

## SOME COMPUTATIONS OF RELATIVE NIELSEN NUMBERS

SEOUNG HO LEE AND MOO HA WOO

### 1. Introduction

H. Schirmer introduced the relative Nielsen number  $N(f; X, A)$  in [8] which is a lower bound for the number of fixed points for all maps in the relative homotopy class of  $f$ . In [10] the Nielsen number of the boundary  $\tilde{n}(f; X, A)$  is a lower bound for the number of fixed points on the boundary of  $A$  denoted  $\text{Bd } A$  only when any selfmap of  $(X, A)$  has a minimal fixed point set and the Nielsen number of the complement  $\tilde{N}(f; X, A)$ , that is, the number of fixed point classes of  $f : X \rightarrow X$  which do not assume their index in  $A$ , is a lower bound for the number of fixed points on  $CI(X - A)$ .

In the classical setting, where  $A = \phi$ ,  $f_\pi(\pi_1(X)) \subset J(f)$  the trace subgroup of cyclic homotopies and  $R(f)$  the Reidemeister number of  $f$  introduced in section 3, it follows from  $L(f) \neq 0$  that  $N(f) = R(f)$  [5, p.33, Theorem 4.2]. It is a purpose of this paper to generalize this fact to maps of pairs of spaces (Theorem 3.1 and 3.2). In section 2,  $n(f; X - A)$  will be defined and we will show that maps in the homotopy class of  $f$  which have a  $N(f; X - A)$  fixed points on  $X - A$  must have at least  $n(f; X - A)$  fixed points on  $\text{Bd } A$ , and we will calculate  $n(f; X - A)$  in some special cases. In section 3, methods to compute relative Nielsen numbers with relative Lefschetz numbers are given. Throughout this paper,  $f : (X, A) \rightarrow (X, A)$  will be a selfmap of a pair of compact polyhedra with  $X$  connected and we will follow the notations and terminology of [10].

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## 2. Relative Nielsen numbers

Let  $f : (X, A) \rightarrow (X, A)$  be a selfmap of a pair of compact polyhedra. We shall write  $\bar{f} : A \rightarrow A$  for the restriction of  $f$  to  $A$  and write  $f : X \rightarrow X$  if the condition that  $f(A) \subset A$  is immaterial. Let  $\hat{A} = \bigcup_1^n A_k$  be the disjoint union of all components of  $A$  which are mapped by  $f$  into themselves, and we shall write  $f_k : A_k \rightarrow A_k$  for the restriction of  $f$  to  $A_k$ . We write  $\text{Fix } f$  for the fixed point set  $\{x \in X | f(x) = x\}$  and  $F$  for a fixed point class of  $f : X \rightarrow X$ . A fixed point class  $F$  of  $f : X \rightarrow X$  is a *weakly common fixed point class of  $f$  and  $\bar{f}$*  if it contains a fixed point class of  $f_k : A_k \rightarrow A_k$  for some  $k$  [13]. H. Schirmer defined the fixed point class  $F$  of  $f : X \rightarrow X$  *assumes its index in  $A$*  if

$$\text{ind}(X, f, F) = \text{ind}(A, \bar{f}, F \cap A).$$

Also she defined the relative Nielsen number  $\tilde{n}(f; X, A)$  by the number of common fixed point classes of  $f : X \rightarrow X$  which do not assume their index in  $A$  [10].

**DEFINITION 2.1.** The number of weakly common fixed point classes of  $f : X \rightarrow X$  which do not assume their index in  $A$  is called the relative Nielsen number of the boundary space  $\text{Bd } A$ , denoted  $n(f; X - A)$ .

