

## ON A DECOMPOSITION OF MINIMAL COISOMETRIC EXTENSIONS

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Let  $\mathcal{H}$  be a separable, infinite dimensional, complex Hilbert space and let  $\mathcal{L}(\mathcal{H})$  be the algebra of all bounded linear operators on  $\mathcal{H}$ . A *dual algebra* is a subalgebra of  $\mathcal{L}(\mathcal{H})$  that contains the identity operator  $I_{\mathcal{H}}$  and is closed in the ultraweak operator topology on  $\mathcal{L}(\mathcal{H})$ .

The study of the property  $(\mathbb{A}_{m,n})$  which will be defined below appearing frequently in the theory of dual algebras has been applied to the topics of invariant subspace, dilation theory and reflexivity. In particular, Chevreau-Exner-Pearcy obtained some interesting results concerning the class  $\mathbb{A}_{1,\mathbb{N}_0}$  and minimal coisometric extensions. In this paper, we study a decomposition theorem concerning a minimal coisometric extension of an absolutely continuous contraction.

We shall denote by  $\mathbb{D}$  the open unit disc in the complex plane  $\mathbb{C}$  and we write  $\mathbb{T}$  for the boundary of  $\mathbb{D}$ . For  $1 \leq p < \infty$ , we denote by  $L^p = L^p(\mathbb{T})$  the Banach space of complex valued, Lebesgue measurable functions  $f$  on  $\mathbb{T}$  for which  $|f|^p$  is Lebesgue integrable, and by  $L^\infty = L^\infty(\mathbb{T})$  the Banach algebra of all complex valued Lebesgue measurable, essentially bounded functions on  $\mathbb{T}$ . For  $1 \leq p \leq \infty$  we denote by  $H^p = H^p(\mathbb{T})$  the subspace of  $L^p$  consisting of those functions whose negative Fourier coefficients vanish. One knows that the preannihilator  ${}^\perp(H^\infty)$  of  $H^\infty$  in  $L^1$  is the subspace  $H_0^1$  consisting of those functions  $g$  in  $H^1$  whose analytic extension  $\tilde{g}$  to  $\mathbb{D}$  satisfies  $\tilde{g}(0) = 0$ . It is well known that  $H^\infty$  is the dual space of  $L^1/H_0^1$ , where the duality is given by the pairing

$$(1) \quad \langle f, [g] \rangle = \frac{1}{2\pi} \int_0^{2\pi} f(e^{it})g(e^{it}) dt, \quad f \in H^\infty, [g] \in L^1/H_0^1.$$

Supposes  $\mathcal{A}$  is a dual algebra in  $\mathcal{L}(\mathcal{H})$ . Let  $\mathcal{C}_1 = \mathcal{C}_1(\mathcal{H})$  is the von Neumann-Schatten ideal of trace class operators in  $\mathcal{L}(\mathcal{H})$  under the trace

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norm and let  ${}^\perp\mathcal{A}$  denote the preannihilator of  $\mathcal{A}$  in  $\mathcal{C}_1$ . Let  $\mathcal{Q}_{\mathcal{A}}$  denote the quotient space  $\mathcal{C}_1/{}^\perp\mathcal{A}$ .

The following provides a good relationship between the function space  $H^\infty$  and the dual algebra  $\mathcal{A}_T$  generated by a single absolutely continuous contraction.

SZ.-NAGY-FOIAS FUNCTIONAL CALCULUS [1, Theorem 4.1]. *Let  $T$  be an absolutely continuous contraction in  $\mathcal{L}(\mathcal{H})$ . Then there is an algebra homomorphism*

$$(2) \quad \Phi_T : H^\infty \longrightarrow \mathcal{A}_T$$

defined by  $\Phi_T(f) = f(T)$  such that

(a)  $\Phi_T(1) = 1_{\mathcal{H}}$ ,  $\Phi_T(\xi) = T$ , (b)  $\|\Phi_T(f)\| \leq \|f\|_\infty, f \in H^\infty$ , (c)  $\Phi_T$  is continuous if both  $H^\infty$  and  $\mathcal{A}_T$  are given weak\*-topologies, (d) the range of  $\Phi_T$  is weak\*-dense in  $\mathcal{A}_T$ , (e) there exists a bounded, linear, one-to-one map

$$(3) \quad \phi_T : \mathcal{Q}_T \longrightarrow L^1/H_0^1$$

such that  $\Phi_T = \phi_T^*$ , and (f) if  $\Phi_T$  is an isometry, then  $\Phi_T$  is a weak\* homeomorphism of  $H^\infty$  onto  $\mathcal{A}_T$  and  $\phi_T$  is an isometry of  $\mathcal{Q}_T$  onto  $L^1/H_0^1$ .

