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HOMOCLINIC ORBITS FOR SECOND ORDER HAMILTONIAN SYSTEMS

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0. Introduction

Let us consider the following second order Hamiltonian systems of the form;

(HS)
$$\ddot{q} - L(t)q + V_q(t,q) = 0, \qquad q \in \mathbf{R}^n.$$

We assume that the $n \times n$ matrix L(t) satisfies

(L)
$$L(t) \in C(\mathbf{R}, \mathbf{R}^{n^2}),$$

is T-periodic in t, and is symmetric and positive definite uniformly for $t \in [0, T]$. The function V satisfies

(V₁) $V \in C^2(\mathbf{R} \times \mathbf{R}^n, \mathbf{R})$ and V(t,q) is T-periodic in t,

(V₂) $V_{qq}(t,0) = 0$,

(V₃) There is a $\mu > 2$ such that

$$0 < \mu V(t,q) \le \langle q, V_q(t,q) \rangle \text{ for all } q \in \mathbf{R}^n \setminus \{0\},\$$

V. Coti Zelati and Paul H. Rabinowitz [3] proved the existence of infinitely many homoclinic solutions for the problem (HS) under the conditions $(V_1), (V_2), (V_3), \text{ and } (*)$. Here (*) is the condition that there exist only finite number of critical points of the corresponding functional I of the problem (HS) whose critical values are less than a certain number and will be explained later. They have even suggested that the condition (*) could be replaced with the weaker condition (**) in [3], which asserts the discreteness of the critical values instead of the finiteness of the critical values as in (*) and will be explained below. Moreover they have

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shown that the same results could be obtained under a weaker condition (**) if we further assume that V satisfies one more condition

 (V_4) For all $\xi \in S^{n-1}, s \mapsto \frac{1}{s} \langle \xi, V_q(t, s\xi) \rangle$ is an increasing function of s.

Therefore it is natural to ask with what kind of potentials the corresponding functionals satisfy (**). In this paper we give the condition on V whose corresponding functional satisfies (**) and so (HS) with this potential has infinitely many homoclinic solutions.

1. Preliminaries

Let $E = W^{1,2}(\mathbf{R}, \mathbf{R}^n)$ under the usual norm

$$(\int_{-\infty}^{\infty} (|\dot{q}|^2 + |q|^2) dt)^{1/2}.$$

Thus E is a Hilbert space and $E \subset C^0(\mathbf{R}, \mathbf{R}^n)$, the space of continuous function q on **R** such that $q(t) \to 0$ as $|t| \to \infty$. We will seek solutions of (HS) as critical points of the functional I associated with (HS) and given by

$$I(q) = \frac{1}{2} \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} V(t,q) dt.$$

By (L),

$$\|q\|^2 = \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt$$

can and will be taken as an equivalent norm on E. If $q \in E$, $j \in \mathbb{Z}$, and $\tau_j(q) = q(t - jT)$, then $I(\tau_j q) = I(q)$. Hence I possesses a Zaction. It is standard that the critical points of I in E correspond to the homoclinic solutions for (HS). However to apply the standard variational methods it is necessary that I satisfy the Palais-Smale condition which is abbreviated as the (PS) condition. But our functional does not satisfy the (PS)-condition. LEMMA 1.1. I does not satisfies the (PS) condition.

• Proof. Suppose b > 0 is a critical value of I with corresponding critical point q. Let $u_m = q + \tau_m q$. Then

$$I(u_m) = \frac{1}{2} ||q + \tau_m q||^2 - \int_{-\infty}^{\infty} V(t, q + \tau_m q) dt$$

= $\frac{1}{2} ||q + \tau_m q||^2 - \int_{-\infty}^{\infty} V(t, q) dt - \int_{-\infty}^{\infty} V(t, \tau_m q) dt$
 $- \int_{-\infty}^{\infty} (V(t, q + \tau_m q) - V(t, q) - V(t, \tau_m q)) dt.$

Observe that $V(t, q + \tau_m q) - V(t, q) - V(t, \tau_m q) \rightarrow 0$ uniformly on $[-R, R], \ 0 < R < +\infty$. Choose $\delta > 0$ so that

 $|x| < \delta$ implies that $|V_q(t,x)| \le |x|$.

