| \leq \rho(T) \leq 1,$$

where $\rho(N)$ is the spectral radius of N . Hence N is a contraction operator. To show that $\mathcal{K} \subset \{x \in \mathcal{K} : \|N^n x\| \rightarrow 0\}$, let us take $N^{*k} h \in \mathcal{K}$ for $h \in \mathcal{H}$ and a nonnegative integer k . Then since $T \in C_0$, we have

$$(2.6) \quad \|N^n N^{*k} h\| = \|N^{*k} N^n h\| \leq \|N^n h\| = \|T^n h\| \rightarrow 0 \quad (n \rightarrow \infty).$$

Moreover, since $\{x \in \mathcal{K} : \|N^n x\| \rightarrow 0\}$ is a subspace of \mathcal{K} , we have $\mathcal{K} \subset \{x \in \mathcal{K} : \|N^n x\| \rightarrow 0\}$. Therefore $N \in C_0 \cap \mathbb{A}(\mathcal{K})$. Hence N is a completely nonunitary contraction satisfying $\|f(N)\| = \|f\|_\infty$, for all $f \in H^\infty$. Now, according to the usual proof in the theory of dual algebras (cf. [2]), we can obtain

$$(2.7) \quad \|f(N)\| = \sup_{\lambda \in \sigma(N) \cap \mathbb{D}} |\tilde{f}(\lambda)|, \text{ for all } f \in H^\infty.$$

Hence $\sigma(N) \cap \mathbb{D}$ is dominating for \mathbb{T} (cf. [2, Definition 4.5]). Since $\sigma(N) \subset \sigma(T)$, the proof is completed. \square

Recall from [7] (or [11]) that, for every $[L] \in \mathcal{Q}_T$ and every $s > 1$, there exist square summable sequences $\{x_n\}_{n=1}^\infty$ and $\{y_n\}_{n=1}^\infty$ in \mathcal{H} such that

$$(2.8) \quad [L] = \sum_{n=1}^\infty [x_n \otimes y_n],$$

$$(2.9a) \quad \sum_{n=1}^\infty \|x_n\|^2 < s\|[L]\|$$

and

$$(2.9b) \quad \sum_{n=1}^\infty \|y_n\|^2 < s\|[L]\|.$$

THEOREM 2.4. *Let T be an absolutely continuous contraction in $\mathbb{A}(\mathcal{H})$. Let U_T^+ be an isometric dilation of T acting on a Hilbert space \mathcal{K}_+ . Assume that*

$$(2.10) \quad U_T^{+(\infty)}| \bigvee_{k=1}^\infty U_T^{+(\infty)k} \tilde{y} \cong U_T^+$$

for some \tilde{y} in $\tilde{\mathcal{H}} = \mathcal{H} \oplus \mathcal{H} \oplus \dots$. Suppose that \mathcal{H} is a hyperinvariant subspace for U_T^{+*} . Then $T \in \bigcap_{n=1}^\infty \mathbb{A}_{1,n}(1)$.

Proof. For any $n \in \mathbb{N}$, it is sufficient to show that $T^* \in \mathbb{A}_{n,1}(1)$. Suppose that φ_i is a weak*-continuous linear functional on \mathcal{A}_{T^*} and $s > 1$, $1 \leq i \leq n$. By (2.8) and (2.9a,b), there exist sequences $\{x_k^{(i)}\}_{k=1}^\infty$ and $\{y_k^{(i)}\}_{k=1}^\infty$ in \mathcal{H} satisfying

$$(2.11) \quad \varphi_i(A) = \sum_{k=1}^\infty (Ax_k^{(i)}, y_k^{(i)})$$

for all A in \mathcal{A}_{T^*} such that

$$(2.12) \quad \sum_{k=1}^\infty \|x_k^{(i)}\|^2 < s\|\varphi_i\|$$

and

$$(2.13) \quad \sum_{k=1}^\infty \|y_k^{(i)}\|^2 < s\|\varphi_i\|.$$

Let

$$(2.14a) \quad \tilde{\mathcal{K}} := \underbrace{\mathcal{K}_1^{(1)} \oplus \cdots \oplus \mathcal{K}_1^{(n)}}_{(n)} \oplus \underbrace{\mathcal{K}_2^{(1)} \oplus \cdots \oplus \mathcal{K}_2^{(n)}}_{(n)} \oplus \cdots,$$