It is clear  $n(f; X - A) \geq \tilde{n}(f; X, A)$  by the definition. In general,  $n(f; X - A)$  is different from  $\tilde{n}(f; X, A)$ . As a simple example, if we take the identity map  $f : (B^2, S^1) \rightarrow (B^2, S^1)$  of the pair of a 2-dimensional ball and its boundary, then  $\tilde{n}(f; X, A) = 0$ , but  $n(f; X - A) = 1$ . If all the fixed point classes of  $\bar{f}$  are essential, then  $n(f; X - A) = \tilde{n}(f; X, A)$ .

In [13],  $N(f; X - A)$  is defined by the number of essential fixed point classes of  $f : X \rightarrow X$  which are not weakly common fixed point classes, and  $E(f, \bar{f})$  is defined by the number of essential weakly common fixed point classes of  $f$  and  $\bar{f}$ .

**THEOREM 2.1.** *If  $f : (X, A) \rightarrow (X, A)$  is a map, then  $\tilde{N}(f; X, A) = n(f; X - A) + N(f) - E(f, \bar{f})$  and hence  $n(f; X - A) = \tilde{N}(f; X, A) - N(f; X - A)$ .*

*Proof.* Let  $F$  be a fixed point class of  $f : X \rightarrow X$ . A fixed point class  $F$  which is not a weakly common fixed point class of  $f$  and  $\bar{f}$  is essential if and only if it does not assume its index in  $A$ , and so we

get  $n(f; X - A) + [N(f) - E(f, \bar{f})] = \# \{ F|F \text{ is a weakly common fixed point class of } f \text{ and } \bar{f} \text{ and does not assume its index in } A \} + \# \{ F|F \text{ is not a weakly common fixed point class of } f \text{ and } \bar{f} \text{ which is essential} \} = \# \{ F|F \text{ is a weakly common fixed point class of } f \text{ and } \bar{f} \text{ and does not assume its index in } A \} + \# \{ F|F \text{ is not a weakly common fixed point class of } f \text{ and } \bar{f} \text{ and does not assume its index in } A \} = \tilde{N}(f; X, A).$

$N(f; X - A) + E(f, \bar{f}) = N(f)$  shows the second part of the theorem.

The lower bound property of  $n(f; X - A)$  follows immediately from Theorem 2.1.

**THEOREM 2.2 (LOWER BOUND).** *Any map  $f : (X, A) \rightarrow (X, A)$  which has  $N(f; X - A)$  fixed points on  $X - A$  has at least  $n(f; X - A)$  fixed points on  $Bd A$ .*

**EXAMPLE 2.1.** Let  $X = B^{n+1}$  be the unit ball  $\{x \in R^{n+1} | \|x\| \leq 1\}$  in  $R^{n+1}$  for  $n \geq 2$ ,  $A = \{x \in X | 1/2 \leq \|x\| \leq 1\}$ , and  $f : (X, A) \rightarrow (X, A)$  be the identity, then

$$N(\bar{f}) = \begin{cases} 0, & \text{if } n \text{ is odd} \\ 1, & \text{if } n \text{ is even,} \end{cases}$$

$$\tilde{N}(f; X, A) = n(f; X - A) = 1.$$

By [9, Theorem 4.1], there exists a deformation  $g : (X, A) \rightarrow (X, A)$  such that if  $n$  is odd, then  $g$  has  $N(f; X, A)$  fixed point on  $X - A$  and no further fixed point.

### 3. Main results

Pick a base point  $a_k \in A_k$  for each  $A_k \subset \hat{A}$  and a base point  $x_0 \in X$ . It is well known that the covering translations of universal covering spaces  $\tilde{A}_k$  and  $\tilde{X}$  of  $A_k$  and  $X$  form groups  $\mathcal{D}_k = \mathcal{D}_k(\tilde{A}_k, p_k)$  and  $\mathcal{D} = \mathcal{D}(\tilde{X}, p)$  which are isomorphic to  $\pi_1(A_k)$  and  $\pi_1(X)$  respectively. Recall that points of  $\tilde{A}_k$  and  $\tilde{X}$  are respectively in one-to-one correspondence with the path classes in  $A_k$  and  $X$  starting from  $a_k$  and  $x_0$ . Under this identification, let  $\tilde{a}_k = \langle e_k \rangle \in \tilde{A}_k$  and  $\tilde{x}_0 = \langle e \rangle \in \tilde{X}$  be the constant paths. Pick a path  $w_k$  in  $A_k$  from  $a_k$  to  $f_k(a_k)$  for each  $k$  and a path

