

## UNIQUENESS FOR THE NONHARMONIC FOURIER SERIES OF DISTRIBUTIONS

MUN-JA CHO AND SOON-YEONG CHUNG

ABSTRACT. We generalize the uniqueness theorem of K. Yoneda[9] for nonharmonic series under a much weaker condition as follows:

Let  $\{\lambda_k\}_{k=0}^{\infty}$  be a discrete sequence in  $\mathbb{R}^n$ . If  $\sum_{k=0}^{\infty} a_k e^{i\langle \lambda_k, x \rangle} = 0$  for all  $x \in \mathbb{R}^n$  and there exists a number  $N > 0$  such that

$$\sum_{k=0}^{\infty} \frac{|a_k|}{1 + |\lambda_k|^N} < \infty$$

then  $a_k = 0$  for all  $k \in \mathbb{N}_0$ .

### 1. Introduction

In this paper we shall discuss the uniqueness of the representation by the nonharmonic series

$$\sum_{k=0}^{\infty} a_k e^{i\lambda_k x}$$

for a system of complex exponentials  $\{e^{i\lambda_k x}\}_{k=0}^{\infty}$ . This is closely related to the completeness of the system  $\{e^{i\lambda_k x}\}$  in the corresponding space. That is to say, every theorem on the uniqueness generates a theorem on the completeness, and vice versa.

In  $L^2[-\pi, \pi]$ , the situation is particularly simple. It is well known that if  $|\lambda_k - k| \leq 1/4$  then every function  $f$  in  $L^2[-\pi, \pi]$  has a *unique*

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nonharmonic series expansion

$$f(x) = \sum_{k=0}^{\infty} a_k e^{i\lambda_k x}$$

with  $\sum |a_k|^2 < \infty$ , since the trigonometric system  $\{e^{ikx}\}$  is *stable* in  $L^2[-\pi, \pi]$  under sufficiently small perturbations of the integers (see [10]). But, in general, the system  $\{e^{i\lambda_k x}\}$  is not complete even in  $L^2[-\pi, \pi]$ , even if the sequence  $\{\lambda_k\}$  is discretely distributed on  $\mathbb{R}$ .

Recently in the paper [9] of K. Yoneda, he proved a uniqueness of the representation by nonharmonic series

$$\frac{1}{2}a_0 + \sum_{k=1}^{\infty} (a_k \cos \lambda_k x + b_k \sin \lambda_k x)$$

where  $\lambda_k > 0$ ,  $\lambda_k \rightarrow \infty$  as  $k \rightarrow \infty$  and

$$\frac{1}{2}|a_0| + \sum_{k=1}^{\infty} \frac{|a_k| + |b_k|}{\lambda_k^2} < \infty.$$

The purpose of this paper is to generalize the uniqueness theorem for the nonharmonic series under much weaker condition than Yoneda's. Moreover, we shall give it in the  $n$ -dimensional version. Actually, one of the main theorems of this paper is as follows:

**THEOREM 3.4.** *Let  $\{\lambda_k\}_{k=0}^{\infty}$  be a discrete sequence in  $\mathbb{R}^n$ . If  $\sum_{k=0}^{\infty} a_k e^{i\langle \lambda_k, x \rangle} = 0$  for all  $x \in \mathbb{R}^n$  and there exists a number  $N > 0$  such that*

$$\sum_{k=0}^{\infty} \frac{|a_k|}{1 + |\lambda_k|^N} < \infty$$

*then  $a_k = 0$  for all  $k \in \mathbb{N}_0$ .*

We shall prove this theorem by using the Schwartz distribution theory, which is quite a different method from that of Yoneda's.

