

## $L^2$ -TRANSVERSE FIELDS PRESERVING THE TRANSVERSE RICCI FIELD OF A FOLIATION

JIN SUK PAK, YANG JAE SHIN AND HWAL LAN YOO

### 1. Introduction

Let  $(M, g_M, \mathcal{F})$  be a  $(p+q)$ -dimensional connected Riemannian manifold with a foliation  $\mathcal{F}$  of codimension  $q$  and a complete bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ . Let  $Ric_D$  be the transverse Ricci field of  $\mathcal{F}$  with respect to the transverse Riemannian connection  $D$  which is a torsion-free and  $g_Q$ -metrical connection on the normal bundle  $Q$  of  $\mathcal{F}$ . We consider transverse conformal (or, projective) fields of  $\mathcal{F}$ . It is clear that a transverse Killing field  $s$  of  $\mathcal{F}$  preserves the transverse Ricci field of  $\mathcal{F}$ , that is,  $\Theta(s)Ric_D = 0$ , where  $\Theta(s)$  denotes the transverse Lie differentiation with respect to  $s$ . The purpose of the present paper is to prove the following theorems:

**THEOREM A.** *Let  $(M, g_M, \mathcal{F})$  be a  $(p+q)$ -dimensional connected Riemannian manifold with a harmonic foliation  $\mathcal{F}$  of codimension  $q \geq 2$  and a complete bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ . If an  $L^2$ -transverse conformal field  $s$  of  $\mathcal{F}$  with  $L^2$ -characteristic function satisfies  $\Theta(s)Ric_D = 0$ , then  $s$  is a transverse Killing field of  $\mathcal{F}$ .*

**THEOREM B.** *Let  $(M, g_M, \mathcal{F})$  be as Theorem A. If an  $L^2$ -transverse projective field  $s$  of  $\mathcal{F}$  with an  $L^2$ -characteristic form  $\phi_s$  satisfies  $\Theta(s)Ric_D = 0$ , then  $s$  is a transverse Killing field of  $\mathcal{F}$ .*

For compact  $M$  without boundary those were proved in [9]. The classical result of Ishihara [2] corresponds to the case of the point foliation on a compact Riemannian manifold without boundary : Let  $(M, g_M)$  be a connected, orientable and compact Riemannian manifold without boundary, and let  $Ric$  be the Ricci tensor field on  $M$ . If a conformal (or, projective) vector field  $Y$  on  $M$  satisfies  $\Theta(Y)Ric = 0$ ,

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then  $Y$  is a Killing vector field on  $M$ , where  $\Theta(Y)$  denotes the Lie differentiation with respect to  $Y$ .

We shall be in  $C^\infty$ -category and use the following convention on the range of indices :  $1 \leq i, j, \dots \leq p, p+1 \leq \alpha, \beta, \dots \leq p+q$ . The Einstein summation convention will be used with respect to those systems of indices.

## 2. Preliminaries

Let  $(M, g_M, \mathcal{F})$  be  $(p+q)$ -dimensional connected foliated Riemannian manifold with a foliation  $\mathcal{F}$  of codimension  $q$  and a complete bundle-like metric  $g_M$  in the sense of Reinhart [12]. The foliation  $\mathcal{F}$  induces an integrable subbundle  $E$  of the tangent bundle  $TM$  over  $M$ . The quotient bundle  $Q = TM/E$  is called the normal bundle of  $\mathcal{F}$ . We denote by  $\pi : TM \rightarrow Q$  the natural projection. The metric  $g_M$  defines a map  $\sigma : Q \rightarrow TM$  with  $\pi \circ \sigma = \text{identity}$  and induces a metric  $g_Q$  in  $Q$  ([3], [12]). We denote by  $D$  the transverse Riemannian connection in  $Q$  which is torsion free and metrical with respect to  $g_Q$  ([3], [6], [12]).