$w_0$  in  $X$  from  $x_0$  to  $f(x_0)$ . Then there are unique liftings  $\tilde{f}_k$  and  $\tilde{f}$  of maps  $f_k : A_k \rightarrow A_k$  and  $f : X \rightarrow X$  such that  $\tilde{f}_k(\langle e_k \rangle) = \langle w_k \rangle \in \tilde{A}_k$  and  $\tilde{f}(\langle e \rangle) = \langle w_0 \rangle \in \tilde{X}$ . Let liftings  $\tilde{f}_k$  and  $\tilde{f}$  be chosen as references, then the endomorphism  $\tilde{f}_\pi : \mathcal{D} \rightarrow \mathcal{D}$  determined by a lifting  $\tilde{f}$  of  $f$  is defined by

$$\tilde{f}_\pi(\alpha) \circ \tilde{f} = \tilde{f} \circ \alpha, \alpha \in \mathcal{D},$$

and the  $\tilde{f}_\pi$ -conjugacy class of  $\gamma \in \pi$  is said to be the coordinate of a fixed point class  $pFix(\gamma \circ \tilde{f})$  [5]. The coordinate of a fixed point class can be obtained geometrically.

**LEMMA 3.1.** *The coordinate for the class of a fixed point  $x$  of  $f$  is the  $\tilde{f}_\pi$ -conjugacy class of  $\gamma = \langle c(f \circ c)^{-1}w_0^{-1} \rangle \in \pi$ , where  $c$  is any path from  $x_0$  to  $x$ . In other words,  $x \in pFix(\gamma \circ \tilde{f})$ .*

*Proof.* Let  $\tilde{x} = \langle c \rangle \in p^{-1}(x)$ . Since  $\tilde{f}(\tilde{x}_0) = \tilde{f}(\langle e \rangle) = \langle w_0 \rangle$ , we have  $\tilde{f}(\tilde{x}) = \tilde{f}(\langle c \rangle) = \langle w_0(f \circ c) \rangle$ . Hence  $(\gamma \circ \tilde{f})(\tilde{x}) = \gamma \langle w_0(f \circ c) \rangle = \langle c(f \circ c)^{-1}w_0^{-1} \rangle \langle w_0(f \circ c) \rangle = \tilde{x}$ .

Let  $f : X \rightarrow X$  be a given selfmap. The set of fixed points of  $f$  is denoted by  $\Phi(f)$  instead of  $Fix f$ . Two fixed points  $x, y \in \Phi(f)$  are said to be equivalent if  $x$  and  $y$  belong to the same fixed point class, i.e., if there exists a path  $\lambda : I \rightarrow X$  such that  $\lambda(0) = x, \lambda(1) = y$  and  $\lambda$  is homotopic to  $f \circ \lambda$  rel. end points. We denote by  $\Phi(f)/\sim$  the set of equivalence classes of  $\Phi(f)$  by this equivalence relation. Let  $F \in \Phi(f)/\sim$  and  $x \in F$  be given. Define  $\tau(F)$  as the unique class of  $FPC(f)$  determined by  $x$  where  $FPC(f)$  is the fixed point class data of  $f$ , the weighted set of lifting classes of  $f$ , the weight of a class  $[\tilde{f}]$  being  $ind(X, f, pFix \tilde{f})$  [5, Ch. III, Sec. 1]. This correspondence gives a well-defined function  $\tau : \Phi(f)/\sim \rightarrow FPC(f)$ . Also we can define  $\mu_k : \Phi(f_k)/\sim \rightarrow \Phi(f)/\sim$  by  $\mu_k(F_k) = F$  determined by  $x_k \in F_k \subset F$ , and thus we have a commutative diagram

$$\begin{array}{ccc} \Phi(f_k)/\sim & \xrightarrow{\tau_k} & FPC(f_k) \\ \mu_k \downarrow & & \downarrow i_{k, FPC} \\ \Phi(f)/\sim & \xrightarrow{\tau} & FPC(f). \end{array}$$