For  $x$  and  $y$  in  $\mathcal{H}$ , we denote a rank one operator  $(x \otimes y)(u) = (u, y)x$  for all  $u$  in  $\mathcal{H}$ .

Suppose  $m$  and  $n$  are any cardinal numbers such that  $1 \leq m, n \leq \aleph_0$ . A dual algebra  $\mathcal{A}$  will be said to have property  $(\mathbf{A}_{m,n})$  if every  $m \times n$  system of simultaneous equations of the form

$$(4) \quad [x_i \otimes y_j] = [L_{ij}], \quad 0 \leq i < m, \quad 0 \leq j < n,$$

where  $\{[L_{ij}]\}_{\substack{0 \leq i < m \\ 0 \leq j < n}}$  is an arbitrary  $m \times n$  array from  $\mathcal{Q}_{\mathcal{A}}$ , has a solution  $\{x_i\}_{0 \leq i < m}, \{y_j\}_{0 \leq j < n}$  consisting of a pair of sequences of vectors from  $\mathcal{H}$ . Furthermore, if  $m, n \in \mathbb{N}$  and  $r$  is a fixed real number satisfying  $r \geq 1$ , then a dual algebra  $\mathcal{A}$  has property  $(\mathbf{A}_{m,n})(r)$  if for every  $s > r$  and

every  $m \times n$  array from  $\mathcal{Q}_{\mathcal{A}}$ , there exist sequences  $\{x_i\}_{0 \leq i < m}$ ,  $\{y_j\}_{0 \leq j < n}$  that satisfy (4) and also satisfy the following conditions:

$$(5a) \quad \|x_i\| \leq \left( s \sum_{0 \leq j < n} \|[L_{ij}]\| \right)^{1/2}, \quad 0 \leq i < m$$

and

$$(5b) \quad \|y_j\| \leq \left( s \sum_{0 \leq i < m} \|[L_{ij}]\| \right)^{1/2}, \quad 0 \leq j < n.$$

Finally, a dual algebra  $\mathcal{A} \subset \mathcal{L}(\mathcal{H})$  has property  $(\mathbb{A}_{m, \aleph_0}(r))$  for some real number  $r \geq 1$ , if for every  $s > r$  and every array  $\{[L_{ij}]\}_{\substack{0 \leq i < m \\ 0 \leq j < \infty}}$  from  $\mathcal{Q}_{\mathcal{A}}$  with summable rows, there exist sequences  $\{x_i\}_{0 \leq i < m}$  and  $\{y_j\}_{0 \leq j < \infty}$  of vectors from  $\mathcal{H}$  that satisfy (4), (5a) and (5b) with the replacement of  $n$  by  $\aleph_0$ . Properties  $(\mathbb{A}_{\aleph_0, n}(r))$  and  $(\mathbb{A}_{\aleph_0, \aleph_0}(r))$  are defined similarly. For brevity, we shall denote  $(\mathbb{A}_{n, n})$  by  $(\mathbb{A}_n)$ .

We denote by  $\mathcal{Q}_T$  the predual space  $\mathcal{Q}_{\mathcal{A}_T}$  of  $\mathcal{A}_T$ .

