A GEOMETRIC CRITERION FOR MEMBERSHIP IN NEW CLASSES $\mathbb{A}_{1,1}^2(r)$

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1. Introduction

Let \mathcal{H} be a separable, infinite dimensional, complex Hilbert space and let $\mathcal{L}(\mathcal{H})$ denote the algebra of all bounded linear operators on \mathcal{H} . A dual algebra is a subalgebra of $\mathcal{L}(\mathcal{H})$ that contains the identity operator $1_{\mathcal{H}}$ and is closed in the ultraweak operator topology on $\mathcal{L}(\mathcal{H})$. For $T \in \mathcal{L}(\mathcal{H})$, let \mathcal{A}_T denote the smallest subalgebra of $\mathcal{L}(\mathcal{H})$ that contains T and $1_{\mathcal{H}}$ and is closed in the ultraweak operator topology. Moreover, let $Q_{\mathcal{A}_T}$ denote the quotient space $C_1(\mathcal{H})/{}^{\perp}\mathcal{A}_T$, where $C_1(\mathcal{H})$ is the trace class ideal in $\mathcal{L}(\mathcal{H})$ under the trace norm, and ${}^{\perp}\mathcal{A}_T$ denotes the preannihilator of \mathcal{A}_T in $C_1(\mathcal{H})$. For a brief notation, we shall denote $Q_{\mathcal{A}_T}$ by Q_T . One knows that \mathcal{A}_T is the dual space of Q_T and that the duality is given by

(1)
$$\langle A, [L] \rangle = tr(AL), \quad A \in \mathcal{A}_T, \quad [L] \in Q_T.$$

The Banach space Q_T is called a *predual* of \mathcal{A}_T . For x and y in \mathcal{H} , we can write $x \otimes y$ for the rank one operator in $\mathcal{C}_1(\mathcal{H})$ defined by

(2)
$$(x \otimes y)(u) = (u, y)x, \quad \forall u \in \mathcal{H}$$

The theory of dual algebras is applied to the study of invariant subspaces, dilation theory, and reflexivity. The classes $\mathbb{A}_{m,n}$ (to be defined in Section 2) were defined by Bercovici-Foias-Pearcy in [3]. Also these classes are closely related to the study of the theory of dual algebras.

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Especially, B. Chevreau and C. Pearcy [7] defined the properties $E_{\theta,\gamma}^r$ (to be defined in Section 2), and B. Chevreau, G. Exner and C. Pearcy [6] obtained some new sufficient conditions for membership in the class \mathbb{A}_{1,\aleph_0} (to be defined in Section 2) concerning the properties $E_{\theta,\gamma}^r$. In this paper, we construct new classes and obtain a geometric criterion for membership in the classes $\mathbb{A}_{m,n}^l$ (to be defined in Section 3).

2. Notation and preliminaries

The notation and terminology employed herein agree with those in [4], [5], [7], [12]. We shall denote by D the open unit disc in the complex plane C, and we write \mathbb{T} for the boundary of D. The space $L^p = L^p(\mathbb{T}), 1 \leq p \leq \infty$, is the usual Lebesgue function space relative to normalized Lebesgue measure m on \mathbb{T} . The space $H^p = H^p(\mathbb{T}), 1 \leq p \leq \infty$, is the usual Hardy space. It is well-known that the space H^{∞} is the dual space of L^1/H_0^1 , where

(3)
$$H_0^1 = \{ f \in L^1 : \int_0^{2\pi} f(e^{it}) e^{int} dt = 0, \text{ for } n = 0, 1, 2, \dots \},$$

and the duality is given by the pairing

(4)
$$\langle f, [g] \rangle = \int_T fg dm \quad \text{for} \quad f \in H^\infty, \quad [g] \in L^1/H_0^1.$$

Recall that any contraction T can be written as a direct sum $T = T_1 \oplus T_2$, where T_1 is a completely nonunitary contraction and T_2 is a unitary operator. If T_2 is absolutely continuous or acts on the space (0), T will be called an *absolutely continuous contraction*. The following Foias-Sz.Nagy functional calculus provides a good relationship between the function space H^{∞} and a dual algebra \mathcal{A}_T .

THEOREM 2.1 [4, Theorem 4.1]. Let T be an absolutely continuous contraction in $\mathcal{L}(\mathcal{H})$. Then there is an algebra homomorphism Φ_T : $H^{\infty} \to \mathcal{A}_T$ defined by $\Phi_T(f) = f(T)$ such that

- (a) $\Phi_T(1) = 1_{\mathcal{H}}, \quad \Phi_T(\xi) = T,$
- (b) $\|\Phi_T(f)\| \le \|f\|_{\infty}, \quad f \in H^{\infty},$
- (c) Φ_T is continuous if both H^{∞} and \mathcal{A}_T are given their weak^{*} topologies,

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- (d) the range of Φ_T is weak^{*} dense in \mathcal{A}_T ,
- (e) there exists a bounded, linear, one-to-one map $\phi_T : Q_T \to L^1/H_0^1$ such that $\phi_T^* = \Phi_T$, and
- (f) if Φ_T is an isometry, then Φ_T is a weak^{*} homeomorphism of H^{∞} onto \mathcal{A}_T and ϕ_T is an isometry of Q_T onto L^1/H_0^1 .

DEFINITION 2.2 [3]. Let $\mathcal{A} \subset \mathcal{L}(\mathcal{H})$ be a dual algebra and let m and n be any cardinal numbers such that $1 \leq m, n \leq \aleph_0$. A dual algebra \mathcal{A} will be said to have property $(\mathbb{A}_{m,n})$ if $m \times n$ system of simultaneous equations of the form

(5)
$$[x_i \otimes y_j] = [L_{i,j}], \quad 0 \le i < m, 0 \le j < n,$$

where $\{[L_{i,j}]\}_{\substack{0 \le i < m \\ 0 \le j < n}}$ is an arbitrary $m \times n$ array from $Q_{\mathcal{A}}$, has a solution $\{x_i\}_{0 \le i < m}, \{y_j\}_{0 \le j < n}$ consisting of a pair of sequences of vectors from \mathcal{H} . Furthermore, if m and n are positive integers and r is a fixed real number satisfying $r \ge 1$, a dual algebra \mathcal{A} (with property $(\mathbb{A}_{m,n})$) is said to have property $(\mathbb{A}_{m,n}(r))$ if for every s > r and every $m \times n$ array $\{[L_{i,j}]\}_{\substack{0 \le i < m \\ 0 \le j < n}}$ from $Q_{\mathcal{A}}$ such that the rows and columns of the matrix $([L_{i,j}])$ are summable, there exist sequences $\{x_i\}_{0 \le i < m}$ and $\{y_j\}_{0 \le j < n}$ from \mathcal{H} that satisfy (5) and also satisfy the following conditions:

