

Some Properties of Generalized Fractions

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ABSTRACT. Let A be a commutative ring with identity and M an A -module. When U_n is a triangular subset of A^n , Sharp and Zakeri defined a module of generalized fractions $U_n^{-n}M$. In [SZ3], they described a relation of the Monomial Conjecture and a module of generalized fractions under the condition of a Noetherian local ring. In this paper, we investigate some properties of non-zero generalized fractions and give a generalization of results of Sharp and Zakeri for an arbitrary ring.

0. Introduction

Let A be a commutative ring with identity and M an A -module. Put $U_{d+1} = \{(b_1, \dots, b_d, 1) \in A^{d+1} : b_1, \dots, b_d \text{ forms a system of parameters for } A\}$, where A is a Noetherian local ring of dimension d . Then $U_{d+1}^{-d-1}A$ becomes a module of generalized fractions (cf. [SZ1]). Let $\{a_1, \dots, a_n\}$ be a fixed system of parameters for A . In [SZ3], Sharp and Zakeri described a relation of the Monomial Conjecture and a module of generalized fractions. They proved that $a_1^{t-1} \cdots a_d^{t-1} \notin (a_1^t, \dots, a_d^t)A$ for all $t \geq 1$ if and only if $\frac{1}{(a_1, \dots, a_d, 1)} \neq 0$ in $U_{d+1}^{-d-1}A$.

So we take an interest in properties of non-zero generalized fractions. The purpose of this paper is to investigate some properties of non-zero generalized fractions and to give a generalization of results of Sharp and Zakeri (Theorem 3.2). That is, fix a sequence a_1, \dots, a_n of

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elements of an arbitrary ring A . Then we have that $ma_1^{t-1} \cdots a_n^{t-1} \notin (a_1^t, \dots, a_{n-1}^t)M$ for all $t \geq 1$ if and only if $\frac{m}{(a_1, \dots, a_n)} \neq 0$ in $U(a)_n^{-n}M$, where $U(a)_n = \{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}) \in A^n : \alpha_1, \dots, \alpha_n \text{ are positive integers}\}$.

1. Preliminaries

Throughout this paper, A is a commutative ring with identity. M denotes an A -module. We use T to denote matrix transpose, n to denote a positive integer, and $D_n(A)$ to denote the set of $n \times n$ lower triangular matrices over A . For $H \in D_n(A)$, $|H|$ denotes the determinant of H . N denotes the set of positive integers. Let $(a_1, \dots, a_i)A$ be the ideal of A which is generated by $\{a_1, \dots, a_i\}$ and let $(a_1, \dots, a_i)M$ be the submodule of M which is generated by $\{a_j m : j = 1, \dots, i \text{ and } m \in M\}$.

DEFINITION 1.1 [SZ1]. A *triangular subset* of A^n is a non-empty subset U_n of A^n such that

- (i) if $(a_1, \dots, a_n) \in U_n$, then $(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}) \in U_n$ for all choices of positive integers $\alpha_1, \dots, \alpha_n$, and
- (ii) if $(a_1, \dots, a_n) \in U_n$ and $(b_1, \dots, b_n) \in U_n$, then there exist $(c_1, \dots, c_n) \in U_n$ and $H, K \in D_n(A)$ such that $H[a_1 \ \dots \ a_n]^T = [c_1 \ \dots \ c_n]^T = K[b_1 \ \dots \ b_n]^T$.

Let U_n be a triangular subset of A^n . The *module of generalized fractions* $U_n^{-n}M$ of M with respect to U_n is a module, whose elements, called *generalized fractions*, have the form

$$\frac{m}{(a_1, \dots, a_n)},$$

where $m \in M$ and $(a_1, \dots, a_n) \in U_n$, satisfying the following condition:

Let $m, m' \in M$ and $(a_1, \dots, a_n), (b_1, \dots, b_n) \in U_n$. Then $\frac{m}{(a_1, \dots, a_n)} = \frac{m'}{(b_1, \dots, b_n)}$ if and only if there exist $(c_1, \dots, c_n) \in U_n$ and $H, K \in D_n(A)$ such that

$$H[a_1 \ \dots \ a_n]^T = [c_1 \ \dots \ c_n]^T = K[b_1 \ \dots \ b_n]^T \text{ and}$$

$$|H|m - |K|m' \in (c_1, \dots, c_{n-1})M.$$

The reader is referred to [SZ1, SZ2] for more details of the construction.