In a flat chart  $U(x^i, x^\alpha)$  with respect to  $\mathcal{F}$  ([12]), a local frame field  $\{X_i, X_\alpha\} = \{\partial/\partial x^i, \partial/x^\alpha - A_\alpha^j \partial/\partial x^j\}$  is called the basic adapted frame field of  $\mathcal{F}$  ([12], [15]). Here  $A_\alpha^j$  are functions on  $U$  with  $g_M(X_i, X_\alpha) = 0$ . It is trivial that  $X_i$  (resp.  $X_\alpha$  spans  $\Gamma(E|_U)$  (resp.  $\Gamma(E^\perp|_U)$ ), where  $E^\perp = \sigma(Q)$  denotes the orthogonal complement bundle of  $E$  in  $TM$ . Hereafter, we omit the term " $|_U$ " for simplicity. We set that  $g_{ij} = g(X_i, X_j)$ ,  $g_{\alpha\beta} = g(X_\alpha, X_\beta)$ ,  $(g^{ij}) = (g_{ij})^{-1}$ , and  $(g^{\alpha\beta}) = (g_{\alpha\beta})^{-1}$ .

Then we have

LEMMA 1. ([15]) *It holds that  $D_{X_i} \pi(X_\alpha) = 0$ ,  $D_{X_i} D_{X_\alpha} \pi(X_\beta) = 0$  and  $D_{X_\alpha} \pi(X_\beta) = D_{X_\beta} \pi(X_\alpha)$ .*

LEMMA 2. ([15]) *It holds that  $[X_\alpha, X_\beta] \in \Gamma(E)$ .*

The curvature  $R_D$  of  $D$  is defined by  $R_D(X, Y)t = D_X D_Y t - D_Y D_X t - D_{[X, Y]}t$  for any  $X, Y \in \Gamma(TM)$  and  $t \in \Gamma(Q)$ . We notice that  $i(X)R_D = 0$ , where  $i(X)$  denotes the interior product with respect to  $X \in \Gamma(E)$ . Thus, for any  $u, v \in \Gamma(Q)$ , the operator  $R_D(u, v) : \Gamma(Q) \rightarrow \Gamma(Q)$  is a well-defined endomorphism ([3]), that is,  $R_D(u, v)t = D_{\sigma(u)} D_{\sigma(v)} t - D_{\sigma(v)} D_{\sigma(u)} t - D_{[\sigma(u), \sigma(v)]} t$ . The Ricci operator  $\rho_D$  of  $\mathcal{F}$

is given by  $\rho_D(t) = g^{\alpha\beta} R_D(t, \pi(X_\alpha))\pi(X_\beta)$  ([3]), and the transverse Ricci field  $Ric_D$  of  $\mathcal{F}$  is defined by

$$Ric_D(t, u) = g_Q(\rho_D(t), u)$$

for any  $t, u \in \Gamma(Q)$ .

We set

$$V(\mathcal{F}) = \{Y \in \Gamma(TM) \mid [X, Y] \in \Gamma(E) \text{ for any } X \in \Gamma(E)\}.$$

An element of  $V(\mathcal{F})$  is called an infinitesimal automorphism of  $\mathcal{F}$  ([3]).

We set

$$\overline{V}(\mathcal{F}) = \{s \in \Gamma(Q) \mid s = \pi(Y) \text{ and } Y \in V(\mathcal{F})\}.$$

The transverse Lie differentiation  $\Theta(s)$  with respect to  $s = \pi(Y) \in \overline{V}(\mathcal{F})$  is given by

$$\Theta(s)t = \pi([Y, Y_t])$$

for any  $t \in \Gamma(Q)$  with  $\pi(Y_t) = t$  and  $Y_t \in \Gamma(TM)$  ([3],[6]).

LEMMA 3. ([11])  $(\Theta(s)D)_{[X_\alpha, X_\beta]}\pi(X_\gamma) = 0$  for any  $s \in \overline{V}(\mathcal{F})$ .

The transverse divergence  $div_D t$  of  $t \in \Gamma(Q)$  with respect to  $D$  is given by

$$div_D t = g^{\alpha\beta} g_Q(D_{X_\alpha} t, \pi(X_\beta))$$

and the transverse gradient  $grad_D f$  of a function  $f$  is given by

$$grad_D f = g^{\alpha\beta} X_\alpha(f)\pi(X_\beta)$$

([3], [9]).