### 2. Preliminaries

We first introduce the Schwartz distribution. By  $\mathcal{S}(\mathbb{R}^n)$  we denote the set of infinitely differentiable functions  $\varphi$  in  $\mathbb{R}^n$  satisfying the condition that for any  $\alpha$  and  $\beta \in \mathbb{N}_0^n$

$$\|\varphi\|_{\alpha,\beta} = \sup_{x \in \mathbb{R}^n} |x^\alpha \partial^\beta \varphi(x)|$$

is finite. This condition is equivalent to

$$\sup_{|\beta| \leq m} \sup_{x \in \mathbb{R}^n} (1 + |x|^2)^m |\partial^\beta \varphi(x)| < \infty$$

for all  $m \in \mathbb{N}_0$ . Here we use the conventional multi-index notations such as;  $\partial^\alpha = \partial_1^{\alpha_1} \partial_2^{\alpha_2} \dots \partial_n^{\alpha_n}$ ,  $\partial_j = \frac{\partial}{\partial x_j}$ ,  $|\alpha| = \alpha_1 + \alpha_2 + \dots + \alpha_n$  etc. for any multi-index  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n) \in \mathbb{N}_0^n$  where  $\mathbb{N}_0$  is the set of all nonnegative integers.

By  $\mathcal{S}'(\mathbb{R}^n)$  we denote the set of all continuous linear functionals on  $\mathcal{S}(\mathbb{R}^n)$  under the topology given by the semi-norms  $\|\cdot\|_{\alpha,\beta}$  for each  $\alpha$  and  $\beta \in \mathbb{N}_0^n$ . We usually call the element of  $\mathcal{S}(\mathbb{R}^n)$  a rapidly decreasing function and the element of  $\mathcal{S}'(\mathbb{R}^n)$  a tempered distribution (see [1] for more details).

For a function  $\varphi$  in  $\mathcal{S}(\mathbb{R}^n)$ , the Fourier transform is defined by

$$\widehat{\varphi}(\xi) = \int_{\mathbb{R}^n} \varphi(x) e^{-i(x,\xi)} dx, \quad \xi \in \mathbb{R}^n.$$

For a tempered distribution  $u$  in  $\mathcal{S}'(\mathbb{R}^n)$  its Fourier transform  $\widehat{u}$  is defined by

$$\langle \widehat{u}, \varphi \rangle = \langle u, \widehat{\varphi} \rangle, \quad \varphi \in \mathcal{S}(\mathbb{R}^n).$$

Then it is well known that the Fourier transform on  $\mathcal{S}(\mathbb{R}^n)$  and  $\mathcal{S}'(\mathbb{R}^n)$  is an isomorphism onto itself, respectively.

### 3. Main results

Here we shall give a more generalized uniqueness theorem for nonharmonic series. Recently K. Yonena proved the following theorem:

THEOREM ([9]). Let  $\lambda_k > 0$ ,  $\lambda_k \rightarrow \infty$  as  $k \rightarrow \infty$  and

$$(3.1) \quad \frac{1}{2}|a_0| + \sum_{k=1}^{\infty} \frac{|a_k| + |b_k|}{\lambda_k^2} < \infty.$$

If  $\frac{1}{2}a_0 + \sum_{k=1}^{\infty} (a_k \cos \lambda_k x + b_k \sin \lambda_k x) = 0$  for all  $x \in \mathbb{R}$ ,  
then  $a_0 = a_1 = b_1 = \dots = a_k = b_k = \dots = 0$ .

In this section we generalize this theorem by considering much weaker conditions than (3.1). We say that a sequence  $\{\lambda_k\}_{k=0}^{\infty}$  in  $\mathbb{R}^n$  is discrete if any subsequence of  $\{\lambda_k\}$  does not converge.

THEOREM 3.1. Let  $\{\lambda_k\}_{k=0}^{\infty}$  be a discrete sequence of real numbers. If  $\sum_{k=0}^{\infty} a_k e^{i\lambda_k x} = 0$  for all  $x \in \mathbb{R}$  and there exists a number  $N > 0$  such that

$$(3.2) \quad \sum_{k=0}^{\infty} \frac{|a_k|}{1 + |\lambda_k|^N} < \infty$$

then  $a_k = 0$  for all  $k \in \mathbb{N}_0$ .

