

The Effect of Additives on Twinning in ZnO Varistors

Se-Won Han^{*,**} and Hyung-Boo Kang^{*}

^{*}Department of Electrical Engineering, Hanyang University, Seoul, Korea
^{**}Division of Electrical materials, Korea Electrotechnology research Institute,
 PO Box 20, Changwon, Korea
 (Received May 4, 1998)

By comparison of the experimental results in two systems of ZnO varistors, it's appear that Sb₂O₃ is the indispensable element for twinning in ZnO varistors and the Zn₇Sb₂O₁₂ spinel acts as the nucleus to form twins. Al₂O₃ is not the origin of twinning in ZnO varistor, but it was found that Al₂O₃ could strengthen the twinning and form a deformation twinning by ZnAl₂O₄ dragging and pinning effect. The inhibition ratios of grain growth and nonuniformity of two systems ZnO varistors increase with the increase of Al₂O₃ content. The twins affect the inhibition of grain growth, the mechanism could be explained follow as: twins increase the mobility viscosity of ZnO grain and grain boundary, and drag ZnO grain and liquid grain boundary during the sintering, then the grain growth is inhibited and the microstructure becomes more uniform.

Key words : Twinning, ZnO Varistor, Al₂O₃, Sb₂O₃, Dragging and pinning effect, Deformation twinning, Nonuniformity

I. Introduction

Various additives are added into ZnO to improve physical properties of varistors. Bi₂O₃ is used to form the grain boundary layer and Sb₂O₃ is added to ZnO varistor to control densification and grain growth.^{1,2)} The inhibition of grain growth by Sb₂O₃ is considered to be dominated by a drag mechanism of Zn₇Sb₂O₁₂ spinel and a reduction of grain boundary mobility due to twin formation in virtually all ZnO grain.¹⁾ Optimum addition of Al₂O₃ to ZnO effectively improve the nonlinearity by delaying the onset of the upturn-voltage and inhabit the grain growth.^{3,6)}

Twinning is a common phenomena in ZnO varistors containing Sb₂O₃.^{1,7-17)} Wang. *et al.*⁷⁾ observed that grains in commercial ZnO varistors (Harris Co.) comprise twinning. Gupta⁸⁾ noted that the grains in commercial polycrystalline ZnO varistors are always accompanied by twins. Senda and Bradt¹⁴⁾ discussed the twinning mechanism in ZnO ceramics containing Sb₂O₃. In other hand, Kim and Goo¹⁰⁾ researched the stacking layer of the cation and anion in the twin boundary of ZnO added with Sb₂O₃, Bi₂O₃ and CoO in detail and identified that the cation and anion are associated closely with the twin boundary and described the head-to-head configuration which results from this twinning in the ZnO structure with polar hexagonal. However, there were no discussions about different additives on twinning in any literatures. Especially, there is no the research of effects of Al₂O₃ on twinning. The purposes of this paper is to investigate complex effects of different additives on twinning and analyze the effect of twins on grain growth in ZnO varistors.

II. Samples Preparation

ZnO varistor samples are prepared in two different systems to analyze the effects of Al₂O₃ and Sb₂O₃ on twinning. A-series consist of 7 compositions according to Al₂O₃ contents in ZnO, 3wt%Bi₂O₃, 3.6wt%Sb₂O₃, 1.16wt%Co₂O₃, 0.88wt%NiO, 0.71wt%MnO₂, 0.93wt%Cr₂O₃ in Table 1. B-series are same with A-series beside Sb₂O₃.

ZnO varistor samples are made by the conventional technique.¹⁰⁾ The mixtures of raw materials are dried by spraying at 8000 rpm and then pressed into discs at a pressure of 600 kg/cm². The pressed bodies are sintered at 1200°C for 2 hrs. in air and cooled to room temperature. The final size of samples is 15 mm in diameter and 1 mm in thickness. The samples are mechanically grounded by SiC papers and then polished with 1-μm Al₂O₃ powder. The