(6a)
$$||x_i||^2 \le s \sum_{0 \le j < n} ||[L_{ij}]||, \ 0 \le i < m,$$

and

(6b)
$$||y_j||^2 \le s \sum_{0 \le i < m} ||[L_{ij}]||, \ 0 \le j < n.$$

Finally, a dual algebra $\mathcal{A} \subset \mathcal{L}(\mathcal{H})$ has property $(\mathbb{A}_{m,\mathbb{N}_0}(r))$ (for some real number $r \geq 1$) if for every s > r and every array $\{[L_{i,j}]\}_{\substack{0 \leq i < m \\ 0 \leq j < \infty}}$ from $Q_{\mathcal{A}}$ with summable rows, there exist sequences $\{x_i\}_{0 \leq i < m}$ and $\{y_j\}_{0 \leq j < \infty}$ from \mathcal{H} that satisfy (5) and (6a, b) with the replacement of n by \mathbb{N}_0 . Properties $(\mathbb{A}_{\mathbb{N}_0,n}(r))$ and $(\mathbb{A}_{\mathbb{N}_0,\mathbb{N}_0}(r))$ are defined similary. For brief notation, we shall denote $(\mathbb{A}_{n,n})$ by (\mathbb{A}_n) . Furthermore, if m and n are cardinal numbers such that $1 \leq m, n \leq \mathbb{N}_0$, we denote by $\mathbb{A}_{m,n} = \mathbb{A}_{m,n}(\mathcal{H})$ the set of all T in $\mathbb{A}(\mathcal{H})$ such that the singly generated dual algebra \mathcal{A}_T has property $(\mathbb{A}_{m,n})$.

DEFINITION 2.3 [7]. Suppose $\mathcal{A} \subset \mathcal{L}(\mathcal{H})$ is a dual algebra and $\theta \leq \theta < \gamma \leq 1$. We denote by $\mathcal{E}_{\theta}^{r}(\mathcal{A})$ (resp. $\mathcal{E}_{\theta}^{l}(\mathcal{A})$) the set of all [L] in $Q_{\mathcal{A}}$ such that there exist sequences $\{x_{i}\}_{i=1}^{\infty}$ and $\{y_{i}\}_{i=1}^{\infty}$ of vectors from \mathcal{H} satisfying

- (a) $\limsup_{i\to\infty} \|[x_i\otimes y_i] [L]\| \le \theta$,
- (b) $||x_i|| \le 1$, $||y_i|| \le 1$, $1 \le i < \infty$,
- $(c^r) ||[x_i \otimes z]|| \to 0$ for all z in $\mathcal{H}(resp.(c^l)||[z \otimes y_i]|| \to 0$ for all z in \mathcal{H}), and
- $(d^r) \{y_i\}$ converges weakly to zero (resp. $(d^l) \{x_i\}$ converges weakly to zero).

For $0 \leq \theta < \gamma \leq 1$, the dual algebra \mathcal{A} is said to have property $E_{\theta,\gamma}^r$ (resp. $E_{\theta,\gamma}^l$) if the closed absolutely convex hull of the set $\mathcal{E}_{\theta}^r(\mathcal{A})$ (resp. $\mathcal{E}_{\theta}^r(\mathcal{A})$) contains the closed ball $B_{0,\gamma}$ of radius γ centered at the origin in $Q_{\mathcal{A}}$:

(7)
$$\overline{aco}(\mathcal{E}^{*}_{\theta}(\mathcal{A})) \supset \{[L] \in Q_{\mathcal{A}} : \|[L]\| \leq \gamma\} = B_{0,\gamma}.$$

$$(resp. \quad \overline{aco}(\mathcal{E}^{l}_{\theta}(\mathcal{A})) \supset B_{0,\gamma})$$

To establish our results, it will be convenient to use the minimal coisometric extension theorem [12]: every contraction T in $\mathcal{L}(\mathcal{H})$ has a minimal coisometric extension $B = B_T$ that is unique up to unitary equivalence. Given such T and B, one knows that there exists a canonical decomposition of the isometry B^* as

$$B^* = S \oplus R^*$$

corresponding to a decomposition of the space

(9)
$$\mathcal{K} = \mathcal{S} \oplus \mathcal{R},$$

where, if $S \neq (0)$, S is a unilateral shift operator of some multiplicity in $\mathcal{L}(S)$, and, if $\mathcal{R} \neq (0)$, R is a unitary operator in $\mathcal{L}(\mathcal{R})$. Of course, either S or \mathcal{R} may be (0). ([7]) LEMMA 2.4 [7, Lemma 3.2]. If T is an absolutely continuous contraction in $\mathcal{L}(\mathcal{H})$ with minimal coisometric extension B in $\mathcal{L}(\mathcal{K})$, and the subspace \mathcal{R} of \mathcal{K} in (9) is nonzero, then the unitary operator R in (8) is absolutely continuous.

LEMMA 2.5 [7, Lemma 3.5]. Suppose $T \in \mathbb{A}(\mathcal{H})$ and has minimal coisometric extension B in $\mathcal{L}(\mathcal{K})$. Then $B \in \mathbb{A}(\mathcal{K}), \Phi_T \circ \Phi_B^{-1}$ is an isometry and weak^{*} homeomorphism from \mathcal{A}_B onto \mathcal{A}_T , and $j = \varphi_B^{-1} \circ \varphi_T$ is a linear isometry of Q_T onto Q_B . Moreover,

(10)
$$j([C_{\lambda}]_T) = [C_{\lambda}]_B, \quad \lambda \in D,$$

and

(11)
$$j([x \otimes y]_T) = [x \otimes y]_B, \quad x, y \in \mathcal{H}.$$

LEMMA 2.6 [7, Lemma 3.6]. If T belongs to $\mathbb{A}(\mathcal{H})$ and has minimal coisometric extension B in $\mathcal{L}(\mathcal{K}), x, y \in \mathcal{H}$, and $w, z \in \mathcal{K}$, then