$$(2.14b) \quad \tilde{x}^{(i)} = \underbrace{(0, \dots, 0, x_1^{(i)}, \dots, 0)}_{(n)} \oplus \underbrace{(0, \dots, 0, x_2^{(i)}, \dots, 0, \dots)}_{(n)}$$

and

$$(2.14c) \quad \tilde{y} = \underbrace{(y_1^{(1)}, \dots, y_1^{(n)})}_{(n)} \oplus \underbrace{(y_2^{(1)}, \dots, y_2^{(n)})}_{(n)}, \dots,$$

where $\mathcal{K}_k^{(i)} = \mathcal{K}$, $1 \leq i \leq n$, $k \in \mathbb{N}$. For brevity, we let

$$(2.15) \quad \mathcal{M} = \bigvee_{k=1}^\infty \tilde{U}^k \tilde{y},$$

where $\tilde{U} := U_T^{+(\infty)}$. By the hypotheses, there exists an isometry W from \mathcal{K} into $\tilde{\mathcal{K}}$ such that $W\mathcal{K} = \mathcal{M}$ and

$$(2.16) \quad WU_T^+ = \tilde{U}W.$$

Let $T_k^{(i)} = P_{k,i}W$, where $P_{k,i}$ is the projection from $\tilde{\mathcal{K}}$ onto $\mathcal{K}_k^{(i)}$. Then, clearly, $T_k^{(i)} \in \mathcal{L}(\mathcal{K})$ and for every $x \in \mathcal{K}$ we have

$$(2.17) \quad Wx = \underbrace{T_1^{(1)}x \oplus \cdots \oplus T_1^{(n)}x}_{(n)} \oplus \underbrace{T_2^{(1)}x \oplus \cdots \oplus T_2^{(n)}x}_{(n)} \oplus \cdots.$$

It follows from (2.16) that

$$(2.18) \quad T_k^{(i)}U_T^+ = U_T^+T_k^{(i)}$$

for any k, i . Let $y_0 = W^*\tilde{y}$. Then $T_k^{(i)}y_0 = P_{k,i}\tilde{y} = y_k^{(i)}$ for any $k \in \mathbb{N}$, $1 \leq i \leq n$. Furthermore, by (2.13) we have

$$(2.19) \quad \|y_0\|^2 = \|\tilde{y}\|^2 = \sum_{i=1}^n \sum_{k=1}^{\infty} \|y_k^{(i)}\|^2 < s \sum_{i=1}^n \|\varphi_i\|.$$

By (2.17) we have

$$(2.20) \quad (W^*\tilde{x}^{(i)}, z) = (\tilde{x}^{(i)}, Wz) = \sum_{k=1}^{\infty} (x_k^{(i)}, T_k^{(i)}z) = \sum_{k=1}^{\infty} (T_k^{(i)*}x_k^{(i)}, z)$$

for every $z \in \mathcal{K}$ and we can assert that the series $\sum_{k=1}^{\infty} T_k^{(i)*}x_k^{(i)}$ converges weakly to some $x_0^{(i)} (= W^*\tilde{x}^{(i)}) \in \mathcal{K}$, $1 \leq i \leq n$. Now by (2.12) we have

$$(2.21) \quad \|x_0^{(i)}\|^2 = \|\tilde{x}^{(i)}\|^2 = \sum_{k=1}^{\infty} \|x_k^{(i)}\|^2 < s\|\varphi_i\|.$$

Since \mathcal{H} is a hyperinvariant subspace for U_T^{+*} ,

$$(2.22) \quad T_k^{(i)*}\mathcal{H} \subset \mathcal{H}$$

by (2.18). Hence we have $x_0^{(i)} \in \mathcal{H}$. Now for every $n \in \mathbb{N}$, $1 \leq i \leq n$, we have

