

## On a Construction of Subobject Classifiers

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ABSTRACT. In this paper, we consider the higher-order type theoretic language  $L$  and construct a type model  $D$  of complete partial ordered set. We show that the complete partial ordered set for the language  $L$  gives rise to a category and generates a topos.

### 1. Introduction

The language  $L$  under consideration is called type-theoretic because its syntax is based on Russell's simple theory of types.  $L$  will contain both constants and variables in every syntactic category, and it will allow quantification over variables of any category. Thus,  $L$  will have not only variables ranging over individuals which is characteristic of first-order languages, and variables ranging over predicates too, as does a second-order language, but variables ranging over every category defined in the type theory. Thus the language is known as a higher order language. We recall the concept of categories in  $L$ .

1. The category of *terms* of  $L$  will be designated by the symbol  $e$ .
2. The category of *formulas* of  $L$  will be designated by the symbol  $t$ .
3. The category of *one-place predicates* of  $L$  will be designated by the symbol  $\langle e, t \rangle$ .
4. The category of *two-place predicates* of  $L$  will be designated by  $\langle e, \langle e, t \rangle \rangle$ .

Now we can give the formal definitions of the syntax and semantics of  $L$ .

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Received by the editors on April 15, 1994.

1980 *Mathematics subject classifications*: Primary 68Q65.

## 2. Syntax of $L$

(1) The set of types of  $L$  is defined recursively as the following:[2]

- (a)  $e$  is a type.
- (b)  $t$  is a type.
- (c) If  $a$  and  $b$  are any types, then  $\langle a, b \rangle$  is a type.
- (d) Nothing else is a type.

(2) The basic expressions of  $L$  consist of non-logical constants and variables:

(a) For each type  $a$ , the set of non-logical constants of type  $a$ , denoted  $Con_a$ , contains constants  $C_{n,a}$  for each natural number  $n$ .

(b) For each type  $a$ , the set of variables of type  $a$ , denoted  $Var_a$ , contains variables  $V_{n,a}$  for each natural number  $n$ .

(3) Syntactic rules of  $L$ .

The set of meaningful expressions of type  $a$ , denoted " $ME_a$ ", for any type  $a$  is defined recursively as follows :

(a) For each type  $a$ , every variable and every non-logical constant of type  $a$  is a member of  $ME_a$ .

(b) For any types  $a$  and  $b$ , if  $\beta \in ME_{\langle a,b \rangle}$  and  $\alpha \in ME_a$ , then  $\beta(\alpha) \in ME_b$ .

(c) - (g) If  $\phi$  and  $\psi$  are in  $ME_t$ , then so are each of the following :  $\neg\phi$ ,  $[\phi \wedge \psi]$ ,  $[\phi \vee \psi]$ ,  $[\phi \rightarrow \psi]$ ,  $[\phi \leftrightarrow \psi]$ .

(h) If  $\phi \in ME_t$  and  $u$  is a variable (of any type), then  $\forall u\phi \in ME_t$ .

(i) If  $\phi \in ME_t$  and  $u$  is a variable (of any type), then  $\exists u\phi \in ME_t$ .

## 3. Semantics of $L$

A *model* for  $L$  is then an ordered pair  $\langle A, F \rangle$  such that  $A$  is the domain of individuals or entities and  $F$  is a function assigning a denotation to each non-logical constant of  $L$  of type  $a$  from the set  $D_a$ .

An *assignment of values to variables* (*variable assignment*)  $g$  is a function assigning to each variable to  $V_{n,a}$  a denotation from the set  $D_a$ , for each type  $a$  and natural number  $n$ .

