

TOTALLY UMBILIC LORENTZIAN SURFACES EMBEDDED IN L^n

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1. Introduction

Define $\bar{g}(v, w) = -v_1w_1 + \cdots + v_nw_n$ for v, w in R^n . R^n together with this metric is called the *Lorentzian n -space*, denoted by L^n , and R^n together with the Euclidean metric is called the *Euclidean n -space*, denoted by E^n . A *Lorentzian surface* in L^n means an orientable connected 2-dimensional Lorentzian submanifold of L^n equipped with the induced Lorentzian metric g from \bar{g} .

Let M be a Lorentzian surface in L^n , D the flat Levi-Civita connection on L^n , ∇ the induced connection on M , and h the second fundamental form on M . A point p of M is *umbilic* if there is a normal vector z such that $h(v, w) = g(v, w)z$ for all v, w in T_pM . The z is called the *normal curvature vector* of M at p . A Lorentzian surface is *totally umbilic* provided every point of M is umbilic. Note that if M is totally umbilic, then there is a smooth normal vector field Z on M , called the *normal curvature vector field* of M such that $h(V, W) = g(V, W)Z$ for all C^∞ tangent vector fields V, W on M .

Our purpose is to show that there is only one kind of nontrivial totally umbilic Lorentzian surfaces in L^n , say pseudospheres in $L^3 \subset L^n$.

2. Main Theorems

Let M be a Lorentzian surface in L^n . Note that $T_p(M)$ is a 2-dimensional subspace of $T_pL^n \cong L^n$ for any $p \in M$. At first, consider the

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causal characters of $T_p(M)$ and its orthogonal complement with respect to \bar{g} in L^n .

THEOREM 2.1. *Let V be a k -dimensional subspace of L^n . Then exactly one of the following is true:*

- (1) $V = L^k$, and $\bar{g} | V$ is nondegenerate,
- (2) $V = E^k$, and $\bar{g} | V$ is nondegenerate,
- (3) $\bar{g} | V$ is degenerate, and in this case (and only in this case) we may write $V = E^{k-1} \oplus \text{Span}\xi$, where $\bar{g}(\xi, \xi) = 0$ and ξ is orthogonal to E^{k-1} .

Proof. See [1].

According to Theorem 2.1, we know that $T_p(M)$ is isomorphic to L^2 and its orthogonal complement is isomorphic to E^{n-2} .

It is well known that local isothermal coordinates on M compatible with the orientation of M can be always given. By (x, y) , we always denote isothermal coordinates compatible with the orientation on M with $\frac{\partial}{\partial x}$ timelike.

DEFINITION. Let $n \geq 2$. A pseudosphere of radius $r > 0$ in L^{n+1} is the hyperquadric

$$S_1^n(p, r) = \{q \in L^{n+1} \mid \bar{g}(q - p, q - p) = r^2\}$$

with dimension n and index 1.

A totally umbilic Lorentzian surface in L^3 can be easily classified using elementary techniques as in the proof of Theorem 2.2.

THEOREM 2.2. *If M is a connected totally umbilic Lorentzian surface in L^3 , then M is a portion of a timelike plane or a pseudosphere.*

Proof. Choose an isothermal parameter (x, y) so that M is defined locally by a map $X(x, y) = (x_1, x_2, x_3) \in L^3$. Denote X_x by ∂_1 and X_y by ∂_2 . Then

$$D_{\partial_i} \partial_j = \nabla_{\partial_i} \partial_j + h(\partial_i, \partial_j).$$

Let N be the unit normal vector field on M . By Theorem 2.1, it must be spacelike. Note that it may not be defined globally if M is not

orientable (and time-orientable.) Consider the smooth function $f = \bar{g}(N, Z)$, where Z is a normal curvature vector field on M such that $h(V, W) = g(V, W)Z$ for any smooth tangent vector fields V, W on M . Note that $Z = fN$. Since $0 = \partial_j \bar{g}(N, N) = 2\bar{g}(N, D_{\partial_j} N)$, $D_{\partial_j} N$ is a local smooth tangent vector field on M . Since

$$\bar{g}(D_{\partial_i} \partial_j, N) = f\bar{g}(\partial_i, \partial_j)$$

and

$$0 = \bar{g}(D_{\partial_i} \partial_j, N) + \bar{g}(\partial_j, D_{\partial_i} N) ,$$

we have

$$\bar{g}(D_{\partial_i} N, \partial_j) = -f\bar{g}(\partial_i, \partial_j)$$

for $i, j = 1, 2$. Therefore, $D_{\partial_i} N = -f\partial_i$.

