

## ON THE KNOTTED ELASTIC CURVES

DAE SEOP KWEON

**ABSTRACT.** According to the Bernoulli-Euler theory of elastic rods the bending energy of the wire is proportional to the total squared curvature of  $\gamma$ , which we will denote by  $F(\gamma) = \int_{\gamma} k^2 ds$ . If the result of J.Langer and D.Singer [3] extend to knotted elastic curve, then we obtain the following. Let  $\{\gamma, M\}$  be a closed knotted elastic curve. If the curvature of  $\gamma$  is nonzero for everywhere, then  $\gamma$  lies on torus.

### I. Introduction

Elastic curve (or elastica) and its generalizations have long been of interest in the context of elasticity theory. The elastica as a purely geometrical entity seems to have been largely ignored (for historical references concerning the classical elastica, we refer to the recent survey by Truesdel [2]).

Elastic curve is a mathematical model of Peano curve. And elastic energy (bending energy) is critical for  $\mathcal{T}$  defined on regular curves. Euler was able to obtain a good qualitative description of all plane elastic curves. In fact, Peano curve not only has a curve but also knot. Thus elastic curve is not complete mathematical model of Peano curve. Here, in order to establish a mathematical model, consider the energy which is the sum of elastic energy and knotted energy. And define the curve its energy is critical.

### II. Main theorem

All curves, functions, vectorfields will be assumed  $C^\infty$  class. For 3-dimensional Euclidean space  $\mathbf{R}^3$ , Euclidean inner product will be denoted by  $\langle \cdot, \cdot \rangle$  and the Euclidean norm by  $|\cdot|$ .

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Let  $\gamma = \gamma(t) : [t_1, t_2] \rightarrow \mathbf{R}^3$  be a holomorphic  $C^\infty$ -class curve.  $v$  will denote the velocity of  $\gamma$  and  $T, k$  will denote unite tangent vector and curvature of  $\gamma$ . (i.e,  $v = |\frac{d\gamma}{dt}|, T = \frac{1}{v} \frac{d\gamma}{dt}, k = |\nabla_T T|$  where  $\nabla_T = \frac{1}{v} \frac{d}{dt}$ )

Define functional  $\mathcal{F}$  by  $\mathcal{F}(\gamma) = \int_{t_1}^{t_2} k^2 v dt$  and we called it elastic energy of  $\gamma$ .

Let  $M$  be a unit normal vector field along  $\gamma$  and  $\{\gamma, M\}$  be a curve with unit normal vector field.

DEFINITION 1. Let  $\{\gamma, M\}$  be a curve with unit normal vectorfield and its domain is  $[t_1, t_2]$ . Define a function  $h$  on  $[t_1, t_2]$  by  $h = \langle \nabla_T M, L \rangle$ , and we called it a knot function of  $\{\gamma, M\}$ . Here  $L = T \times M$ ,  $\times$  is exterior product in  $\mathbf{R}^3$ .  $h(t)$  be a quantity of knot of  $M$  at  $\gamma(t)$ .

REMARK. If  $M$  is parallel to normal connection along to  $\gamma$ , then  $h \equiv 0$ .

DEFINITION 2.  $\{\gamma, M\}, v, h$  are the same notation as above.

- (1)  $\int_{t_1}^{t_2} h^2 v dt$  is called a knotted energy of  $\{\gamma, M\}$ .
- (2) Let  $\epsilon > 0$  be a constant. Define a functional  $\mathcal{T}_\epsilon$  with respect to curve with unit normal vector field by

$$\mathcal{T}_\epsilon(\{\gamma, M\}) = \mathcal{T}(\gamma) + \epsilon \int_{t_1}^{t_2} h^2 v dt.$$

$\mathcal{T}_\epsilon(\{\gamma, M\})$  is called knotted elastic energy of coefficient  $\epsilon$  of  $\{\gamma, M\}$ . Here, domain of  $\mathcal{T}_\epsilon$  is the set of all curve with unit normal vector field.