Table 1. The Compositions of ZnO Varistor Samples

A-Series	ZnO-3.0wt%Bi ₂ O ₃ -3.6wt% Sb ₂ O ₃ -1.6wt%Co ₂ O ₃ -0.88wt% NiO-0.71wt%MnO ₂ -0.93wt% Cr ₂ O ₃	A1	Al ₂ O ₃ -None
		A2	Al ₂ O ₃ -0.005wt%
		A3	Al ₂ O ₃ -0.02wt%
		A4	Al ₂ O ₃ -0.1wt%
		A5	Al ₂ O ₃ -0.5 %
		A6	Al ₂ O ₃ -1.0wt%
		A7	Al ₂ O ₃ -5.0wt%
B-Series	ZnO-3.0wt%Bi ₂ O ₃ - 1.16wt%Co ₂ O ₃ -0.88wt% NiO-0.71wt%MnO ₂ -0.93wt% Cr ₂ O ₃	B1	Al ₂ O ₃ -None
		B2	Al ₂ O ₃ -0.005wt%
		B3	Al ₂ O ₃ -0.02wt%
		B4	Al ₂ O ₃ -0.1wt%
		B5	Al ₂ O ₃ -0.5wt%
		B6	Al ₂ O ₃ -1.0wt%
		B7	Al ₂ O ₃ -5.0wt%

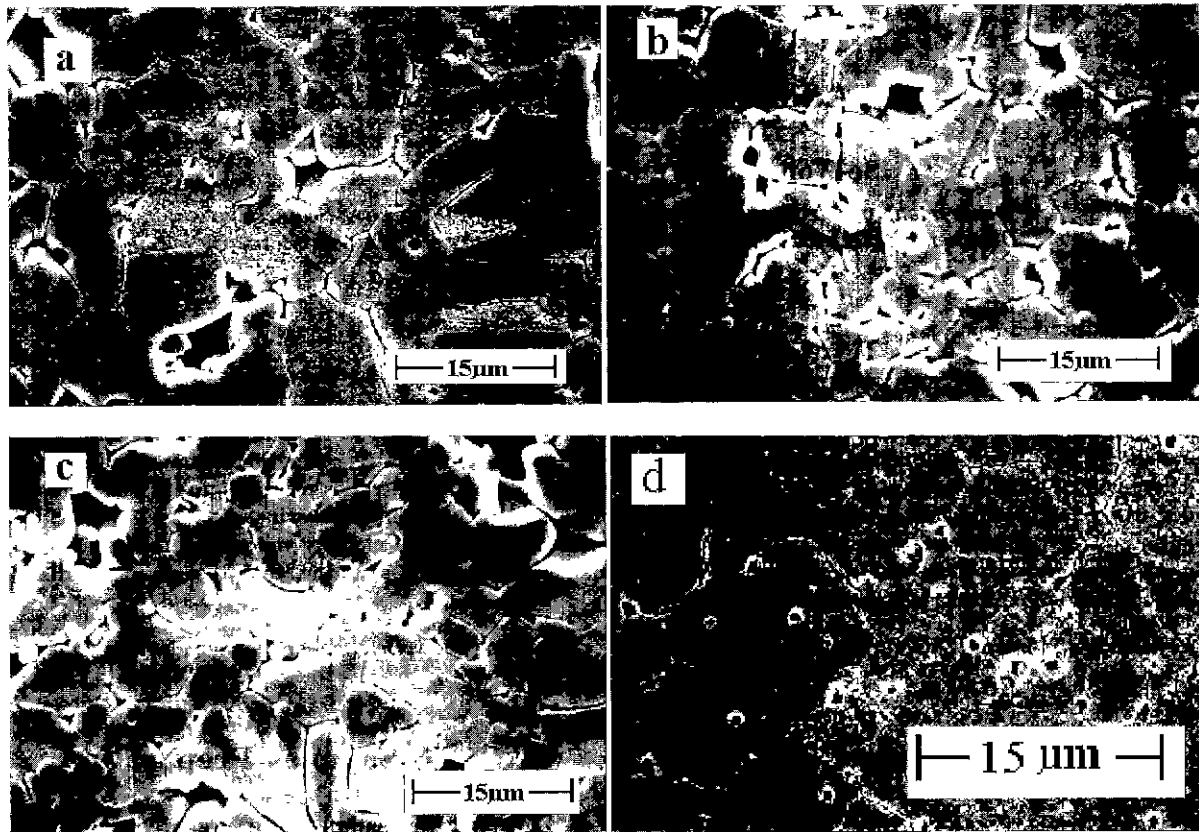


Fig. 1. SEM photos of twinning in commercial ZnO varistors with different Al_2O_3 content, (a) 0 wt%, (b) 0.005 wt%, (c) 1wt% Al_2O_3 and (d) sample of B series with 0.5 wt% Al_2O_3 .