Note that we shall fail to distinguish between a path in  $X$  and its class in the fundamental groupoid of  $X$ . In [4], the group homomorphism  $f^{w_0} : \pi_1(X, x_0) \rightarrow \pi_1(X, x_0)$  defined by  $f^{w_0}(\alpha) = w_0 f(\alpha) w_0^{-1}$  for every  $\alpha \in \pi$  defines an equivalence relation on  $\pi$  by setting  $\alpha \sim \alpha'$  if there exists a  $\beta \in \pi$  such that  $\alpha = \beta \alpha' f^{w_0}(\beta^{-1})$ . Let  $Coker(1 - f^{w_0})$  be the quotient set of  $\pi$  by this equivalence relation. The Reidemeister number of  $f$  is the number  $R(f) = \# Coker(1 - f^{w_0})$ . In what follows,  $j : \pi \rightarrow Coker(1 - f^{w_0})$  denotes the quotient function: if  $\langle \alpha \rangle \in \pi$ , then  $j(\langle \alpha \rangle) = [\langle \alpha \rangle] \in Coker(1 - f^{w_0})$ .

Pick a path  $u_k$  from  $x_0$  to  $a_k$  and take a lifting  $\tilde{i}_k$  of  $i_k$  such that  $\tilde{i}_k(\langle e_k \rangle) = \langle u_k \rangle$ . Define a function  $\nu_{k,\pi} : \pi_1(A_k, a_k) \rightarrow \pi_1(X, x_0)$  by

$$\nu_{k,\pi} \langle \alpha \rangle = \langle u_k(i_k \circ \alpha) w_k(f \circ u_k)^{-1} w_0^{-1} \rangle.$$

LEMMA 3.2. *The function  $\nu_{k,\pi}$  induces a transformation*

$$\nu_k : Coker(1 - f_k^{w_k}) \rightarrow Coker(1 - f^{w_0})$$

and  $\nu_k$  is independent of the choice of the path  $u_k$ .

*Proof.* See [12, Lemma 1.2].

LEMMA 3.3. *The diagram*

$$\begin{array}{ccc} \Phi(f_k)/ \sim & \xrightarrow{\rho_k} & Coker(1 - f_k^{w_k}) \\ \downarrow \mu_k & & \downarrow \nu_k \\ \Phi(f)/ \sim & \xrightarrow{\rho} & Coker(1 - f^{w_0}) \end{array}$$

commutes, where  $\rho(F) = [\langle c(f \circ c)^{-1} w_0^{-1} \rangle]$ ,  $c$  is any path in  $X$  with  $c(0) = x_0, c(1) = x$ , for any  $x \in F$ .

*Proof.* Let  $x_k \in F_k \in \Phi(f_k)/ \sim$  and pick a path  $c_k$  from  $a_k$  to  $x_k$  in  $A_k$ . Since  $\rho$  is independent of the choice of the path  $c$ , pick a path  $c$  from  $x_0$  to  $x_k \in F$  (as  $F_k \subset F$ ) in  $X$ . By Lemma 3.2,  $\nu_k[\langle \alpha \rangle] = [\langle u_k(i_k \circ \alpha) w_k(f \circ u_k)^{-1} w_0^{-1} \rangle]$ , we have

$$\begin{aligned} \nu_k \rho_k(F_k) &= \nu_k[\langle c_k(f_k \circ c_k)^{-1} w_k^{-1} \rangle] \\ &= [\langle u_k c_k(f_k \circ c_k)^{-1} w_k^{-1} w_k(f \circ u_k)^{-1} w_0^{-1} \rangle] \\ &= [\langle u_k c_k(f \circ (u_k c_k))^{-1} w_0^{-1} \rangle] \\ &= \rho(F) \\ &= \rho \mu_k(F_k). \end{aligned}$$

We recall two lemmas (see [4, Lemma A.1, A.2]).