Choose M > 0 so that

$$\begin{aligned} \|q + \tau_m q\|_{L^{\infty}} &\leq \sqrt{2} \|q + \tau_m q\| \\ &\leq 2\sqrt{2} \|q\| \\ &< M < +\infty. \end{aligned}$$

Let $M_1 = \sup_{\delta \le |\xi| \le M} |V_q(t,\xi)|$. Then we have

$$|V_q(t,x)| \leq (1+rac{M_1}{\delta})|x| \quad ext{for} \quad |x| \leq M.$$

Thus

$$\begin{aligned} |V(t,q+\tau_m q) - V(t,\tau_m q)| &\leq \langle q, V_q(t,\theta q + \tau_m q) \rangle \\ &\leq (1+\frac{M_1}{\delta})|q|(|q|+|\tau_m q|), \ 0 < \theta < 1. \end{aligned}$$

Hence

$$\int_{|t|>R} |V(t,q+\tau_m q) - V(t,\tau_m q)| dt$$

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$$\begin{split} &= \int_{|t|>R} |\langle q, V_q(t, \theta q + \tau_m q) \rangle | dt \\ &\leq (1 + \frac{M_1}{\delta}) (\int_{|t|>R} |q|^2 dt)^{\frac{1}{2}} (\int_{|t|>R} (|q|^2 + |\tau_m q|^2) dt)^{1/2} \\ &\leq 2 \|q\| (1 + \frac{M_1}{\delta}) (\int_{|t|>R} |q|^2 dt)^{1/2}. \end{split}$$

Given $\varepsilon > 0$, we can choose R sufficiently large so that

$$\begin{aligned} |-\int_{|t|>R} V(t,q)dt| &= \int_{|t|>R} V(t,q)dt\\ &\leq \varepsilon \int_{|t|>R} |q|^2 dt \end{aligned}$$

and

$$\int_{|t|>R} |q|^2 dt < \varepsilon.$$

Therefore $\int_{-\infty}^{\infty} (V(t, q + \tau_m q) - V(t, q) - V(t, \tau_m q)) dt \to 0$ as $m \to \infty$. Note also that $||q + \tau_m q||^2 = ||q||^2 + ||\tau_m q||^2 + \varepsilon_m$, $\varepsilon_m \to 0$ as $m \to +\infty$. Thus $I(u_m) \to 2b$. Let us now check $I'(u_m)$. For each $\varphi \in E$, we have

$$\begin{split} \langle I'(u_m), \varphi \rangle &= \langle I'(q + \tau_m q), \varphi \rangle \\ &= \int_{-\infty}^{\infty} (\langle \dot{q} + \tau_m \dot{q}, \dot{\varphi} \rangle + \langle \varphi, L(t)(q + \tau_m q) \rangle) dt \\ &- \int_{-\infty}^{\infty} \langle \varphi, V_q(t, q + \tau_m q) \rangle dt \\ &= - \int_{-\infty}^{\infty} \langle \varphi, V_q(t, q + \tau_m q) - V_q(t, \tau_m q) - V_q(t, q) \rangle dt. \end{split}$$

Hence $I'(u_m) \to 0$. However

$$\begin{aligned} \|u_m - u_n\| &= \|\tau_m q - \tau_n q\| \\ &= \|q - \tau_{n-m} q\| \\ &= 2\|q\| + \varepsilon_{|n-m|}, \end{aligned}$$

where $\varepsilon_{|n-m|} \to 0$ as $|n-m| \to +\infty$. Therefore (u_m) has no convergent subsequence.

Given $q \in E \setminus \{0\}$, define a function $f: (0, \infty) \to \mathbf{R}$ by

$$f(s) = I(sq)$$

= $\frac{s^2}{2} \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} V(t, sq) dt.$

Then

$$f'(s) = s \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} \langle q, V_q(t, sq) \rangle dt$$
$$= s \left(\int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \frac{1}{s} \int_{-\infty}^{\infty} \langle q, V_q(t, sq) \rangle dt \right).$$

Now (V_4) implies that $f: (0, \infty) \to \mathbb{R}$ has a unique maximum point. Moreover (V_1) - (V_3) implies that

$$V(t,x)iggl\{ egin{array}{ll} \leq M|x|^{\mu} & ext{uniformly in }t ext{ for } & |x|\leq 1, \ \geq m|x|^{\mu} & ext{uniformly in }t ext{ for } & |x|\geq 1. \end{array}$$