polished surfaces are etched with a 2% HClO_4 -ethanol solution and observed with a scanning electron microscope (SEM)(Hitachi, S-2700). X-ray diffraction analyser (Phillips, PW 1830) is used to analyse the crystalline phase of samples by using $\text{Al-K}\alpha$ target at 1.5°/min. scanning speed. Specimens for the transmission electron microscope (TEM) (Hitachi, H-9000 NAR) are prepared by cutting 3 mm diameter disks with an ultrasonic cutter and grounded to less than 100 μm with SiC papers and polished with 1 μm Al_2O_3 powder. The specimens are then thinned to 1000 \AA by ion milling method, and investigated at an acceleration voltage of 300 kV. The operating parameters of TEM are $\lambda=1.8\text{\AA}$ and camera length, L, of 50 cm.

III. Results and Discussion

1. The effect of Sb_2O_3 on twinning in ZnO varistors

SEM photos of two different systems of ZnO varistors are given in Fig. 1. There are always twinning existing in ZnO grains of A-series, but no twinning in grains of Bi-series. This phenomenon shows that the Al_2O_3 dopant is not the origin of twinning in ZnO varistor.

Ordinally, commercial ZnO varistor consists of additions, such as Bi_2O_3 , Co_3O_4 , Cr_2O_3 , MnO_2 , Sb_2O_3 , Al_2O_3 and Nb_2O_5 . Gupta⁹ reported that ZnO varistor containing Bi_2O_3 and other additions, such as Co, Mn, and Sb etc., generated

crystallographic twins. But there was not twinning existing in $\text{ZnO-Pr}_6\text{O}_{11}$ varistors containing Co, K, Cr, etc. additions.^{9,10} Senda and Bradt¹⁷ and Gupta⁹ did not observe twinning in pure ZnO and $\text{ZnO-Bi}_2\text{O}_3$ varistor, but twinning was always observed in ZnO varistors with Sb_2O_3 .¹¹ From our observation in two different systems of ZnO varistors and results in other literatures mentioned above, it is evident that Sb_2O_3 act as the indispensable element for twinning in ZnO varistors. Senda and Bradt¹¹ analyzed the formation intensity of the twinning to Sb_2O_3 added to pure ZnO. They observed a single twin boundary in every ZnO, regardless of the Sb_2O_3 content, the sintering temperature or the grain size of the ZnO ceramics. It was statistically studied by Yamamoto *et al.*^{9,10} that the individual twin boundary was always located at or near to the center of the ZnO grain. Similarly, in our experimental results, it was observed that all twins are single ones located at or near to the centers of ZnO grains from the SEM photo of sample A1 without Al_2O_3 in Fig. 1(a).

Fig. 2(a), (b) are the TEM photos of selected-area-diffraction pattern (SADP) and the bright-image in the ZnO grain of sample A4, respectively. The bright-image in Fig. 2(b) shows a continuous thickness fringes across the ZnO grain, which are known as a typical phenomenon of twinning.¹⁴ Then, the selected-area-diffraction pattern (SADP) at the [1-1 1] zone axis is illustrated in Fig. 2(a).