(12)
$$||[x \otimes y]_T|| = ||[x \otimes y]_B||,$$

(13)
$$[x \otimes z]_B = [x \otimes Pz]_B,$$

and

(14)
$$[w \otimes z]_B = [Qw \otimes Qz]_B + [Aw \otimes Az]_B.$$

LEMMA 2.7 [7, Lemma 3.7]. Suppose $T \in \mathbb{A}(\mathcal{H})$ and has minimal coisometric extension B in $\mathcal{L}(\mathcal{K})$, and $\{x_n\}_{n=1}^{\infty}$ is a sequence from \mathcal{H} such that

(15)
$$\|[x_n \otimes y]_T\| \to 0, \quad \forall y \in \mathcal{H},$$

then we have

(16) $||[x_n \otimes z]_B|| \to 0, \quad \forall z \in \mathcal{K},$

(17)
$$\| [Qx_n \otimes z]_B \| \to 0, \quad \forall z \in \mathcal{K},$$

and

(18)
$$||[Ax_n \otimes z]_B|| \to 0, \quad \forall z \in \mathcal{K}$$

LEMMA 2.8 [7, Lemma 3.8]. Suppose $T \in A(\mathcal{H})$ and has B in $\mathcal{L}(\mathcal{K})$ for its minimal coisometric extension. If $\{z_n\}$ is any sequence in \mathcal{K} that converges weakly to zero, then

(19)
$$\|[w \otimes z_n]_B\| \to 0, \quad \forall w \in \mathcal{S}.$$

Suppose U is an absolutely continuous unitary operator in $\mathcal{L}(\mathcal{N})$ with spectral measure E_U , and let μ be a scalar spectral measure for U. Then one knows, via the absolute continuity, that there exists a Borel set $\Sigma \subset \mathbb{T}$ such that μ is equivalent to Lebesgue measure $m|_{\Sigma}$ (where this measure is defined to be zero on Borel subsets of $\mathbb{T}\backslash\Sigma$). For any vectors x and y in \mathcal{N} , let us denote by $\mu_{x,y}$ the complex measure on \mathbb{T} defined by

(20)
$$\mu_{x,y}(\mathcal{B}) = (E_U(\mathcal{B})x, y)$$

for every Borel subset \mathcal{B} of \mathbb{T} . Obviously all of these complex measures $\mu_{x,y}$ are absolutely continuous with respect to the measure $m|_{\Sigma}$. Therefore, for each pair $x, y \in \mathcal{N}$, there is a function in $L^1(\Sigma)$, which is denote by $x \stackrel{U}{\cdot} y$ or $x \cdot y$, that is the Radon- Nikodym derivatives of $\mu_{x,y}$ with respect to $m|_{\Sigma}$. We thus have, of course,

(21)
$$(l(U)x,y) = \int_{\mathbb{T}} l \quad d\mu_{x,y} = \int_{\Sigma} l\{x \cdot y\} dm, \quad l \in L^{\infty}(\Sigma)$$

LEMMA 2.9 [7, Lemma 3.9]. Suppose $T \in \mathbb{A}(\mathcal{H})$ and has $B = S^* \oplus R$ as its minimal coisometric extension, with $\mathcal{R} \neq (0)$. Then, for every pair of vectors $w, z \in \mathcal{R}$, we have

(22)
$$[w \stackrel{R}{\cdot} z] = \varphi_B([w \otimes z]_B).$$

LEMMA 2.10. For k = 1, 2, suppose T_k belongs to $\mathbb{A}(\mathcal{H})$ and has minimal coisometric extension $B_k = S_k^* \oplus R_k$ in $\mathcal{L}(\mathcal{K})$ with $\mathcal{R}_k \neq (0)$. Let $\Sigma_k \subset \mathbb{T}$ and $\mathcal{R}_{0,k} \subset \mathcal{R}_k$ be as in [7, Proposition 3.10], and denote the projection of \mathcal{K} onto $\mathcal{R}_{0,k}$ by $A_{0,k}$. Let also ϵ and ρ be arbitrary real numbers such that $\epsilon > 0$ and $0 < \rho < 1$. If $a_1 \in \mathcal{H}, b_k \in \mathcal{R}_k$ and $h_k \in L^1(\Sigma_k)$ are given, and we write $h_{1,k} = (A_k a_1 \stackrel{R}{\cdot} b_k) + h_k$, then there exist, for each k = 1, 2, $u_k \in \mathcal{H}$ and $c_k \in \mathcal{R}_k$ such that

(23)
$$||h_{1,k} - A_k(a_1 + u_k) \stackrel{R}{\cdot} c_k||_1 < \epsilon,$$

$$\|Qu_k\| < \epsilon,$$

$$\|(A_k-A_{0,k})u_k\|<\epsilon,$$

(26)
$$||u_k|| \le 2||h_k||_1^{1/2},$$

(27) $\|c_k\| \le (1/\rho) \{ \|b_k\| + \|h_k\|_1^{1/2} \},$

and

where the notation $\| \|_1$ indicates the norm on $L^1(\Sigma)$.

Proof. It is clear from [7, Theorem 3.11].

We shall employ the notation $C_0 = C_0(\mathcal{H})$ for the class of all (completely nonunitary) contractions T in $\mathcal{L}(\mathcal{H})$ such that the sequences $\{T^{*n}\}$ converges to zero in the strong operator topology and is denoted by, as usual, $C_0 = (C_0)^*$, and \mathbb{N} is denoted by the set of all natural numbers.