LEMMA 3.4. *Let  $f : X \rightarrow X, x_0 \in X$  and  $w_0$  and  $\eta$  be paths in  $X$  connecting  $x_0$  to  $f(x_0)$ . Then, there is an index preserving bijection  $r_{w_0, \eta} : \text{Coker}(1 - f^{w_0}) \rightarrow \text{Coker}(1 - f^\eta)$  given by  $r_{w_0, \eta}[\langle \alpha \rangle] = [\langle \alpha w_0 \eta^{-1} \rangle]$ .*

LEMMA 3.5. *Let  $f : X \rightarrow X, x_0 \in X$  and  $w_0 : I \rightarrow X$  be given, with  $w_0(0) = x_0, w_0(1) = f(x_0)$ . Let  $a_k \in A_k \subset X$  be another base point and let  $u_k : I \rightarrow X$  be a path in  $X$  connecting  $x_0$  to  $a_k$ . Then,  $u_{k*} = u_* : \text{Coker}(1 - f^{w_0}) \rightarrow \text{Coker}(1 - f^{u_k^{-1}w_0(f \circ u_k)})$  defined by  $u_*[\langle \alpha \rangle] = [\langle u_k^{-1} \alpha u_k \rangle]$  is an index preserving bijection.*

Consider the commutative diagram

$$\begin{array}{ccc} \pi_1(A_k, a_k) & \xrightarrow{f_k^{w_k}} & \pi_1(A_k, a_k) \\ i_{k, \pi} \downarrow & & \downarrow i_{k, \pi} \\ \pi_1(X, a_k) & \xrightarrow{f^{w_k}} & \pi_1(X, a_k). \end{array}$$

If  $i_{k, \pi}$  is surjective, then we have an exact sequence

$$0 \longrightarrow \text{Ker } i_{k, \pi} \longrightarrow \pi_1(A_k, a_k) \xrightarrow{i_{k, \pi}} \pi_1(X, a_k) \longrightarrow 0.$$

LEMMA 3.6. *If  $i_{k, \pi}$  is surjective and the restriction  $f_k^{w_k}|_{\text{Ker } i_{k, \pi}}$  of  $f_k^{w_k}$  to  $\text{Ker } i_{k, \pi}$  is nilpotent, then*

$$\nu_k : \text{Coker}(1 - f_k^{w_k}) \longrightarrow \text{Coker}(1 - f^{w_0})$$

is bijective.

*Proof.* Applying [3, Proposition 1.11],  $i_{k, \pi}$  induces a bijection

$$i_k : \text{Coker}(1 - f_k^{w_k}) \rightarrow \text{Coker}(1 - f^{w_k})$$

defined by  $i_k[\langle \alpha \rangle] = [\langle i_k \circ \alpha \rangle]$ .

With  $u_k$  as above, define  $\eta = u_k^{-1}w_0(f \circ u_k)$ . Then, by Lemma 3.4 and 3.5, it suffices to check that the diagram

$$\begin{array}{ccc}
 \text{Coker}(1 - f_k^{w_k}) & \xrightarrow{i_k} & \text{Coker}(1 - f^{w_k}) \\
 \downarrow \nu_k & & \downarrow r_{w_k, \eta} \\
 \text{Coker}(1 - f^{w_0}) & \xrightarrow{u_*} & \text{Coker}(1 - f^{u_k^{-1} w_0 (f \circ u_k)})
 \end{array}$$

commutes.