For an arbitrary Borel subset  $\Sigma$  of  $\mathbb{T}$ , we define the subspace  $L^p(\Sigma)$  of  $L^p(\mathbb{T})$ ,  $1 \leq p \leq \infty$ , as the set of all functions  $f$  in  $L^p(\mathbb{T})$  such that  $f = 0$  almost everywhere on  $\mathbb{T} \setminus \Sigma$ . The space  $H^2(\Sigma)$  is the closure in  $L^2(\Sigma)$  of the linear manifold consisting of those functions that agree with some polynomials on  $\Sigma$  (cf. [2]). Let  $T$  be an absolutely continuous contraction in  $\mathcal{L}(\mathcal{H})$ . Let

$$(6) \quad B = U^* \oplus R$$

denote a minimal coisometric extension of  $T$ , where  $U$  is an unilateral shift operator of some multiplicity in  $\mathcal{L}(\mathcal{U})$  and  $R$  is an unitary operator in  $\mathcal{L}(\mathcal{R})$ . Then it follows from [3, Lemma 3.2] that  $R$  is absolutely continuous. Hence there exists a Borel subsets  $\Sigma$  of  $\mathbb{T}$  such that the measure  $m|_{\Sigma}$  defined on a Borel subset  $\Delta$  of  $\mathbb{T}$  by

$$(7) \quad (m|_{\Sigma})(\Delta) = m(\Sigma \cap \Delta)$$

is a scalar spectral measure for  $R$ . For any vectors  $x$  and  $y$  in  $\mathcal{R}$ , if we define a complex Borel measure  $\mu_{x,y}$  on  $\mathbb{T}$  defined by

$$(8) \quad \mu_{x,y}(\Delta) = (E(\Delta)x, y),$$

where  $E$  is the spectral measure corresponding to the unitary operator  $R$ , then it is absolutely continuous with respect to  $m|_{\Sigma}$ . Hence there is the Radon-Nikodym derivative  $x \cdot y \in L^1(\Sigma)$  of  $\mu_{x,y}$  with respect to  $m|_{\Sigma}$  (cf. [2, p 32]). We write  $[x \cdot y]$  for the equivalence class of  $x \cdot y$  in the quotient space  $L^1(\mathbb{T})/H_0^1(\mathbb{T})$ .

LEMMA 1. *Suppose that  $T$  is an absolutely continuous contraction in  $\mathcal{L}(\mathcal{H})$  and has coisometric extension*

$$(9) \quad B = U^* \oplus R$$

in  $\mathcal{L}(\mathcal{U} \oplus \mathcal{R})$  with  $\mathcal{R} \neq (0)$ . Assume that  $\mathcal{R}_1$  and  $\mathcal{R}_2$  are reducing subspaces for  $R$  with  $\mathcal{R}_1 \subset \mathcal{R}_2^\perp$ . If  $w \in \mathcal{R}_1$  and  $z \in \mathcal{R}_2$ , then  $[w \cdot z] = 0$ .

*Proof.* It follows from [3, Lemma 3.9] that

$$(10) \quad [w \cdot z] = \phi_B([w \otimes z]).$$

For any  $h \in H^\infty$ , we have

$$(11) \quad \begin{aligned} \langle h, [w \cdot z] \rangle &= \langle h, \phi_B([w \otimes z]_B) \rangle \\ &= \langle \Phi_B(h), [w \otimes z]_B \rangle \\ &= (h(B)w, z) \\ &= (h(R)w, z) = 0. \end{aligned}$$

By hypothesis, we have the lemma.

The following lemma comes from [5, Theorem 1].

LEMMA 2. *Let  $\mathcal{A}$  be a von Neumann algebra. Then the following are equivalent:*

- (a)  $\mathcal{A}$  has a separating vector;
- (b)  $\mathcal{A}$  has property  $(\mathbb{A}_1)$ , and;
- (c)  $\mathcal{A}$  has property  $(\mathbb{A}_{1, \aleph_0})(1)$ .

We write  $\mathcal{W}^*(T)$  for the von Neumann algebra generated by a contraction operator  $T$ . The following decomposition theorem is an improvement of [3, Proposition 3.10].

**THEOREM 3.** *Suppose that  $T$  is an absolutely continuous contraction in  $\mathcal{L}(\mathcal{H})$  and has minimal coisometric extension*

$$(12) \quad B = U^* \oplus R$$

in  $\mathcal{L}(\mathcal{U} \oplus \mathcal{R})$  with  $\mathcal{R} \neq (0)$ . Then there exist some cardinal number  $m$  with  $1 \leq m \leq \aleph_0$ , a decreasing sequence  $\{\Sigma_i\}_{0 \leq i < m}$  of Borel sets in  $\mathbb{T}$ , and a decomposition

$$(13) \quad R = \underbrace{R_0 \oplus R_1 \oplus R_2 \oplus \cdots}_{(m)}$$

of unitary operators  $R_i \in \mathcal{L}(\mathcal{R}_i), 0 \leq i < m$  such that