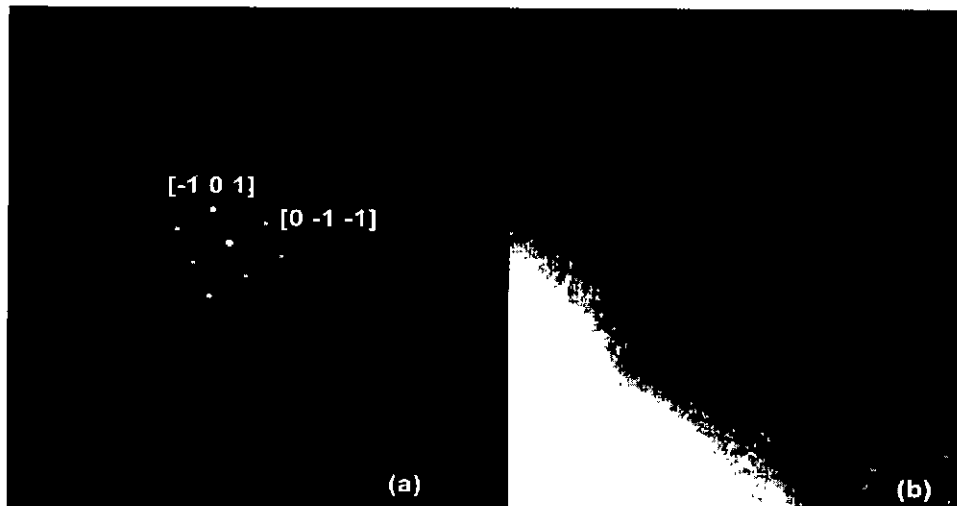


Fig. 2. TEM photos of twins in the ZnO grain of sample A4 (0.1wt% Al_2O_3 content) (a) the selected-area-diffraction pattern (SADP) and (b) the bright-image.

ZnO has the wurtzite structure and belongs to the $P6_3mc$ space group which is hexagonal and polar. The wurtzite structure of ZnO can be described as a close packed oxygen anion structure consisting of layer of oxygen anions with the smaller zinc cations located at the tetrahedral interstices. It is necessary to consider if either the antimony oxide or the $\text{Zn}_7\text{Sb}_2\text{O}_{12}$ spinel structure may have any relationship or potential effect on the ZnO structure to twin. The spinel structure is not too different in its atomic arrangement from the wurtzite structure, as it also consists of stacked layers of close packed oxygen anions.^{13,12)} This suggests that perhaps the twinning condition that is created by the addition of antimony oxide to ZnO may be similar in its fundamental nature to the traditional hexagonal/cubic/hexagonal stacking layer sequence which so often occurs for the twinning of hexagonal materials.¹²⁾

2. Strengthening effect of Al_2O_3 on twinning and deformation

The number of twins and ZnO grains number were counted from the SEM photo and the ratio of twinning was calculated with Al_2O_3 contents, which is illustrated in Fig. 3. As discussed above, the Al_2O_3 dopant is not the origin of twinning in ZnO varistor, but the twinning ratio increases obviously with the increase of Al_2O_3 content. When Al_2O_3 content reaches 1wt%, about 50-60 percent of ZnO grains was twins. Therefore, it appears that the Al_2O_3 is not the origin of twinning in ZnO varistors, but it promotes twin formation.

The microstructures of twins with Al_2O_3 are not same with those in ZnO- Sb_2O_3 and ZnO- Sb_2O_3 - Bi_2O_3 systems varistors. Only a part of twins with Al_2O_3 have twin grain boundary always located at or near to the center of the ZnO grain caused by grain growth mechanism.¹⁴⁾ And other twin boundaries show a complicated shapes. When

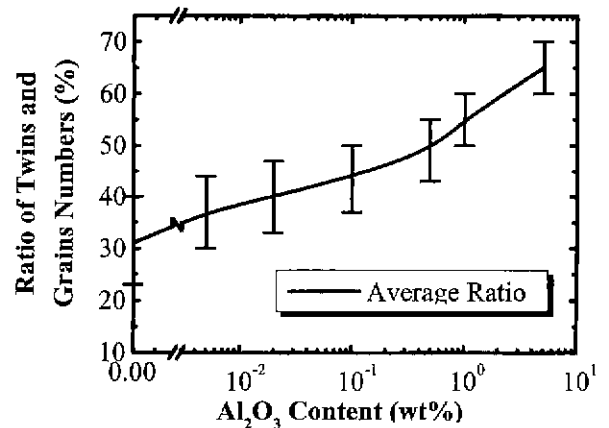


Fig. 3. The ratio of twinning with different Al_2O_3 contents.

the Al_2O_3 content is small, a large number of twins are growth twins and complicated twins are observed only few. But the portion of grains having complicated twin boundaries increase with the increase of Al_2O_3 content. The typical SEM photo of complicated twins are shown in Fig. 4. We can observe No.2, No.3, No.6, No.8, No.12, No.17, No.18 grains have growth twins; No.1 and No.13 grains have zigzag twin boundaries; No. 7 and No.14 grains have "Z" shape twin boundaries; No.10, No.11, and No.16 have triangle twin boundaries. In the results, it would be said that these complicated twins are belong to a kind of deformation twins.¹⁴⁾