Here

$$\begin{split} m &= \min_{\substack{t \in \mathbf{R} \\ |x| = 1}} V(t,x) > 0 \quad \text{and} \\ M &= \max_{\substack{t \in \mathbf{R} \\ |x| = 1}} V(t,x) > 0. \end{split}$$

Hence $f(s) \to -\infty$ as $s \to +\infty$. Observe also that $I(q) = \frac{1}{2} ||q||^2 + o(||q||^2)$. Therefore 0 is an isolated singular point of *I*. Choose a point $e \neq 0$ such that $I(e) \leq 0$. Let

$$c = \inf_{g \in \Gamma_e} \max_{\theta \in [0,1]} I(g(\theta)),$$

where

$$\Gamma_e = \{g \in C([0,1], E) : g(0) = 0, g(1) = e\}.$$

Since $I(q) = \frac{1}{2} ||q||^2 + o(||q||^2), \quad c > 0.$

From now on we use the following notations;

$$I^{s} = \{q \in E | I(q) \leq s\}, \quad I_{s} = \{q \in E | I(q) \geq s\},$$
$$I^{b}_{a} = I_{a} \cap I^{b}, \quad \mathcal{K} = \text{the set of critical points of } I$$
$$\mathcal{K}^{b}_{a} = \mathcal{K} \cap I^{b}_{a}.$$

Recall that the key roles (PS) plays in the proof of the standard Deformation Theorem is that it provides a $\delta > 0$ such that $||I'(x)|| \ge \delta$ for all $x \in I_{b-\varepsilon}^{b+\varepsilon}$ for some $\varepsilon > 0$ if $\mathcal{K}(b) \equiv \mathcal{K}_b^b = \emptyset$ and an appropriately modified statement if $\mathcal{K}(b) \neq \emptyset$. Since our functional I does not satisfy the (PS)-condition, we cannot use the standard Deformation Theorem in its naive form. However V. Coti Zelati and Paul H. Rabinowitz [3] escaped from this difficulty by imposing the condition

(*) there is an
$$\alpha > 0$$
 such that $I^{c+\alpha}/\mathbb{Z}$ contains only finitely many critical points of I .

Usually the value of c depends on the choice of e. But we have the following

LEMMA 1.2. If V satisfies $(V_1)-(V_3)$, then c is independent of the choice of e.

Proof. Define a function $f:(0,\infty) \to \mathbf{R}$ by

$$f(s) = I(sq)$$

= $\frac{s^2}{2} \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} V(t, sq) dt.$

Then

$$f'(s) = s \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} \langle q, V_q(t,sq) \rangle dt$$
$$\leq s \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \frac{\mu}{s} \int_{-\infty}^{\infty} V(t,sq) dt$$

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$$= \frac{\mu}{s} \left(\frac{s^2}{\mu} \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} V(t, sq) dt\right)$$

$$\leq \frac{\mu}{s} \left(\frac{s^2}{2} \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} V(t, sq) dt\right)$$

$$= \frac{\mu}{s} f(s).$$

Hence we obtain $f'(s) - \mu/sf(s) \leq 0$. This implies that $f(s)/s^{\mu}$ is a decreasing function of s. Therefore any two points $e_1 \neq 0$ and $e_2 \neq 0$ such that $e_1 \in I^0$ and $e_2 \in I^0$ can be joined by a path lying in I^0 . This proves that c is independent of the choice e.

To define an another intrinsic constant \bar{c} , we need the following

LEMMA 1.3. If $q \in \mathcal{K}$, then $I(q) \ge (\frac{1}{2} - \frac{1}{\mu}) ||q||^2$.

Proof.

$$I(q) = \frac{1}{2} \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} V(t,q) dt$$
$$\langle I'(q), q \rangle = \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} \langle q, V_q(t,q) \rangle dt$$
$$= 0.$$

Hence

$$\begin{split} I(q) &= I(q) - \frac{1}{2} \langle I'(q), q \rangle \\ &= \int_{-\infty}^{\infty} (\frac{1}{2} \langle q, V_q(t,q) \rangle - V(t,q)) dt \\ &\geq (\frac{1}{2} - \frac{1}{\mu}) \int_{-\infty}^{\infty} \langle q, V_q(t,q) \rangle dt \\ &= (\frac{1}{2} - \frac{1}{\mu}) \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt \\ &= (\frac{1}{2} - \frac{1}{\mu}) \|q\|^2. \end{split}$$

Let

$$\overline{c} = \inf_{q \in \mathcal{K} \setminus \{0\}} I(q).$$

Since 0 is an isolated singular point, Lemma 1.3 implies that $\overline{c} > 0$. We now have two constants c and \overline{c} . To compare the two numbers c and \overline{c} , we need the following two Lemmas.