DEFINITION 3. Let  $t_0 > 0, \phi \in \mathbf{R}/2\pi\mathbf{Z}$ .  $\{\gamma, M\}$  is called period  $t_0$  if the following two conditions are satisfied.

- (1)  $\gamma(t + t_0) = \gamma(t)$ .
- (2)  $M(t + t_0) = R(\phi)M(t)$  where  $R(\phi) : T^2\mathbf{R}^3 \rightarrow T^1\mathbf{R}^3$  be a rotation of angle  $\phi$  in each fiber of normal bundle  $T^1\mathbf{R}^3$  along  $\gamma$ . (orientation is  $R(\frac{\pi}{2})M(t) = L(t)$ )

Let  $C(t_0, \phi)$  be a set of all curves with unit normal vector field its period is  $t_0$ . Then we obtain a following lemma from the a first variation formula.

LEMMA 1.  $\{\gamma, M\} \in C(t_0, \phi)$  (its length  $\int_0^{t_0} v dt$  is fixed) is critical point of  $\mathcal{T}_\epsilon$  iff there exist real numbers  $\mu, \sigma$  such that the following are satisfied.

- (1)  $\nabla_T[2(\nabla_T)^2 T + (3k^2 - \mu + \epsilon h^2)T - 2\epsilon h R(\frac{\pi}{2})(\nabla_T T)] = 0.$
- (2)  $h(t) = \sigma.$

DEFINITION 4. Let  $\{\gamma, M\}$  be a curve with unit normal vector field with velocity 1 (i.e.  $v \equiv 1$ ). If (1) and (2) of the Lemma 1 are satisfied, then  $\{\gamma, M\}$  is called a knotted elastic curve,  $\sigma$  is called a knot parameter of  $\{\gamma, M\}$ .

Let  $l > 0, \phi \in \mathbf{R}/2\pi\mathbf{Z}$  and  $\mathcal{UC}(l, \phi)$  be the set of velocity 1 of  $\gamma$  in  $C(l, \phi)$  and  $\{\gamma, M\}$  be an element of  $\mathcal{UC}(l, \phi)$ .

Define

$$T_{\{\gamma, M\}}C(l, \phi) = \left\{ (\Lambda, f) \mid \begin{array}{l} \Lambda \text{ is vector field of period} \\ l \text{ along } \gamma, f \text{ is a function} \\ \text{of period } l \end{array} \right\},$$

$$T_{\{\gamma, M\}}\mathcal{UC}(l, \phi) = \left\{ (\Lambda, f) \mid \begin{array}{l} (\Lambda, f) \in T_{\{\gamma, M\}}C(l, \phi) \\ \text{i.e. } \langle \nabla_T \Lambda, T \rangle = 0 \end{array} \right\}.$$

Then the following lemma is satisfied.

LEMMA 2. For the variation  $\{\gamma, M\}_\lambda$  of  $\{\gamma, M\}$  in  $\mathcal{UC}(l, \phi)$ ,  $\{\gamma, M\}_\lambda = \{\gamma_\lambda, M_\lambda\}$  ( $-\lambda_0 < \lambda < \lambda_0, \{\gamma, M\}_0 = \{\gamma, M\}$ )  $(\frac{\partial \gamma_i}{\partial \lambda} |_{\lambda=0}, \langle \frac{\partial M_\lambda}{\partial \lambda}, L_\lambda \rangle |_{\lambda=0}) \in T_{\{\gamma, M\}}\mathcal{UC}(l, \phi)$ . Left side is called a variational vector field of variation  $\{\gamma, M\}_\lambda$

Conversely, for any  $(\Lambda, f) \in T_{\{\gamma, M\}}\mathcal{UC}(l, \phi)$ , there exist a variation  $\{\gamma, M\}_\lambda$  of  $\{\gamma, M\}$  in  $\mathcal{UC}(l, \phi)$  such that  $\frac{\partial \gamma_i}{\partial \lambda} |_{\lambda=0} = \Lambda, \langle \frac{\partial M_\lambda}{\partial \lambda}, L_\lambda \rangle |_{\lambda=0} = f$ .