The possible mechanism to generate deformation twinning could be explained when Al_2O_3 is added to ZnO- Bi_2O_3 - Sb_2O_3 system ZnO varistors. During sintering, Al_2O_3 reacts with ZnO to form ZnAl_2O_4 spinel, which was observed by X-ray diffraction of ZnO varistors as given in Fig. 5. But the ZnAl_2O_4 spinel can not act as nucleus to form twin like $\text{Zn}_7\text{Sb}_2\text{O}_{12}$ spinel, because twinning is not



Fig. 4. The deformation twins in ZnO varistor sample of A-series with 1 wt% Al_2O_3 addition.

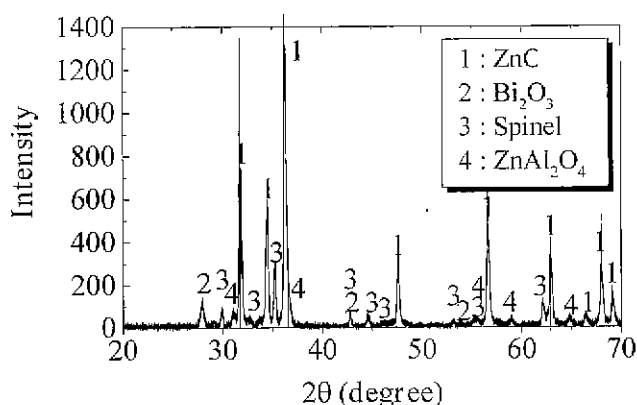


Fig. 5. X-ray diffraction pattern of commercial ZnO varistor with 5 wt% Al_2O_3 .

observed in ZnO- Bi_2O_3 - Al_2O_3 system ceramics. As discussed by other researchers,^{3,6)} ZnAl_2O_4 spinel inhibit the grain growth by dragging and pinning effect at the grain boundary. Then mechanical stress would be generated when ZnO grain collide with ZnAl_2O_4 spinel due to thermal motion. In the other hand, thermal stresses would be developed between the ZnO primary and the ZnAl_2O_4 spinel as a sequence of thermal expansion due to the mismatch of those phases. The mechanical and thermal stresses would lead to deformation twinning. Where it was known that the small thermal stress could not generate the serious deformation twin in ZnO varistors.¹⁴⁾

Consequently, when the ZnAl_2O_4 spinel pins in the grain boundary during sintering, it blocks the motion of

liquid Bi_2O_3 grain boundary by dragging and pinning effect and then its wrapping effect to $\text{Zn}_7\text{Sb}_2\text{O}_{12}$ spinel would be reduced, and more twins are generated. With the increase of Al_2O_3 content, more ZnAl_2O_4 spinels would be formed and then the blocking effect to liquid Bi_2O_3 grain boundary increases. This causes the wrapping effect weaken and then more $\text{Zn}_7\text{Sb}_2\text{O}_{12}$ spinels are free to form twins as nuclei. In the other hand, the mechanical stress generated by ZnO grain colliding with ZnAl_2O_4 spinel due to thermal motion increases, and leads to form more deformation twins.

3. Inhibition effect of twins on grain growth in ZnO varistors

To estimate the inhibition effects on grain growth in ZnO varistors, the average intercept length and its respective standard deviation of ZnO varistor grains are used. The inhibition of grain growth is observed with the increase of Al_2O_3 contents in two systems as discussed in literature.^{2,3)} The standard deviation becomes smaller, and the microstructure of ZnO varistor becomes more uniform with the increase of Al_2O_3 .