Let $\bar{U}_n = \{(a_1, \dots, a_i, 1, \dots, 1) \in A^n : \text{for all } i (0 \leq i \leq n), \text{ there exist } a_{i+1}, \dots, a_n \in A \text{ such that } (a_1, \dots, a_i, a_{i+1}, \dots, a_n) \in U_n\}$. This is a triangular subset of A^n and is called the *expansion* of U_n . Then, by [SZ1, 3.2], we may assume without loss of the generality that U_n is *expanded*, i.e., $U_n = \bar{U}_n$, when we consider a module of generalized fractions for M with respect to U_n .

A given triangular subset U_n of A^n , let $U_t (1 \leq t < n)$ be a *restriction* of U_n to A^t , i.e., $U_t = \{(a_1, \dots, a_t) \in A^t : \text{there exist } a_{t+1}, \dots, a_n \in A \text{ such that } (a_1, \dots, a_t, a_{t+1}, \dots, a_n) \in U_n\}$. Then clearly U_t is a triangular subset of A^t .

We say that a sequence of elements a_1, \dots, a_n of A is a *poor M-sequence* if a_i is not a zerodivisor on $M/(a_1, \dots, a_{i-1})M$ for each $i = 1, \dots, n$; it is an *M-sequence* if, in addition, $M \neq (a_1, \dots, a_n)M$.

LEMMA 1.2 [HS, SZ1, SZ2, O]. *Suppose that M is an A -module. Let U_n be expanded. Let (a_1, \dots, a_n) and (b_1, \dots, b_n) be elements of U_n such that $H[a_1 \ \dots \ a_n]^T = [b_1 \ \dots \ b_n]^T$ for some $H \in D_n(A)$. Then we have the following.*

$$(1) \frac{m}{(a_1, \dots, a_n)} = \frac{|H|m}{(b_1, \dots, b_n)} = \frac{a_1^{\alpha_1-1} \dots a_n^{\alpha_n-1} m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n})} \text{ for any}$$

$$\frac{a_n m}{(a_1, \dots, a_n)} = \frac{m}{(a_1, \dots, a_{n-1}, 1)} \text{ in } U_n^{-n}M.$$

- (2) If $m \in (a_1, \dots, a_{n-1})M$, then $\frac{m}{(a_1, \dots, a_n)} = 0$ in $U_n^{-n}M$.
 In particular, if each member of U_n is a poor M -sequence, then the converse is true.
- (3) If $\frac{a_n m}{(a_1, \dots, a_n)} = 0$, then $\frac{m}{(a_1, \dots, a_n)} = 0$ in $U_n^{-n}M$.
- (4) $\text{Ann}_A \left(\frac{m}{(a_1, \dots, a_n)} \right) = \text{Ann}_A \left(\frac{m}{(a_1, \dots, a_{n-1}, 1)} \right)$.
- (5) If $\frac{m}{(a_1, \dots, a_n)} \neq 0$ in $U_n^{-n}M$, then $\frac{m}{(a_1, \dots, a_i)} \neq 0$ in $U_i^{-i}M$ for all i with $0 < i < n$.

2. Some properties of non-zero generalized fractions

LEMMA 2.1. Let M be an A -module. Let $\frac{m}{(a_1, \dots, a_{n+1})} \in U_{n+1}^{-n-1}M$ and let $\alpha_i, \beta_i \in N$ such that $\alpha_i \geq \beta_i$ for all i ($1 \leq i \leq n$). Then, for all $(a_1, \dots, a_n, b_{n+1}), (a_1, \dots, a_n, c_{n+1}) \in U_{n+1}$ we have

$$\text{Ann}_A \left(\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, b_{n+1})} \right) \subset \text{Ann}_A \left(\frac{m}{(a_1^{\beta_1}, \dots, a_n^{\beta_n}, c_{n+1})} \right).$$

PROOF. From Lemma 1.2(4), we have

$$\begin{aligned} r \in \text{Ann}_A \left(\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, b_{n+1})} \right) \\ &= \text{Ann}_A \left(\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, 1)} \right) \\ &= \text{Ann}_A \left(\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, c_{n+1})} \right). \end{aligned}$$

Hence we get

$$\frac{rm}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, c_{n+1})} = 0.$$

Therefore we have

$$\frac{rma_1^{\alpha_1 - \beta_1} \dots a_n^{\alpha_n - \beta_n}}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, c_{n+1})} = \frac{rm}{(a_1^{\beta_1}, \dots, a_n^{\beta_n}, c_{n+1})} = 0.$$

The next proposition easily follows from Lemma 2.1.