In the above Lemma 2,  $T_{\{\gamma, M\}}\mathcal{UC}(l, \phi)$  is tangent space of  $\mathcal{UC}(l, \phi)$  at  $\{\gamma, M\}$ .

LEMMA 3. Let  $\{\gamma, M\}$  be a knotted elastic curve and also variational vector field of  $\{\gamma, M\}_\lambda$ . Then

$$\frac{d^2}{d\lambda^2} |_{\lambda=0} \mathcal{T}_\epsilon(\{\gamma, M\}_\lambda) = \int_0^l \langle T_{\{\gamma, M\}}(\Lambda, f), (\Lambda, f) \rangle ds$$

where

$$\begin{aligned} \mathcal{T}_{\{\gamma, M\}}(\Lambda, f) = & (p[\nabla_T\{2(\nabla_T)^3\Lambda + (3k^2 - \mu + \epsilon\sigma^2)\nabla_T\Lambda \\ & - 2\epsilon\sigma R(\frac{\pi}{2})((\nabla_T)^2\Lambda - \langle (\nabla_T)^2\Lambda, T \rangle T) \\ & - 2\epsilon(\langle \nabla_T\Lambda, R(\frac{\pi}{2})(\nabla_T T) \rangle + Tf)R(\frac{\pi}{2})(\nabla_T T)] \\ & - 2\epsilon(T \langle \nabla_T\Lambda, R(\frac{\pi}{2})(\nabla_T T) \rangle + T^2 f)) \end{aligned}$$

( $p : T_{\{\gamma, M\}}C(l, \phi) \rightarrow T_{\{\gamma, M\}}\mathcal{UC}(l, \phi)$  is an orthogonal projection with respect to  $L^2$ -inner product)

We consider eigenvalue problem  $\mathcal{T}_{\{\gamma, M\}}(\Lambda, f) = p(\Lambda, f)$ ,  $\phi \in \mathbf{R}$ , we can obtain the following theorem.

**THEOREM 4.** *Let  $\gamma$  be a circle with radius 1 and  $\{\gamma, M\}$  be a knotted elastic curve. Then the eigenvalue of Jacobi operator  $\mathcal{T}_{\{\gamma, M\}}$  has the following properties.*

- (1) *The eigenvalue of  $\mathcal{T}_{\{\gamma, M\}}$  is positive whenever  $0 \leq \epsilon^2\sigma^2 < 3$ .*
- (2) *There exists a non-trivial eigenvector its eigen value 0 whenever  $\epsilon^2\sigma^2 = m^2 - 1$  ( $2 \leq m$ ,  $m$  is integer).*
- (3) *There exists a negative eigenvalue whenever  $\epsilon^2\sigma^2 > 3$ .*

The curvature  $k$  and torsion  $\tau$  of elastic curve are represented by elliptic function. By J. Langer and D. Singer [3], for every elastic curve  $\gamma$  in  $\mathbf{R}^3$  there is naturally associated to  $\gamma$  a cylindrical coordinate system  $(r, \theta, z)$  on  $\mathbf{R}^3$ , the restrictions to  $\gamma$  of the coordinate fields  $\frac{\partial}{\partial r}, \frac{\partial}{\partial \theta}, \frac{\partial}{\partial z}$  being expressible in terms of  $k, \tau, T, N, B$ . Thus we can see the following theorem.

**THEOREM 5** [J. LANGER AND D. SINGER][3]. *Let  $\gamma$  be a closed elastic curve. Then  $\gamma$  lies on embedded tori of revolution.*

If the proof of the above theorem extend to a knotted elastic curve, then we obtain the following result.

**THEOREM 6.** *Let  $\{\gamma, M\}$  be a closed knotted elastic curve. Suppose that, the curvature  $k$  of  $\gamma$  is nonzero for everywhere. Then  $\gamma$  lies on torus.*

PROOF. Let  $\{\gamma, M\}$  be a knotted elastic curve. First of all, find the curvature  $k$  and torsion  $\tau$ . Application of the Frenet formula for (1) of [Lemma 1] leads to the ordinary differential equation for  $k, \tau$ . Solution of the equation is concretely represented by Jacobi function. In this representation,  $k$  and  $\tau$  are periodic functions with the same period and if  $k$  is constant then  $\tau$  is also constant. Secondly, we will construct a cylindrical coordinate system. If  $k$  is constant, then  $\gamma$  is a straight line or a circle. Suppose that  $k$  is not constant.