(2.23)

$$\begin{aligned} \varphi_i(T^{*n}) &= \sum_{k=1}^{\infty} (T^{*n} x_k^{(i)}, y_k^{(i)}) = \sum_{k=1}^{\infty} (T^{*n} x_k^{(i)}, T_k^{(i)} y_0) \\ &= \sum_{k=1}^{\infty} (U_T^{+*n} x_k^{(i)}, T_k^{(i)} y_0) = \sum_{k=1}^{\infty} (T_k^{(i)*} U_T^{+*n} x_k^{(i)}, y_0) \\ &= \sum_{k=1}^{\infty} (U_T^{+*n} T_k^{(i)*} x_k^{(i)}, y_0) = \sum_{k=1}^{\infty} (T^{*n} T_k^{(i)*} x_k^{(i)}, y_0) \quad \text{by (2.22)} \\ &= (T^{*n} \sum_{k=1}^{\infty} T_k^{(i)*} x_k^{(i)}, y_0) = (T^{*n} x_0^{(i)}, y_0) \\ &= (T^{*n} x_0^{(i)}, P_{\mathcal{H}} y_0), \quad \text{since } x_0^{(i)} \in \mathcal{H}, \end{aligned}$$

$i = 1, 2, \dots, n$, so that $\varphi_i(A) = (Ax_0^{(i)}, P_{\mathcal{H}} y_0)$ for any $A \in \mathcal{A}_{T^*}$. Hence $T^* \in \mathbb{A}_{n,1}(1)$. \square

Note that the unilateral shift operator $S^{(n)}$ of multiplicity n and multiplication operator M_{Γ} on $L^2(\Gamma)$, $\Gamma \subset \mathbb{T}$, satisfy the hypotheses of Theorem 2.4. In particular, if we consider a Jordan block $S(\theta)$ which will be defined in the next section, and if we follow the proof of Theorem 2.4, then $\mathcal{A}_{S(\theta)}$ has property $(\mathbb{A}_{1,n})$ for any $n \in \mathbb{N}$.

3. Examples

For $1 \leq p \leq \infty$ we write $H^p = H^p(\mathbb{T})$ for the usual Hardy space. Let us recall that a completely nonunitary contraction $T \in \mathcal{L}(\mathcal{H})$ is to be of *class* C_0 if there exists a non-zero function $u \in H^\infty(\mathbb{T})$ such that (under the functional calculus) $u(T) = 0$ (cf. [12]). Let S be the unilateral shift of multiplicity one. Then the function $S(\theta)$ defined by $S(\theta) = (S^*|(H^2 \ominus \theta H^2))^*$, for an inner function θ , is called a *Jordan block* and that any operator of the form $S(\theta_1) \oplus S(\theta_2) \oplus \dots \oplus S(\theta_k) \oplus S^{(l)}$, where $\theta_1, \theta_2, \dots, \theta_k$ are nonconstant (scalar valued) inner functions and $0 \leq k < \infty$, $0 \leq l \leq \infty$, is called a *Jordan operator* (cf. [9], [10]).

We start this section from the following theorem which is an improvement of [10, Corollary 4.8].

THEOREM 3.1. *Suppose that $T \in \mathbb{A}(\mathcal{H})$ and $1 \leq m, n \leq \aleph_0$. Then the following statements are equivalent:*

- (i) $T \in \mathbb{A}_{m,n}(\mathcal{H})$,
- (ii) $T \oplus A \in \mathbb{A}_{m,n}(\mathcal{H} \oplus \mathcal{K})$ for any $A \in C_0(\mathcal{K})$,
- (iii) $T \oplus A \in \mathbb{A}_{m,n}(\mathcal{H} \oplus \mathcal{K})$ for some $A \in C_0(\mathcal{K})$.

Proof. It is sufficient to show that (iii) \implies (i). Assume that $T \oplus A \in \mathbb{A}_{m,n}$ for some $A \in C_0$. Let θ be an inner function with $\theta(A) = 0$. To show that $T \in \mathbb{A}_{m,n}$, let us consider an $m \times n$ system $\{[L_{ij}]\}_{\substack{1 \leq i \leq m \\ 1 \leq j \leq n}}$ in Q_T and let $[l_{ij}]$ be the corresponding system in L^1/H_0^1 such that $\phi_{\widehat{T}}([L_{ij}]) = [l_{ij}]$. Consider $\tilde{\theta}l_{ij} \in L^1$, where $\tilde{\theta}(e^{it}) = \overline{\theta(e^{-it})}$. Since $\widehat{T} := T \oplus A \in \mathbb{A}_{m,n}$, there exist two vectors $\widehat{u}_i = u_i \oplus u'_i$ and $\widehat{v}_j = v_j \oplus v'_j$ in $\mathcal{H} \oplus \mathcal{K}$ such that $\phi_{\widehat{T}}^{-1}([\tilde{\theta}l_{ij}]) = [\widehat{u}_i \otimes \widehat{v}_j]$, $1 \leq i \leq m$, $1 \leq j \leq n$. Then, for any $h \in H^\infty$, we have