In order to analyze the effect of Al_2O_3 on grain growth, the inhibition ratio of grain growth and nonuniformity inhibition ratio are calculated. Then, the inhibition ratio of grain growth K_g is defined as

$$K_g = (D_0 - D_i) / D_0, \quad (1)$$

here, D_0 is the average grain size of the sample of B-series without Al_2O_3 , and D_i is the average grain size of sample of A and B-series with i wt% Al_2O_3 . And the

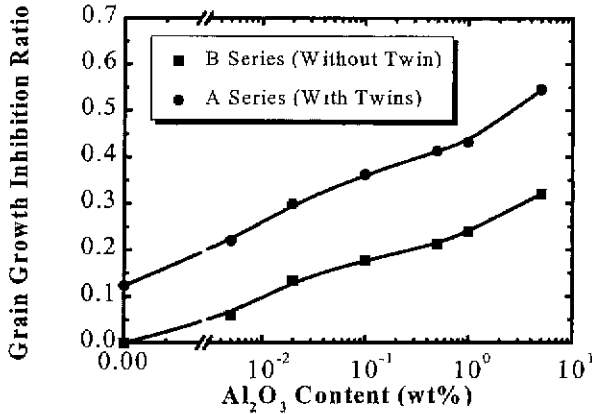


Fig. 6. The inhibition ratio of Grain growth with different Al₂O₃ contents.

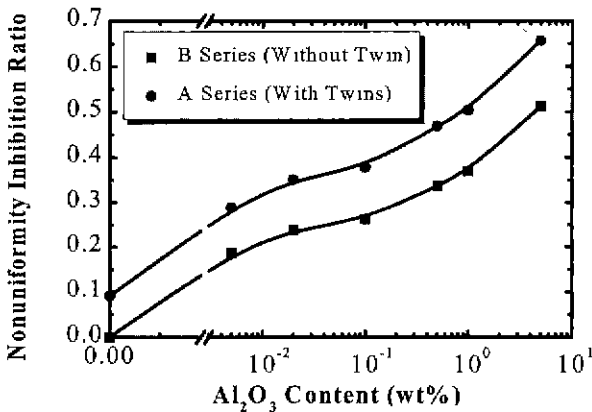


Fig. 7. Nonuniformity inhibition ratio of with different Al₂O₃ contents.

nonuniformity inhibition ratio K_u is defined as

$$K_u = (\sigma_0 - \sigma_i) / \sigma_0, \tag{2}$$

here, σ_0 is the standard deviation of the sample of B-series without Al₂O₃ and σ_i is the standard deviation of sample in A and B-series with i wt% Al₂O₃. Because the grain growth of the sample of B-series without Al₂O₃ is not affected by Al₂O₃, Sb₂O₃ and twin, its average and standard deviation are used as the comparing criterion to calculate K_g and K_u . Therefore, higher K_g is, better the inhibition effect is and higher K_u is, more uniform the ZnO varistor is.

Fig. 6 and Fig. 7 show that the inhibition ratios of grain growth and nonuniformity of two systems ZnO varistors increase with the increase of Al₂O₃ content. As discussed by Nunes and Bradt,²³ Quadir and Readey,²³ Al₂O₃ reacts with ZnO to form ZnAl₂O₄ spinel during sintering. ZnAl₂O₄ spinel inhabits the grain growth by dragging and pinning effects. So, because more ZnAl₂O₄ spinels are formed, the inhibition effect on grain growth is stronger and the the microstructure of ZnO varistor becomes more uniform with the increase of Al₂O₃ content.

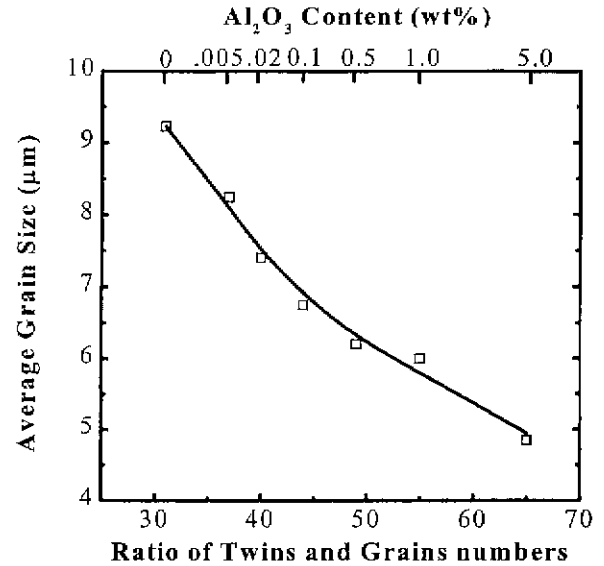


Fig. 8. The relation between the average grain size and the ratio of twins and grains numbers of ZnO varistors.