Let  $[\langle \alpha \rangle] \in \text{Coker}(1 - f_k^{w_k})$ , then

$$\begin{aligned}
 r_{w_k, \eta} i_k [\langle \alpha \rangle] &= r_{w_k, \eta} [\langle i_k \circ \alpha \rangle] \\
 &= [\langle (i_k \circ \alpha) w_k \eta^{-1} \rangle] \\
 &= [\langle (i_k \circ \alpha) w_k (f \circ u_k)^{-1} w_0^{-1} u_k \rangle]
 \end{aligned}$$

and

$$\begin{aligned}
 u_k \nu_k [\langle \alpha \rangle] &= u_k [\langle u_k (i_k \circ \alpha) w_k (f \circ u_k)^{-1} w_0^{-1} \rangle] \\
 &= [\langle u_k^{-1} u_k (i_k \circ \alpha) w_k (f \circ u_k)^{-1} w_0^{-1} u_k \rangle] \\
 &= [\langle (i_k \circ \alpha) w_k (f \circ u_k)^{-1} w_0^{-1} u_k \rangle].
 \end{aligned}$$

Then we have  $r_{w_k, \eta} i_k [\langle \alpha \rangle] = u_k \nu_k [\langle \alpha \rangle]$ .

Recall the relative Lefschetz number  $L(f|_{(X,A)}) = L(f) - L(\bar{f})$  of  $f : (X, A) \rightarrow (X, A)$  and the trace subgroup of cyclic homotopies

$$\begin{aligned}
 J(f, x_0) &= \{ \xi \in \pi_1(X, f(x_0)) \mid \text{there exists a homotopy} \\
 &H : f \simeq f : X \times I \rightarrow X \ni \langle H(x_0, \ ) \rangle = \xi \}.
 \end{aligned}$$

In [5, p.33, Theorem 4.2] where  $f_\pi(\pi_1(X)) \subset J(f)$ , it follows from  $L(f) \neq 0$  that  $N(f) = R(f)$ . We prove the main theorems.

**THEOREM 3.1.** *Let  $f : (X, A) \rightarrow (X, A)$  be a selfmap of a pair of compact polyhedra with  $\hat{A} = \cup_{k=1}^n A_k$ . If  $f_\pi(\pi_1(X)) \subset J(f)$ ,  $f_{k, \pi}(\pi_1(A_k)) \subset J(f_k)$ ,  $i_{k, \pi}$  is surjective and  $f_k^{w_k}|_{\text{Ker } i_{k, \pi}}$  is nilpotent for all  $k$ , then*

$$n(f; X - A) = \begin{cases} \# \text{Coker}(1 - f^{w_0}), & \text{if } L(f|_{(X,A)}) \neq 0 \\ 0, & \text{otherwise.} \end{cases}$$

*Proof.* If  $L(f_k) = 0$  for all  $k$ , then this theorem is clear. We can assume that  $L(f_k) \neq 0$  for all  $k, 1 \leq k \leq m$  for some  $m \leq n$ . By [5, p.33, Theorem 4.2], when  $f_{k,\pi}(\pi_1(A_k)) \subset J(f_k)$  for all  $k, 1 \leq k \leq m$ , the correspondence  $\rho_k$  is bijective. Let  $F$  be a fixed point class of  $f : X \rightarrow X$ . Then

$$\begin{aligned} \text{ind}(A, \bar{f}, F \cap A) &= \text{ind}(A, \bar{f}, \cup_{k=1}^n (F \cap A_k)) \\ &= \sum_{k=1}^n \text{ind}(A_k, f_k, F \cap A_k) \\ &= \sum_{k=1}^m \text{ind}(A_k, f_k, F_k) \quad (\text{by Lemma 3.3, 3.6}) \end{aligned}$$

for some fixed  $F_k \in \Phi(f_k)/\sim$ .