For any  $s = \pi(Y) \in \overline{V}(\mathcal{F})$ , we have an operator  $A_D(s) : \Gamma(Q) \rightarrow \Gamma(Q)$  defined by

$$A_D(s) = \Theta(s) - D_Y$$

([3]).

DEFINITION. ([3], [7], [15]) If  $s \in \overline{V}(\mathcal{F})$  satisfies  $\Theta(s)g_Q = 2f_s g_Q$ , where  $f_s$  is a function on  $M$ , then  $s$  is called a transverse conformal field (t. c. f.) of  $\mathcal{F}$  and  $f_s$  the characteristic function of  $s$ . If  $s \in \overline{V}(\mathcal{F})$

satisfies  $\Theta(s)g_Q = 0$ , then  $s$  is called a transverse Killing field (t. K. f.) of  $\mathcal{F}$ . If  $s \in \overline{V}(\mathcal{F})$  satisfies

$$(\Theta(s)D)_X t = \phi_s(X)t + \phi_s(\sigma(t))\pi(X)$$

for any  $X \in \Gamma(TM)$  and  $t \in \Gamma(Q)$ , where  $\phi_s$  is a 1-form on  $M$ , then  $s$  is called a transverse projective field (t. p. f.) of  $\mathcal{F}$  and  $\phi_s$  the characteristic form of  $s$ . If  $s \in \overline{V}(\mathcal{F})$  satisfies  $\Theta(s)D = 0$ , then  $s$  is called a transverse affine field (t. a. f.) of  $\mathcal{F}$ .

**PROPOSITION 1.** ([9]) *If  $s$  is a t.c.f. of  $\mathcal{F}$  with characteristic function  $f_s$ , then  $\text{div}_D s$  is a foliated function on  $M$  (i.e.,  $\text{div}_D s$  has constant values on leaves) and  $\text{div}_D s = qf_s$ .*

**PROPOSITION 2.** ([9]) *If  $s$  is a t.p.f. of  $\mathcal{F}$  with characteristic form  $\phi_s$ , then  $\text{div}_D s$  is a foliated function on  $M$ ,  $d(\text{div}_D s) = (q + 1)\phi_s$  and  $\phi_s(X) = 0$  for any  $X \in \Gamma(E)$ .*

**PROPOSITION 3.** ([9]) *If  $s$  is a t.c.f. of  $\mathcal{F}$  with characteristic function  $f_s$ , then it holds that*

$$(\Theta(s)D)_{X_\alpha} \pi(X_\beta) = \{X_\alpha(f_s)\delta_\beta^\epsilon + X_\beta(f_s)\delta_\alpha^\epsilon - X_\gamma(f_s)g_{\alpha\beta}g^{\gamma\epsilon}\} \pi(X_\epsilon)$$

where  $\delta_\beta^\alpha$  denotes the Kronecker delta.

Let  $\nabla^M$  be the Levi-Civita connection with respect to  $g_M$ . Then an operator  $\Delta_D : \Gamma(Q) \rightarrow \Gamma(Q)$  is defined by

$$\Delta_D s = -g^{\alpha\beta}(D_{X_\alpha} D_{X_\beta} s - D_{\nabla_{X_\alpha}^M X_\beta} s) - g^{ij}(D_{X_i} D_{X_j} s - D_{\nabla_{X_i}^M X_j} s)$$

for any  $s \in \Gamma(Q)$  ([5], [10]).

**PROPOSITION 4.** ([9]) *Let  $s \in \overline{V}(\mathcal{F})$ . If  $s$  is a t.a.f. of  $\mathcal{F}$ , then  $\Delta_D(s) = D_{\sigma(\tau)}s + \rho_D(s)$  and  $d(\text{div}_D s) = 0$ , where  $\tau$  is the tension field defined by  $\tau = -g^{ij}(D_{X_i} \pi)(X_j)$ .*

**PROPOSITION 5.** ([5], [13]) *Let  $(M, g_M, \mathcal{F})$  be a Riemannian manifold with a foliation  $\mathcal{F}$  of codimension  $q$  and a bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ , and let  $\Delta$  be the Laplace-Beltrami operator acting on functions on  $M$ . Then it holds the following decomposition of  $\Delta$  :*

$$\Delta f = \square' f + \square''_0 f + Hf \quad \text{for any function } f \text{ on } M.$$