LEMMA 2.11 [8, Theorem 2.1]. Suppose $\{T_k\}_{k=1}^{\infty}$ is any sequence of operators contained in the class $A_{\aleph_0} \cap C_0$, $\{[L_k]_{T_k}\}_{k=1}^{\infty}$ is an arbitrary sequence (where $[L_k]_{T_k} \in Q_{T_k}$), and $\{\epsilon_k\}_{k=1}^{\infty}$ is any sequence of positive numbers. Then there exists a dense set $\mathcal{D} \subset \mathcal{H}$ such that for every x in \mathcal{D} , there exists a sequence $\{y_k^x\}_{k=1}^{\infty} \subset \mathcal{H}$ satisfying

(29)
$$[x \otimes y_k^x]_{T_k} = [L_k]_{T_k}, \quad k \in \mathbb{N},$$

and

$$(30) ||y_k^x|| > \epsilon_k, \quad k \in \mathbb{N}$$

3. Main results

From the idea of lemma 2.11, we construct new classes as following:

DEFINITION 3.1. Let m, n and l be any cardinal numbers such that $1 \leq m, n, l \leq \aleph_0$. We denote by $\mathbb{A}_{m,n}^l(\mathcal{H})$ the class of all sets $\{T_k\}_{k=1}^l$ such that T_k belong to $\mathbb{A}(\mathcal{H})$ for all $k = 1, 2, \cdots, l$ and that every $m \times n \times l$ system of simultaneous equations of the form

(31)
$$[x_i \otimes y_j^{(k)}]_{T_k} = [L_{ij}^{(k)}]_{T_k},$$

where $\{[L_{ij}^{(k)}]_{T_k}\}_{\substack{0 \le i < m \\ 0 \le j < n}}$ is an arbitrary $m \times n$ array from Q_{T_k} for each $1 \le k \le l$, has a solution $\{x_i\}_{0 \le i < m}, \{y_j^{(k)}\}_{\substack{0 \le j < n \\ 1 \le k \le l}}$ consisting of a pair of sequences of vectors from \mathcal{H} . Furthermore, if m and n are positive integers and r is a fixed real number satisfying $r \ge 1$, then we denoted by $(\mathbb{A}_{m,n}^l(r))$ the class of all sets $\{T_k\}_{k=1}^l$ such that T_k belong to $\mathbb{A}(\mathcal{H})$ for all $k = 1, 2, \cdots, l$ and that every $m \times n \times l$ system of simultaneous equations of the form (31) has a solution $\{x_i\}_{0 \le i < m}, \{y_j^{(k)}\}_{\substack{0 \le j < n \\ 1 \le k \le l}}$ consisting of a pair of sequences of vectors from \mathcal{H} and also satisfy the following conditions:

(32)
$$||x_i||^2 \le s \sum_{0 \le j < n} ||[L_{ij}^{(k)}]_{T_k}||, \ 0 \le i < m, \ 1 \le k \le l$$

and

(33)
$$||y_{j}^{(k)}||^{2} \leq s \sum_{0 \leq i < m} ||[L_{ij}^{(k)}]_{T_{k}}||, \ 0 \leq j < n, \ 1 \leq k \leq l.$$

REMARK 3.2. If $\{T_k\}_{k=1}^{\infty}$ are in the class $\mathbb{A}_{\aleph_0} \cap C_0$, then $\{T_k\}_{k=1}^{\infty} \in \mathbb{A}_{1,1}^{\aleph_0}$, by lemma 2.11.

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LEMMA 3.3. Suppose m, n and l are cardinal numbers such that $1 \leq m, n, l \leq \aleph_0$ and $T_k \in \mathbb{A}(\mathcal{H})$ has minimal coisometric extension B_k in $\mathcal{L}(\mathcal{S}_k \oplus \mathcal{R}_k)$ for $k, \quad 1 \leq k \leq l$. Then $\{T_k\}_{k=1}^l \in \mathbb{A}_{m,n}^l$ if and only if for $\{[L_{ij}^{(k)}]_{T_k}\}_{\substack{0 \leq i < m \\ 0 \leq j < n}} \subset Q_{T_k}, 1 \leq k \leq l$, there exists a Cauchy sequence $\{x_{i,p}\}_{p=1}^{\infty}$ in \mathcal{H} and sequences $\{w_{j,p}^{(k)}\}_{p=1}^{\infty}$ in \mathcal{S}_k and $\{b_{j,p}^{(k)}\}_{p=1}^{\infty}$ in \mathcal{R}_k such that $\{w_{j,p}^{(k)} + b_{j,p}^{(k)}\}$ is bounded and $\|(\varphi_{B_k}^{-1} \circ \varphi_{T_k})([L_{ij}^{(k)}]_{T_k}) - [x_{i,p} \otimes (w_{j,p}^{(k)} + b_{j,p}^{(k)})]_{B_k}\| \to 0$.

Proof. It is clear from [7, Proposition 4.7].

CONVENTION. In the following theorems we assume that \mathcal{R}_k are either simultaneously (0) or not (0).

THEOREM 3.4. For k = 1, 2, suppose that $T_k (\in \mathbb{A}(\mathcal{H}))$ has minimal coisometric extension B_k in $C_0(\mathcal{K})$, and \mathcal{A}_{T_k} has property $E^r_{\theta,\gamma}$ for some $0 < \theta < \gamma \leq 1$. Suppose also that, for each $k = 1, 2, 0 < \rho < 1$, $[L_k] \in Q_{B_k}$, $a \in \mathcal{H}$, $w_k \in S_k$, $b_k \in \mathcal{R}_k$, and $\delta > 0$ are given such that

(34)
$$\max_{k} \{ \| [L_k]_{B_k} - [a \otimes (w_k + b_k)]_{B_k} \| \} < \delta.$$

Then there exist $\hat{a} \in \mathcal{H}, \ \hat{w_k} \in \mathcal{S}_k, \ \hat{b_k} \in \mathcal{R}_k, \quad k = 1, 2, \text{ such that}$

(35)
$$\max_{k=1,2} \{ \| [L_k]_{B_k} - [\hat{a} \otimes (\hat{w_k} + \hat{b_k})]_{B_k} \| \} < (\theta/\gamma)\delta,$$

and

(36)
$$\begin{aligned} \|\hat{a} - a\| &< 6(\delta/\gamma)^{1/2}, \quad \|\hat{w}_k - w_k\| < (\delta/\gamma)^{1/2} \\ \|\hat{b}_k\| &< 1/\rho\{\|b_k\| + (\delta/\gamma)^{1/2}\}, \qquad k = 1, 2. \end{aligned}$$

Proof. Of course, either of the spaces S_k or \mathcal{R}_k may be zero, for all k, but the proof is unchanged in these special cases. Let

(37)
$$[D_k]_{B_k} = [L_k]_{B_k} - [a \otimes (w_k + b_k)]_{B_k}$$

and set $d = \max_k \{ \| [D_k]_{B_k} \| \}$, so, $0 \le d < \delta$. We may assume that d > 0, since otherwise we can simply take $\hat{a} = a, \hat{w_k} = w_k$ and $\hat{b_k} = b_k$ for each k = 1, 2. And, we choose $\epsilon > 0$ such that