LEMMA 1.4 ([4]). Let K be a compact metric space, $K_0 \subset K$ a closed set, X a Banach space, $\chi \in C(K_0, X)$ and let us define a complete metric space

$$M = \{g \in C(K,X); g(s) = \chi(s) \text{ if } s \in K_0\}$$

with the usual distance d. Let $\varphi \in C^1(X, \mathbf{R})$ and let us define

$$c = \inf_{g \in M} \max_{s \in K} \varphi(g(s)).$$

Then for each sequence (f_k) in M such that

$$\max_{\mathbf{k}}\varphi(f_{\mathbf{k}})\to c,$$

there exists a sequence (v_k) in X such that

$$\begin{split} & \varphi(v_k) o c, \\ & \operatorname{dist}(v_k, f_k(K)) o 0, \\ & |\varphi'(v_k)| \to 0 \quad \operatorname{as} \quad k \to +\infty. \end{split}$$

LEMMA 1.5 ([3]). Let $(u_m) \subset E$ be such that $I(u_m) \to b > 0$ and $I'(u_m) \to 0$. Then there is an $\ell \in \mathbb{N}$ with ℓ bounded above by a constant depending only on b, normalized functions $v_1, v_2, \ldots, v_\ell \in \mathcal{K} \setminus \{0\}$, a subsequence of (u_m) , and corresponding $(k_m^i) \subset \mathbb{Z}$, $1 \leq i \leq \ell$, such that

$$\begin{aligned} \|u_m - \sum_{1}^{\ell} \tau_{k_m^i} v_i\| \to 0, \\ \sum_{1}^{\ell} I(v_i) = b, \end{aligned}$$

and, for $i \neq j$,

$$|k_m^i - k_m^j| \to +\infty$$

as $m \to \infty$ along the subsequence.

In the above Lemma we say that a function v is normalized if

$$\|v\|_{L^{\infty}} = \max_{t \in \mathbf{R}} |v(t)|$$

occurs for $t \in [0,T]$ and $|v(t)| < ||v||_{L^{\infty}}$ for t < 0. We are now ready to show that $c = \overline{c}$.

THEOREM 1.1. If V satisfies the conditions $(V_1)-(V_4)$, then $c = \overline{c}$.

Proof. Suppose $c < \overline{c}$. By Lemma 1.4 there exists a sequence $(u_m) \subset E$ such that $I(u_m) \to c$ and $I'(u_m) \to 0$. Since c > 0, we can apply Lemma 1.5 to obtain a normalized critical points v_1, v_2, \ldots, v_ℓ such that

$$\sum_{i=1}^{\ell} I(v_i) = c.$$

But this contradicts the fact that $\overline{c} = \inf_{q \in \mathcal{K} \setminus \{0\}} I(q)$. Therefore $c \geq \overline{c}$. On the other hand, given any $q \in \mathcal{K} \setminus \{0\}$, consider

$$f(s) = I(sq)$$

= $\frac{s^2}{2} \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \int_{-\infty}^{\infty} V(t, sq) dt$

Observe that

$$f'(s) = s\left(\int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt - \frac{1}{s} \int_{-\infty}^{\infty} \langle q, V_q(t,sq) \rangle dt\right).$$

Since $q \in \mathcal{K} \setminus \{0\}$, f'(1) = 0. Now (V_4) implies that f attains its maximum value at s = 1. Therefore $c \leq f(1) = I(q)$ for any $q \in \mathcal{K} \setminus \{0\}$. Hence $c \leq \overline{c}$.

2. Homoclinic solutions

In this section we discuss the existence of infinitely many solutions of (HS). Using the fact that $c = \overline{c}$, we can show that c is a critical value of I, though I does not satisfy the (PS) condition.

THEOREM 2.1. If V satisfies the conditions $(V_1)-(V_4)$, then c is a critical value of I.