PROOF. First, let  $u_N(x) = \sum_{k=0}^N a_k e^{i\lambda_k x}$  and define  $\langle u, \varphi \rangle = \lim_{N \rightarrow \infty} \langle u_N(x), \varphi \rangle$ , for all  $\varphi \in \mathcal{S}(\mathbb{R})$ . Then this means that

$$(3.3) \quad \begin{aligned} \langle u, \varphi \rangle &= \lim_{N \rightarrow \infty} \left\langle \sum_{k=0}^N a_k e^{i\lambda_k x}, \varphi(x) \right\rangle \\ &= \lim_{N \rightarrow \infty} \sum_{k=0}^N a_k \langle e^{i\lambda_k x}, \varphi(x) \rangle \\ &= \sum_{k=0}^{\infty} a_k \langle e^{i\lambda_k x}, \varphi(x) \rangle, \quad \varphi \in \mathcal{S}(\mathbb{R}). \end{aligned}$$

Note that  $\xi^m \widehat{\varphi}(\xi) = [(-i \frac{d}{dx})^m \varphi]^\wedge(\xi)$  for any  $\varphi \in \mathcal{S}(\mathbb{R})$  and  $m \in \mathbb{N}_0$ . Then it follows that by integration by parts

$$\begin{aligned}
 (3.4) \quad |\xi|^m |\widehat{\varphi}(\xi)| &= \left| \left[ \left( -i \frac{d}{dx} \right)^m \varphi \right]^\wedge(\xi) \right| \\
 &= \left| \int e^{-ix\xi} \left( \frac{d}{dx} \right)^m \varphi(x) dx \right| \\
 &\leq C \sup_{x \in \mathbb{R}} (1+x^2) \left| \left( \frac{d}{dx} \right)^m \varphi(x) \right|
 \end{aligned}$$

where  $C = \int_{\mathbb{R}} \frac{dx}{1+x^2} < \infty$ .

First we shall show that  $u$  defines a tempered distribution.

If we put  $M = \sum_{k=0}^{\infty} \frac{|a_k|}{1+|\lambda_k|^N}$ , then we obtain from (3.3) and (3.4) that for any  $\varphi \in \mathcal{S}(\mathbb{R})$

$$\begin{aligned}
 |\langle u, \varphi \rangle| &\leq \sum_{k=0}^{\infty} |a_k| |\langle e^{i\lambda_k x}, \varphi(x) \rangle| \\
 &\leq \sum_{k=0}^{\infty} |a_k| |\widehat{\varphi}(-\lambda_k)| \\
 &= \sum_{k=0}^{\infty} \frac{|a_k|}{1+|\lambda_k|^N} (1+|\lambda_k|^N) |\widehat{\varphi}(-\lambda_k)| \\
 &\leq MC \left[ \sup_{x \in \mathbb{R}} (1+x^2) |\varphi(x)| + \sup_{x \in \mathbb{R}} (1+x^2) \left| \left( \frac{d}{dx} \right)^N \varphi(x) \right| \right] < \infty.
 \end{aligned}$$

Thus,  $u$  defines a distribution in  $\mathcal{S}'(\mathbb{R})$ .

Suppose that  $V_k \subset \mathbb{R}$  is a neighborhood of  $\lambda_k$  which does not contain  $\lambda_j$  for  $j \neq k$ . Let  $\varphi_k \in C_0^\infty(\mathbb{R})$  such that  $\text{supp} \varphi_k \subset V_k$  and  $\varphi_k \equiv 1$  near  $\lambda_k$ .

Thus the hypothesis  $\sum_{k=0}^{\infty} a_k e^{i\lambda_k x} = 0$  for all  $x \in \mathbb{R}$  implies that  $u = 0$

as a tempered distribution. Thus using  $\langle e^{i\lambda_k x}, \widehat{\varphi}_j(x) \rangle = 2\pi\varphi_j(\lambda_k)$  by the inversion formula we have

$$\begin{aligned} 0 = \langle u, \widehat{\varphi}_j \rangle &= \sum_{k=0}^{\infty} a_k \langle e^{i\lambda_k x}, \widehat{\varphi}_j(x) \rangle \\ &= 2\pi \sum_{k=0}^{\infty} a_k \varphi_j(\lambda_k) \\ &= 2\pi a_j \end{aligned}$$

for all  $j \in \mathbb{N}_0$ . So  $a_j = 0$  for all  $j \in \mathbb{N}_0$ . Thus, this theorem is proved.  $\square$

REMARK. In the above theorem the condition (3.2) is much weaker than (3.1) in Yoneda's theorem. In fact, (3.1) is a particular case (3.2) with  $N = 2$ . By this consideration we can say that Yoneda proved the uniqueness of the nonharmonic series of distributions of order at most 2.

