MULTIPLICITY RESULT FOR SEMILINEAR PARABOLIC EQUATIONS

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ABSTRACT. An Ambrosetti-Prodi type multiplicity result for periodic-Dirichlet problem to semilinear parabolic equation is treated.

1. Introduction

Let Z^+ , Z, R^* and R be the set of all positive integers, integers, nonnegative reals and reals, respectively, and let $\Omega \subseteq R^n$, $n \ge 1$, be a bounded domain with smooth boundary $\partial \Omega$ which is assumed to be of class C^2 .

Let $Q = (0, 2\pi) \times \Omega$ and $L^2(Q)$ be the space of measurable Lebesgue square integrable real-valued functions on Q with usual inner product $\langle \cdot, \cdot \rangle$ and corresponding norm $\|\cdot\|_2$.

By $H_0^1(\Omega)$ we mean the completion of $C_0^1(\Omega)$ with respect to the norm $\|\cdot\|_1$ defined by

$$\|\phi\|_1^2 = \int_{\Omega} \sum_{|\alpha| \le 1} |D^{\alpha}\phi(x)|^2 dx.$$

 $H^2(\Omega)$ stands for the usual Sovolev space; i.e., the completion of $C^2(\bar{\Omega})$ with respect to the norm $\|\cdot\|_2$ defined by

$$\|\phi\|_2^2 = \int_{\Omega} \sum_{|\alpha| \le 2} |D^{\alpha}\phi(x)|^2 dx.$$

Let $g: R \to R$ be a continuous function. Moreover, we assume that there exist constants a_0 and b_0 such that

$$|g(u)| \le a_0|u| + b_0 \text{ for all } u \in R.$$

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The purpose of this work is to investigate a multiplicity result for weak solution of the nonlinear parabolic equations

$$(\mathrm{E}) \qquad \qquad rac{\partial u}{\partial t} - riangle_x u - \lambda_1 u + g(u) = rac{s\phi_1}{\sqrt{2\pi}} + h(t,x) \; \; ext{in} \; \; Q$$

$$u(t,x)=0 ext{ on } (0,2\pi) imes \partial \Omega$$

$$(B_2)$$
 $u(0,x) = u(2\pi, x)$ on Ω

where λ_1 denotes the first eigenvalue of $-\Delta$ with zero Dirichlet boundary condition and ϕ_1 is the corresponding positive normalized eigenfunction; i.e., $\phi_1(x)>0$ on Ω and $\int_\Omega \phi_1^2(x)dx=1$, and $h\in L^2(Q)$ with

$$\iint_Q h(t,x)\phi_1(x)dtdx = 0.$$

More precisely, the purpose is to find constants $s_0 < s_1$ such that the problem

 $(E)(B_1)(B_2)$ has no solution, at least one solution, or at least two solutions according to $s < s_0$, $s = s_1$ or $s > s_1$.

This type of result, so-called an Ambrosetti-Prodi type result has been initiated by Ambrosetti-Prodi [1] in 1972 in the study of a Dirichlet problem to elliptic equations and developed in various directions by several authors to ordinary and partial differential equations. A notable discussion for AP type results for periodic and Dirichlet boundary value problem has been done by Fabry, Mawhin and Nkashama [4] and Chiappinelli, Mawhin and Nugari [2], respectively, for second order ordinary differential equations. For AP type result for periodic solutions of higher order ordinary differential equations, we refer the results of Ding and Mawhin in [3]. AP type results for Lienard systems have been done by Kim [8], and Hirano and Kim [7], and AP type results for dissipative hyperbolic equations have been done by Kim [9]. Lazer and Mckenna treated AP type multiplicity result for elliptic and parabolic equations in [10]. In our result, we assume the coercive growth condition on g

and make use of degree theory in our proof. Our result, in particular, is different from that of [10].

Here we assume the following

$$\lim_{|u|\to\infty}\inf g(u)=+\infty,$$

$$\lim_{u \to -\infty} \sup |\frac{g(u)}{u}| < \lambda_2 - \lambda_1.$$

Then we have that

THEOREM. Assume (H_1) , (H_2) and (H_3) . Then there exist real numbers $s_0 \leq s_1$ such that

- (i) $(E)(B_1)(B_2)$ has no solution for $s < s_0$.
- (ii) $(E)(B_1)(B_2)$ has at least one solution for $s = s_1$.
- (iii) $(E)(B_1)(B_2)$ has at least two solution for $s > s_1$.

