# SOME MODULES IN CATEGORY $\mathcal{O}$ AND THEIR DECOMPOSITION OVER GENERALIZED KAC-MOODY LIE ALGEBRAS

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ABSTRACT. We extend the notion of equivalence relation  $\approx$  for Kac-Moody algebras to generalized Kac-Moody algebras and prove some analogues of results for Kac-Moody algebras.

#### 1. Introduction

In this paper we extend the notion of equivalence relation  $\sim$  for Kac-Moody algebras to generalized Kac-Moody algebras (= GKM algebras) and prove some analogues of results for Kac-Moody algebras. Here, GKM algebras are a class of contragredient Lie algebras G(A) over C associated to a real square matrix  $A = (a_{ij})_{i,j,\in I}$  indexed by a finite set I which satisfies the conditions:

- (C1) either  $a_{ii} = 2$  or  $a_{ii} \leq 0$ ;
- (C2)  $a_{ij} \leq 0$  if  $i \neq j$ , and  $a_{ij} \in Z$  if  $a_{ii} = 2$ ;
- (C3)  $a_{ij} = 0$  implies  $a_{ji} = 0$ .

We also extend the equivalence relation  $\approx$  (defined in [2]) from  $K^g$  to a larger subset  $K^g \subset H^*$  (we use same notation  $K^g$ ), for GKM algebras. We prove the equivalence classes in  $K^g$  for GKM algebras have a property similar to that of the equivalence classes for Kac-Moody algebras. We also prove that their category decomposition theorem for  $\mathcal{O}^g$  can be extended.

Received May 16, 1996.

<sup>1991</sup> Mathematics Subject Classification: 17B10, 17B65.

Key words and phrases: Generalized Kac-Moody Lie algebras, Verma modules, category  $\mathcal{O}$ .

This paper was partially supported by KOSEF-RCAA, 1996.

# 2. Decomposition Theorem of Modules in the category $\mathcal{O}$

Let H be a Cartan subalgebra of G,  $\Pi$  the set of simple roots  $\{\alpha_i, i \in I\}$ . Let W be the Weyl group. Fix an element  $\rho$  in  $H^*$  such that  $(\rho, \alpha_i) = \frac{1}{2}(\alpha_i, \alpha_i)$ . Here,  $(\ ,\ )$  is a nondegenerate bilinear form on  $H^*$ . We denote by  $P_+$  the set of dominant integrals. Let  $I^{re}(\text{resp. }I^{im})$  be the subset  $\{i \in I | a_{ii} = 2 \text{ (resp. } a_{ii} \leq 0 \text{ }\} \text{ of the indexing set } I$ . For  $\alpha_i \in \Pi$  we call  $\alpha_i$  real(resp. imaginary) simple root when  $i \in I^{re}(\text{resp. }I^{im})$ .

First, we recall the definition of the category  $\mathcal{O}$  whose objects are G modules M satisfying:

- (1) M is H-semisimple with finite dimensional weight spaces.
- (2) There exist finitely many elements  $\mu_1, \mu_2, \cdots, \mu_k \in H^*$  such that any weight of M ( $\mu$  is a weight iff  $M_{\mu} \neq 0$ ) belongs to some  $D(\mu_i)$ , where  $D_{\mu_i} = \{\mu_i \gamma | \gamma \in Q_+ = \sum Z_{\geq 0} \alpha_i \}$ .

An important class of modules in  $\mathcal{O}$  is the class of highest modules, in particular Verma modules.

For  $\mu \in H^*$ , any  $M \in \mathcal{O}$  has a local composition series at  $\mu$  whose subquotients are irreducible highest weight modules. We call these subquotients components of M. The following Proposition describes the components of Verma modules.

PROPOSITION 2.1. [2, Theorem 3.6] Let  $\lambda, \mu \in H^*$ . Then  $L(\mu)$  is a component of  $M(\lambda)$  iff the ordered pair  $\{\lambda, \mu\}$  has the following condition:

- (\*) There exist a sequence  $\phi_1, \phi_2, ..., \phi_k$  of positive roots and a sequence  $n_1, n_2, ..., n_k$  of positive integers such that
  - (i)  $\lambda \mu = \sum_{i=1}^{i=k} n_i \phi_i$ ,
  - (ii)  $2(\lambda + \rho n_1 \phi_1 \dots n_{j-1} \phi_{j-1}, \phi_j) = n_j(\phi_j, \phi_j), \quad \forall \ 1 \le i \le k.$

Now fix  $\lambda \in H^*$ . Define  $\mathcal{A}(\lambda)$  to be the subset of  $H^*$  of all sums of pairwise perpendicular imaginary simple roots perpendicular to  $\lambda$ . In the set  $W \times \mathcal{A}(\lambda)$ , we have the extended Bruhat ordering  $\geq$  defined in [4].