Proof. Choose a sequence $(q_m) \subset \mathcal{K} \setminus \{0\}$ such that $I(q_m) \to \overline{c} = c$. Since $I(q) \geq (\frac{1}{2} - \frac{1}{\mu}) ||q||^2$ for all $q \in \mathcal{K}$, (q_m) is bounded in E. Hence there exists a subsequence (q_{m_j}) of (q_m) and $q \in E$ such that $q_{m_j} \rightharpoonup q$ in E. We may also assume that (q_m) is a normalized sequence. By Sobolev imbedding theorem we have $q_{m_j} \to q$ in $L^{loc}_{\infty}(\mathbf{R}, \mathbf{R}^n)$. Hence $q \neq 0$. Now

$$0 = \langle I'(q_{m_j}), \varphi \rangle = \int_{-\infty}^{\infty} (\langle \dot{q}_{m_j}, \dot{\varphi} \rangle + \langle \varphi, L(t)q_{m_j} \rangle) dt - \int_{-\infty}^{\infty} \langle \varphi, V_q(t, q_{m_j}) \rangle dt.$$

By taking limits we obtain

$$\begin{split} 0 &= \int_{-\infty}^{\infty} (\langle \dot{q}, \dot{\varphi} \rangle + \langle \varphi, L(t)q \rangle) dt - \int_{-\infty}^{\infty} \langle \varphi, V_q(t,q) \rangle dt \\ &= \langle I'(q), \varphi \rangle. \end{split}$$

Hence q is a critical point of I. Let $w_m = q_{m_j} - q$. Then as in Proposition 1.2 in [3] we can show that

$$I(w_m) \rightarrow c - I(q),$$

 $I'(w_m) \rightarrow 0.$

Now

$$I(w_m) = \frac{1}{2} \int_{-\infty}^{\infty} (|\dot{w}_m|^2 + \langle w_m, L(t)w_m \rangle) dt - \int_{-\infty}^{\infty} V(t, w_m) dt$$

 and

$$\langle I'(w_m), w_m \rangle = \int_{-\infty}^{\infty} (|\dot{w}_m|^2 + \langle w_m, L(t)w_m \rangle) dt - \int_{-\infty}^{\infty} \langle w_m, V_q(t, w_m) \rangle dt.$$

Hence

$$I(w_m) - \frac{1}{2} \langle I'(w_m), w_m \rangle \ge \left(\frac{\mu}{2} - 1\right) \int_{-\infty}^{\infty} V(t, w_m) dt$$
$$\ge 0.$$

Thus

$$0 \le I(w_m) - \frac{1}{2} \langle I'(w_m), w_m \rangle \le I(w_m) + M \| I'(w_m) \|$$

for some constant M independent of m. Therefore

 $0 \le c - I(q).$

Since $c = \overline{c} = \inf_{q \in \mathcal{K} \setminus \{0\}} I(q)$, this completes the proof.

The following fact is crucial to the existence of infinitely many homoclinic solutions of (HS).

LEMMA 2.1. Let $q \in E$ be a critical point of I with I(q) = c. Choose \overline{q} on the ray passing through 0 and q such that $I(\overline{q}) < 0$. Define a function $g: [0,1] \to E$ by $g(\theta) = \theta \overline{q}$. Then

- (1) $g \in \Gamma$,
- (2) $\max_{\theta \in [0,1]} I(g(\theta)) = c$, and
- (3) for each r > 0, there exists $\varepsilon > 0$ such that $I(g(\theta)) > c \varepsilon$ implies $g(\theta) \in B_r(q)$.

Proof. (1) and (2) are evident from the construction of g and (V_4) . Suppose $q = \overline{\theta}\overline{q}$, $0 < \overline{\theta} < 1$. Then for any $\varepsilon > 0$, by (V_4) , there are constants $\theta_{-\varepsilon}$ and $\theta_{+\varepsilon}$ with $\theta_{-\varepsilon} < \overline{\theta} < \theta_{+\varepsilon}$ such that $\theta_{\pm\varepsilon} \to \overline{\theta}$ as $\varepsilon \to 0$ and $I(\theta\overline{q}) > c - \varepsilon$ if and only if $\theta \in (\theta_-, \theta_+)$. In particular for each r > 0 there is an $\varepsilon = \varepsilon(r)$ such that $\theta \in (\theta_-, \theta_+)$ implies that $g(\theta) = \theta\overline{q} \in B_r(q)$.