2. Preliminary results

Let's define the linear operator

$$L: Dom L \subseteq L^2(Q) \to L^2(Q)$$

by

$$egin{aligned} Dom L &= \{u \in L^2((0,2\pi), H^2(\Omega) \cap H^1_0(\Omega)) | rac{\partial u}{\partial t} \in L^2(Q), \ & u(0,x) = u(2\pi,x), x \in \Omega \} \end{aligned}$$

and

$$Lu = \frac{\partial u}{\partial t} - \Delta u - \lambda_1 u$$

Using Fourier series and Parseval inequality, we get easily

$$< Lu, \frac{\partial u}{\partial t}> = \|\frac{\partial u}{\partial t}\|_{L^2}^2 \text{ for all } u \in Dom L.$$

Hence $kerL = ker(\Delta + \lambda_1 I) = kerL^*$ since $\Delta + \lambda_1 I$ is self-adjoint and $ker(\Delta + \lambda_1 I)$ is one space dimension generated by the eigenfunction ϕ_1 . Therefore L is a closed, densely defined linear operator and $Im(L) = [kerL]^{\perp}$; i.e., $L^2(Q) = kerL \bigoplus ImL$. Let's consider a continous projection $P_1: L^2(Q) \to L^2(Q)$ such that $kerP_1 = ImL$. Then $L^2(Q) = kerL \bigoplus kerP_1$. We consider another continuous projection $P_2: L^2(Q) \to L^2(Q)$ defined by

$$(P_2h)(t,x)=rac{1}{2\pi}\iint_Q h(t,x)\phi_1(x)dtdx\phi_1(x).$$

Then we have $L^2(Q) = ImP_1 \bigoplus ImL$, $kerP_2 = ImL$, and $L^2(Q)/ImL$ is isomorphism to ImP_2 .

Since $dim[L^2(Q)/ImL] = dim[ImP_2] = dim[kerL] = 1$, we have an isomorphism $J: ImP_2 \to kerL$.

By the closed graph theorm, the generalized right inverse of L defined by

$$K = [L|_{DomL \cap ImL}]^{-1} : ImL \to ImL$$

is continuous. If we equip the space DomL with the norm

$$\|u\|_{Dom L} = \iint_Q [u^2 + (rac{\partial u}{\partial t})^2 + \sum_{|eta| \leq 2} (D_x^eta u)^2] dt dx,$$

then there exist a constant c>0 independently of $h\in ImL,\ u=Kh$ such that

$$||Kh||_{DomL} \leq c||h||_{L^2}.$$

Therefore $K: ImL \to ImL$ is continuous and by the compact imbedding of DomL in $L^2(Q)$, we have that $K: ImL \to ImL$ is compact

Lemma 2.1. L is closed, densely defined linear operator such that $kerL = [ImL]^{\perp}$ and such that the right inverse $K: ImL \to ImL$ is completely continuous.

3. Multiplicity result

Let us consider the following

$$(E_s^\mu) \qquad rac{\partial u}{\partial t} - riangle_x u - \lambda_1 u + \mu g(u) = \mu s \phi + \mu h(t,x) \; ext{ in } \; Q$$

$$(B_1)$$
 $u(t,x) = 0$ on $(0,2\pi) \times \partial \Omega$

$$u(0,x)=u(2\pi,x)$$
 on Ω

where $\mu \in [0,1]$ and $\phi(x) = \frac{\phi_1(x)}{\sqrt{2\pi}}$.

Let $L:Dom L\subseteq L^2(Q)\to L^2(Q)$ be defined as before. If we define a substitution operator $N_s^\mu:L^2(Q)\to L^2(Q)$ by

$$(N_s^{\mu})(t,x) = \mu g(u) - \mu s\phi - \mu h(t,x)$$

for $u\in L^2(Q)$ and $(t,x)\in Q$, then N_s^μ maps continuously into itself and take bounded sets into bounded set. Let G be any open bounded subset of $L^2(Q)$. Then $P_2N_s^\mu:\bar G\to L^2(Q)$ is bounded and $K(I-P_2):\bar G\to L^2(Q)$ is compact and continuous. Thus N_s^μ is L-compact on $\bar G$.

