# 학회 창립 10주년 기념 논문

# Silica-Based Planar Lightwave Circuits for WDM Applications

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### Summary

Planar lightwave circuits (PLCs) provide various important devices for optical wavelength division multiplexing (WDM) systems, subscriber networks and etc. This paper reviews the recent progress and future prospects of PLC technologies including arrayed-waveguide grating multiplexers, optical add/drop multiplexers, programmable dispersion equalizers and hybrid optoelectronics integration technologies. key words: silica waveguide, planar lightwave circuits, arrayed-waveguide grating multiplexer, optical add/drop multiplexer, and WDM

### 1. Introduction

A variety of passive PLCs such as NxN star couplers, thermo-optic matrix switches and NxN (AWG) multi/ arrayed-waveguide grating demultiplexers for WDM systems have been fabricated [1] - [3]. The most prominent feature of the silica waveguides is their simple and well defined waveguide structures. This allows us to fabricate spatial multi-beam or temporal multi-stage interference devices. Hybrid optoelectronics integration based on the terracedsilicon platform technologies have also been developed both for the fiber-to-the-home (FTTH) applications and high-speed signal processings [4], [5].

Planar lightwave circuits using silica-based optical waveguides are fabricated on silicon or silica substrate by a combination of flame hydrolysis deposition (FHD) and reactive ion etching (RIE). Fine glass particles are produced in the oxy-hydrogen flame and deposited on substrates. After depositing under-cladding and core glass layers, the wafer is heated to high

temperature for consolidation. The circuit pattern is fabricated by photolithography and reactive ion etching. Then core ridge structures are covered with over-cladding layer and consolidated again.

typical bending radius R of waveguide is around 2 mm ~ 15 mm. Therefore the chip size of the large-scale integrated circuit centimeters square. The becomes several propagation loss reduction and the uniformity of refractive index and core geometry throughout the wafer are strongly required. Propagation loss of 0.1 dB/cm was obtained in a 2-m long waveguide with  $\Delta = 2\%$  index difference (R=2mm) [6] and loss of 0.035 dB/cm was obtained in a 1.6-m long index difference waveguide with  $\Delta = 0.75\%$ (R=5mm) [7], respectively. Further loss reduction down to 0.017 dB/cm has been achieved in a 10-m long waveguide with  $\Delta = 0.45\%$  index difference (R=15mm) [8].

# 2. Arrayed-Waveguide Grating

#### 2.1. Principle of Operation

An NxN arrayed-waveguide grating (AWG) multiplexer is very attractive in optical WDM networks since it is capable of simultaneously processing  $N^2$  optical channels at N different wavelengths [9],[10]. The arrayed-waveguide grating consists of input/output waveguides, two focusing slab regions and a phase-array of multiple channel waveguides with the constant path length difference  $\Delta L$  between neighboring waveguides (Fig. 1). The input light is radiated to the first slab and then excites the arrayed channel waveguides. After traveling through the arrayed waveguides, the light beams constructively interfere into one focal point in the second slab. The location of this focal point depends on the signal wavelength since the relative phase delay in each waveguide is given by  $\Delta L/\lambda$ . The dispersion of the focal position x with respect to the wavelength  $\lambda$  is given by

$$\frac{\triangle X}{\triangle \lambda} = -\frac{N_C f \triangle L}{n_s d\lambda_0} \tag{1}$$

where f is the focal length of the converging slab,  $n_s$  is the effective index in the slab region,  $N_c$  is the group index of the effective index  $n_c$  of the array waveguide ( $N_c = n_c - \lambda dn_c/d\lambda$ ), d is the pitch of the array waveguides at slab-array interface and  $\lambda_o$  is the center wavelength of WDM signals, respectively. The diffraction order m is given by  $m=n_c \Delta L/\lambda_0$ . The spacial separation of the m-th and (m+1)-th focused beams for the same wavelength is expressed as

$$X_{FSR} = x_m - x_{m+1} = \frac{\lambda_0 f}{n_0 d} \tag{2}$$

 $X_{FSR}$  represents the free spacial range of AWG., Number of available wavelength channels  $N_{ch}$  is given by dividing  $X_{FSR}$  with the output waveguide separation D as

$$N_{ch} = \frac{X_{FSR}}{D} = \frac{\lambda_0 f}{n_c dD} \tag{3}$$

When center wavelength  $\lambda_0$  and number of channels  $N_{ch}$  in WDM system are given, arc radius f of the slab region is determined by Eq.