- (a)  $m|\Sigma_i$  is a scalar spectral measure for  $R_i$ ,
- (b)  $\mathcal{W}^*(R_i)$  has a property  $(\mathbb{A}_{1, \aleph_0}(1))$ ,
- (c)  $R_i$  is unitarily equivalent to the operator  $M_{e^{it}}$  of multiplication by the position function on  $L^2(\Sigma_i)$ ,
- (d) if we denote by  $\mathcal{R}_i^+$  the subspace of  $\mathcal{R}_i$ , corresponding to  $H^2(\Sigma)$  under the unitary equivalence in (c), then

$$(14) \quad \bigcup_{0 \leq i < m} \mathcal{R}_i^+ \subset \overline{(\mathcal{A}\mathcal{H})},$$

where  $A$  is the orthogonal projection of  $\mathcal{K}$  onto  $\mathcal{R}$ ,

- (e) for any  $r = \underbrace{r_0 \oplus r_1 \oplus \cdots}_{(m)} \in \sum \oplus_{0 \leq i < m} R_i$ , we have  $[r_i \cdot r_j] = 0$  if  $i \neq j$ .

*Proof.* Since  $\mathcal{R} \neq (0)$ , it is obvious that  $\overline{\mathcal{A}\mathcal{H}} \neq (0)$ .

Case 1. Assume that  $\overline{\mathcal{A}\mathcal{H}} = \mathcal{R}$ . Let  $e$  be a nonzero element of  $\mathcal{R}$ . By [4, Proposition 10.4], there is a separating vector  $e_0$  for  $\mathcal{W}^*(R)$  such that

$$(15) \quad e \in \overline{\mathcal{W}^*(R)e_0}.$$

Set  $\mathcal{R}_0 = \overline{\mathcal{W}^*(R)e_0}$ . Then  $\mathcal{R}_0$  is a reducing subspace for  $R$ . By the multiplicity theory of normal operators (cf. [4, p.299-p308]), if we denote  $R_0 = R|_{\mathcal{R}_0}$ , then  $R_0$  is unitarily equivalent to the operator  $M_{e^{it}}$  of multiplication by the position function on  $L^2(\Sigma_0)$ , where  $\Sigma_0 = \sigma(R)$ .

Moreover, it follows easily that  $R_0$  satisfies (a), (b) and  $\mathcal{R}_0^+ \subset \overline{A\mathcal{H}}$ . If  $\mathcal{R}_0 = \mathcal{R}$ , we stop here and take  $m = 1$ . Otherwise, since  $R|\mathcal{R}_0^\perp$  is an unitary operator, we can repeat this process. Then by the mathematical induction, we obtain a decomposition

$$(16) \quad R = \underbrace{R_0 \oplus R_1 \oplus \cdots}_{(m)}$$

which satisfies (a), (b), (c), and (d).

Case 2. Assume that  $\overline{A\mathcal{H}} \neq \mathcal{R}$ . Let us follow the method of [3, Proposition 3.10]. Then we can obtain a reducing subspace  $\mathcal{R}_0 \supset \mathcal{R}_0^+$  for  $R$  such that  $\sigma(R) = \mathbb{T}$  and  $R_0 = R|\mathcal{R}_0$  is a bilateral shift operator multiplicity one. Moreover, if we denote  $R_0 = R|\mathcal{R}_0$  by the proof of [3, Proposition 3.10]  $R_0$  satisfies (a) and  $\mathcal{R}_0^+ \subset \overline{A\mathcal{H}}$ . But it is obvious that the von Neumann algebra generated by a bilateral shift operator has a separating vector. If  $\mathcal{R}_0 = \mathcal{R}$ , we stop here as above. Otherwise, since  $R|\mathcal{R}_0^\perp$  is an unitary operator, we can repeat this process and we obtain a required decomposition. Furthermore, Lemma 1 shows (e). Finally, we shall show (b). Since  $\mathcal{W}^*(R_i), 0 \leq i < m$ , has a separating vector, by the Lemma 2,  $\mathcal{W}^*(R_i)$  has property  $(A_{1, \aleph_0}(1))$ . Hence the proof is complete.

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