In Proposition 5, an operator  $\square'$  is defined by  $\square' f = -g^{ij} X_i(X_j f) + g^{ij}(\nabla_{X_i}^M X_j)_E f$ , where  $(\ )_E$  denotes the  $E$ -component of  $(\ )$ . If  $f$  is a foliated function on  $M$ , then we have that

$$\Delta f = \square''_0 f + Hf,$$

where  $\square''_0 f = -g^{\alpha\beta} X_\alpha(X_\beta f) + g^{\alpha\beta}(\nabla_{X_\alpha} X_\beta)_{E^\perp} f$ .

Now we consider  $L^2$ -transverse field on a complete, non-compact foliated Riemannian manifolds such that the foliation is harmonic and deal with connected and orientable manifolds without boundary.

Let  $Q^*$  the dual bundle of  $Q$  and its connection be denoted by  $D^*$ . Then  $Q^*$  has the metric induced from  $g_Q$ .

Let  $\Lambda^r(M)$  be the space of all  $r$ -forms on  $M$  and let the exterior derivative  $d : \Lambda^r(M) \rightarrow \Lambda^{r+1}(M)$  have the formal adjoint operator  $\delta$  defined by  $\delta = (-1)^{(p+q)(r+1)+1} * d * : \Lambda^r(M) \rightarrow \Lambda^{r-1}(M)$ .

Let  $\Gamma_0(Q)$  (resp.  $\Gamma_0(Q^*)$ ) be the space of all sections of  $Q$  (resp.  $Q^*$ ) with compact supports and let  $L^2(Q)$  (resp.  $L^2(Q^*)$ ) be the completion of  $\Gamma_0(Q)$  with respect to the global scalar product  $\langle\langle \ , \ \rangle\rangle$ .

DEFINITION. ([14], [16]) An element  $s \in L^2(Q) \cap \Gamma(Q)$  is called an  $L^2$ -transverse field of  $\mathcal{F}$ .

If  $t$  is an  $L^2$ -transverse field of  $\mathcal{F}$ , then the dual  $\bar{t}$  of  $t$ , that is,  $\bar{t}(\ , \ ) = g_Q(t, \ )$  belongs to  $L^2(Q^*) \cap \Gamma(Q^*)$ .

Let  $x_0$  be a fixed point of  $M$  and  $\rho(x)$  the geodesic distance from  $x_0$  to  $x \in M$ . We set

$$B(2k) = \{x \in M | \rho(x) \leq 2k\}$$

for any  $k > 0$ . We consider a differentiable function  $\mu$  on  $R$  which satisfies the following properties :

$$\begin{cases} 0 \leq \mu(y) \leq 1 & \text{on } R, \\ \mu(y) = 1 & \text{for } y \leq 1, \\ \mu(y) = 0 & \text{for } y \geq 2. \end{cases}$$

We define a family  $\{\omega_k\}$  of Lipschitz continuous functions on  $M$

$$\omega_k(x) = \mu\left(\frac{\rho(x)}{k}\right), \quad k = 1, 2, \dots$$

for any  $x \in M$ . Then the family  $\{\omega_k\}$  has the following properties:

$$\left\{ \begin{array}{ll} 0 \leq \omega_k(x) \leq 1 & \text{for } x \in M, \\ \text{supp}\omega_k \subset B(2k), & \\ \omega_k(x) = 1 & \text{for } x \in B(k), \\ \lim_{k \rightarrow \infty} \omega_k = 1, & \\ |d\omega_k| \leq Ck^{-1} & \text{almost everywhere on } M, \end{array} \right.$$

where  $C$  is a positive constant independent of  $k$  ([14]).