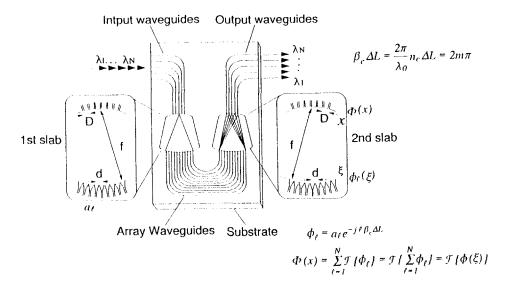


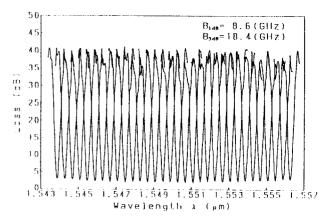
Fig. 1. Schematic configuration of arrayed-waveguide grating multiplexer.

(3), where waveguide parameters  $n_s$ , d and D are already known. The separation of the focal positions should be  $|\Delta x| = D$  for the WDM signals with wavelength spacing  $\Delta \lambda$ . Therefore path length difference  $\Delta L$  is obtained from Eq. (1) as

$$\Delta L = \frac{n_s dD\lambda_0}{N_C f \Delta \lambda} \tag{4}$$

The electric field profile  $\Phi(x)$  at the output plane of AWG (Fig. 4) is the summation of the farfield patterns  $\Phi_{\ell}$ 's from each array waveguide. Therefore,  $\Phi(x)$  is the summation of the spatial Fourier transforms of  $\Phi_{\ell}$ 's. We can exchange summation and Fourier transformation in the linear system. Then it is shown that the focused electric field profile  $\Phi(x)$  at the output is the Fourier transform of the entire electric field profile  $\Phi(\xi)$  at slab-array interface.

We have fabricated various kinds of ranging multiplexers from 50-nm spacing 8-channel AWG to 50-GHz spacing 64-channel AWGs (Fig. 2) [11]. Table I shows experimental and theoretical performances of several kinds of AWG multiplexers. In all of these practical AWGs, crosstalks of about -30 dB have been obtained. Numbers in parentheses are the designed values ( $\lambda_0 s$ ) and calculated values (3 dB bandwidths) by BPM, respectively. Experimental results agree well with the theoretical values. Multiplexers with narrower channel spacing exhibited much higher (worse) crosstalks due to the phase errors in arrav waveguides. We have succeeded fabrication of 128×128 AWG with 25-GHz channel spacing by improving the core deposition conditions [12]. Figure 3 shows the demultiplexing properties for the entire 128 output ports. The crosstalks to the neighboring channels are less than -16 dB and the on-chip loss ranges from 3.5 to 5.9 dB for central and peripheral output ports. If the sub-peaks near the pass bands are eliminated by the phase-error compensation techniques [13], crosstalks can be improved by about 10 dB down to -25 dB levels.



**Fig. 2.** Demultiplexing properties of central 32 channels in 64ch\_50GHz spacing AWG.

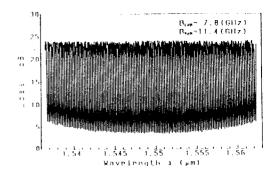


Fig. 3. Demultiplexing properties of 128ch\_25GHz spacing AWG.