The coincidence degree $D_L(L+N_s^{\mu},G)$ is well defined and constant in μ if $Lu+N_s^{\mu}\neq 0$ for $\mu\in[0,1],\ s\in R$ and $u\in DomL\cap\partial G$. It is easy to check that (u,μ) is a weak solution of (E_s^{μ}) if and only if $u\in DomL$ and

$$(3.1^{\mu}) Lu + N^{\mu}_{s} u = 0.$$

From (H_2) and (H_3) , we may assume that

$$m = \inf_{u \in R} g(u) > -\infty$$

and there exist $a \in (0, \lambda_2 - \lambda_1)$ and $b \ge 0$ such that

$$|g(u)| \le a|u| + b$$
 for all $u \le 0$.

Here we have the following lemma.

LEMMA 3.1. If (H_1) (H_2) and (H_3) is satisfied, then for any $s^* \in R^+$, there exists $M(s^*) > 0$ such that

$$||u||_{L^2} \leq M(s^*)$$

holds for each possible weak solution $u = \alpha \phi_1 + \tilde{u}$, with $\alpha \in R$ and $\tilde{u} \in ImL$, of (E_s^{μ}) with $\mu \in [0,1]$ and $|s| \leq s^*$.

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PROOF. Suppose there exists a constant s with $|s| \leq s^*$ and corresponding solutions (u_n, μ_n) of $(3.1_s^{\mu_n})$ such that

$$\lim_{n\to\infty} \|u_n\|_{L^2} = \infty.$$

For each $n \ge 1$, we put $u_n(t,x) = \alpha_n \phi(x) + \tilde{u}_n(t,x)$. By extracting subsequence, we may assume that

$$\lim_{n\to\infty}\frac{|\alpha_n|}{\|\tilde{u}_n\|_{L^2}}=c<\infty.$$

If it is not the case, then we have from the positivity of $\phi(x)$ that

$$\lim_{n\to\infty}|u_n(t,x)|=\infty \text{ a.e. on } Q.$$

By taking the inner product with ϕ on both sides of (3.1^{μ}_{s}) , we have

$$\iint_{O} g(u_{n}(t,x))\phi(x)dtdx = s \leq s^{*}.$$

On the other hand, by (H_2) and Fatou's lemma, we have

$$\lim_{n o\infty}\inf\iint_Qg(u_n(t,x))\phi(x)dtdx$$
 == ∞

which leads to a contradiction. First, we assume that $0 < c < \infty$. Then there exist $n_0 \in N$ such that

$$(c/2)\|\tilde{u}_n\|_{L^2} \le |\alpha_n| \le (3c/2)\|\tilde{u}_n\|_{L^2}$$
 for all $n \ge n_0$.

For given $\epsilon > 0$, we may choose $\delta > 0$ such that

$$\iint_A |\phi|^2 dt dx < \epsilon \|\phi\|_{L^2}^2$$

for any measurable set $A \subset \bar{Q}$ with $|A| \leq \delta$.

Let $0 < \beta < \|\phi\|_{\infty}$ and $\Omega_0 = \{x \in \Omega : \phi(x) \ge \beta\}$. Choose $M_0 > 0$ such that

$$\delta M_0 - |m| \iint_Q \phi dt dx > s^*.$$

Then, since $\lim_{|u|\to\infty}\inf g(u)=\infty$, we have that

$$m_0 = \sup\{|u| : \beta g(u) < M_0\} < \infty.$$

We put

$$Q_n = \{(t, x) \in (0, 2\pi) \times \Omega_0 : |u_n(t, x)| \ge m_0\}.$$

Then we have $|Q_n| \leq \delta$. In fact if $|Q_n| > \delta$, then from the definition of m_0 we have

$$\iint_{Q} g(u_{n}(t,x))\phi(x)dtdx
= \iint_{Q_{n}} g(u_{n})\phi(x)dtdx + \iint_{Q\backslash Q_{n}} g(u_{n})\phi(x)dtdx
> \delta M_{0} - |m| \iint_{Q} \phi(x)dtdx
> s^{*}$$

and this lead a contradiction. Therefore, we have

$$\iint_{Q \setminus Q_n} |\alpha_n \phi|^2 \ge (1 - \epsilon) \iint_{Q} |\alpha_n \phi|^2.$$