PROPOSITION 2.2. If $\frac{m}{(a_1, \dots, a_{n+1})} \neq 0$, then we have, for all $\gamma_i \in N$ ($1 \leq i \leq n$) and $(a_1, \dots, a_n, b_{n+1}) \in U_{n+1}$,

$$\frac{m}{(a_1^{\gamma_1}, \dots, a_n^{\gamma_n}, b_{n+1})} \neq 0.$$

COROLLARY 2.3. Assume that $\frac{m}{(a_1, \dots, a_{n+1})} \neq 0$ in $U_{n+1}^{-n-1}M$ and $(a_1, \dots, a_n, b_{n+1}), (a_1, \dots, a_n, c_{n+1}) \in U_{n+1}$. Let $\alpha_i, \beta_i \in N, i = 1, \dots, n$. Then

- (1) $\text{Ann} \left(\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, b_{n+1})} \right) = \text{Ann} \left(\frac{m}{(a_1^{\beta_1}, \dots, a_n^{\beta_n}, c_{n+1})} \right)$
if and only if $\alpha_i = \beta_i$ for all $i = 1, \dots, n$.
- (2) If $\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, b_{n+1})} = \frac{m}{(a_1^{\beta_1}, \dots, a_n^{\beta_n}, c_{n+1})}$, then $\alpha_i = \beta_i$ for all $i = 1, \dots, n$.

In particular, if $b_{n+1} = c_{n+1}$, then the converse holds.

PROOF. (1) (\Leftarrow) This follows from Lemma 1.2(4).

(\Rightarrow) Assume that there exists i ($1 \leq i \leq n$) such that $\alpha_i < \beta_i$, say $\alpha_1 < \beta_1$. Then we get

$$a_1^{\alpha_1} \in \text{Ann}_A \left(\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, b_{n+1})} \right) = \text{Ann}_A \left(\frac{m}{(a_1^{\beta_1}, \dots, a_n^{\beta_n}, c_{n+1})} \right).$$

Hence we have

$$\frac{a_1^{\alpha_1} m}{(a_1^{\beta_1}, \dots, a_n^{\beta_n}, c_{n+1})} = \frac{m}{(a_1^{\beta_1 - \alpha_1}, a_2^{\beta_2}, \dots, a_n^{\beta_n}, c_{n+1})} = 0.$$

This contradicts to the above Proposition 2.2.

(2) This easily follows from (1).

EXAMPLE 2.4. In Corollary 2.3(2), we need the condition $b_{n+1} = c_{n+1}$. Let $A = M = Z$ and $U_2 = \{(2^\alpha, 3^\beta) \in Z^2 : \alpha, \beta \in N \cup \{0\}\}$. Then we have $\frac{1}{(2^2, 1)} \neq \frac{1}{(2^2, 3)}$. In fact, assume that $\frac{1}{(2^2, 1)} = \frac{1}{(2^2, 3)}$ or $\frac{3-1}{(2^2, 3)} = 0$. Hence we get $\frac{1}{(2, 3)} = 0$ but it is not zero in $U_2^{-2}Z$.

COROLLARY 2.5. Suppose that $\frac{m}{(a_1, \dots, a_{n+1})} \neq 0$ in $U_{n+1}^{-n-1}M$. Fix an integer $t \in N$ with $0 \leq t \leq n - 1$. Let U_{t+1} be a restriction of U_{n+1} to A^{t+1} . Then we have

$$\frac{m}{(a_1^{\alpha_1}, \dots, a_t^{\alpha_t}, b_{t+1})} = \frac{m}{(a_1^{\beta_1}, \dots, a_t^{\beta_t}, b_{t+1})}$$

in $U_{t+1}^{-t-1}M$ if and only if $\alpha_i = \beta_i$ for all $i = 1, \dots, t$.

PROOF. This follows from Lemma 1.2(5) and Corollary 2.3(2).

PROPOSITION 2.6. Let M be an A -module. Suppose $a_n \in \text{Rad}(A)$ and $\frac{m}{(a_1, \dots, a_n)} \neq 0$ in $U_n^{-n}M$. Then we have

$$\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n})} = \frac{m}{(a_1^{\beta_1}, \dots, a_n^{\beta_n})}$$

in $U_n^{-n}M$ if and only if $\alpha_i = \beta_i$ for all $i = 1, \dots, n$.

PROOF. We prove only the sufficiency. By Corollary 2.3(2), we may assume that $\alpha_1 = \dots = \alpha_{n-1} = \beta_1 = \dots = \beta_{n-1} = 1$. Assume that $\alpha_n > \beta_n$.