(38)
$$(\theta/\gamma)d + \epsilon < (\theta/\gamma)\delta.$$

With j as in Lemma 2.5, note that $\|(\gamma/d)j^{-1}([D_k]_{B_k})\| < \gamma$, and thus, by hypothesis, for each k = 1, 2, there exist $N \in \mathbb{N}$, elements $[P_{1,k}], \dots, [P_{N,k}]$ from $\mathcal{E}^r_{\theta}(\mathcal{A}_{T_k})$, and scalars $\bar{\alpha}_{1,k}, \dots, \bar{\alpha}_{N,k}$ such that

(39)
$$\|(\gamma/d)j^{-1}([D_k]_{B_k}) - \sum_{i=1}^N \tilde{\alpha}_{i,k} [P_{i,k}]_{T_k} \| < (\epsilon/2)(\gamma/d),$$

and $\sum_{i=1}^{N} |\tilde{\alpha}_{i,k}| < 1, k = 1, 2$. Upon setting $\alpha_{i,k} = (d/\gamma) \tilde{\alpha}_{i,k}$, for each i, k, we obtain, by multiplying (39) by d/γ ,

(40)
$$||j^{-1}([D_k]_{B_k}) - \sum_{i=1}^N \alpha_{i,k} [P_{i,k}]_{T_k}|| < (\epsilon/2), \quad k = 1, 2,$$

 and

(41)
$$\sum_{i=1}^{N} |\alpha_{i,k}| < d/\gamma, \quad k = 1, 2.$$

For each $i = 1, \dots, N$, by definition of $\mathcal{E}^{r}_{\theta}(T_{k})$, there exist sequences $\{x_{n_{*}}^{(i,k)}\}_{n_{*}=1,k=1}^{\infty,2}$ and $\{y_{n_{*}}^{(i,k)}\}_{n_{*}=1,k=1}^{\infty,2}$ in the unit ball of \mathcal{H} such that

(42)
$$||[P_{i,k}]_{T_k} - [x_{n_i}^{(i,k)} \otimes y_{n_i}^{(i,k)}]_{T_k}|| < \theta + (\epsilon/2)(\gamma/d), \quad n_i \in \mathbb{N},$$

(43)
$$\lim_{n_{\star}\to\infty} \|[x_{n_{\star}}^{(\iota,k)}\otimes z]_{T_{k}}\| = 0, \quad \forall z\in\mathcal{H},$$

and

(44)
$$\{y_{n_{i}}^{(i,k)}\}_{n_{i}=1}^{\infty}$$

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converges weakly to zero for each k = 1, 2. By (40) and (42), we get, for any choice of the *N*-tuple $\nu = (n_1, \dots, n_N)$,

(45)
$$\|j^{-1}([D_k]_{B_k}) - \sum_{i=1}^N \alpha_{i,k} [x_{n_i}^{(i,k)} \otimes y_{n_i}^{(i,k)}]_{T_k} \| < \epsilon/2 + (d/\gamma) \{\theta + (\epsilon/2)(\gamma/d)\} = \epsilon + (d\theta/\gamma)$$

and, we obtain, using (11),

(46)
$$||[D_k]_{B_k} - \sum_{i=1}^N \alpha_{i,k} [x_{n_i}^{(i,k)} \otimes y_{n_i}^{(i,k)}]_{B_k}|| < \epsilon + (d\theta/\gamma)$$

for every choice of ν . Take $\tau > 0$ such that

(47)
$$(\theta\delta/\gamma) - \{(d\theta/\gamma) + \epsilon\} = 5\tau.$$

Using (14) and (37) we may combine (46) and (47) to yield

(48)
$$\begin{aligned} \|[L_k]_{B_k} - [Qa \otimes w_k]_{B_k} - (A)\| \\ < (\theta \delta/\gamma) - 5\tau, \end{aligned}$$

where

$$(A) = \sum_{i=1}^{N} \alpha_{i,k} [Qx_{n_i}^{(i,k)} \otimes Qy_{n_i}^{(i,k)}]_{B_k} - [M_k(\nu)]_{B_k} \|$$

 and

(49)
$$[M_k(\nu)]_{B_k} = [Aa \otimes b_k]_{B_k} + \sum_{i=1}^N \alpha_{i,k} [Ax_{n_i}^{(i,k)} \otimes Ay_{n_i}^{(i,k)}]_{B_k}$$

for every choice of ν . Let us define, for arbitrary $\nu = (n_1, \cdots, n_N)$,

(50)
$$u_{\nu} = \sum_{k=1}^{2} \sum_{i=1}^{N} \beta_{i}^{(k)} x_{n_{i}}^{(i,k)}, \qquad v_{\nu}^{(k)} = \sum_{i=1}^{N} \overline{\beta_{i}^{(k)}} y_{n_{i}}^{(i,k)},$$

where $(\beta_i^{(k)})^2 = \alpha_{i,k}$ for $i = 1, \dots, N$, k = 1, 2. Then, for every choice of ν ,

(51)
$$[Q(a + u_{\nu}) \otimes (w_{k} + Qv_{\nu}^{(k)})]_{B_{k}}$$

+
$$[Qa \otimes w_{k}]_{B_{k}} + [Qu_{\nu} \otimes w_{k}]_{B_{k}} + [Qa \otimes Qv_{\nu}^{(k)}]_{B_{k}}$$

+
$$[Qu_{\nu} \otimes Qv_{\nu}^{(k)}]_{B_{k}}, \quad k = 1, 2,$$

 and

$$\| [Qu_{\nu} \otimes Qv_{\nu}^{(k)}]_{B_{k}} \|$$

$$\leq \sum_{i=1}^{N} |\alpha_{i,k}| \| [Qx_{n_{i}}^{(i,k)} \otimes Qy_{n_{i}}^{(i,k)}]_{B_{k}} \|$$

$$(52) + \sum_{\substack{i,j=1\\i \neq j}}^{N} |\beta_{i}^{(k)}\beta_{j}^{(k)}| \| [Qx_{n_{i}}^{(i,k)} \otimes Qy_{n_{j}}^{(j,k)}]_{B_{k}} \|$$

$$+ \sum_{k_{1}=1}^{2} \sum_{\substack{i,j=1\\i \neq j}}^{N} |\beta_{i}^{(k_{1})}\beta_{j}^{(k)}| \| [Qx_{n_{i}}^{(i,k_{1})} \otimes Qy_{n_{j}}^{(j,k)}]_{B_{k}} \|, \quad k = 1, 2.$$