On the other hand,

$$\begin{split} 0 &= \iint_{Q} \alpha_{n} \phi \tilde{u}_{n} \\ &= \iint_{Q \setminus Q_{n}} \alpha_{n} \phi \tilde{u}_{n} + \iint_{Q_{n}} \alpha_{n} \phi \tilde{u}_{n} \\ &\leq (1/2) \iint_{Q \setminus Q_{n}} (|\alpha_{n} \phi + \tilde{u}_{n}|^{2} - |\alpha_{n} \phi|^{2} - |\tilde{u}_{n}|^{2}) + \iint_{Q_{n}} |\alpha_{n} \phi| |\tilde{u}_{n}|. \end{split}$$

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From the definition of m_0 and the above facts, we have, for all $n \geq n_0$,

$$0 \le (1/2)m_0^2 - (1/2)(1 - \epsilon)(c/2) \|\tilde{u}_n\|_{L^2}^2 + \epsilon(3c/2) \|\tilde{u}_n\|_{L^2}^2$$

= $(1/2)m_0^2 - (c/4)(1 + 5\epsilon c) \|\tilde{u}_n\|_{L^2}^2$.

Therefore, $\{\|\tilde{u}_n\|_{L^2}\}$ is bounded and hence $\{\|u_n\|_{L^2}\}$ is bounded which lead a contraction.

Next, we assume c = 0. Then $\lim_{n \to \infty} \frac{\|u_n\|}{\|\tilde{u}_n\|_{L^2}} = 1$.

Taking the inner product with u_n on both sides of (3.1_s^{μ}) , we have

$$(\lambda_2 - \lambda_1) \|\tilde{u}_n\|_{L^2}^2 + \langle g(u_n), u_n \rangle \leq s^* |\alpha_n| + \|h\|_{L^2} \|\tilde{u}_n\|_{L^2}$$

and hence

$$\lim_{n \to \infty} \sup(\lambda_2 - \lambda_1 - a) \|\tilde{u}_n\|_{L^2} \le [\max\{|m|, b\}|Q|^{1/2} + \|h\|_{L^2}].$$

Thus $\{\|\tilde{u}_n\|_{L^2}\}$ is bounded and thus $\{\|u_n\|_{L^2}\}$ is bounded which leads to another contradiction.

REMARK. By Lemma 3.1, we may have an a'priori bounds $M_1(s^*) > 0$ and $\gamma_1(s^*) > 0$ such that

$$|\alpha| \le \gamma_1(s^*), \|\tilde{u}\|_{L^2} \le M_1(s^*)$$

for each possible weak solution $u=\alpha\phi+\tilde{u}$ of (E^{μ}_{ε}) with $|s|\leq s^*$ and $\mu\in[0,1].$

LEMMA 3.2. If (H_1) , (H_2) and (H_3) are satisfied, then, for each $s^* \in \mathbb{R}^+$, we can find an open bounded set $G(s^*)$ in $L^2(Q)$ such that, for each open bounded set G in $L^2(Q)$ such that $G \supseteq G(s^*)$, we have

$$D_L(L+N_s^1,G) = 0$$
 for all $|s| \le s^*$.

PROOF. Suppose that $\alpha \in R$ and $|\alpha| \to \infty$, then $|\alpha \phi(x)| \to \infty$ for each $x \in \Omega_0$. Let $M = \min_{x \in \bar{\Omega}} g(\alpha \phi(x))\phi(x)$ and $W = (0, 2\pi) \times \Omega_0$. Then, by Fatou's lemma and (H_2) , we have

$$\begin{split} &\lim_{|\alpha|\to\infty}\inf\iint_Q g(\alpha\phi(x))\phi(x)dtdx\\ &=\lim_{|\alpha|\to\infty}\inf\iint_Q [g(\alpha\phi(x))\phi(x)-M]dtdx+M|Q|\\ &\geq\iint_W \lim_{|\alpha|\to\infty}\inf[g(\alpha\phi(x))\phi(x)-M]dtdx+M|Q|\\ &=\infty. \end{split}$$