In other hand, when Sb₂O₃ is added into ZnO varistor, the Zn₇Sb₂O₁₂ spinel inhibits the grain growth, too. Senda and Bradt¹¹ suggested that Sb₂O₃ effect on grain growth inhibition in ZnO-Sb₂O₃ system varistor. They supposed that both the Zn₇Sb₂O₁₂ spinel and the twins are responsible for the ZnO grain growth inhibition by Sb₂O₃.

All samples in B-series do not contain any twin. So the inhibition of grain growth in B-series is the only effect of Al₂O₃. But in A-series with Sb₂O₃, all samples have twins. As a result, the inhibition ratio of grain growth in A-series is the total effect by Al₂O₃, Sb₂O₃ and twins.

The relationship among twins ratio, Al₂O₃ content and average grain size of A-series ZnO varistors are given in Fig. 8. When Al₂O₃ is added into A-series ZnO varistors, the twin obviously increases with the increase of Al₂O₃ content. This result appears that Al₂O₃ affects the twin formation.

If the twins do not affect the ZnO grain growth and the uniformity in ZnO varistors, then there should be only a constant differences between two curves in Fig. 6 and Fig. 7 for simplification, where, the constant differences is the influence of Sb₂O₃ on grain growth inhibition by the dragging effect of formed Zn₇Sb₂O₁₂ spinel. But according to our experimental results, it is shown that there are the difference existing in two different systems ZnO varistors and the difference becomes larger with the increase of Al₂O₃ content.

The effects of only twins on ZnO grain growths and the uniformity in microstructures of ZnO varistors are given in Fig. 9 and Fig. 10. However, the differences contain the effects of the Zn₇Sb₂O₁₂ spinel, which are difficult to be expelled from those differences. The inhibition ratios of grain growth and the nonuniformity increases obviously with the increase of twins in Fig. 9

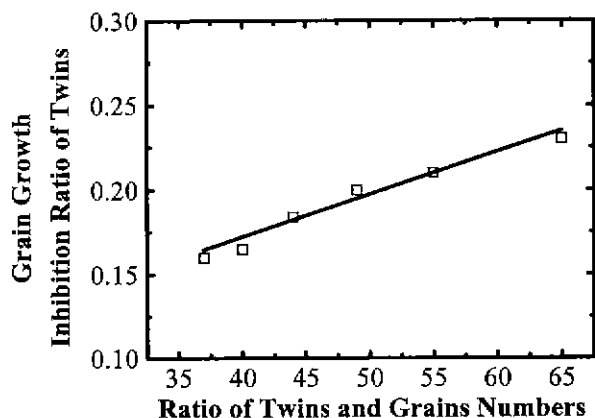


Fig. 9. Twins effect on the inhibition of ZnO grain growth in ZnO varistors.

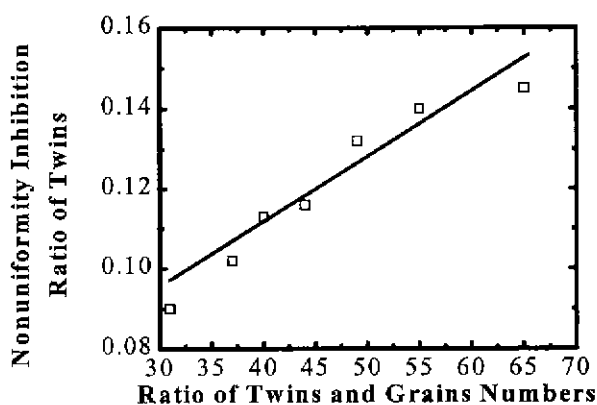


Fig. 10. Twins effect on the nonuniformity in microstructure of ZnO varistors.

and Fig. 10. Therefore, it is confirmed that the twins have identically effects on the ZnO grain growths and the uniformity in microstructures of ZnO varistors.

IV. Conclusions

1. By comparison of the experimental results in two different systems of ZnO varistors, it is shown that Sb_2O_3 acts as the indispensable element for twinning in ZnO varistors and the $Zn_7Sb_2O_{12}$ spinel acts as nuclei to form twins.