Case 1) Suppose  $L(f) = 0$ . Then all the fixed point classes of  $f : X \rightarrow X$  are inessential. If  $L(f|_{(X,A)}) \neq 0$ , then there exists a component  $A_k$  such that

$$\text{ind}(A, \bar{f}, F \cap A) = \sum_{k=1}^m \text{ind}(A_k, f_k, F_k) = L(\bar{f})/N(f_k) \neq 0.$$

Hence all the fixed point classes of  $f$  do not assume their index in  $A$ . If  $L(f|_{(X,A)}) = 0$ , then  $L(f) = 0$ , and so

$$\text{ind}(A, \bar{f}, F \cap A) = \sum_{k=1}^m \text{ind}(A_k, f_k, F_k) = 0 = \text{ind}(X, f, F)$$

because  $F$  is inessential. Thus all the fixed point classes of  $f$  assume their index in  $A$ .

Case 2) Suppose  $L(f) \neq 0$ . By using [5, p.33, Theorem 4.2] again,  $N(f) > 0$ . Thus we have

$$\text{ind}(A, \bar{f}, F \cap A) = L(\bar{f})/N(f)$$

and

$$\text{ind}(X, f, F) = L(f)/N(f).$$

This completes the theorem.

If  $n = 1$ , i.e.  $\hat{A}$  is connected, we can take  $w_0 = w_1$  and  $x_0 = a_1$ . Then  $\nu_1[\langle \alpha \rangle] = [\langle i_1 \circ \alpha \rangle]$  and  $\nu_1 = i_* : \text{Coker}(1 - f_1^{w_0}) \rightarrow \text{Coker}(1 - f^{w_0})$ . We shall get



**COROLLARY 3.1.** *Let  $f : (X, A) \rightarrow (X, A)$  be a selfmap of a pair of compact polyhedra with  $\hat{A}$  connected. If  $f_\pi(\pi_1(X)) \subset J(f)$ ,  $f_{1,\pi}(\pi_1(A_1)) \subset J(f_1)$ ,  $i_{1,\pi}$  is surjective and  $f_1^{w_0}|_{\text{Ker } i_{1,\pi}}$  is nilpotent, then*

$$n(f; X - A) = \begin{cases} \# \text{Coker } (1 - f^{w_0}), & \text{if } L(f_1) \neq L(f) \\ 0, & \text{otherwise.} \end{cases}$$

In [13], X.Zhao showed that if there is a component  $A_k$  of  $\hat{A}$  such that  $i_{k,\pi}$  is surjective, then  $N(f; X - A) = 0$ . By Theorem 2.1 and Theorem 3.1, we have

**THEOREM 3.2.** *Let  $f : (X, A) \rightarrow (X, A)$  be a selfmap of a pair of compact polyhedra with  $\hat{A} = \cup_{k=1}^n A_k$ . Suppose  $f_\pi(\pi_1(X)) \subset J(f)$ ,  $f_{k,\pi}(\pi_1(A_k)) \subset J(f_k)$ ,  $i_{k,\pi}$  is surjective and  $f_k^{w_k}|_{\text{Ker } i_{k,\pi}}$  is nilpotent for all  $k$ , then*

$$\tilde{N}(f; X, A) = \begin{cases} \# \text{Coker } (1 - f^{w_0}), & \text{if } L(f)|_{(X,A)} \neq 0 \\ 0, & \text{otherwise.} \end{cases}$$

**COROLLARY 3.2.** *Let  $f : (X, A) \rightarrow (X, A)$  be a selfmap of a pair of compact polyhedra with  $\hat{A}$  connected. If  $f_\pi(\pi_1(X)) \subset J(f)$ ,  $f_{1,\pi}(\pi_1(A_1)) \subset J(f_1)$ ,  $i_{1,\pi}$  is surjective and  $f_1^{w_0}|_{\text{Ker } i_{1,\pi}}$  is nilpotent, then*

$$\tilde{N}(f; X, A) = \begin{cases} \# \text{Coker } (1 - f^{w_0}), & \text{if } L(f_1) \neq L(f) \\ 0, & \text{otherwise.} \end{cases}$$