# 2.2. Flat Spectral Response AWG

Since the dispersion of the focal position x with respect to the wavelength  $\lambda$  is almost constant, of normal **AWG** transmission loss around the center monotonically increases wavelength of each channel. This places tight restrictions on the wavelength tolerance of laser diodes and requires accurate temperature control for both AWGs and laser diodes. Moreover, since optical signals are transmitted through several filters in the WDM ring/bus networks, cumulative passband width of each channel becomes much narrower than that of the single-stage AWG filter. Therefore, flat and broadened spectral responses are required for AWG multiplexers. Several approaches have been proposed to flatten the pass bands of AWGs [14]-[16]. We proposed a flat-response AWG multiplexer having parabolic waveguide horns in the input waveguides [17].

Figure 4 shows the enlarged view of the interface between (a) input waveguides and first slab and (b) second slab and output waveguides, respectively. The width of the parabolic horn along the propagation direction z is given by [18]

$$W(z) = \sqrt{2\alpha\lambda_{\nu}z + (2\alpha)^2} \tag{5}$$

where  $\alpha$  is a constant less than unity,  $\lambda_g$  is the wavelength in the guide ( $\lambda g = \lambda / n_{eff}$ ) and  $2\alpha$  is the core width of the channel waveguide, respectively. At the proper horn length z=800 µm, a slightly double-peaked flat intensity distribution can be obtained with the horn parameters of  $\alpha = 1$ and  $2\alpha = 7\mu m$ . The broadened and double-peaked field is imaged onto the entrance of an output waveguide having normal core width. The overlap integral of the refocussed field with the local normal mode of the output waveguide gives the flattened spectral response of AWG. Figure 5 shows the demultiplexing properties 16ch-100GHz spacing AWG having parabolic horns with W=40 um and z=800 um. The crosstalks to the neighboring channels are less than -35 dB and the on-chip loss is about 7.0 dB. The average 1-dB, 3-dB and 20-dB bandwidths are 86.4 GHz, 100.6 GHz and 143.3 GHz, respectively.

It has been confirmed that in order to obtain a flat spectral response, it is necessary to produce the rectangular electic field profile at the focal plane (interface between the second slab and output waveguides). Since the electric field profile in the focal plane is the Fourier transform of the field in the array output aperture (interface between the array waveguide and second slab), such a rectangular field profile could be generated when the electric field at the array output aperture obeys a  $\sin(\xi)/\xi$  distribution where  $\xi$  is measured along the array output aperture [14].

Figure 6 shows the electric field amplitude and relative phase delays (excess phase value added to

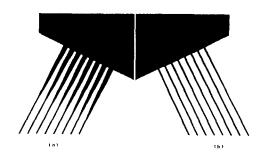


Fig. 4. Enlarged view of the interface between (a) input waveguides and first slab and (b) second slab and output waveguides in flat response AWG.

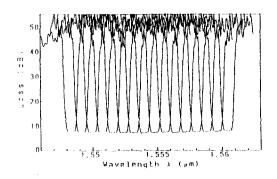


Fig. 5. Demultiplexing properties of 16ch\_100GHz spacing AWG having parabolic horns with W=40  $\mu m$  and  $z = 400 \mu m$ .

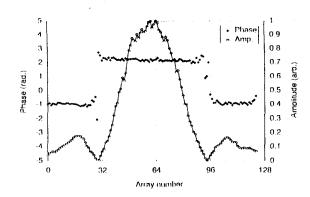


Fig. 6. Electric field amplitude and relative phase delays in the sinc-type flat response AWG.

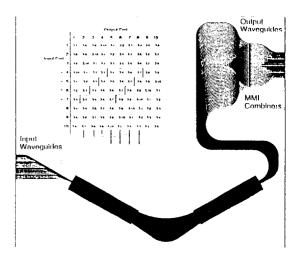
I  $x \triangle L$  where i denotes the the i-th array waveguide) in the sinc-type flat response AWG measured by the low coherence Fourier transform spectroscopy [19]. Sinc-shaped electric field distribution was realized by varying the core aperture width of the waveguide at the first slab-array interface. The excess path length differences of  $\pi$  for the negative sinc values were realized by the additional path length  $\delta \ell = \lambda/2n_c$  to the corresponding array waveguides. The crosstalk and flat passband characteristics are almost the same as those of parabola-type AWGs.