Thus we see from (51), (52), and $B_k \in C_0$, k = 1, 2, it suffices to choose the indices n_1^o, \dots, n_N^o (one at a time, in the indicated order) sufficiently large that for $\nu_o = (n_1^o, \dots, n_N^o)$ the following properties are valid:

(53)
$$\|[Qa \otimes Qv_{\nu_o}^{(k)}]_{B_k}\| < \tau/4,$$

(54)
$$\|[Qu_{\nu_o}\otimes w_k]_{B_k}\|<\tau/4,$$

(55)
$$\sum_{\substack{i,j=1\\i\neq j}}^{N} |\beta_{i}^{(k)}\beta_{j}^{(k)}| \| [Qx_{n_{i}^{\circ}}^{(i,k)} \otimes Qy_{n_{j}^{\circ}}^{(j,k)}]_{B_{k}} \| < \tau/4,$$

(56)
$$\sum_{k_1=1}^{2} \sum_{i,j=1}^{N} |\beta_i^{(k_1)} \beta_j^{(k)}| \| [Qx_{n,\circ}^{(i,k_1)} \otimes Qy_{n_j\circ}^{(j,k)}]_{B_k} \| < \tau/4,$$

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$$\|[Au_{\nu_o}\otimes b_k]_{B_k}\|<\tau,$$

and

(58)
$$||u_{\nu_o}||^2 < 2\delta/\gamma, \quad ||v_{\nu_o}^{(k)}||^2 < \delta/\gamma, \quad k = 1, 2.$$

Therefore, by combining (51)-(55), we obtain for each k = 1, 2,

(59)
$$||[Qa \otimes w_k]_{B_k} + \sum_{i=1}^N \alpha_{i,k} [Qx_{n_i^o}^{(i,k)} \otimes Qy_{n_i^o}^{(i,k)}]_{B_k} - [Q(a + u_{\nu_o}) \otimes (w_k + Qv_{\nu_o}^{(k)})]_{B_k}|| < \tau.$$

We next define

(60)
$$a_1 = a + u_{\nu_o}, \quad \tilde{w}_k = w_k + Q v_{\nu_o}^{(k)}, \quad k = 1, 2,$$

and conclude from (60), (59) and (48) that

(61)
$$||[L_k]_{B_k} - [Qa_1 \otimes \tilde{w}_k]_{B_k} - [M_k(\nu_o)]_{B_k}|| < (\theta \delta/\gamma) - 4\tau,$$

 $k = 1, 2.$

Moreover, if in $[M_k(\nu_o)]_{B_k}$ we replace a by a_1 , and so define, for k = 1, 2,

(62)
$$[M_k^{(1)}(\nu_o)]_{B_k} = [Aa_1 \otimes b_k]_{B_k} + \sum_{i=1}^N [Ax_{n_i^o}^{(i,k)} \otimes Ay_{n_i^o}^{(i,k)}]_{B_k},$$

then by (49), (57), (60), and (61) we have

(63)
$$||[L_k]_{B_k} - [Qa_1 \otimes \tilde{w}_k]_{B_k} - [M_k^{(1)}(\nu_o)]_{B_k}|| < (\theta \delta/\gamma) - 3\tau,$$

k = 1, 2.

Now suppose that $\mathcal{R}_k = (0)$, for all k = 1, 2. Then $b_k = 0$, $[M_k^{(1)}(\nu_o)]_{B_k} = 0, Qa_1 = a_1$, and

$$\|[L_k]_{B_k} - [a_1 \otimes \tilde{w}_k]_{B_k}\| < (\theta \delta/\gamma) - 3\tau, \quad k = 1, 2.$$

Then, by (60) and (58), we have

$$||a-a_1|| < (2\delta/\gamma)^{1/2}$$
 and $||w_k - \tilde{w}_k|| < (\delta/\gamma)^{1/2}$, $k = 1, 2,$

so (with $\tilde{b}_k = 0$) the proof in this case is complete.

Hence we may suppose that $\mathcal{R}_k \neq (0)$, k = 1, 2, we let $\Sigma_k \subset \mathbb{T}$ be as in Lemma 2.10, and we prepare to apply Lemma 2.10 to deal with the term $[M_k^{(1)}(\nu_o)]_{B_k}$ in (63). By (62) and Lemma 2.9 we have

(64)
$$\varphi_{B_k}([M_k^{(1)}(\nu_o)]_{B_k}) = [Aa_1 \stackrel{R}{\cdot} b_k] + \sum_{i=1}^N \alpha_{i,k}[Ax_{n_i^{\circ}}^{(i,k)} \stackrel{R}{\cdot} Ay_{n_i^{\circ}}^{(i,k)}].$$

Thus we define the function h_k , k = 1, 2, in $L^1(\Sigma_k)$ to be

$$h_k = \sum_{i=1}^N \alpha_{i,k} (A x_{n,o}^{(i,k)} \stackrel{R}{\cdot} A y_{n,o}^{(i,k)})$$

We note from (21) and (41) that $||h_k||_1 \leq \delta/\gamma$, and we set $\epsilon' = \{\tau/(2(||w'|| + 1))\}(<\tau)$ where $||w'|| = \max_{k=1,2} ||\tilde{w}_k||$. With a_1 and $b_k, k = 1, 2$, as in (64), an application of Lemma 2.10 yields the existence of $\tilde{u}_k \in \mathcal{H}$ and $c_k \in \mathcal{R}_k$, k = 1, 2, such that

(65)
$$\|Aa_{1} \stackrel{R}{\cdot} b_{k} + \sum_{i=1}^{N} \alpha_{i,k} (Ax_{n_{i}}^{(i,k)} \stackrel{R}{\cdot} Ay_{n_{i}}^{(i,k)}) - A(a_{1} + \sum_{k=1}^{2} \tilde{u}_{k}) \stackrel{R}{\cdot} c_{k}\|_{1} < \epsilon' + \tau < 2\tau, \quad k = 1, 2,$$

(66)
$$\|Q(\sum_{k=1}^{2} \tilde{u}_{k})\| < \tau/(\|w'\|+1),$$

(67)
$$\|\sum_{k=1}^{2} \tilde{u}_{k}\| \leq 4(\delta/\gamma)^{1/2},$$

(68)
$$||c_k|| \le (1/\rho) \{ ||b_k|| + ||h_k||_1^{1/2} \} < \{ ||b_k|| + (\delta/\gamma)^{1/2} \}, \quad k = 1, 2.$$