Hence, there exists $r_2(s^*) > 0$ such that, for $|\alpha| > r_2(s^*)$, we have

$$\iint_Q g(\alpha\phi(x))\phi(x)dtdx>s^*.$$

Let

$$G(s^*) = \{u \in L^2(Q) | -\tilde{r}(s^*)\phi(x) < \alpha\phi(x) < \tilde{r}(s^*)\phi(x) \text{ for } x \in \Omega, \|\tilde{u}\|_{L^2} < M\}$$

where $u = \alpha \phi(x) + \tilde{u}$ with $\tilde{r}(s^*) > \max\{r_1(s^*), r_2(s^*)\}$ and $\tilde{M} > M$ which are given in Lemma 3.1 and Remark. Let

$$s_0 = d \min_{u \in R} g(u)$$

where $d=2\pi\int_{\Omega}\phi(x)dx$. If $(3.1^{\mu}_{\bar{s}})$ has a solution u for some $\bar{s}\in R$ and $\mu\in[0,1]$, then by taking the inner product with ϕ on the both sides of the equation $(3.1^{\mu}_{\bar{s}})$, we have

$$s_0 \leq \iint_{Q} g(u(t,x))\phi(x)dtdx = \bar{s}.$$

Thus $(3.1^{\mu}_{\bar{s}})$ has no solution for $\bar{s} < s_0$.

Hence for each open bounded set $G \supseteq G(s^*)$, we have

$$D_L(L + N_{\bar{s}}^1, G) = 0 \text{ for } \bar{s} < s_0.$$

Choose $\bar{s} < s_0$ and define

$$F:(D(L)\cap G)\times [0,1]\to L^2(\Omega)$$

by

$$F(u,\mu) = Lu + N_{(1-\mu)\bar{s} + \mu s}(u)$$
 for $|s| \le s^*$.

They by Lemma 3.1 and Remark, we have

$$0 \notin F(D(L) \cap \partial G) \times [0,1]$$
 for $|s| \le s^*$.

By the homotopy invariance of degree, we have, for all $|s| < s^*$,

$$D_{L}(L + N_{s}^{1}, G) = D_{L}(F(\cdot, 1), G)$$

$$= D_{L}(F(\cdot, 0), G)$$

$$= D_{L}(L + N_{\bar{s}}^{1}, G)$$

$$= 0$$

and the proof is completed.

LEMMA 3.3. If (H_1) , (H_2) and (H_3) are satisfied, then there exists $s_1 > s_0$ such that, for each $s^* > s_1$, we can find an open bounded set $\Delta(G(s^*))$ in $L^2(Q)$ on which

$$|D_L(L+N_s^1,\Delta(G(s^*)))|=1$$

for all $s_1 < s \le s^*$.

PROOF. Let

$$g(lpha_0\phi(x_0)+ ilde{u}_0)=\min_{\substack{x\in ar{\Omega}\ |lpha|\leq ilde{\gamma}(s^*)\ |ar{u}|$$

and
$$s_1 = |d \max_{u \in [\alpha_0 \phi(x) - \tilde{M}, \alpha_0 \phi(x) + \tilde{M}]} g(u)|.$$

Define

$$\Delta(G(s^*)) = \{ u \in L^2(Q) | \alpha_0 \phi(x) < \alpha \phi(x) < \tilde{\gamma}(s^*) \phi(x) \text{ for } x \in \Omega, \|\tilde{u}\|_{L^2} < \tilde{M} \}$$

where $\tilde{\gamma}(s^*)$ and \tilde{M} are given in Lemma 3.2.

If $s > s_1$, $\mu \in [0,1]$ and (u,μ) is a possible solution of (3.1_s^{μ}) such that $u \in \partial \Delta(G(s^*))$, then by (B_1) , Lemma 3.1 and Remark, we have necessarily $\alpha = \alpha_0$ and

$$lpha_0\phi(x)- ilde{M}$$

By taking the inner product with ϕ on the both sides of (3.1^{μ}) , we have

$$\iint_{Q} g(u(t,x))\phi(x)dtdx = s.$$

But

$$s_1 \geq \iint_{O} g(u(t,x)) \phi(x) dt dx = s$$

which is impossible, thus for $s \geq s_1$, and $\mu \in [0,1]$, $D_L(L+N_s^{\mu}, \Delta(G(s^*)))$ is well defined and