# 2.3. Uniform-Loss and Cyclic-Frequency (ULCF) AWG

It is well recognized that N x N signal interconnection in AWG can be achieved when free spectral range (FSR) of AWG is N times the channel spacing. Here FSR is given by

$$FSR = \frac{n_c \nu_0}{N_C m} \tag{6}$$

where  $\nu_0$  is the center frequency of WDM three different Light beams with signals. diffraction orders of m-1, m and m+1 are utilized to achieve N x N interconnections [20]. Typical diffraction order is m=60 for 32-channel AWG with 100-GHz channel spacing as shown in Table I. Since FSR is inversely proportional to m, substantial center frequency mismatch is brought by the difference between three FSRs. Also insertion losses of AWG for peripheral input and output ports are 2~3 dB higher than those for central ports [2],[21]. These loss nonuniformity characteristics noncyclic frequency conventional AWGs are main obstacles which prevent the development of practical N x N routing networks. We have fabricated 32 x 32 arrayed-waveguide grating having uniform-loss and cyclic-frequency characteristics. Fig. 7 shows the schematic configuration of uniform-loss and arrayed-waveguide (ULCF) cyclic-frequency 80-channel AWG consists of grating. It multiplexer with 100-GHz spacing and 32 optical combiners which are connected to 72 output waveguides of the multiplexer. The arc length of slab is f and number of array waveguides is 300 having the constant path length difference  $\triangle L =$ 24.6μm between neighboring waveguides. diffraction order is m=23 which gives a free spectral range of FSR=8THz. In the input side, 32 waveguides ranging from #25 to #56 are used for the input waveguides so as to secure the uniform loss characteristics [11]. In the output side, two waveguides ((i+8)-th and (i+40)-th waveguide for through waveguide  $i=1 \sim 32$ are combined intersection and multimode interference (MMI) coupler to make one output port. Since the peripheral output ports are not used, uniform loss characteristics are obtained. The inset of Fig. 15 how the principle the arrayed-waveguide grating is constructed. In this example 4 x 4 ULCF AWG is fabricated from 10 x 10 original AWG. Multiplexed signals with wavelength  $\lambda_4$ ,  $\lambda_5$ ,  $\lambda_6$ ,  $\lambda_7$  which are coupled to input port #4 are demultiplexed into output waveguides from #5 to #8. When signals  $\lambda_4$ ,  $\lambda_5$ ,  $\lambda_6$ ,  $\lambda_7$  are coupled to input port #5, signal λ<sub>4</sub> is folded back into output waveguide #8 through the optical combiner. This is the operational principle of the ULCF AWG.



**Fig. 7.** Schematic configuration of uniform-loss and cyclic-frequency AWG.

Though we could improve the loss characteristics, we should pay at least 3-dB loss budget in optical combiners to achieve uniform loss and cyclic frequency characteristics. We first show the light splitting properties of the conventional 32-channel AWG with 100-GHz spacing [14]. Fig. 8(a) shows measured insertion losses for entire input/output combinations in the conventional AWG. The peak-to-peak loss variation is 4.7 dB

(minimum loss  $\alpha_{min}$ , maximum loss  $\alpha_{max} = 7.8$  dB) and the standard deviation is  $\sigma_{loss}$ . Fig. 8(b) shows deviations of the channel center frequency from the prescribed values. The peak-to-peak variation is 75.6 GHz ( $\delta \nu_{min} = -44.5$  GHz,  $\delta \nu_{max} = 31.1$  GHz) and the standard deviation is  $\delta_{freq} = 16.7$  GHz. Major reason for center frequency mismatch is the difference of FSR in neighboring diffraction orders. Figs. 9(a) and (b) show insertion losses and channel center frequency

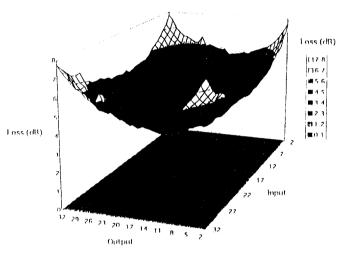


Fig. 8(a). Measured insertion losses for entire 32 x 32 input/output combinations in the conventional AWG.