Consequently,

$$D_{\partial_j} D_{\partial_i} N = -\partial_j(f)\partial_i - fD_{\partial_j} \partial_i$$

and

$$D_{\partial_i} D_{\partial_j} N = -\partial_i(f)\partial_j - fD_{\partial_i} \partial_j .$$

From the above we have

$$(\partial_j f)\partial_i = (\partial_i f)\partial_j .$$

Then the linear independency of ∂_1 and ∂_2 tells us that $\nabla f = 0$. Hence f is constant.

$f \equiv 0$ implies $Z \equiv 0$ and in turn M is locally a plane.

When $f \equiv c$ ($c \neq 0$), consider N and ∂_i as a smooth function from an open set $U \subset R^2$ to L^3 . Since $D_{\partial_i} N = -c\partial_i$, $N = -cX + v_0$ for some $v_0 \in L^3$.

From this we obtain

$$X(x, y) = \frac{N}{c} - \frac{v_0}{c}$$

and

$$\begin{aligned} \bar{g}\left(X(x, y) - \frac{v_0}{c}, X(x, y) - \frac{v_0}{c}\right) &= \bar{g}\left(-\frac{N}{c}, -\frac{N}{c}\right) \\ &= \frac{1}{c^2} \end{aligned}$$

Therefore X lies in a pseudosphere $S_1^2(\frac{v_0}{c}, \frac{1}{|c|})$. This local argument can be extended to the global argument using continuation along a path in M . \square

Now we know there is only one kind of nontrivial Lorentzian surfaces which are totally umbilic in L^3 . But what about a totally umbilic Lorentzian surface in $L^n (n > 3)$? The next theorem tells us that only pseudospheres in 3-dimensional affine subspaces of L^n are the nontrivial totally umbilic Lorentzian surfaces in L^n .

THEOREM 2.3. *Let M be a totally umbilic Lorentzian surface in $L^n (n > 3)$. Then it is in fact either a timelike affine plane isomorphic to L^2 or a pseudosphere in a 3-dimensional affine subspace isomorphic to L^3 in L^n .*

To prove the theorem, we need to know about the Lorentzian version of the structural equations and parallel distribution along M .

PROPOSITION 2.4. *Let e^1, \dots, e^n be an orthonormal moving frame on L^n and let ϕ^i 's be the dual 1-forms, where e^1 is timelike. Then there exist unique 1-forms ω_{ij} (called the connection forms) such that*

- (1) $\omega_{ij} = -\omega_{ji}$,
- (2) $d\phi^i = -\sum_k \varepsilon_i \omega_{ik} \wedge \phi^k$,
- (3) $d\omega_{ij} = -\sum_k \varepsilon_k \omega_{ik} \wedge \omega_{kj}$,

where $\varepsilon_1 = -1$ and $\varepsilon_j = 1$ if $j \neq 1$.

Proof. Define ω_{ij} by $\omega_{ij}(X) = \bar{g}(e^i, D_X e^j)$. Since

$$0 = \bar{g}(e^i, D_X e^j) + \bar{g}(D_X e^i, e^j) \quad ,$$

we have $\omega_{ij}(X) = -\omega_{ji}(X)$ for any C^∞ vector field X in L^n .

Consider the moving frames e^1, \dots, e^n , with a little abuse of notation, as an R^n -valued function $e^i : R^n \rightarrow R^n$. Then we can consider

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dI and de^i 's as R^n -valued 1-forms. Since $d^2 = 0$, we have

$$\begin{aligned}
 0 &= d^2 I \\
 &= d\left(\sum_i \phi^i \wedge e^i\right) \\
 &= \sum_i (d\phi^i) e^i - \sum_k \phi^k \wedge de^k \\
 &= \sum_i (d\phi^i) e^i - \sum_k \phi^k \wedge \sum_i \varepsilon_i \omega_{ik} e^i \\
 &= \sum_i (d\phi^i - \sum_k \varepsilon_i \phi^k \wedge \omega_{ik}) e^i
 \end{aligned}$$

Setting the coefficient of each e^i equal to 0, we obtain

$$d\phi^i = - \sum_k \varepsilon_i \omega_{ik} \wedge \phi^k$$

We also have

$$\begin{aligned}
 0 &= d^2 e^j \\
 &= \sum_i \varepsilon_i d\omega_{ij} e^i - \sum_k \varepsilon_k \omega_{kj} \wedge de^k \\
 &= \sum_i (\varepsilon_i d\omega_{ij} - \sum_k \varepsilon_i \varepsilon_k \omega_{kj} \wedge \omega_{ik}) e^i \quad ,
 \end{aligned}$$

from which we immediately deduce

$$d\omega_{ij} = - \sum_k \varepsilon_k \omega_{ik} \wedge \omega_{kj} \quad \square$$