The following describes the property (\*) in terms of the extended Bruhat ordering.

PROPOSITION 2.2. [3, PROPOSITION 2.9]. Let  $\lambda \in P_+$  and  $(w_i, \beta_i) \in W \times \mathcal{A}(\lambda)$ , for i = 1, 2. Then  $\{w_2(\lambda + \rho - \beta_2) - \rho, w_2(\lambda + \rho - \beta_1) - \rho\}$  has the property (\*) if and only if  $(w_1, \beta_1) \geq (w_2, \beta_2)$ .

We note that the relation (\*) is not symmetric. We extend the equivalence relation  $\sim$  to GKM algebras in the same way as in Kac-Moody algebras.

DEFINITION 2.3. For  $\lambda, \mu \in H^*$  we define  $\lambda \sim \mu$  if there exists a sequence  $\lambda = \lambda_0, \lambda_1, ..., \lambda_k = \mu$  in  $H^*$  such that for every  $0 \le i \le k$ , either  $\{\lambda_i, \lambda_{i+1}\}$  or  $\{\lambda_{i+1}, \lambda_i\}$  has the property (\*).

DEFINITION 2.4. Let  $\Lambda$  be an equivalence class of  $H^*$  under  $\sim$ . A modules  $M \in \mathcal{O}$  is said to be of type  $\Lambda$  iff all the components of M have highest weights belonging to  $\Lambda$ .

The following Lemma 2.5 and Proposition 2.6 are proved for a contragradient Lie algebra which is more general than a generalized Kac-Moody algebra.

LEMMA 2.5. [2, Proposition 4.4] Let  $M(\lambda)$  and  $M(\mu)$  be Verma modules with highest weights  $\lambda$  and  $\mu$ , respectively. Then  $\operatorname{Ext}_G(M(\lambda), M(\mu)) = 0$  if  $\lambda$  and  $\mu$  are inequivalent.

PROPOSITION 2.6. [2, Theorem 4.2] Let G be any GKM algebras. Let M, N be two G modules in  $\mathcal{O}$ , such that M(resp.N) is of type  $\Lambda_M(resp. \Lambda_N)$ . Then

- (1) If  $\Lambda_M \neq \Lambda_N$  then  $Ext_G(M, N) = 0$ .
- (2) There exists a unique set of  $\{M_{\Lambda}\}_{\Lambda}$  of submodules of M such that
  - (i)  $M_{\Lambda}$  is of type  $\Lambda$  and
  - (ii)  $M = \bigoplus_{\Lambda} M_{\Lambda}$ .

# 3. Subcategory $\mathcal{O}^g$ and equivalence classes in $K^g$

In this section we extend the notion of category  $\mathcal{O}^g$  for Kac-Moody algebras and generalize their category decomposition theorem to arbitrary symmetrizable GKM algebras.

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DEFINITION 3.1. Let  $C = \{\lambda \in H^* \mid (\lambda, \alpha_i) \geq 0, \forall \text{ simple roots } \alpha_i\}$ . Put  $K = \bigcup_{\lambda,\beta} W(\lambda + \rho - \beta)$ , where  $\lambda$  runs in C and  $\beta \in \mathcal{A}(\lambda)$ .

Now we set  $K^g = -\rho + K$ ,  $C^g = -\rho + C$ .

Proposition 3.2. (1) K is W-invariant.

(2) Every orbit of W in K contains a unique element of C.

*Proof.* By definition (1) is clear. For (2) one modifies the proof of Proposition 1.3 in [5] slightly.