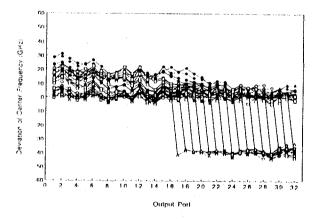


Fig. 8(b). Measured channel center frequency deviations for entire 32 x 32 input/output combinations in the conventional AWG.

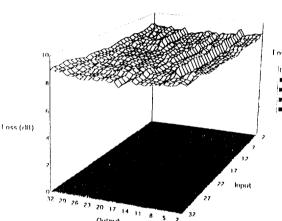


Fig. 9(a). Measured insertion losses for entire 32 x 32 input/output combinations in the uniform-loss and cyclic-frequency AWG.

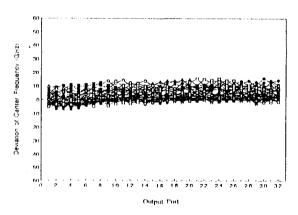


Fig. 9(b). Measured channel center frequency deviations for entire 32 x 32 input/output combinations in the uniform-loss and cyclic-frequency AWG.

deviations for 32-input/32-output combinations in the uniform-loss and cyclic-frequency AWG. The peak-to-peak loss variation is reduced to 1.2 dB ( $\alpha_{\rm min}=8.1$  dB,  $\alpha_{\rm max}=9.3$  dB) and the standard deviation is  $\sigma_{\rm loss}=0.2$  dB. The peak-to-peak frequency variation is also reduced to 22.3 GHz ( $\delta$   $\nu_{\rm min}=-6.8$  GHz,  $\delta$   $\nu_{\rm max}=15.5$  GHz) and the standard deviation is  $\sigma_{\rm freq}=4.4$  GHz. The crosstalk of the AWG is about -26 dB.

# 2.4. Athermal (Temperature Insensitive) AWG

Temperature sensitivity of the pass wavelength (frequency) in the silica-based AWG is about  $d\lambda$  $/dT = 1.2 \times 10^{-2} (nm/deg) (d \nu /dT = -1.5)$ (GHz/deg), which is mainly determined by the temperature dependence of silica glass itself  $(dn_c/dT=1.1x10^{-5}(1/deg))$ . The AWG should be temperature controlled with a heater or channel to stabilize the cooler Peltier wavelengths. This requires the constant power consumption of few watts and a lot of equipments for the temperature control. Recently, we have of an fabrication in succeeded (temperature insensitive) AWG operating in the 0 - 85 °C temperature range [22]. Figure 10 shows a schematic configuration of athermal AWG. Temperature dependent optical path difference in silica waveguides is compensated with a triangular groove filled with silicone adhesive which has negative thermal coefficient. Since the pass wavelength is given by  $\lambda_0 = n_c \triangle L/m$ , optical path length difference  $n_c \triangle L$  should be made insensitive to temperature. Therefore the groove is designed to satisfy the following conditions.

$$n_c \Delta L = n_c \Delta \ell + \hat{n}_c \Delta \hat{\ell} \tag{7}$$

$$\frac{d(n_c \Delta L)}{dT} = \frac{d n_c}{dT} \Delta \ell + \frac{d \hat{n}_c}{dT} \Delta \hat{\ell} = 0$$
 (8)

where  $\hat{n}_c$  is the refractive index of silicone and  $\Delta \ell$  and  $\Delta \hat{\ell}$  are the path length differences of silica waveguides and silicone region. Eq. (7) is a condition to satisfy the AWG specifications and Eq. (8) is the athermal condition, respectively. The

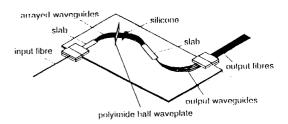
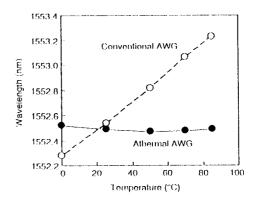
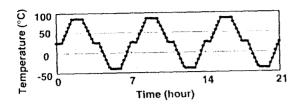