$$\begin{aligned}
 (3.1) \quad \int \tilde{\theta}l_{ij}h \, dm &= \langle h, [\tilde{\theta}l_{ij}] \rangle = \langle h(\widehat{T}), \phi_{\widehat{T}}^{-1}([\tilde{\theta}l_{ij}]) \rangle = (h(\widehat{T})\widehat{u}_i, \widehat{v}_j) \\
 &= (h(T)u_i, v_j) + (h(A)u'_i, v'_j) = (h(T)u_i, v_j).
 \end{aligned}$$

Now we replace h by θh in (3.1), and we have

$$\begin{aligned}
 (3.2) \quad \langle h(T), [L_{ij}]_T \rangle &= \langle h, \phi_T([L_{ij}]) \rangle = \langle h, [l_{ij}] \rangle = \int l_{ij}h \, dm, \\
 &= \int l_{ij}h|\theta|^2 \, dm \quad \text{since } |\theta(e^{it})| = 1 \text{ a.e.} \\
 &= \int (\tilde{\theta}l_{ij})(\theta h) \, dm \\
 &= ((\theta h)(T)u_i, v_j) + (\theta h(A)u'_i, v'_j) \quad \text{by (3.1)} \\
 &= (\theta(T)h(T)u_i, v_j) = (h(T)(\theta(T)u_i), v_j) \\
 &= \langle h(T), [\theta(T)u_i \otimes v_j]_T \rangle,
 \end{aligned}$$

so that $[L_{ij}]_T = [\theta(T)u_i \otimes v_j]_T$, $1 \leq i \leq m$, $1 \leq j \leq n$. □

The C_0 -operator A in the above theorem didn't play any role for properties $(\mathbb{A}_{m,n}(1))$. However, it is well-known that the singly generated dual algebra $\mathcal{A}_{S(\theta)}$ has some property $(\mathbb{A}_{1,1})$. If $\theta_1 = \dots = \theta_n$, $n \in \mathbb{N}$, then we obtain an interesting result as the following Proposition 3.3. However, if $\theta \neq \theta'$, in general the dual algebra $\mathcal{A}_{S(\theta) \oplus S(\theta')}$ doesn't always have property $(\mathbb{A}_2(1))$ (see Example 3.5).

The following corollary results from the proof of Theorem 2.4.

COROLLARY 3.2. *For an inner function θ and any $m \in \mathbb{N}$, the dual algebra $\mathcal{A}_{S(\theta)}$ has property $(\mathbb{A}_{1,m}(1))$ and property $(\mathbb{A}_{m,1}(1))$.*

Proof. Since $U_{S(\theta)}^+$ is unitarily equivalent to a unilateral shift S of multiplicity one, every nonzero vector in \mathcal{K}_+ is an invariant ampliation for $U_{S(\theta)}^+$ itself. Furthermore, it is well-known that the acting space of $S(\theta)$ is a hyperinvariant subspace for S^* . Hence the proof of Theorem 2.4 applies to prove this corollary. \square

PROPOSITION 3.3. *If \mathcal{A}_T has property $(\mathbb{A}_{1,m}(1))$ (or $(\mathbb{A}_{m,1})$ resp.), $n, m \in \mathbb{N}$, then $\mathcal{A}_{T^{(n)}}$ has property $(\mathbb{A}_{n,m}(1))$ (or $(\mathbb{A}_{m,n})$ resp.).*