$$D_L(L + N_s^{\mu}, \Delta(G(s^*))) = D_B(JP_2N_s^{\mu}, \Delta(G(s^*)) \cap kerL, 0)$$

where $P_2N_s^{\mu}:L^2(\Omega)\to kerL$ is defined by

$$(P_2N_s^\mu u)(t,x)=[\mu\iint_Q g(u(t,x))\phi(x)dtdx-s]\phi(x).$$

Now let $T: kerL \to R$ be defined by

$$T(\alpha\phi(x)) = \alpha.$$

Then, for $\mu = 1$,

$$D_L(L+N_s^1,\Delta(G(s^*))) = D_B(JP_2N_s^1,\Delta(G(s^*)) \cap kerL,0)$$

= $D_B(T(JP_2N_s^1)T^{-1},T(\Delta(G(s^*)) \cap kerL),0).$

If we let $J: ImP_2 \to kerL$ be the identity map, then the operator $\Phi = T(JP_2N_s^1)T^{-1}$ will be defined by

$$\Phi(lpha) = \iint_Q g(lpha\phi(x))\phi(x)dtdx - s.$$

Thus, for $s_1 < s \le s^*$, we have

$$\Phi(lpha_0) = \iint_Q g(lpha_0\phi(x))\phi(x)dtdx - s < s_1 - s < 0$$

and by the choice of $\tilde{\gamma}(s^*)$, we have

$$\Phi(\tilde{\gamma}(s^*) = \iint_Q [g(\tilde{\gamma}(s^*)\phi(x))\phi(x)]dtdx - s$$

$$> s^* - s$$

$$> 0.$$

Therefore $|D_L(L+N_s^1,\Delta(G(s^*)))|=1$ and the proof is completed.

PROOF OF THEOREM. Let s_0 and s_1 be constants defined in Lemma 3.2 and Remark. Part (i) has been proved in Lemma 3.3. For part (iii), if $s > s_1$ then we can choose $G \supseteq \Delta(G(s))$, where G and $\Delta(G(s))$ are defined in Lemma 3.2 and Lemma 3.3, respectively.

By the additivity of degree, we have

$$0 = (D_L(L+N_s^1,G) = D_L(L+N_s^1,\Delta(G(s))) + D_L(L+N_s^1,G-\overline{\Delta(G(s))})$$
 and hence, by Lemma 3.3,

$$|D_L(L+N_s^1,G-\overline{\Delta(G(s))})|=1.$$

Therefore (3.1_s^1) has one solution in $\Delta(G(s))$ and one in $G-\overline{\Delta(G(s))}$. For part (ii), let $\{s_{(n)}\}$ be a sequence in R with $s_{(1)} > s_{(2)} > \cdots > s_1$ such that $s_{(n)} \to s_1$ and let $\{u_n\}$ be the corresponding sequence of solutions of (3.1_s^1) . Then $u_n = \alpha_n \phi(x) + \tilde{u}_n$ with $\alpha_n \in R$ and $\tilde{u}_n \in ImL$. By Lemma 3.1, we have a subsequence $\{\alpha_{n_k}\}$ of $\{\alpha_n\}$ which converges to some α in R.

On the other hand, by (H_1) , Lemma 3.1 and Remark, we can see that $\{Lu_{n_k}\}$ is a bounded sequence in $ImL \subseteq L^2(Q)$. Since $K: ImL \to ImL$ is a compact operator, and $\tilde{u}_{n_k} = K(Lu_{n_k})$, we have a subsequence, say again, $\{\tilde{u}_{n_k}\}$ converging to \tilde{u} in $DomL \cap ImL$.

Therefore, we have a subsequence $\{u_{n_k}\}$ of $\{u_n\}$ which converges to $u = \alpha \phi + \tilde{u}$ with $\alpha \in R$ and $\tilde{u} \in ImL$. Since L is a closed operator, $u \in DomL$ and u is a solution of (3.1_s^1) for $s = s_1$. This completes our proof.

REMARK. It is another question whether we can find a constant s_0 such that the problem $(E)(B_1)(B_2)$ has no solution, at least one solution, or at least two solutions according to $s < s_0$, $s = s_0$, or $s > s_0$. The author would like to refer to [5] containing the multiplicity results for doubly-periodic boundary value problem to semilinear dissipative hyperbolic in one dimentional space.

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