Suppose that $\frac{m}{(a_1, \dots, a_{n-1}, a_n^{\alpha_n})} = \frac{m}{(a_1, \dots, a_{n-1}, a_n^{\beta_n})}$. Then, by the property of the module of generalized fractions, there exist $(b_1, \dots, b_n) \in U_n$ and $H, K \in D_n(A)$ such that $H[a_1 \ \dots \ a_{n-1} \ a_n^{\alpha_n}]^T = [b_1 \ \dots \ b_n]^T = K[a_1 \ \dots \ a_{n-1} \ a_n^{\beta_n}]^T$ and $|H|m - |K|m \in (b_1, \dots, b_{n-1})M$. Let D be the diagonal matrix $\text{diag}(b_1, \dots, b_n)$. Hence we have

$$|D||H|m - |D||K|m \in (b_1^2, \dots, b_{n-1}^2)M.$$

On the other hand, we can rewrite as follows;

$$H \begin{pmatrix} 1 & & & & & \\ & \ddots & & & & \\ & & 0 & & & \\ & & & 1 & & \\ 0 & & & & & a_n^{\alpha_n - \beta_n} \end{pmatrix} \begin{pmatrix} a_1 \\ \vdots \\ a_{n-1} \\ a_n^{\beta_n} \end{pmatrix} = \begin{pmatrix} b_1 \\ \vdots \\ b_{n-1} \\ b_n \end{pmatrix} = K \begin{pmatrix} a_1 \\ \vdots \\ a_{n-1} \\ a_n^{\beta_n} \end{pmatrix}.$$

Thus by [SZ1, 2.3] we have

$$|D||H|a_n^{\alpha_n - \beta_n}m - |D||K|m \in (b_1^2, \dots, b_{n-1}^2)M.$$

Therefore we get

$$|D||H|m(1 - a_n^{\alpha_n - \beta_n}) \in (b_1^2, \dots, b_{n-1}^2)M.$$

Since $a_n \in \text{Rad}(A)$, we obtain

$$|D||H|m \in (b_1^2, \dots, b_{n-1}^2)M.$$

Hence we have the following contradiction;

$$\begin{aligned} \frac{m}{(a_1, \dots, a_{n-1}, a_n^{\alpha_n})} &= \frac{|H|m}{(b_1, \dots, b_n)} = \frac{b_1 \cdots b_n |H|m}{(b_1^2, \dots, b_n^2)} \\ &= \frac{|D||H|m}{(b_1^2, \dots, b_n^2)} = 0 \end{aligned}$$

by Lemma 1.2(1)(2).

EXAMPLE 2.7. In Proposition 2.6, we cannot omit the condition $a_n \in \text{Rad}(A)$. Under the same assumption as in Example 2.4, we have $\frac{1}{(2,1)} = \frac{1}{(2,3)}$ in $U_2^{-2}Z$, since $\frac{1}{(2,1)} - \frac{1}{(2,3)} = \frac{3-1}{(2,3)} = 0$ by Lemma 1.2(2).

PROPOSITION 2.8. *Let M be an A -module. Let $\frac{m}{(a_1, \dots, a_{n+1})} \in U_{n+1}^{-n-1}M$. Then we have*

$$\text{Ann}_A \left(\frac{m}{(a_1, \dots, a_n)} \right) \subset \text{Ann}_A \left(\frac{m}{(a_1, \dots, a_{n+1})} \right).$$

In particular, if $\frac{m}{(a_1, \dots, a_n)} \neq 0$ in $U_n^{-n}M$ then the above inclusion is not equal.

PROOF. By Lemma 1.2(5) and (3) the proposition is clear.

REMARK 2.9. If $U_i^{-i}M = 0$ for some $i \in N$, then we have $U_{i+1}^{-i-1}M = 0$ by Proposition 2.8, whenever U_i is a restriction of U_{i+1} to A^i .

PROPOSITION 2.10. Let M be an A -module. Assume that each member of U_{n+1} is a poor M -sequence. Let $0 \neq x = \frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, a_{n+1})} \in U_{n+1}^{-n-1}M$ and $\text{Ann}_A(x) \supset (a_1, \dots, a_n)A$. Then there exists $m' \in M$ such that $m = m'a_1^{\alpha_1-1} \dots a_n^{\alpha_n-1}$ with $m' \notin (a_1, \dots, a_n)M$. That is, we have

$$\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, a_{n+1})} = \frac{m'}{(a_1, \dots, a_n, a_{n+1})} \text{ where } m' \notin (a_1, \dots, a_n)M.$$

PROOF. Note that $\text{Ann}_A(x) \supset (a_1, \dots, a_n)A$.