Proof. Suppose that φ_{ij} is a weak*-continuous functional on $\mathcal{A}_{T^{(n)}}$ and $s > 1$, $1 \leq i \leq n$, $1 \leq j \leq m$. Define

$$(3.3) \quad \phi_{ij}(A) = \varphi_{ij}(A^{(n)})$$

for $A \in \mathcal{A}_T$, $1 \leq i \leq n$, $1 \leq j \leq m$. Then ϕ_{ij} is a weak*-continuous functional on \mathcal{A}_T . Since \mathcal{A}_T has property $(\mathbb{A}_{1,m}(1))$, for every $s > 1$ there exist $x_i \in \mathcal{H}$ and $\{y_j^{(i)}\}_{1 \leq j \leq m}$ in \mathcal{H} such that $\phi_{ij} = x_i \otimes y_j^{(i)}$,

$$(3.4a) \quad \|x_i\|^2 \leq s \sum_{j=1}^m \|\phi_{ij}\|, \quad 1 \leq i \leq n$$

and

$$(3.4b) \quad \|y_j^{(i)}\|^2 \leq s \|\phi_{ij}\|, \quad 1 \leq i \leq n, \quad 1 \leq j \leq m.$$

Now we set

$$(3.5a) \quad \tilde{x}_i = \underbrace{(0, \dots, 0, x_i, 0, \dots)}_{(i)}^{(n)}, \quad 1 \leq i \leq n,$$

and

$$(3.5b) \quad \tilde{y}_j = \underbrace{(y_{1j}, \dots, y_{ij}, \dots, y_{nj})}_{(i)}^{(n)}, \quad 1 \leq j \leq m.$$

Then it is easy to show that $\varphi_{ij} = \tilde{x}_i \otimes \tilde{y}_j$ on $\mathcal{A}_{T^{(n)}}$, for $1 \leq i \leq n, 1 \leq j \leq m$

$$(3.6a) \quad \|\tilde{x}_i\|^2 = \|x_i\|^2 \leq s \sum_{j=1}^m \|\varphi_{ij}\|$$

and

$$(3.6b) \quad \|\tilde{y}_j\|^2 = \sum_{i=1}^n \|y_{ij}\|^2 \leq s \sum_{i=1}^n \|\varphi_{ij}\|.$$

Hence the dual algebra $\mathcal{A}_{T^{(n)}}$ has property $(\mathbb{A}_{n,m}(1))$. □

The following result is an immediate consequence of Corollary 3.2 and Proposition 3.3.

THEOREM 3.4. *If $T = S(\theta)^{(n)}$, $n \in \mathbb{N}$, then \mathcal{A}_T has property $(\mathbb{A}_{n,m}(1))$ and property $(\mathbb{A}_{m,n}(1))$ for any $m \in \mathbb{N}$.*

Now we provide the example mentioned earlier as follows:

EXAMPLE 3.5. Let $\varphi_n = e^{int}$. Then it follows easily that

$$(3.7) \quad S(\varphi_n) \cong \begin{pmatrix} 0 & 1 & & & \\ & 0 & 1 & & 0 \\ & & \ddots & \ddots & \\ & & & \ddots & 1 \\ 0 & & & & 0 \end{pmatrix}$$

relative to \mathbb{C}^n . If $m \neq n$, the dual algebra $\mathcal{A}_{S(\varphi_n) \oplus S(\varphi_m)}$ doesn't have property (\mathbb{A}_2) . For example, $\mathcal{A}_{S(\varphi_2)}$ and $\mathcal{A}_{S(\varphi_3)}$ have properties $(\mathbb{A}_{1,m})$ and $(\mathbb{A}_{m,1})$ for any $m \in \mathbb{N}$, but not property $(\mathbb{A}_{2,2})$ (cf. [1, p. 321]).

COROLLARY 3.6. For $k \in \mathbb{N}$, if θ_i is an inner function, $i = 1, \dots, k$, then $\mathcal{A}_{S(\theta_1) \oplus \dots \oplus S(\theta_k)}$ has property $(\mathbb{A}_{1,n}(1))$ and property $(\mathbb{A}_{n,1}(1))$.

Proof. Since $\mathcal{A}_{S(\theta_1) \oplus \dots \oplus S(\theta_k)}$ is contained in $\mathcal{A}_{S(\theta_1)} \oplus \dots \oplus \mathcal{A}_{S(\theta_k)}$ which has property $(\mathbb{A}_{1,n}(1))$, it has property $(\mathbb{A}_{1,n}(1))$. \square

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