The *denotation of an expression* of  $L$  relative to a *model*  $M$  and *variable assignment*  $g$  is defined recursively as follows :

- (1) (a) If  $\alpha$  is a non-logical constant, then  $\llbracket \alpha \rrbracket^{M,g} = F(\alpha)$ .  
 (b) If  $\alpha$  is a variable, then  $\llbracket \alpha \rrbracket^{M,g} = g(\alpha)$ .
- (2) If  $\alpha \in ME_{\langle a,b \rangle}$  and  $\beta \in ME_a$ , then  $\llbracket \alpha(\beta) \rrbracket^{M,g} = \llbracket \alpha \rrbracket^{M,g}(\llbracket \beta \rrbracket^{M,g})$ .
- (3)-(7) If  $\phi$  and  $\psi$  are in  $ME_t$ , then  $\llbracket \neg\phi \rrbracket^{M,g}$ ,  $\llbracket \phi \wedge \psi \rrbracket^{M,g}$ ,  $\llbracket \phi \vee \psi \rrbracket^{M,g}$ ,  $\llbracket \phi \rightarrow \psi \rrbracket^{M,g}$  and  $\llbracket \phi \leftrightarrow \psi \rrbracket^{M,g}$  are as specified for the first-order predicate. If  $\phi$  is an expression of category  $ME_t$ , then  $\llbracket \neg\phi \rrbracket^{M,g} = 1$  iff  $\llbracket \phi \rrbracket^{M,g} = 0$  ; otherwise,  $\llbracket \neg\phi \rrbracket^{M,g} = 0$ . Similarly for  $\llbracket \phi \wedge \psi \rrbracket$ ,  $\llbracket \phi \vee \psi \rrbracket$ ,  $\llbracket \phi \rightarrow \psi \rrbracket$ , and  $\llbracket \phi \leftrightarrow \psi \rrbracket$ .
- (8) If  $\phi \in ME_t$  and  $u$  is in  $Var_a$ , then  $\llbracket \forall u\phi \rrbracket^{M,g} = 1$  iff for all  $e$  in  $D_a$   $\llbracket \phi \rrbracket^{M,g}u = 1$ .
- (9) If  $\phi \in ME_t$  and  $u$  is in  $Var_a$ , then  $\llbracket \exists u\phi \rrbracket^{M,g} = 1$  iff for some  $e$  in  $D_a$   $\llbracket \phi \rrbracket^{M,g}u = 1$ .

The semantic value of an expression does not depend on variables that are not free in the expression. So we add the following definition.

The *denotation of an expression* of  $L$  relative to a *model*  $M$  is defined as follows :

- (1) For any expression  $\phi$  in  $ME_t$ ,  $\llbracket \phi \rrbracket^M = 1$  iff  $\llbracket \phi \rrbracket^{M,g} = 1$  for every value assignment  $g$ .
- (2) For any expression  $\phi$  in  $ME_t$ ,  $\llbracket \phi \rrbracket^M = 0$  iff  $\llbracket \phi \rrbracket^{M,g} = 0$  for every value assignment  $g$ .

#### 4. A type model $D$ of a language $L$

We define the completeness of binary relations on a type model  $D$ . Reynolds have introduced  $\omega$ -complete relations ([5]).

DEFINITION 4.1. (1) A binary relation  $R \subseteq D \times D$  is  $\omega$ -complete if and only if

$$(U < d^{(i)} >_{i \in \omega}, U < f^{(i)} >_{i \in \omega}) \in R$$

whenever for all  $i \in \omega$ ,  $(d^{(i)}, f^{(i)}) \in R$  where  $< d^{(i)} >_{i \in \omega}$ ,  $< f^{(i)} >_{i \in \omega}$  are increasing chains in  $D$ .

(2)  $R \subseteq D \times D$  is complete if and only if  $R$  is  $\omega$ -complete and  $(t, t) \in R$ .

Let us construct a type model  $D$  of a higher-order type-theoretic language  $L$ . Let  $E$  be a singleton of type  $e$ . Starting from  $D_0 = \{t\}$  a chain of approximations of a type model  $D$  is built by defining  $D_{n+1} = E + < D_n, D_n >$  where  $+$  represents disjoint sum and  $< D_n, D_n >$  is the space of all continuous mappings from  $D_n$  to  $D_n$ , and embedding each  $D_n$  in  $D_{n+1}$  by a suitable projection pair  $(i_n, p_n)$  of  $D_n$  on  $D_{n+1}$  where  $i_n : D_n \rightarrow D_{n+1}$ ,  $p_n : D_{n+1} \rightarrow D_n$  with the properties  $p_n \circ i_n = id_{D_n}$ ,  $i_n \circ p_n \subseteq id_{D_{n+1}}$ . A standard way of building  $D$  is by using Scott's inverse limit construction([4] [6]). The inverse limit of this chain can be defined as a set