At this point assume further that V satisfies one further condition

(**) There is an $\alpha > 0$ such that $\mathcal{K}^{c+\alpha}$ consists of isolated points.

Observe that the above proposition corresponds to Proposition 2.22 [3]. Therefore we can apply the argument in [3] to prove the existence of infinitely many homoclinic solutions of (HS). Therefore the following theorem was essentially proved in [3].

THEOREM 2.2. If V satisfies $(V_1)-(V_4)$ and (**), then the problem (HS) has infinitely many homoclinic solutions.

Now it is natural to ask what kind of potential guarantees the condition (**), that is, the discreteness of critical points of the corresponding functional I.

We now give a condition on V whose corresponding functional satisfies (**).

THEOREM 2.3. If V satisfies the conditions $(V_1)-(V_4)$, and

(V₅)
$$\langle V_{qq}(t,q)p,p\rangle \ge \kappa |p|^2, \quad p,q \in \mathbf{R}^n, \quad \kappa > -\frac{1}{2}$$

then the critical points of I are all isolated. Therefore the problem (HS) has infinitely many homoclinic solutions.

Proof. Let q be a critical point of I. Thus for any $p \in E$ we have

$$0 = \langle I'(q), p \rangle = \int_{-\infty}^{\infty} (\langle \dot{q}, \dot{p} \rangle + \langle p, L(t)q \rangle) dt$$
$$- \int_{-\infty}^{\infty} \langle p, V_q(t,q) \rangle dt.$$

Now

$$\begin{split} I(q+p) &= \frac{1}{2} \int_{-\infty}^{\infty} (|\dot{q}+\dot{p}|^2 + \langle q+p, L(t)(q+p) \rangle) dt - \int_{-\infty}^{\infty} V(t,q+p) dt \\ &= \frac{1}{2} \int_{-\infty}^{\infty} (|\dot{q}|^2 + \langle q, L(t)q \rangle) dt + \int_{-\infty}^{\infty} (\langle \dot{q}, \dot{p} \rangle + \langle p, L(t)q \rangle) dt \\ &+ \frac{1}{2} \int_{-\infty}^{\infty} (|\dot{p}|^2 + \langle p, L(t)p \rangle) dt - \int_{-\infty}^{\infty} V(t,q+p) dt \\ &= I(q) + \int_{-\infty}^{\infty} V(t,q) dt + \int_{-\infty}^{\infty} \langle p, V_q(t,q) \rangle dt \\ &+ \frac{1}{2} ||p||^2 - \int_{-\infty}^{\infty} V(t,q+p) dt \\ &= I(q) + \frac{1}{2} ||p||^2 + \int_{-\infty}^{\infty} (V(t,q) + \langle p, V_q(t,q) \rangle - V(t,q+p)) dt. \end{split}$$

Now

$$V(t,q) + \langle p, V_q(t,q) \rangle - V(t,q+p)$$

= $\int_0^1 s \langle p, V_{qq}(t,q+sp)p \rangle dt$
= $\int_0^1 s \langle p, (V_{qq}(t,q+sp) - V_{qq}(t,q))p \rangle dt + \langle p, V_{qq}(t,q)p \rangle.$

Note that $||p||_{L^{\infty}} \leq \sqrt{2} ||p||$. Hence

$$\int_{-\infty}^{\infty} (V(t,q) + \langle p, V_q(t,q) \rangle - V(t,q+p)) dt$$
$$= o(||p||^2) + \int_{-\infty}^{\infty} \langle p, V_{qq}(t,q)p \rangle dt.$$

Observe that

$$\begin{split} \int_{-\infty}^{\infty} \langle p, V_{qq}(t,q)p \rangle dt &= \|p\|^2 \int_{-\infty}^{\infty} \langle \frac{p}{\|p\|}, V_{qq}(t,q) \frac{p}{\|p\|} \rangle dt \\ &= h(p) \|p\|^2. \end{split}$$

We see here that h is homogeneous of degree 0 and that $h \ge \kappa > -\frac{1}{2}$ by (V₅). Hence we now have the following estimate;

$$I(q+p) = I(q) + (\frac{1}{2} + h(p)) ||p||^2 + o(||p||^2).$$

This completes the proof.

Combining theorem 2.3 and theorem 2.3, we have

THEOREM 2.4. If V satisfies $(V_1)-(V_5)$, then the problem (HS) has infinitely many solutions.

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