LEMMA 2.5. *Let Z be a normal curvature vector field on a totally umbilic Lorentzian surface M in L^n . Then $\bar{g}(Z, Z)$ is constant everywhere on M .*

Proof. Since Z is a C^∞ spacelike vector field in L^n on M by Theorem 2.1, $\bar{g}(Z, Z)$ is a nonnegative C^∞ function.

If $\bar{g}(Z, Z)$ vanishes everywhere, then there is nothing to prove.

Suppose there is $p \in M$ such that $\bar{g}(Z, Z)(p) > 0$. In a neighborhood of p , we choose an orthonormal moving frame e^1, e^2 on M , where e^1 is timelike, and complete to an adapted orthonormal moving frame e^1, \dots, e^n with the unit spacelike vector field e^3 in Z -direction. Then, for $j = 1, 2$ and X tangent to M , we have

$$\begin{aligned} \omega_{ij}(X) &= \bar{g}(e^i, D_X e^j) \\ &= \begin{cases} \sqrt{\bar{g}(Z, Z)}\bar{g}(X, e_j) & i = 3 \\ 0 & i > 3 \end{cases} \end{aligned}$$

which means that on TM we have

$$(1) \quad \begin{aligned} \omega_{3j} &= \varepsilon_j \sqrt{\bar{g}(Z, Z)}\phi^j \\ \omega_{ij} &= 0, \quad \text{if } i > 3 \end{aligned}$$

Denote $\sqrt{\bar{g}(Z, Z)}$ by λ . From (1) and the second structural equation we find that on TM we have

$$(2) \quad \begin{aligned} d\omega_{3j} &= \varepsilon_j d\lambda \wedge \phi^j + \varepsilon_j \lambda d\phi^j \\ &= - \sum_k \varepsilon_k \omega_{3k} \wedge \omega_{kj} \\ &= -\lambda \sum_{k=1}^2 \phi^k \wedge \omega_{kj} \end{aligned}$$

while the first structural equation gives

$$(3) \quad \begin{aligned} d\phi^j &= - \sum_{k=1}^2 \varepsilon_j \omega_{jk} \wedge \phi^k \\ &= \sum_{k=1}^2 \varepsilon_j \phi^k \wedge \omega_{jk} \\ &= -\varepsilon_j \sum_{k=1}^2 \phi^k \wedge \omega_{kj} \quad , \end{aligned}$$

so we find that

$$\varepsilon_j d\lambda \wedge \phi^j = 0 \quad \text{for } j = 1, 2.$$

Hence $d\lambda \equiv 0$ and so $\sqrt{\bar{g}(Z, Z)}$ is constant around p . This implies that $\{q \in M \mid \bar{g}(Z, Z)(q) = \bar{g}(Z, Z)(p)\}$ is a nonempty open and closed subset of M . Therefore $\bar{g}(Z, Z)$ is constant everywhere since M is connected. \square

LEMMA 2.6. *Let Δ be a k -dimensional distribution along the curve $c : [a, b] \longrightarrow L^n$ with $\frac{dc}{dt} \in \Delta(t)$. Suppose Δ is parallel along c . Then c is a curve in some k -dimensional plane $W \subset L^n$, and W is just $\exp(\Delta(t))$ for any t .*

Proof. Let $W = \Delta(a)$, considered as a k -dimensional plane in L^n . Then W is isometric to L^k , E^k , or $E^{k-1} \oplus \text{span}\{\xi\}$, where ξ is a nonzero lightlike vector in L^n . Without loss of generality we may assume W is L^k , E^k or $H^k = \{(x, x, y_1, \dots, y_{k-1}, 0, \dots, 0) \in L^n \mid x, y_i \in R\}$.

Case 1. $W = L^k$.

If c does not lie entirely in W , then by the mean value theorem, some tangent vector $c'(t)$ has a nonzero i -th component for some $i > k$. But this is impossible, because $c'(t) \in \Delta(t)$ and $\Delta(t)$ is parallel to $W = \Delta(a)$.

Since each $\Delta(t)$ is parallel to $W = \Delta(a)$ and also contains the points $c(t)$ in W , each $\Delta(t)$ must be equal to W , when $\Delta(t)$ is considered as a k -dimensional plane. In other words, $W = \exp(\Delta(t))$ for all t .