It is true that if a function  $f$  is almost everywhere zero, the tempered distribution  $T_f$  defined by  $f$  is zero in a distribution sense. So we get the following one:

COROLLARY 3.2. *Let  $\{\lambda_k\}_{k=0}^{\infty}$  be a discrete sequence of real numbers. If  $\sum_{k=0}^{\infty} a_k e^{i\lambda_k x} = 0$  a.e.  $x \in \mathbb{R}$  and there exists a number  $N > 0$  satisfying (3.2) then  $a_k = 0$  for all  $k \in \mathbb{N}_0$ .*

In the proof of Theorem 3.1, if we consider  $u = 0$  as a tempered distribution, then this result can be of the following form:

COROLLARY 3.3. *Let  $\{\lambda_k\}_{k=0}^{\infty}$  be a discrete sequence of real numbers. If  $\sum_{k=0}^{\infty} a_k e^{i\lambda_k x} = 0$  in  $\mathcal{S}'(\mathbb{R})$  and there exists a number  $N > 0$  satisfying (3.2) then  $a_k = 0$  for all  $k \in \mathbb{N}_0$ .*

We have proved a uniqueness of nonharmonic Fourier series defined on  $\mathbb{R}$  as a distribution of finite order. Now we shall give a uniqueness theorem for the nonharmonic Fourier series defined on  $\mathbb{R}^n$ .

**THEOREM 3.4.** *Let  $\{\lambda_k\}_{k=0}^\infty$  be a discrete sequence in  $\mathbb{R}^n$ . If  $\sum_{k=0}^\infty a_k e^{i\langle \lambda_k, x \rangle} = 0$  for all  $x \in \mathbb{R}^n$  and there exists a number  $N > 0$  such that*

$$(3.5) \quad \sum_{k=0}^\infty \frac{|a_k|}{1 + |\lambda_k|^N} < \infty$$

then  $a_k = 0$  for all  $k \in \mathbb{N}_0$ .

**PROOF.** Let  $u_N(x) = \sum_{k=0}^N a_k e^{i\langle \lambda_k, x \rangle}$  and define  $\langle u, \varphi \rangle = \lim_{N \rightarrow \infty} \langle u_N(x), \varphi \rangle$ , for all  $\varphi \in \mathcal{S}(\mathbb{R}^n)$ .

In the same way as the proof of theorem 3.1, we can express

$$(3.6) \quad \langle u, \varphi \rangle = \sum_{k=0}^\infty a_k \langle e^{i\langle \lambda_k, x \rangle}, \varphi(x) \rangle$$

for all  $\varphi \in \mathcal{S}(\mathbb{R}^n)$ .

Let  $\xi = (\xi_1, \dots, \xi_n) \in \mathbb{R}^n$  and  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}_0^n$  with  $|\alpha| = N$ .

Since  $\xi^\alpha \widehat{\varphi}(\xi) = ((-i)^{|\alpha|} \partial^\alpha \varphi)^\wedge(\xi)$ , we obtain that

$$(3.7) \quad \begin{aligned} |\xi|^N \|\widehat{\varphi}(\xi)\| &\leq (|\xi_1| + \dots + |\xi_n|)^N \widehat{\varphi}(\xi) \\ &= \sum_{\alpha_1 + \dots + \alpha_n = N} \frac{N!}{\alpha_1! \dots \alpha_n!} |\xi_1|^{\alpha_1} \dots |\xi_n|^{\alpha_n} |\widehat{\varphi}(\xi)| \\ &= \sum_{|\alpha|=N} \frac{N!}{\alpha_1! \dots \alpha_n!} |((-i)^{|\alpha|} \partial^\alpha \varphi)^\wedge(\xi)| \\ &\leq \sum_{|\alpha|=N} \frac{N!}{\alpha_1! \dots \alpha_n!} C \sup_{x \in \mathbb{R}^n} (1 + |x|^2)^{n+1} |\partial^\alpha \varphi(x)| \\ &\leq C' \sup_{\substack{|\alpha|=N \\ x \in \mathbb{R}^n}} (1 + |x|^2)^{n+1} |\partial^\alpha \varphi(x)| \end{aligned}$$

where  $C = \int_{\mathbb{R}^n} \frac{dx}{(1 + |x|^2)^{n+1}} < \infty$ .