$$D = \{ < d^{(n)} >_{n \in \omega} \mid d^{(n)} = p_n(d^{(n+1)}) \}.$$

Each  $D_n$  can be embedded in  $D$  by a projection pair  $(i_n, p_n)$ . If  $d \in D_n$ , we identify  $d$  with  $i_n(d) \in D$ . There we can assume  $D_0 \subseteq D_1 \subseteq \dots \subseteq D_n \subseteq \dots \subseteq D$ . Let  $d_n$  stand for  $i_n \circ p_n(d)$ . It holds  $d_n = i_n \circ p_n(d) \subseteq d$ . Also if  $d \in D_n$ , then we have  $d_n = d$ . Now we may take the type model  $D$  of  $L$  into account of the equational form  $D = E + < D, D >$ .

Defining a partial ordering  $\leq$  on  $D_n$  by  $d \leq f$  if and only if  $d(a) \leq f(a)$  for all  $a \in D_n$ , the set of all continuous functions from  $D_n$  to

$D_n$  is a complete partial ordered set (c.p.o.s) and the disjoint sum of  $E + \langle D_n, D_n \rangle$  is a complete one, too.

Scott([8]) obtained  $D$  by other construction as, for example, the one based on his information systems. The existence of continuous projections  $(-)_n : D \rightarrow D$  needs us some suitable properties of mappings  $(-)_n$  as Scott's approach did. Moreover notice that the inverse limit construction can be carried on in the category c.p.o.. Especially we do not need to assume that  $D$  is a domain in the usual sense.

### 5. A topos generated by types $\mathbb{D}(L)$

The complete partial ordered set  $D$  of recursive and polymorphic types for the language  $L$  gives rise to a category  $\mathbb{D}(L)$ . The objects are the partial equivalence relations (p.e.r.)  $[[\alpha]]$  of  $\alpha \in T^0$ . A partial equivalence relation (p.e.r.) is a symmetric and transitive binary relation over  $D$ . The idea of using partial equivalence relations to interpret types was introduced in Scott([7]). An arrow  $[[\alpha]] \rightarrow [[\beta]]$  is a transformation system from a p.e.r.  $[[\alpha]]$  to a p.e.r.  $[[\beta]]$ . We may think of the objects of  $\mathbb{D}(L)$  as type structures of sentences or knowledges and of the arrows as new representations of types or linguistic transformations. We may regard an object  $[[\alpha]]$  in  $\mathbb{D}(L)$  as a representative tree structure of types based on type  $t$ . The arrow  $f : [[\alpha]] \rightarrow [[\beta]]$  of  $\mathbb{D}(L)$  are triples  $([[\alpha]], |f|, [[\beta]])$ , where  $|f|$  is an element of product  $[[\alpha]] \times [[\beta]]$ . We may think of  $f$  as denoting a relation between the sets  $[[\alpha]]$  and  $[[\beta]]$ . Equality between relations  $f, g : [[\alpha]] \rightrightarrows [[\beta]]$  is defined thus :

$$f \cdot = \cdot g \text{ means } |f| = |g|.$$

The identity  $1_{[[\alpha]]} : [[\alpha]] \rightarrow [[\alpha]]$  is defined by

$$1_{[[\alpha]]} = \{ \langle a, a' \rangle \in [[\alpha]] \times [[\alpha]] \mid a = a' \}.$$

Composition of relations  $f : [[\alpha]] \times [[\beta]]$  and  $g : [[\beta]] \times [[\gamma]]$  is defined by

$$|gf| = \{ \langle a, c \rangle \in [\alpha] \times [\gamma] \mid \exists b \in [\beta] (\langle a, b \rangle \in |f| \wedge \langle b, c \rangle \in |g|) \}.$$

It is easily seen that  $\mathbb{D}(L)$  is a category.

A cartesian closed category is a category  $\mathbb{D}$  with finite products (hence having a terminal object) such that, for each object  $A$  of  $\mathbb{D}$ , the functor  $(-) \times A : \mathbb{D} \rightarrow \mathbb{D}$  has a right adjoint, denoted by  $(-)^A : \mathbb{D} \rightarrow \mathbb{D}$ . This means that, for all objects  $A$ ,  $B$  and  $C$  of  $\mathbb{D}$ , there is an isomorphism

$$\text{Hom}_{\mathbb{D}}(A \times B, C) \xrightarrow{\sim} \text{Hom}_{\mathbb{D}}(A, C^B)$$

and moreover, this isomorphism is natural in  $A$ ,  $B$  and  $C$ .