Case 2. $W = E^k$.

The exact same proof as in case 1 may be applied here with $W = 0 \oplus E^k$.

Case 3. $W = H^k \subset L^{k+1}$.

Since $c'(t) \in \Delta(t)$ and $\Delta(t)$ is parallel to $W = \Delta(a)$, $c'_1(t) = c'_2(t)$ for any t and $c'_i(t) = 0$ for $i > k + 1$, and result is proved in this case. \square

We also need the converse of this assertion.

LEMMA 2.7. *Let Δ be a smooth k -dimensional distribution along $c : [a, b] \longrightarrow L^n$. Suppose the induced covariant derivative $\frac{DV}{dt}$ belongs*

to Δ whenever V is a smooth vector field along c belonging to Δ . Then Δ is parallel along c .

Proof. The proof given in [5, pp.41–42] works here. \square

LEMMA 2.8. *Let M be a connected Lorentzian surface in L^n and let Δ be a smooth k -dimensional distribution along M such that $T_p M \subset \Delta(p)$ for all $p \in M$. Suppose that Δ is parallel along every curve c in M . Then M lies in some k -dimensional plane $W \subset L^n$.*

Proof. Choose a point $p \in M$ and let W be the k -dimensional plane of L^n with $\exp(\Delta(p)) = W$. For any $q \in M$, choose a curve $c : [0, 1] \rightarrow M \subset L^n$ with $c(0) = p$, and $c(1) = q$. Since $T_p M \subset \Delta(p)$ for all $p \in M$, $c'(t) \in \Delta(c(t))$ for all $t \in [0, 1]$. Hence, Lemma 2.6 applied to the distribution $t \rightarrow \Delta(c(t))$ along c , implies that c lies in the k -dimensional plane $W = \exp(\Delta(0)) \subset L^n$, because $\exp \Delta(c(t)) = W$ for all t . (Of course W may be degenerate.) \square

Now we are ready to prove the Theorem 2.3.

Proof of Theorem 2.3. Let Z be the normal curvature vector field on M .

Case 1. $\bar{g}(Z, Z) \equiv 0$ on M .

Let $p \in M \subset L^n$. Choose an adapted moving frame e^1, e^2, \dots, e^n of L^n so that e^1, e^2 becomes a moving frame of M around p . Then, for q near p , $Z(q) = \sum_{k=3}^n Z_k(q)e^k(q)$ and from $0 = \bar{g}(Z, Z)(q) = \sum_{k=3}^n Z_k^2(q)$ we have $Z \equiv 0$ near p , and on the whole M . Therefore, M is a part of a timelike plane in L^n .

Case 2. $\bar{g}(Z, Z)$ is a positive constant function on M .

Denote the constant function $\sqrt{\bar{g}(Z, Z)}$ by λ . By (1),

$$(4) \quad D_X e^3 = \sum_{k=1}^n \varepsilon_k \omega_{k3}(X) e^k = \sum_{k=1}^2 \omega_{k3}(X) e^k = -\lambda X.$$

We also have

$$(5) \quad D_X e^j = -\omega_{1j}(X) e^1 + \sum_{k=2}^3 \omega_{kj}(X) e^k, \quad j = 1, 2.$$

Let Δ be the 3-dimensional C^∞ distribution on M with $\Delta(P) = M_p + \mathbb{R} \cdot e^3(p)$. Equation(4),(5) and Lemma 2.7 shows that Δ is parallel along every curve lying in M . So Lemma 2.8 implies that M lies in a 3-dimensional plane W of L^n . Since $\Delta(p) \cong L^3$ and $\exp(\Delta(p)) = W$, we know that W has an index 1 and therefore $W \cong L^3 \subset L^n$.

Next, we have to show that M lies in a pseudosphere of radius $\frac{1}{\lambda}$. Let P be the position vector field on L^n . Then $D_X P = X$ for all tangent vector field X to M in L^n , and so we can write (4) as

$$D_X(e^3 + \lambda P) = 0$$

Thus the vector field $e^3 + \lambda P$ is parallel along M . Identifying tangent vectors of M with elements of L^n , this means that $e^3 + \lambda P$ is a constant vector v_0 on M , so we have $p = \frac{v_0 - e^3(p)}{\lambda}$ for all $p \in M$, which means that M lies in pseudosphere with radius $\frac{1}{\lambda}$, center $\frac{v_0}{\lambda}$. This completes the proof. \square

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