**EXAMPLE 3.1.** Let  $X = \{x \in R^2 | 1/2 \leq \|x\| \leq 1\}$  be an annulus in  $R^2$  and let  $A = \cup_{k=1}^2 A_k$  be the boundary of  $X$  where  $A_k = \{x \in X | \|x\| = 1/k\}$ . Define  $f : (X, A) \rightarrow (X, A)$  by  $f(re^{i\theta}) = re^{i3\theta}$  for  $1/2 \leq r \leq 1$ . Take  $e^{i0} = 1$  as base point of  $X$  and choose the path  $w_0$  to be constant. Then, for all  $n$ ,  $1 - f^n : Z \rightarrow Z$  is multiplication by  $1 - 3^n$  and

$$\text{Coker } (1 - f^n) = Z_{3^n - 1}.$$

Since  $A'_k$ s are H-spaces,  $L(f'_k) = L(f^n)$  for each  $k$ . Then we have

$$\tilde{N}(f^n; X, A) = n(f^n; X - A) = |3^n - 1|$$

for all  $n$ . Also we have

$$N(f^n; X, A) = 2|3^n - 1|$$

for all  $n$ .

EXAMPLE 3.2. Let  $X$  be the solid torus in Euclidean 3-space  $R^3$  which is obtained by rotating the 2-disk in the  $x_1x_3$ -plane of radius 1 and centered at  $(2, 0, 0)$  about the  $x_3$ -axis, and let  $A$  be the 2-dimensional torus which bounds  $X$ . We consider  $R^3$  as  $\mathbf{C} \times R^1$ , where  $\mathbf{C}$  is the complex plane, and label the points of  $X$  as  $(re^{i\theta}, t)$ , where  $re^{i\theta} \in \mathbf{C}$  and  $t \in R^1$ , with  $1 \leq \theta < 2\pi$  and  $-1 \leq t \leq 1$ . Let  $f : (X, A) \rightarrow (X, A)$  be the map given by

$$f(re^{i\theta}, t) = (re^{id\theta}, -|t|),$$

where  $d \neq 1$  is an integer. As any circle of latitude is a deformation retract of  $X$  we have  $N(f) = |d - 1|$  [1, Ch. VIII, p.107; 5, p.21, Theorem 5.4 and p.33, Example 1], and it follows from [5, p.33, Example 2] that  $N(\bar{f}) = |d - 1|$ . The fixed point set of  $f$  lies in  $t \leq 0$  and consists of  $|d - 1|$  half-disks. Each half-disk forms an essential fixed point class of  $f$  and contains one essential fixed point class of  $\bar{f}$  on its boundary because the arcs of the boundary  $S^1$  of the rotated 2-disk from  $(e^{\frac{i2n\pi}{d-1}}, 0)$  to  $(3e^{\frac{i2n\pi}{d-1}}, 0)$  for  $n = 0, 1, 2, \dots, d-2$ , passing through the south pole show this. Hence

$$N(f; X, A) = |d - 1|.$$

$\text{Keri}_\pi \simeq \mathbf{Z}$  is generated by the loop  $\alpha$  obtained by travelling the boundary  $S^1$  of the 2-disk once, starting  $(e^{i0}, 0) = x_0$ , in the counter-clockwise direction. Now select the path  $w_0$  to be the constant path at  $x_0$ . Then we have

$$\bar{f}^{w_0}(\alpha) = \bar{f}(\alpha) = 0.$$

It is easy to see that  $\tilde{N}(f; X, A) = n(f; X - A) = 0$  by Theorem 3.1, Theorem 3.2 and thus, each essential fixed point class of  $f$  assumes its index in  $A$ .

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Department of Mathematics Education  
Korea University  
Seoul 136-701, Korea