**THEOREM 5.1.**  $\mathbb{D}(L)$  forms a cartesian closed category.

**PROOF.** The terminal object  $1$  of  $\mathbb{D}(L)$  is defined by  $1 = \{*\}$ , while products are defined by

$$[\alpha] \times [\beta] \equiv \{ \langle a, b \rangle \mid a \in [\alpha] \wedge b \in [\beta] \}.$$

The arrows  $0_{[\alpha]} : [\alpha] \rightarrow 1$ ,  $\Pi_{[\alpha],[\beta]} : [\alpha] \times [\beta] \rightarrow [\alpha]$  and

$\Pi'_{[\alpha],[\beta]} : [\alpha] \times [\beta] \rightarrow [\beta]$  are defined thus :

$$|0_{[\alpha]}| \equiv [\alpha] \times \{*\} \equiv \{ \langle a, * \rangle \in [\alpha] \times 1 \mid a \in [\alpha] \},$$

$$|\Pi_{[\alpha],[\beta]}| \equiv \{ \langle \langle a, b \rangle, a \rangle \in ([\alpha] \times [\beta]) \times [\alpha] \mid a \in [\alpha] \wedge b \in [\beta] \},$$

$$|\Pi'_{[\alpha],[\beta]}| \equiv \{ \langle \langle a, b \rangle, a \rangle \in ([\alpha] \times [\beta]) \times [\beta] \mid a \in [\alpha] \wedge b \in [\beta] \}.$$

Moreover, if  $f : [r] \rightarrow [\alpha]$  and  $g : [r] \rightarrow [\beta]$ , we define  $\langle f, g \rangle : [r] \rightarrow [\alpha] \times [\beta]$  by  $|\langle f, g \rangle| \equiv \{ \langle c, \langle a, b \rangle \rangle \in [r] \times ([\alpha] \times [\beta]) \mid \langle c, a \rangle \in |f| \wedge \langle c, b \rangle \in |g| \}$ .

Now we define

$$[\beta]^{[\alpha]} \equiv \{ \rho \in [\alpha] \times [\beta] \mid \rho : [\alpha] \rightarrow [\beta] \}.$$

We also define  $\varepsilon_{[\beta],[\alpha]} : [[\beta]]^{[\alpha]} \times [[\alpha]] \rightarrow [[\beta]]$  by

$$|\varepsilon_{[\beta],[\alpha]}| \equiv \{ \langle \langle \rho, a \rangle, b \rangle \in (([\alpha] \times [\beta]) \times [\alpha]) \times [\beta] \mid \rho : [\alpha] \rightarrow [\beta] \wedge \langle a, b \rangle \in \rho \}.$$

Moreover, if  $h : [\alpha] \times [\beta] \rightarrow [\gamma]$  then  $h^* : [\alpha] \times [[\gamma]]^{[\beta]}$  is obtained. Thus

$$\begin{aligned} |h^*| &\equiv \{ \langle a, \rho \rangle \in [\alpha] \times ([\beta] \times [\gamma]) \mid a \in [\alpha] \wedge \rho : [\beta] \\ &\rightarrow [\gamma] \wedge \forall b \in [\beta] \exists c \in [\gamma] (\langle \langle a, b \rangle, c \rangle \in |h| \wedge \langle b, c \rangle \in \rho) \}. \end{aligned}$$

Let us consider a valuation on an designated object  $\Omega$  to objects of  $\mathbb{D}(L)$ . A valuation is a function  $V : a \rightarrow \Omega$  where  $a \in [[\alpha]]$ . Let us take  $\Omega = \{1/(n+1) \mid n = 0, 1, 2, \dots\}$ . We say  $[[\alpha]]$  is *well-typed* whenever, for every  $a \in [[\alpha]]$ ,  $a$  is degenerated to type  $t$  under the operations of iterative formulations  $\beta(\alpha)$ . This means that, for every  $a \in [[\alpha]]$ , there exists a number  $n$  such that  $\beta^n(a) \in D_0$ . On the other case we have an irreducible type  $[[\alpha]]'$  for which the formulation operation can not be applicable no longer, i.e.,  $[[\alpha]]' \in D_n$  for some  $n \neq 0$ . We call this number  $n$  the *irreducible degree* of  $[[\alpha]]$ . Now let us assign the valuation as follows : for every  $a \in [[\alpha]]$ ,