Since $L^1(\Sigma_k) \subset L^1(\mathbb{T})$ and the norm in $L^1(\mathbb{T})$ dominates the norm in $(L^1/H_0^1)(\mathbb{T})$, we obtain using (62), (22), and (65),

(69)
$$\|[M_k^{(1)}(\nu_o)]_{B_k} - [A(a_1 + \sum_{k=1}^2 \tilde{u}_k) \otimes c_k]_{B_k}\| < 2\tau, \quad k = 1, 2.$$

Thus from (63) and (69) we get

(70)
$$\|[L_{k}]_{B_{k}} - [Qa_{1} + \tilde{w}_{k}]_{B_{k}} - [A(a_{1} + \sum_{k=1}^{2} \tilde{u}_{k}) \otimes c_{k}]_{B_{k}}\| \\ \leq \|[L_{k}]_{B_{k}} - [Qa_{1} + \tilde{w}_{k}]_{B_{k}} - [M_{k}^{(1)}(\nu_{o})]_{B_{k}}\| \\ + \|[M_{k}^{(1)}(\nu_{o})]_{B_{k}} - [A(a_{1} + \sum_{k=1}^{2} \tilde{u}_{k}) \otimes c_{k}]_{B_{k}}\| \\ < (\theta \delta/\gamma) - 3\tau + 2\tau = (\theta \delta/\gamma) - \tau, \quad k = 1, 2,$$

and since, by (66), we have

(71)
$$\| [Q(\sum_{k=1}^{2} \tilde{u}_{k}) \otimes \tilde{w}_{k}]_{B_{k}} \| \leq \| Q(\sum_{k=1}^{2} \tilde{u}_{k}) \| \cdot \| \tilde{w}_{k} \| < (\tau/(\|w'\|+1)) \| w' \| < \tau, \quad k = 1, 2,$$

the inequality (70) yields

(72)
$$\begin{split} \|[L_k]_{B_k} - [Q(a_1 + \sum_{k=1}^2 \tilde{u}_k) \otimes \tilde{w}_k]_{B_k} - [A(a_1 + \sum_{k=1}^2 \tilde{u}_k) \otimes c_k]_{B_k}\| \\ < (\theta \delta/\gamma), \quad k = 1, 2. \end{split}$$

Since $\tilde{w}_k \in S_k$ and $c_k \in \mathcal{R}_k$, by using (14) one can rewrite (72) as

$$\|[L_k]_{B_k} - [(a_1 + \sum_{k=1}^2 \tilde{u}_k) \otimes (\tilde{w}_k + c_k)]_{B_k}\| < (\theta \delta/\gamma), \quad k = 1, 2.$$

So if we define

$$\hat{a} = a_1 + \sum_{k=1}^{2} \tilde{u}_k = a + u_{\nu_o} + \tilde{u}_1 + \tilde{u}_2$$

 $\hat{b}_k = c_k, \quad \hat{w}_k = \tilde{w}_k, \quad k = 1, 2,$

then (35) is satisfied. Moreover,

$$\|\hat{a}-a\| \leq \|u_{\nu_o}\| + \|\sum_{k=1}^2 \tilde{u}_k\| < (2\delta/\gamma)^{1/2} + 4(\delta/\gamma)^{1/2} = 6(\delta/\gamma)^{1/2},$$

from (58) and (67), so the first inequality in (35) is satisfied. Furthermore, from (60) and (58) we have

$$\|\hat{w}_k - w_k\| \le \|Qv_{\nu_o}^{(k)}\| < (\delta/\gamma)^{1/2}, \quad k = 1, 2.$$

Finally,

$$\|\hat{b}_k\| = \|c_k\| < (1/\rho) \{\|b_k\| + (\delta/\gamma)^{1/2}\}, \quad k = 1, 2.$$

We are ready to prove main theorems.

THEOREM 3.5. For k = 1, 2, suppose that $T_k (\in \mathbb{A}(\mathcal{H}))$ has minimal coisometric extension B_k in $C_0(\mathcal{K})$, and \mathcal{A}_{T_k} has property $E^r_{\theta,\gamma}$ for some $0 \leq \theta < \gamma \leq 1$. Suppose also that $\delta > 0$, $[L_k] \in Q_{T_k}$, $a \in \mathcal{H}$, $w_k \in S_k$ and $b_k \in \mathcal{R}_k$ are given such that

(73)
$$\max_{k=1,2} \{ \| [L_k]_{T_k} - [a \otimes \mathbb{P}(w_k + b_k)]_{T_k} \| \} < \delta,$$

where \mathbb{P} is the projection of \mathcal{K} onto the subspace \mathcal{H} . Then there exist $\hat{a} \in \mathcal{H}, \ \hat{w}_k \in S_k$ and $\hat{b}_k \in \mathcal{R}_k$ such that

(74)
$$[L_k]_{T_k} = [\hat{a} \otimes \mathbb{P}(\hat{w}_k + \hat{b}_k)]_{T_k}, \quad k = 1, 2,$$

(75)
$$\|\hat{a} - a\| < 6(\delta/\gamma)^{1/2}(1/\{1 - (\theta/\gamma)^{1/2}\}),$$

(76)
$$\|\hat{w}_k - w_k\| < (\delta/\gamma)^{1/2} (1/\{1 - (\theta/\gamma)^{1/2}\}), \quad k = 1, 2,$$

and

(77)
$$\|\hat{b}_k\| < 2\|b_k\| + 2(\delta/\gamma)^{1/2}(1/\{1-(\theta/\gamma)^{1/2}\}), \quad k = 1, 2$$

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Proof. Since if \mathcal{A}_{T_k} has property $E_{0,\gamma}^r$ for each k, it also has property $E_{\theta,\gamma}^r$ for all $0 < \theta < \gamma$, the right-hand side of (75), (76), and (77) are continuous functions of θ and δ , it suffices to treat the case $0 < \theta < \gamma$. Suppose now that (73) holds, let $\{s_n\}$ be a sequence of positive numbers strictly decreasing to 3/4 such that $s_1 = 1$, and define $\rho_n = (s_{n+1}/s_n), n \in \mathbb{N}$. Set

$$[\hat{L}_k]_{B_k} = \varphi_{B_k}^{-1} \circ \varphi_{T_k}([L_k]), \quad k = 1, 2.$$