Suppose that $m = m'a_1^{\gamma_1} \dots a_n^{\gamma_n}$ for some $(\gamma_i) \in (N \cup \{0\})^n$ and $m' \in (a_1, \dots, a_n)M$. Then since $m \notin (a_1^{\alpha_1}, \dots, a_n^{\alpha_n})M$ by Lemma 1.2(2), we have $\gamma_i < \alpha_i$ for all i and we can find $b_i \in M$ such that $m = \sum_{i=1}^l b_i a_1^{t_{i1}} \dots a_n^{t_{in}}$ with $b_i \notin (a_1, \dots, a_n)M$. Here we may assume that $t_{ij} < \alpha_j$ for all i, j ($1 \leq i \leq l, 1 \leq j \leq n$) by Lemma 1.2(2). Hence we have

$$\begin{aligned} & \frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, a_{n+1})} \\ &= \frac{b_1 a_1^{t_{11}} \dots a_n^{t_{1n}}}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, a_{n+1})} + \dots + \frac{b_l a_1^{t_{l1}} \dots a_n^{t_{ln}}}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, a_{n+1})} \\ &= \frac{b_1}{(a_1^{\alpha_1-t_{11}}, \dots, a_n^{\alpha_n-t_{1n}}, a_{n+1})} + \dots + \frac{b_l}{(a_1^{\alpha_1-t_{l1}}, \dots, a_n^{\alpha_n-t_{ln}}, a_{n+1})}. \end{aligned}$$

Put $\beta_1 = \max\{\alpha_1 - t_{11}, \dots, \alpha_1 - t_{l1}\}$. Now by multiplication with $a_1^{\beta_1-1}$ and Lemma 1.2(2), we have

$$\begin{aligned} \frac{a_1^{\beta_1-1} m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, a_{n+1})} &= \frac{b_1}{(a_1, a_2^{\alpha_2-t_{12}}, \dots, a_n^{\alpha_n-t_{1n}}, a_{n+1})} + \dots \\ &+ \frac{b_s}{(a_1, a_2^{\alpha_2-t_{s2}}, \dots, a_n^{\alpha_n-t_{sn}}, a_{n+1})} \end{aligned}$$

by renumbering of non-zero components ($s \leq l$). Continue the same way, until we obtain $a_1^{\beta_1-1}, \dots, a_n^{\beta_n-1} \in A$ and $b_1 \notin (a_1, \dots, a_n)M$ such that

$$\frac{a_1^{\beta_1-1} \dots a_n^{\beta_n-1} m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, a_{n+1})} = \frac{b_1}{(a_1, \dots, a_n, a_{n+1})} \neq 0,$$

by Lemma 1.2(2). If $\beta_j \neq 1$ for some j , then we have the following contradiction

$$a_1^{\beta_1-1} \dots a_n^{\beta_n-1} \notin \text{Ann}_A \left(\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, a_{n+1})} \right).$$

Hence we must have $\beta_j = 1$ for all $j = 1, \dots, n$. That is

$$t_{ij} = \alpha_j - 1 \text{ for all } i, j \text{ (} 1 \leq i \leq l, 1 \leq j \leq n \text{)}.$$

Then by the hypothesis we have

$$b_1 + \dots + b_l \notin (a_1, \dots, a_n)M.$$

3. A generalization of results of Sharp and Zakeri

From now on, let $\{a_1, \dots, a_n\}$ be a fixed sequence of elements of A . Then obviously

$$U(a)_n = \{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}) \in A^n : \alpha_i \in N, i = 1, \dots, n\}$$

and

$$U(a)_n[1] = \{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}, 1) \in A^{n+1} : \alpha_i \in N, i = 1, \dots, n\}$$

are triangular subsets of A^n and A^{n+1} .

Let $(\alpha), (\beta) \in N^n$ with $(\alpha) = (\alpha_1, \dots, \alpha_n)$. Then $(\alpha) \geq$ (or $>$) (β) is defined as $\alpha_i \geq$ (or $>$) β_i for all i ($1 \leq i \leq n$).