If  $\gamma = \gamma(s)$  be a curve with velocity 1 and its curvature is positive at every point, Then  $\Lambda$  is a vector field along  $\gamma$ .  $\Lambda$  extends to a killing vector field on  $\mathbf{R}^3$  iff  $\Lambda$  satisfies the following.

$$\langle \nabla_T \Lambda, T \rangle = 0 \tag{a}$$

$$\langle (\nabla_T)^2 \Lambda, N \rangle = 0 \tag{b}$$

$$\langle (\nabla_T)^3 \Lambda - \frac{k_s}{k} (\nabla_T)^2 \Lambda + k^2 \nabla_T \Lambda, B \rangle = 0 \tag{c}$$

where  $T, N, B$  form the Frenet frame for  $\gamma$ . Put

$$J_0 = 2(\nabla_T)^2 T + (3k^2 - \mu + \epsilon\sigma^2)T - 2\epsilon\sigma R\left(\frac{\pi}{2}\right)(\nabla_T T)$$

$$H = \epsilon\sigma T + kB$$

$$J_1 = H - \frac{\langle J_0, H \rangle}{|J_0|^2} J_0 \quad (\mu, \sigma \text{ are constant in Lema 1}).$$

Then  $J_0, H, J_1$  is also killing along  $\gamma$ . Let  $\bar{J}_0, \bar{J}_1$  be the extension of  $J_0, J_1$  on  $\mathbf{R}^3$ . In (1) of Lemma 1, we can see  $\bar{J}_0$  is constant vector field. Thus  $\bar{J}_1$  is a rotation field perpendicular to  $\bar{J}_0$ . By the above statement we obtain a cylindrical coordinate  $(r, \theta, z)$ . It satisfies  $\frac{\partial}{\partial z} = \frac{1}{|\bar{J}_0|} \bar{J}_0, \frac{\partial}{\partial \theta} = c\bar{J}_1$  where  $c$  is a positive constant. Setting  $\gamma(s) = (r(s), \theta(s), z(s))$  one then obtains

$$r(s) = c|J_1(s)|$$

$$\theta_s(s) = \frac{\langle T, \frac{\partial}{\partial \theta} \rangle}{|\frac{\partial}{\partial \theta}|^2} = \frac{\langle T, J_1(s) \rangle}{c|J_1(s)|^2}$$

$$z_s(s) = \langle T, \frac{1}{|J_0(s)|} J_0(s) \rangle$$

where  $J_0, J_1$  are vector fields along  $\gamma$  and components of  $T, N, B$  are represented by curvature and torsion of  $\gamma$ .

If  $\{\gamma, M\}$  is periodic, then  $r, z$  are also periodic and curve of  $\gamma$  in  $rz$ -plane is a simple closed curve. Thus every closed knotted elastic curve lies on torus of revolution. Since curvature and torsion of knotted elastic curve are periodic function with some period,  $\gamma$  is periodic iff  $\Delta z = 0$  (i.e.  $\frac{\Delta \theta}{2\pi} \in \mathbf{Q}$ )  $\square$

**THEOREM 7** [J.LANGER AND D.SINGER][3]. *For any closed elastic curve  $-\pi \leq \Delta \theta \leq 0$ ,  $\frac{\Delta \theta}{2\pi} \in \mathbf{Q}$  and conversely for any  $\psi$ , such that  $-\pi \leq \psi \leq 0$ ,  $\frac{\psi}{2\pi} \in \mathbf{Q}$  there exists a unique closed elastic curve such that  $\Delta \theta = \psi$ .*

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Department of Mathematics Education  
In Chon National University of Education  
Inchon 407-753, Korea