Fig. 10. Schematic configuration of athermal AWG

temperature sensitivity of silicone is  $d \hat{n}_c / dT = -37$ x 10<sup>-5</sup>(1/deg). Therefore the path length difference of silicone is  $\Delta \ell \cong \Delta \ell/37$ . Figure 11 shows temperature dependencies of pass wavelengths in athermal AWGs. conventional and temperature dependent wavelength change has been reduced from 0.95 nm to 0.05 nm in the 0 -85 °C range. The excess loss caused by the groove is about 2 dB which is mainly a diffraction loss. Figure 12 shows the loss change at 1552.52 nm during heat cycles from -40 to 85  $^{\circ}$ C. The loss change is smaller than 0.2 dB. Furthermore, the channel wavelength change was less than 0.02 nm in a long-term test over 5000 hours at 75  $^{\circ}$ C and 90  $^{\circ}$ C relative humidity.



**Fig. 11.** Temperature dependences of pass wavelengths.



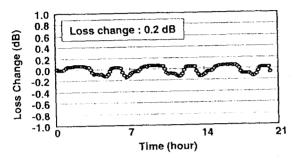


Fig. 12. Loss variation under heat cycle test from -40 to 85 °C.

# 3. Optical Add/Drop Multiplexer

An optical add/drop multiplexer (ADM) is a device that gives simultaneous access wavelength channels in a WDM communication systems. We proposed a novel optical ADM and demonstrated the basic functions of individually routing 16 different wavelength channels with 100-GHz channel spacing [23]. The waveguide configuration of 16ch optical ADM is shown in Fig. 13. It consists of four arrayed-waveguide gratings and 16 double-gate TO switches. Four AWGs are allocated with crossing their slab regions each other. These AWGs have the same grating parameters; they are, the channel spacing of 100 GHz and the free spectral range of 3300 GHz (26.4 nm) at 1.55 µm region. Equally spaced WDM signals,  $\lambda_1$ ,  $\lambda_2$ ,...,  $\lambda_{16}$ , which are coupled to the main input port (add port) in Fig. 13 are first demultiplexed by the AWG<sub>1</sub> (AWG<sub>2</sub>) then 16 signals introduced the are into lefthand-side (righthand-side arms) arms double-gate TO switches. The cross angle of the intersecting waveguides are designed to be larger than 30 degrees so as to make the crosstalk and insertion loss negligible. Any optical signal

coupled into the double-gate TO switch passes through the cross port of one of the four before interferometers (MZIs)Mach-Zehnder reaching the output port. In the single-stage MZI, the light extinction characteristics of the cross port is much better than that of the through port even when the coupling ratio of directional coupler is deviated from 3 dB. Therefore, the crosstalk of switch becomes substantially double-gate of the conventional than that improved single-stage TO switch. We designate here the "off" state of double-gate switch as the switching condition where signal from left input port (right input port) goes to right output port (left output port). The "on" state is then designated as the condition where signal from left input port (right input port) goes to left output port (right output port). When double-gate switch is "off", the demultiplexed light by AWG1 (AWG2) goes to the cross arm and multiplexed again by the AWG3 (AWG<sub>4</sub>). On the other hand, if double-gate switch is "on" state the demultiplexed light by AWG1 (AWG2) goes to the through arm and multiplexed by the AWG4 (AWG3). Therefore, any specific wavelength signal can be extracted from the main output port and led to the drop port by changing the corresponding switch condition. A signal at the same wavelength as that of the dropped component can be added to the main output port

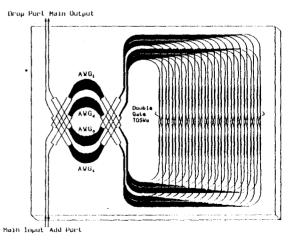


Fig. 13. Waveguide configuration of 16ch optical ADM with double-gate TO switches.