First we shall show that  $u$  defines a tempered distribution.

If we put  $M = \sum_{k=0}^{\infty} \frac{|a_k|}{1 + |\lambda_k|^N}$ , then we obtain from (3.6) and (3.7) for any  $\varphi \in \mathcal{S}(\mathbb{R}^n)$

$$\begin{aligned} |\langle u, \varphi \rangle| &\leq \sum_{k=0}^{\infty} |a_k| \left| \langle e^{i\langle \lambda_k, x \rangle}, \varphi(x) \rangle \right| \\ &\leq \sum_{k=0}^{\infty} |a_k| |\widehat{\varphi}(-\lambda_k)| \\ &= \sum_{k=0}^{\infty} \frac{|a_k|}{1 + |\lambda_k|^N} (1 + |\lambda_k|^N) |\widehat{\varphi}(-\lambda_k)| \\ &\leq MC \left[ \sup_{x \in \mathbb{R}^n} (1 + |x|^2)^{n+1} |\varphi(x)| + \sup_{\substack{|\alpha|=N \\ x \in \mathbb{R}^n}} (1 + |x|^2)^{n+1} |\partial^\alpha \varphi(x)| \right] \\ &< \infty. \end{aligned}$$

Thus,  $u$  defines a distribution in  $\mathcal{S}'(\mathbb{R}^n)$ .

Suppose that  $V_k \subset \mathbb{R}^n$  is a neighborhood of  $\lambda_k$  which does not contain  $\lambda_j$  for  $j \neq k$ . Let  $\varphi_k \in C_0^\infty(\mathbb{R}^n)$  such that  $\text{supp } \varphi_k \subset V_k$  and  $\varphi_k \equiv 1$  near  $\lambda_k$ . Thus the hypothesis  $\sum_{k=0}^{\infty} a_k e^{i\langle \lambda_k, x \rangle} = 0$  for all  $x \in \mathbb{R}^n$  implies that  $u = 0$  as a tempered distribution. Thus using  $\langle e^{i\langle \lambda_k, x \rangle}, \widehat{\varphi}_j(x) \rangle = (2\pi)^n \varphi_j(\lambda_k)$  by the inversion formula we have

$$\begin{aligned} 0 = \langle u, \widehat{\varphi}_j \rangle &= \sum_{k=0}^{\infty} a_k \langle e^{i\langle \lambda_k, x \rangle}, \widehat{\varphi}_j(x) \rangle \\ &= (2\pi)^n \sum_{k=0}^{\infty} a_k \varphi_j(\lambda_k) \\ &= (2\pi)^n a_j \end{aligned}$$

for all  $j \in \mathbb{N}_0$ . So  $a_j = 0$  for all  $j \in \mathbb{N}_0$ . Thus we complete the proof.  $\square$



Let  $\{\lambda_k\}_{k=0}^{\infty}$  be a sequence satisfying the condition of Theorem 3.4. It is for the same reason as corollary 3.2 and 3.3 that we take the followings:

If  $\sum_{k=0}^{\infty} a_k e^{i\langle \lambda_k, x \rangle} = 0$  a.e.  $x \in \mathbb{R}^n$  and there exists a number  $N > 0$  such that

$$\sum_{k=0}^{\infty} \frac{|a_k|}{1 + |\lambda_k|^N} < \infty$$

then  $a_k = 0$  for all  $k \in \mathbb{N}_0$ . Furthermore, it is true when  $\langle \sum a_k e^{i\langle \lambda_k, x \rangle}, \varphi \rangle = 0$  for all  $\varphi \in \mathcal{S}(\mathbb{R}^n)$ .

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Department of Mathematics  
 Sogang University  
 Seoul 121-742, Korea  
 E-mail: sychung@ccs.sogang.ac.kr