LEMMA 3.1 [O, 1.7 and SH, 2.8]. Let A be a ring. Let M be an A -module. Then, in $U(a)_n^{-n}M$

$$\frac{m}{(a_1^{\alpha_1}, \dots, a_n^{\alpha_n})} = \frac{m'}{(a_1^{\beta_1}, \dots, a_n^{\beta_n})}$$

\Downarrow

there exists $\gamma \geq \alpha_i, \beta_i$ ($1 \leq i \leq n$) such that

$$a_1^{\gamma-\alpha_1} \dots a_n^{\gamma-\alpha_n} m - a_1^{\gamma-\beta_1} \dots a_n^{\gamma-\beta_n} m' \in (a_1^\gamma, \dots, a_{n-1}^\gamma)M.$$

THEOREM 3.2. Let A be a ring and M an A -module.

Let $(\alpha), (\beta) \in (N \cup \{0\})^{n-1}$ and $\alpha_n \in N \cup \{0\}$. Then the following conditions are equivalent.

- (1) $\frac{m}{(a_1, \dots, a_n)} \neq 0$ in $U(a)_n^{-n}M$.
- (2) For all $a_n^{\alpha_n}$, (α) and (β) such that $(\beta) > (\alpha)$,

$$ma_1^{\alpha_1} \dots a_n^{\alpha_n} \notin (a_1^{\beta_1}, \dots, a_{n-1}^{\beta_{n-1}})M.$$

- (3) For all $t \geq 1$, $ma_1^{t-1} \dots a_n^{t-1} \notin (a_1^t, \dots, a_{n-1}^t)M$.

PROOF. (1) \Rightarrow (2) Suppose that, for some $(\beta) > (\alpha)$, $ma_1^{\alpha_1} \dots a_n^{\alpha_n} \in (a_1^{\beta_1}, \dots, a_{n-1}^{\beta_{n-1}})M$. Then we have

$$\begin{aligned} \frac{m}{(a_1, \dots, a_n)} &= \frac{ma_1^{\beta_1-1} \dots a_{n-1}^{\beta_{n-1}-1} a_n^{\alpha_n}}{(a_1^{\beta_1}, \dots, a_{n-1}^{\beta_{n-1}}, a_n^{\alpha_n+1})} \\ &= \frac{ma_1^{\alpha_1} \dots a_n^{\alpha_n} a_1^{\beta_1-\alpha_1-1} \dots a_{n-1}^{\beta_{n-1}-\alpha_{n-1}-1}}{(a_1^{\beta_1}, \dots, a_{n-1}^{\beta_{n-1}}, a_n^{\alpha_n+1})} = 0 \end{aligned}$$

by Lemma 1.2(2).

(3) \Rightarrow (1) Let $\frac{m}{(a_1, \dots, a_n)} = 0$ in $U(a)_n^{-n}M$. Then, from Lemma 3.1, there exists $\gamma \in N$ such that $ma_1^{\gamma-1} \dots a_n^{\gamma-1} \in (a_1^\gamma, \dots, a_{n-1}^\gamma)M$. This contradicts to the hypothesis.

COROLLARY 3.3 [Ho, Remark 5 and SZ3, 4.1]. Let A be a Noetherian local ring of dimension d . Put $U_{d+1} = \{(b_1, \dots, b_d, 1) \in A^{d+1} : b_1, \dots, b_d \text{ forms a system of parameters for } A\}$. Let $\{a_1, \dots, a_d\}$ be a fixed system of parameters for A . Then the following conditions are equivalent.

- (1) $a_1^{t-1} \cdots a_d^{t-1} \notin (a_1^t, \dots, a_d^t)A$ for all $t \geq 1$, i.e., the Monomial Conjecture holds.
- (2) Let $(\alpha), (\beta_1), \dots, (\beta_n) \in N^d$. Then we have $a^{(\alpha)} \in (a^{(\beta_1)}, \dots, a^{(\beta_n)})A$ if and only if there exists i ($1 \leq i \leq n$) such that $(\alpha) \geq (\beta_i)$, where $a^{(\alpha)} = a_1^{\alpha_1} \cdots a_d^{\alpha_d}$ with $(\alpha) = (\alpha_1, \dots, \alpha_d)$.
- (3) $\frac{1}{(a_1, \dots, a_d, 1)} \neq 0$ in $U_{d+1}^{-d-1}A$.

PROOF. In the Theorem 3.2, let $n-1 = d$ and $a_n = 1$. Put $M = A$ and $m = 1$. Then Corollary 3.3 is a special case of Theorem 3.2, since $U(a)_{d+1}^{-d-1}A \cong U(a)_d[1]^{-d-1}A \cong U_{d+1}^{-d-1}A$ by [SZ3, 3.6].

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