Then we have, by (73), (11), (12), and (13),

(78)
$$\max_{k=1,2} \{ \| [\hat{L}_k]_{B_k} - [a \otimes (w_k + b_k)]_{B_k} \| \} < \delta.$$

We now set

$$a = a_1, \ w_k = w_{1,k}, \ b_k = b_{1,k}, \ k = 1, 2,$$

and apply Theorem 3.4 to obtain $a_2 \in \mathcal{H}$, $w_{2,k} \in \mathcal{S}_k$ and $b_{2,k} \in \mathcal{R}_k$, k = 1, 2, such that

(79)
$$\max_{k=1,2} \{ \| [\hat{L}_k]_{B_k} - [a_2 \otimes (w_{2,k} + b_{2,k})]_{B_k} \| \} < (\theta/\gamma) \delta,$$

(80)
$$\begin{aligned} \|a_2 - a_1\| &< 6(\delta/\gamma)^{1/2}, \quad \|w_{2,k} - w_{1,k}\| < (\delta/\gamma)^{1/2}, \\ \|b_{2,k}\| &< (1/\rho_1)\{\|b_{1,k}\| + (\delta/\gamma)^{1/2}\}, \quad k = 1, 2. \end{aligned}$$

Suppose now that vectors $\{a_p\}_{p=1}^n$ in \mathcal{H} , $\{w_{p,k}\}_{p=1}^n$ in \mathcal{S}_k , and $\{b_{p,k}\}_{p=1}^n$ in \mathcal{R}_k , have been chosen so that for $p = 2, \cdots, n$, k = 1, 2,

(81_p)
$$\max_{k=1,2} \{ \| [\hat{L}_k]_{B_k} - [a_p \otimes (w_{p,k} + b_{p,k})]_{B_k} \| \} < (\theta/\gamma)^{p-1} \delta,$$

(82_p)
$$||a_p - a_{p-1}|| < 6(\delta/\gamma)^{1/2}(\theta/\gamma)^{(p-2)/2}$$

(83_p)
$$||w_{p,k} - w_{p-1,k}|| < (\delta/\gamma)^{1/2} (\theta/\gamma)^{(p-2)/2}$$

and

$$(84_p) ||b_{p,k}|| < (1/\rho_{(p-1)}\{||b_{p-1,k}|| + (\delta/\gamma)^{1/2}(\theta/\gamma)^{(p-2)/2}\}$$

Then, applying Theorem 3.4, we deduce the existence of vectors a_{n+1} in $\mathcal{H}, w_{n+1,k}$ in \mathcal{S}_k , and $b_{n+1,k}$ in \mathcal{R}_k such that the inequalities $(81)_{n+1}$, $(82)_{n+1}, (83)_{n+1}$, and $(84)_{n+1}$ are valid. Therefore, by induction, there exist sequences $\{a_n\}_{n=1}^{\infty}$ in $\mathcal{H}, \{w_{n,k}\}_{n=1}^{\infty}$ in $\mathcal{S}_k, \ k = 1, 2$, and $\{b_{n,k}\}_{n=1}^{\infty}$ in $\mathcal{R}_k, \ k = 1, 2$, satisfying the appropriate inequalities for all n in \mathbb{N} , and it is clear from $(82)_p$ and $(83)_p$ that $\{a_n\}$ and $\{w_{n,k}\}$ are Cauchy, for each k = 1, 2. Define

$$\hat{a} = \lim_{n \to \infty} a_n,$$

 $\hat{w}_k = \lim_{n \to \infty} w_{n,k}, \quad k = 1, 2,$

and observe that since

$$\begin{aligned} \|\hat{a} - a\| &= \|\sum_{p=2}^{\infty} (a_p - a_{p-1})\| \\ &\leq \sum_{p=2}^{\infty} \|a_p - a_{p-1}\| \\ &= 6(\delta/\gamma)^{1/2} (1/\{1 - (\theta/\gamma)^{1/2}\}), \end{aligned}$$

and

$$\|\hat{w}_k - w_k\| < (\delta/\gamma)^{1/2} (1/\{1 - (\theta/\gamma)^{1/2}\}),$$

inequalities (75)and (76) are satisfied. Furthermore, by iterating $(84)_p$, we see that

$$\begin{aligned} \frac{1}{2} & \|b_{n,k}\| \le s_n \|b_{n,k}\| \\ & \le \|b_k\| + (\delta/\gamma)^{1/2} \sum_{p=1}^{n-1} s_p (\theta/\gamma)^{(p-1)/2}, \end{aligned}$$

and therefore that

 $||b_{n,k}|| \leq 2||b_k|| + 2(\delta/\gamma)^{1/2}(1/\{1 - (\theta/\gamma)^{1/2}\}), n \in \mathbb{N}, k = 1, 2.$ Thus the sequence $\{b_{n,k}\}$ is bounded and w.l.o.g., we may suppose that $\{b_{n,k}\}$ converges weakly to \hat{b}_k . Hence

$$\|\hat{b}_k\| \le 2\|b_k\| + 2(\delta/\gamma)^{1/2}(1/\{1-(\theta/\gamma)^{1/2}\}), \quad k = 1, 2,$$

which establishes (77). That (74) is valid now follows from $(81)_p$ as in the proof of Lemma 3.3.

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THEOREM 3.6. Under the hypotheses of Theorem 3.5, suppose that $\|[L_1]_{T_1}\|$ and $\|[L_2]_{T_2}\|$ are equal. Then, we have $\{T_1, T_2\} \in \mathbb{A}^2_{1,1}(r(\theta, \gamma))$, where

(85)
$$r(\theta,\gamma) = (18/\gamma)(1/\{1-(\theta/\gamma)^{1/2}\})^2.$$

Proof. By theorem 3.5, the set $\{T_1, T_2\}$ certainly belongs to some $\mathbb{A}^2_{1,1}(r)$. To see that r may be taken to be as in (85), let $\epsilon > 0$ and set a = 0, $w_k = 0$, $b_k = 0$ and $\delta = \max_{k=1,2} \{ \|[L_k]_{T_k}\|\} + \epsilon$ in (73). Then from (75), (76) and (77), we see that

by the hypothesis. Therefore, we have

$$\{T_1, T_2\} \in \mathbb{A}^2_{1,1}(r(\theta, \gamma)),$$

where

$$r(heta,\gamma) = (18/\gamma)(1/\{1-(heta/\gamma)^{1/2}\})^2.$$

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