2. The Al_2O_3 dopant is not the origin of twinning in ZnO varistor, but Al_2O_3 promoted the twinning and formed $ZnAl_2O_4$ which deformed twinning by dragging and pinning.

3. The inhibition ratios of grain growth and nonuniformity of two systems ZnO varistors increase with Al_2O_3 content.

4. The twins affects the inhibition of ZnO grain growth. Grain inhibition mechanism by twins could be explained that the twins decrease the mobility of ZnO grain and

increase of viscosity of grain boundary, and drag ZnO grain and liquid grain boundary, and then the grain growth is inhibited and the microstructure becomes more uniform.

References

1. T. Senda and R. C. Bradt, "Grain Growth in Zinc Oxide During the Sintering of Zinc Oxide-Antimony Oxide Ceramics," *J. Am. Ceram. Soc.*, **74**(6), 1296-1302 (1991).
2. S. I. Nunes and R. C. Bradt, "Grain Growth of ZnO in ZnO-Bi₂O₃ Ceramics with Al₂O₃ Additions," *J. Am. Ceram. Soc.*, **78**(9), 2469-2475 (1995).
3. T. Quadir and D. W. Readey, "Microstructure Development of Zinc Oxide in Hydrogen," *J. Am. Ceram. Soc.*, **72**(2), 297-302 (1989).
4. T. Miyoshi, K. Maeda, K. Takahashi and T. Yamazaki, "Effects of Dopants on the Characteristics of ZnO Varistors"; pp. 309-315 in *Advances in Ceramics*, Vol. 1. Edited by L. M. Levinson, American Ceramic Society, (1981).
5. W. G. Carlson and T. K. Gupta, "Improved Varistor Non-linearity via Donor Impurity Doping," *J. Appl. Phys.*, **53**(8), 5746-5753 (1982).
6. W. G. Carlson, T. K. Gupta and P. L. Hower, presented at the Annual Meeting of the American Ceramic Society (Cincinnati, Ohio, April 29-May 2, 1979), Paper No. 32-E-79.
7. H. Wang, W. Li and J. F. Cordaro, "Single Junction in ZnO Varistors Studied by Current-Voltage Characteristics and Deep Level Transient Spectroscopy," *Jpn. J. Appl. Phys.*, **34**(4A), 1765-1771 (1995).
8. T. K. Gupta, "Application of Zinc Oxide Varistors," *J. Am. Ceram. Soc.*, **73**(7), 1817-1840 (1990).
9. T. Yamamoto, H. Hirata and K. Okazaki, pp. 56-57 in Proc. 2nd Fall Symp., *Ceram. Soc.*, Jan. 1989.
10. F. D. Martzloff and L. M. Levinson, pp. 275-305 in *Electronic Ceramics*. Edited by Levinson, Marcel Dekker Pub., New York, 1988.
11. T. Yamamoto, Y. Suzuki, H. Hirata and K. Okazaki, pp. 202, in Proc. 1990 Annual Meeting of Ceram. Soc. of Jpn., 1990.
12. T. Senda and R. C. Bradt, "Grain Growth in Zinc Oxide During the Sintering of Zinc Oxide-Antimony Ceramics," *J. Am. Ceram. Soc.*, **74**, pp. 1296 (1991).
13. J. C. Kim and E. Goo, "Inversion Twin Boundaries in Zinc Oxide," *J. Am. Ceram. Soc.*, **73**, 877-884 (1990).
14. T. Senda and R. C. Bradt, "Twinning in ZnO Ceramics with Sb₂O₃ Additions," *J. Jpn. Ceram. Soc.*, **99**, 727-731 (1991).
15. J. C. Kim and E. Goo, "Morphology and Formation Mechanism of the Pyrochlore Phase in ZnO Varistor Materials," *J. Mater. Sci.*, **24**, 76-82 (1989).
16. L. M. Levinson and H. R. Philipp, "Zinc Oxide Varistor - A Review," *Am. Ceram. Soc. Bull.*, **65**, 639-646 (1986).
17. T. Senda and R. C. Bradt, "Grain Growth in Sintered ZnO and ZnO-Bi₂O₃ Ceramics," *J. Am. Ceram. Soc.*, **73**(1), 106-114 (1990).