when it is coupled into add port in Fig. 13. When TO switches SW<sub>2</sub>, SW<sub>4</sub>, SW<sub>6</sub>, SW<sub>7</sub>, SW<sub>9</sub>, SW<sub>12</sub>, SW<sub>13</sub> and SW<sub>15</sub>, for example, are turned to "on" the selected signals  $\lambda_2$ ,  $\lambda_4$ ,  $\lambda_6$ ,  $\lambda_7$ ,  $\lambda_9$ ,  $\lambda$  $_{12}$ ,  $\lambda_{13}$  and  $\lambda_{15}$  are extracted from main output port (solid line) and led to the drop port (dotted line) as shown in Fig. 14. The on-off crosstalk is smaller than -28.4 dB with the on-chip losses of 8~10 dB. Since optical signals pass through both AWG<sub>3</sub> and AWG<sub>4</sub> the crosstalk level here is determined by the crosstalk in the arrayed-waveguides. The present optical ADM can transport all input signals to the succeeding stages without inherent power losses. Therefore, these ADMs are very attractive for all optical WDM routing systems and allow the network to be transparent to signal formats and bit rates.

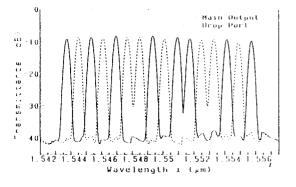


Fig. 14. Transmission spectra from main input port to main output port and drop port when TO switches SW<sub>2</sub>, SW<sub>4</sub>, SW<sub>6</sub>, SW<sub>7</sub>, SW<sub>9</sub>, SW<sub>12</sub>, SW<sub>13</sub>, and SW<sub>15</sub> are "on".

# Lattice-Form Programmable Dispersion Equalizers

An advantage of the PLC optical delay equalizer variable group-delay [24].[25] that characteristics can be achieved by the phase The waveguides. silica control configuration of the PLC delay equalizer is shown in Fig. 15. It consists of nine tunable couplers and eight asymmetrical Mach-Zehnder interferometers cascaded alternately in series. The cross port transfer function of the optical circuit is expressed

by a Fourier series as

$$H(z) = \sum_{k=0}^{8} \alpha \, k z^{-k+4} \tag{9}$$

where z denotes  $\exp(j2\pi \nu \Delta t)$  ( $\nu$ : optical frequency,  $\Delta t = n_c \Delta L/c$ : unit delay time difference in asymmetrical MZ interferometer) and  $\alpha_k$  is the complex expansion coefficient. The circuit design procedures are as follows. First the equalizer transfer function to be realized is expressed by the analytical function. Then coefficient  $\alpha_k$ 's are determined by expanding the analytical function into a Fourier series. Finally coupling ratio ( $\phi_i$ ) and phase shift value ( $\theta_i$ ) in each stage of lattice filter are determined by the filter synthesis method [26]. In ultrahigh speed optical fiber

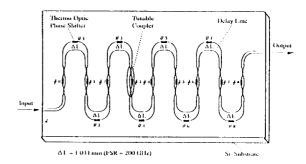
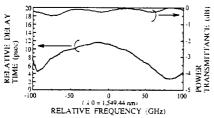
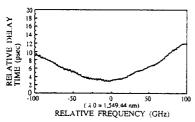


Fig. 15. Basic configuration of the PLC equalizer.

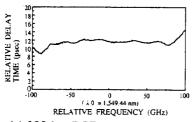
transmission systems (>100 Gbit/s), the effect of the higher order dispersion (third order dispersion or dispersion slope) in the dispersion shifted fiber (DSF) is one of the major factors limiting the transmission distance [27].Programmable dispersion equalizers can be designed so as to compensate for the higher order dispersion of DSFs. Figure 16(a) shows the measured power transmittance and relative delay time of the PLC higher order dispersion equalizer [28]. dispersion slope of the equalizer is calculated to be -15.8 ps/nm<sup>2</sup>. Figure 16(b) is the relative delay of the 300-km DSF. The dispersion slope of DSF is  $0.05 \sim 0.06$  ps/nm<sup>2</sup>. Therefore, the equalizer can the higher order dispersion compensate ~300-km of DSF. Figure 16(c) shows the relative delay time of 300-km DSF cascaded with the equalizer. The positive dispersion slope of the DSF is almost completely compensated by the 200 Gbit/s time-division-PLC equalizer. multiplexed transmission experiment using dispersion slope equalizer has been carried out 100-km fiber length [29]. The distortion caused by the dispersion slope was the almost completely recovered, and penalty was improved by more than 4 dB.