- (i)  $V(a) = 1$  whenever  $[[\alpha]]$  is well-typed,
- (ii)  $V(a) = 1/(n+1)$  whenever the irreducible degree of  $[[\alpha]]$  is  $n$ .

The valuation is extended to a function  $V : [[\alpha]] \rightarrow \Omega$  by the rules for all  $[[\alpha]]$  in  $\mathbb{D}(L)$  :

- (i)  $V(\sim [[\alpha]]) = 1 - V([[ \alpha ]])$
- (ii)  $V([[ \alpha ]] \wedge [[ \beta ]]) = \min(V([[ \alpha ]]), V([[ \beta ]]))$
- (iii)  $V([[ \alpha ]] \vee [[ \beta ]]) = \max(V([[ \alpha ]]), V([[ \beta ]]))$
- (iv)  $V([[ \alpha \rightarrow \beta ]]) = V(\sim [[ \alpha ]] \vee [[ \beta ]])$
- (v)  $V([[ \forall \varphi \alpha ]]) = \inf_i (V(d_i))$ , where  $d_i \in [[ \forall \varphi \alpha ]]$
- (vi)  $V([[ \exists \varphi \alpha ]]) = \sup_i (V(d_i))$ , where  $d_i \in [[ \exists \varphi \alpha ]]$

DEFINITION 5.2. An (elementary) *Topos* is a cartesian closed category in which the subobject functor is representable. What this means is that there is given an object  $\Omega$ , called the *subobject classifier* and a natural isomorphism

$$\text{Sub} \cong \text{Hom}(-, \Omega).$$

More precisely, it means that there is given an arrow  $T : 1 \rightarrow \Omega$  such that

(i) for every arrow  $h : \alpha \rightarrow \Omega$ , an equalizer of  $h$  and  $TO_\Omega : \alpha \rightarrow 1 \rightarrow \Omega$  exists, call it a *kernel* of  $h$  and write

$$\ker h : \text{Ker } h \rightarrow \alpha;$$

(ii) for every monomorphism  $m : \beta \rightarrow \alpha$ , there is a unique arrow *char*  $m : \alpha \rightarrow \Omega$ , called its *characteristic* morphism, such that  $m$  is a kernel of *char*  $m$ . The following square is a pullback :

$$\begin{array}{ccc} \beta & \xrightarrow{m} & \alpha \\ O_\beta \downarrow & & \downarrow \text{char } m \\ 1 & \xrightarrow{T} & \Omega \end{array}$$

Now we shall show that the category  $\mathbb{D}(L)$  associated with language  $L$  is a topos. In view of Theorem 5.1, it remains only to produce a subobject classifier. The subobject classifier and the canonical arrow  $T : 1 \rightarrow \Omega$  are defined as follows :

$$\begin{aligned} \Omega &\equiv \{1/(n+1) \mid n = 0, 1, 2, \dots\} \equiv \{i_n \mid n = 0, 1, 2, \dots\} \\ |T| &\equiv \{\langle *, i_n \rangle \mid i_n \in \Omega\}. \end{aligned}$$

Next lemma is obtained immediately and will be used to prove the existence of a topos of  $\mathbb{D}(L)$ .



LEMMA 5.3. A function  $f : \llbracket \alpha \rrbracket \times \llbracket \beta \rrbracket$  is monic if and only if  $f^{-1}f = \cdot 1_{\llbracket \alpha \rrbracket}$ .

THEOREM 5.4.  $(\Omega, T)$  is a subobject classifier of  $\mathbb{D}(L)$ .