Let  $\tilde{\Gamma}(Q^*) = \{\eta \in \Gamma(Q^*) | D_X^* \eta = 0 \text{ for any } X \in \Gamma(E)\}$  and let  $\{t_\alpha\}$  be the frame on  $Q$  such that  $\pi(X_\alpha) = t_\alpha$ , and let  $\{\tilde{t}_\alpha\}$  be the dual frame to  $\{t_\alpha\}$ , that is,  $\tilde{t}^\alpha(u) = g_Q(t_\alpha, u)$  for all  $u \in \Gamma(Q)$ . Then we notice that  $D_X^* \tilde{t}^\alpha = 0$  for any  $X \in \Gamma(E)$ . Moreover, we remark that for any  $s \in L^2(Q) \cap \overline{V}(\mathcal{F})$  and  $\eta \in L^2(Q^*) \cap \tilde{\Gamma}(Q^*)$ ,  $\omega_k s \rightarrow s$  and  $\omega_k \eta \rightarrow \eta$  as  $k \rightarrow \infty$  in the strong sense.

The foliation  $\mathcal{F}$  is said to be harmonic if  $g^{ij} \pi(\nabla_{X_i}^M X_j) = 0$ , that is,  $\tau = 0$ . On the other hand  $H = g^{ij} (\nabla_{X_i}^M X_j)_{E^\perp} = g^{ij} \cdot \{E^\perp\text{-component of } \nabla_{X_i}^M X_j\}$  is the mean curvature vector field of each leaf of  $\mathcal{F}$ . Thus harmonic foliation  $\mathcal{F}$  means that all leaves of  $\mathcal{F}$  are minimal submanifolds of  $M$ .

We finally introduce some lemmas for later use.

LEMMA 4. ([17]) *Let  $(M, g_M, \mathcal{F})$  be a complete, non-compact foliated Riemannian manifold with a harmonic foliation  $\mathcal{F}$ . Then*

$$\int_{B(2k)} \text{div}_D(\omega_k s) dS = 0$$

for any  $s \in \Gamma(Q)$ , where  $dS$  denotes the volume element of  $B(2k)$ .

LEMMA 5. ([1]) *Let  $(M, g_M, \mathcal{F})$  be as Lemma 4. Let  $s \in \overline{V}(\mathcal{F})$  be an  $L^2$ -transverse field of  $\mathcal{F}$ . Then  $s$  is a t.K.f. of  $\mathcal{F}$  if and only if  $\Delta_D(s) = \rho_D(s)$  and  $\text{div}_D s = 0$ .*

LEMMA 6. ([8]) Let  $(M, g_M, \mathcal{F})$  be a  $(p + q)$ -dimensional connected Riemannian manifold with a harmonic foliation  $\mathcal{F}$  of codimension  $q \geq 3$  and a complete bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ . Let  $s \in \overline{V}(\mathcal{F})$  be an  $L^2$ -transverse field of  $\mathcal{F}$ . Then the following properties are equivalent :

- (1)  $s$  is a transverse Killing field ;
- (2)  $s$  is a transversally divergence-free Jacobi field ;
- (3)  $s$  is a transverse affine field.

### 3. Main theorems

Using some lemmas and propositions in the preliminaries, we obtain the following theorems.

THEOREM A. Let  $(M, g_M, \mathcal{F})$  be a  $(p + q)$ -dimensional connected Riemannian manifold with a harmonic foliation  $\mathcal{F}$  of codimension  $q \geq 2$  and a complete bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ . If an  $L^2$ -transverse conformal field  $s$  of  $\mathcal{F}$  with  $L^2$ -characteristic function satisfies  $\Theta(s)Ric_D = 0$ , then  $s$  is a transverse Killing field of  $\mathcal{F}$ .

*Proof.* Let  $s \in \overline{V}(\mathcal{F})$  be an  $L^2$ -t.c.f. of  $\mathcal{F}$  with  $L^2$ -characteristic function  $f_s$ . Then it follows that

$$g^{\gamma\epsilon}(\Theta(s)Ric_D)(\pi(X_\gamma), \pi(X_\epsilon)) = 2(q - 1)\square_0'' f_s$$

(cf. [11, p. 171]). So we obtain directly  $\square_0'' f_s = 0$  by the assumption  $\Theta(s)Ric_D = 0$  and  $q \geq 2$ .