(a) Higher-Order Dispersion Equalizer



(b) 300-km Dispersion Shifted Fiber



(c) 300-km DSF + Equalizer

Fig. 16. Relative delay times of (a) PLC higher order dispersion equalizer, (b) 300-km DSF and (c) 300-km DSF + equalizer.

# 5. Hybrid Integration Technology using PLC Platforms

It is widely recognized that optical hybrid integration is potentially a key technology for fabricating advanced integrated optical devices [4].

A silica-based waveguide on a Si substrate is a promising candidate for the hybrid integration platform since high-performance PLCs have fabricated already been using silica-based waveguides and Si has highly stable mechanical and thermal properties which make it suitable as an optical bench. Figure 17 shows the PLC platform fabrication process [30]. First, a thick undercladding is deposited on a Si substrate with a terraced region using FHD, and then the surface the substrate is flattened by mechanical polishing. To minimize the optical coupling loss between the opto-electronics (OE) device on the terrace and optical waveguide, a thin layer is deposited on the polished substrate surface. The thickness of the layer corresponds to the height of the active region of OE device on the terrace. Then, a core layer is deposited and patterned into a core ridge by RIE. The core ridge is then covered by the overcladding layer. Finally, RIE is used to form the Si terrace for the OE devices on the PLC and the terrace surface is exposed. The relative positions of the core and Si terrace surface are determined precisely because the terrace acts as an etch-stop layer during the RIE process. As a result, Si terrace functions as both a high-precision alignment plane and heatsink when OE device is flip-chip bonded on the terrace.

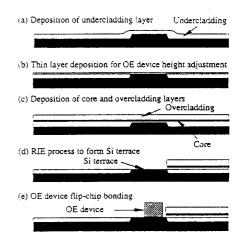
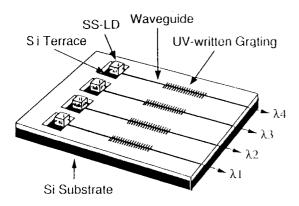


Fig. 17. PLC platform fabrication process.

PLC platform technology has also been utilized in fabrication of a hybrid integrated external 18 shows cavity laser [31]. Figure configuration of multiwavelength external cavity laser with a uv written grating [32]. Bragg gratings with 2-nm wavelength interval are written into each waveguide by ArF excimar laser irradiation through phasemasks. Figure 19 shows the measured output spectra. Each laser operates in a single longitudinal mode with a side-mode suppression of 40 dB. The temperature sensitivity of the oscillation frequency is -1.7 GHz/degree, which is one eighth of the DFB lasers. Four channel simultaneous modulation experiment has been successfully carried out at 2.5 Gbit/s [33]. Temperature stable multiwavelength source will



**Fig. 18.** Configuration of hybrid integrated multi-wavelength external cavity laser.

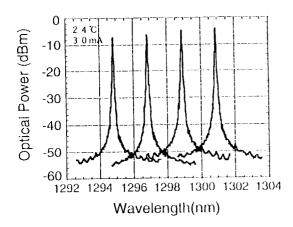


Fig. 19. Oscillation spectra of 4-wavelength laser.

play an important role in WDM transmission and access network systems.

### 6. Summary

Recent progress in planar lightwave circuits have been reviewed. The PLC technologies supported by continuous improvements in waveguide fabrication, circuit design and device packaging will further proceed to a higher level of integration of optics and electronics aiming at the next generation of telecommunication systems.

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