PROOF. If  $m : \llbracket \beta \rrbracket \rightarrow \llbracket \alpha \rrbracket$  is monic in  $\mathbb{D}(L)$ , we define its characteristic morphism  $\text{char } m : \llbracket \alpha \rrbracket \rightarrow \Omega$  by

$$|\text{char } m| = \{ \langle a, i_n \rangle \in \llbracket \alpha \rrbracket \times \Omega \mid i_n = (\exists b \in \llbracket \beta \rrbracket \langle b, a \rangle \in |m|) \}.$$

Then we obtain two properties of topos as follows :

- (i)  $\text{char}(\ker h) \cdot = \cdot h$ ,
- (ii)  $\ker(\text{char } m) \cong m$ ,

where  $h : \llbracket \alpha \rrbracket \rightarrow \Omega$  and  $m : \llbracket \beta \rrbracket \rightarrow \llbracket \alpha \rrbracket$  is monic. In fact, every arrow  $h : \llbracket \alpha \rrbracket \rightarrow \Omega$  in  $\mathbb{D}(L)$  has a kernel  $\ker h : \text{Ker } h \rightarrow \llbracket \alpha \rrbracket$  that is, an equalizer of  $h$  and  $TO_{\llbracket \alpha \rrbracket}$  such that

$$\text{Ker } h \equiv \{ a \in \llbracket \alpha \rrbracket \mid \langle a, i_n \rangle \in |h| \text{ for some } n's \},$$

$$|\ker h| \equiv \{ \langle a, a \rangle \in \llbracket \alpha \rrbracket \times \llbracket \alpha \rrbracket \mid \langle a, i_n \rangle \in |h| \text{ for some } n's \}.$$

So that the following square is a pullback :

$$\begin{array}{ccc} \text{Ker } h & \longrightarrow & 1 \\ \ker h \downarrow & & \downarrow T \\ \llbracket \alpha \rrbracket & \xrightarrow{h} & \Omega \end{array}$$

Then, we get by the concept of well-typed objects and irreducible degrees,

$$|\text{char}(\ker h)|$$

$$\begin{aligned} \cdot = \cdot \{ \langle a, i_n \rangle \in \llbracket \alpha \rrbracket \times \Omega \mid i_n = (\exists a' \in \llbracket \alpha \rrbracket \langle a', a \rangle \in |\ker h|) \\ \text{for some } n's \} \end{aligned}$$

$$\begin{aligned} \cdot = \cdot \{ \langle a, i_n \rangle \in \llbracket \alpha \rrbracket \times \Omega \mid i_n = (\exists a' \in \llbracket \alpha \rrbracket \langle a, i_n \rangle \in |h| \wedge a' = a) \\ \text{for some } n's \} \end{aligned}$$

$$\cdot = \cdot \{ \langle a, i_n \rangle \in \llbracket \alpha \rrbracket \times \Omega \mid i_n = (\langle a, i_n \rangle \in |h|) \text{ for some } n's \}$$

$$\begin{aligned} &= \cdot \{ \langle a, i_n \rangle \in [\alpha] \times \Omega \mid \langle a, i_n \rangle \in |h| \text{ for some } n's \} \\ &= \cdot |h|. \end{aligned}$$

Next, suppose  $m : [\beta] \rightarrow [\alpha]$  is monic. Define  $g : [\beta] \rightarrow \ker(\text{char } m)$  by  $|g| \equiv |m|$ , then we get, by lemma 5.3.,

$$|gg^{-1}| \cdot = \cdot |m^{-1}m| \cdot = \cdot 1_{[\beta]} \cdot.$$

On the other hand

$$\begin{aligned} |gg^{-1}| \cdot &= \cdot |mm^{-1}| \\ &= \cdot \{ \langle a, a \rangle \in [\alpha] \times [\alpha] \mid \exists a' \in [\alpha] \langle a', a \rangle \in |m| \} \\ &= \cdot \{ \langle a, a \rangle \in [\alpha] \times [\alpha] \mid \langle a, i_n \rangle \in |\text{char } m| \text{ for some } n's \} \\ &= \cdot \{ \langle a, a \rangle \in [\alpha] \times [\alpha] \mid a \in \text{Ker}(\text{char } m) \} \\ &= \cdot |1_{\text{ker}(\text{char } m)}|. \end{aligned}$$

Therefore  $g$  is an isomorphism, hence  $m \cong \text{ker}(\text{char } m)$ .

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