Since  $\mathcal{F}$  is harmonic and  $f_s$  is a foliated function on  $M$ , by means of Proposition 5 we have  $\Delta f_s = 0$  so that  $f_s$  is constant on  $M$ . In fact, we have

$$\begin{aligned} 0 &= \langle\langle \Delta f_s, \omega_k^2 f_s \rangle\rangle_{B(2k)} \\ &= \langle\langle \omega_k df_s, \omega_k df_s \rangle\rangle_{B(2k)} + 2 \langle\langle \omega_k df_s, f_s d\omega_k \rangle\rangle_{B(2k)} \\ &\geq \|\omega_k df_s\|_{B(2k)}^2 - 2\|\omega_k df_s\|_{B(2k)} \|f_s d\omega_k\|_{B(2k)} \\ &\geq \frac{3}{4} \|\omega_k df_s\|_{B(2k)}^2 - \frac{4C^2}{k^2} \|f_s\|_{B(2k)}^2. \end{aligned}$$

Since  $f_s$  is an  $L^2$ -function on  $M$ , we have  $df_s = 0$  as  $k \rightarrow \infty$ . Therefore  $f_s$  is constant on  $M$ . Moreover, for any t.c.f.  $s$  we have

$$\operatorname{div}_D(\omega_k s) = \omega_k q f_s + g_Q(s, \operatorname{grad}_D \omega_k)$$

by means of Proposition 1. Thus we obtain

$$\begin{aligned} \int_{B(2k)} \operatorname{div}_D(\omega_k s) dS &\geq q f_s \int_{B(2k)} \omega_k dS - \int_{B(2k)} |s| |\operatorname{grad}_D \omega_k| dS \\ &\geq q f_s \int_{B(2k)} \omega_k dS - \frac{C}{k} \int_{B(2k)} |s| dS. \end{aligned}$$

From Lemma 4, we get  $f_s \leq 0$  as  $k \rightarrow \infty$ .

Since  $0 = \int_{B(2k)} \operatorname{div}_D(\omega_k s) dS \leq q f_s \int_{B(2k)} \omega_k dS + \frac{C}{k} \int_{B(2k)} |s| dS$ , we obtain  $f_s \geq 0$  as  $k \rightarrow \infty$ . And hence  $f_s$  vanishes identically. Thus  $s$  is a t.K.f. of  $\mathcal{F}$ .

**THEOREM B.** *Let  $(M, g_M, \mathcal{F})$  be as Theorem A. If an  $L^2$ -transverse projective field  $s$  of  $\mathcal{F}$  with an  $L^2$ -characteristic form  $\phi_s$  satisfies  $\Theta(s) Ric_D = 0$ , then  $s$  is a transverse Killing field of  $\mathcal{F}$ .*

*Proof.* For any t.p.f.  $s = \pi(Y) \in \overline{V}(\mathcal{F})$  with characteristic form  $\phi_s$ , it follows that

$$g^{\gamma\epsilon}(\Theta(s) Ric_D)(\pi(X_\gamma), \pi(X_\epsilon)) = (q-1)\{\delta(\phi_s) - \phi_s(H)\}$$

(cf. [10, p.173]). Since  $\mathcal{F}$  is harmonic,  $q \geq 2$  and  $\Theta(s) Ric_D = 0$ , we have  $\delta\phi_s = 0$ , which together with Proposition 2 implies

$$0 = \delta\phi_s = \frac{1}{q+1} \delta d(\operatorname{div}_D s) = \frac{1}{q+1} \Delta(\operatorname{div}_D s).$$

Since  $\phi_s$  is an  $L^2$ -form on  $M$ ,  $\operatorname{div}_D s$  is also an  $L^2$ -function on  $M$ . If we recall the fact in the process of the proof of Theorem A, then  $\operatorname{div}_D s$  is constant on  $M$ . To use Proposition 2 one more time, we have that  $\phi_s = 0$ , namely,  $s$  is a t.a.f. of  $\mathcal{F}$ . By means of Proposition 6, we see that  $s$  is a t.K.f. of  $\mathcal{F}$ .



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Jin Suk Pak and Hwal Lan Yoo  
Department of Mathematics

Teachers College  
Kyungpook National University  
Taegu, 702-701, Korea

Yang Jae Shin  
Department of Mathematics Education  
Teachers College  
Kyungnam University  
Masan, 631-701, Korea