LEMMA 3.3. Let  $w(\lambda + \rho - \beta) - \rho \in K^g$  and  $\{w(\lambda + \rho - \beta) - \rho, \mu\}$  satisfy condition (\*). Then  $\mu = \sigma(\lambda + \rho - \beta_0) - \rho$  for some  $\sigma \in W$  and some  $\beta_0 \in \mathcal{A}(\lambda)$ . In particular  $\mu \in K^g$ .

*Proof.* By definition of  $K^g$ ,  $\lambda$  is in C. Then for any  $\beta$  in  $\mathcal{A}(\lambda)$  and simple root  $\alpha_i$  we have  $(\lambda - \beta, \alpha_i) \geq 0$ . Thus  $\lambda - \beta \in C$ . In the proof of Proposition 4.2 in [3], this Lemma was proved assuming that  $\lambda - \beta \in P_+$ . However, if one looks at the proof carefully one can find that his proof carries over to the case  $\lambda - \beta \in C$ .

We denote by  $\mathcal{O}^g$  the full subcategory of the category  $\mathcal{O}$  consisting of those modules  $M \in \mathcal{O}$  such that all the irreducible subquotients have highest weights in  $K^g$ .

The following is an immediate consequence of Lemma 3.3.

Proposition 3.4. If  $\lambda \in K^g$ , then  $M(\lambda) \in \mathcal{O}^g$ 

Next we define an equivalence relation  $\approx$  in  $K^g$  (resp.  $\mathcal{A}(\lambda_0)$ ) by using  $K^g$  (resp.  $\mathcal{A}(\lambda_0)$ ) in place of  $H^*$  in the definition of  $\sim$ .

For  $\lambda_0 \in C^g$ , and  $\beta_0$  in  $\mathcal{A}(\lambda_0)$ . We denote by  $[\beta_0]$  the equivalence class under  $\approx$  containing  $\beta_0$  and  $[\mathcal{A}(\lambda_0)]$  be the set of all equivalence classes  $[\beta_0]$  in  $\mathcal{A}(\lambda_0)$ . Let  $W(\lambda_0)$  be the subgroup of W generated by  $\{s_{\phi} \mid \phi \text{ a real root and } 2(\lambda_0 + \rho, \phi)/(\phi, \phi) \in Z\}$ . Consider disjoint union  $\dot{\cup}(W/W(\lambda_0) \times [\mathcal{A}(\lambda_0)])$ .

PROPOSITION 3.5. The set of equivalence classes under  $\approx$  is in bijective correspondence with the set  $\dot{\cup}(W/W(\lambda_0)\times[\mathcal{A}(\lambda_0)])$ .

Proof. Let  $\lambda_0 \in C^g$  and  $\beta_0 \in \mathcal{A}(\lambda_0)$ . Consider a set  $A = \{\sigma w(\lambda_0 + \rho - \beta) - \rho\}_{w \in W(\lambda_0), \beta \in [\beta_0]}$ . First note that  $A \in K^g$ . We show that A is an equivalence class under  $\approx$ . For any element  $w \in W(\lambda_0)$  we write  $w = s_{\phi_1} s_{\phi_2} \cdots s_{\phi_k}$  where each  $\phi_i$  is real and  $2(\lambda_0 + \rho, \phi_i)/(\phi_i, \phi_i) \in \mathbb{Z}$ . Since  $\beta_0 \in A(\lambda_0)$  and the Weyl group is generated by real simple reflections, we have  $2(\beta_0, \phi_i)/(\phi_i, \phi_i) \in \mathbb{Z}$  for  $\phi_i, 1 \leq i \leq k$ . Therefore for each  $\phi_i$  we have  $2(\lambda_0 + \rho - \beta_0, \phi_i)/(\phi_i, \phi_i) \in \mathbb{Z}$ . Using this with the fact  $w = s_{\phi_1} s_{\phi_2} \cdots s_{\phi_k}$  one can show that  $\sigma(\lambda_0 + \rho - \beta_0) - \rho \approx \sigma w(\lambda_0 + \rho - \beta_0) - \rho$ .

We now consider  $\beta \in [\beta_0]$ . By definition of  $[\beta_0]$  there exist  $\beta_0, \beta_1, ..., \beta_k = \beta$  in  $\mathcal{A}(\lambda_0)$  such that either  $\{\beta_i, \beta_{i+1}\}$  or  $\{\beta_{i+1}, \beta_i\}$  has property (\*). By Proposition 2.2 either  $\beta_i \geq \beta_{i+1}$  or  $\beta_{i+1} \geq \beta_i$ , which implies either  $(\sigma w, \beta_i) \geq (\sigma w, \beta_{i+1})$  or  $(\sigma w, \beta_{i+1}) \geq (\sigma w, \beta_i)$ . Hence  $\sigma w(\lambda_0 + \rho - \beta_{i+1}) - \rho \approx \sigma w(\lambda_0 + \rho - \beta_i) - \rho$ .

Next consider  $\nu \in K^g$  such that  $\nu \approx \sigma(\lambda_0 + \rho - \beta_0) - \rho$ . By definition of  $\approx$  there exist  $\nu = \lambda_1, \lambda_2, ..., \lambda_n = \sigma(\lambda_0 + \rho - \beta_0) - \rho$  in  $K^g$  such that either  $\{\lambda_i, \lambda_{i+1}\}$  or  $\{\lambda_{i+1}, \lambda_i\}$  has property (\*). Without loss of generality, we may assume  $\lambda_{n-1} = \nu$ . In case  $\{\sigma(\lambda_0 + \rho - \beta_0) - \rho, \nu\}$  has property (\*), by Lemma 3.3  $\nu = w\sigma(\lambda_0 + \rho - \beta) - \rho$  for some  $w \in W(\lambda_0)$  and  $\beta \in [\beta_0]$ . Using induction on the length of w one can show that  $\sigma^{-1}w\sigma \in W(\lambda_0)$ . This proves  $\nu \in A$ . Consider the case  $\{\nu, \sigma(\lambda_0 + \rho - \beta_0) - \rho\}$  has property (\*). Since  $\nu \in K^g$  by Proposition 2.2  $\sigma(\lambda_0 + \rho - \beta_0) - \rho = w(\nu + \rho - \beta) - \rho$  for some  $\nu \in W$  and  $\nu \in$ 

Now we define a map from  $K^g/\approx$  to  $(\dot{\cup}W/W(\lambda_0)\times[\mathcal{A}(\lambda_0)])$  as follows: Given any equivalence class  $\Lambda^g$  any element  $\mu$  in  $\Lambda^g$  is of the form  $\mu=\tau(\lambda_0+\rho-\beta_0)-\rho$  for some  $\tau\in W$  and  $\beta_0\in A(\lambda_0)$ . We corresponds  $\Lambda^g$  to the set  $\tau W(\lambda_0)\times[\beta_0]$  in  $\dot{\cup}(W/W(\lambda_0)\times[\mathcal{A}(\lambda_0)])$ . We show this map is well defined. Suppose  $\mu=\tau(\lambda_0+\rho-\beta_0)-\rho=\theta(\lambda_\theta+\rho-\beta)-\rho$  for some  $\lambda_\theta\in C$  and  $\beta\in\mathcal{A}(\lambda_\theta)$ . By Proposition 3.2  $\lambda_0+\rho-\beta_0=\lambda_\theta+\rho-\beta$  and  $\tau=\theta$ . Hence  $\lambda_0-\lambda_\theta=\beta_0-\beta$ . Since  $\beta_0-\beta$  is the sum of simple imaginary roots with integer coefficients  $W(\lambda_0)=W(\lambda_\theta)$  and  $\beta_0\approx\beta$ . This proves the Proposition.  $\square$ 

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For an equivalence class  $\Lambda^g$ , we let  $M \in \mathcal{O}^g$  is said to be of type  $\Lambda^g$  iff all the components of M have highest weights belonging to  $\Lambda^g$ .

The following is an immediate consequence of Lemma 3.3 and Proposition 2.6.

PROPOSITION 3.6. (1) Let  $M \in \mathcal{O}^g$ . Then there exist a unique family  $M_{\Lambda^g}$  of submodules of M such that

- (i)  $M_{\Lambda^g} \in \mathcal{O}_{\Lambda^g}^g$  and
- (ii)  $M = \bigoplus_{\Lambda^g} M_{\Lambda^g}$ .
- (2) Let M be any module in the category  $\mathcal{O}(\text{resp. }\mathcal{O}^g)$  such that it has no irreducible subquotients  $L(\nu)$  with  $\nu \approx 0(\text{resp.}\nu \approx 0)$ , then Ext(G,M)=0.

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