

TRUNCATE PRODUCTS OF LATTICES

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A lattice is called *bounded* if it has both the least element and the largest element which are usually denoted by 0 (zero) and 1 (unit), respectively. Recently, Bennett [2] defined the *rectangular product* of two bounded lattices L_1 and L_2 to be the set

$$\{(x, y) \in L_1 \times L_2 \mid x \neq 0, y \neq 0\} \cup \{(0, 0)\}$$

with the order induced from the direct product $L_1 \times L_2$. With a minor change from this, the *truncate product* $L_1 \diamond L_2$ of the two bounded lattices L_1 and L_2 is defined to be the set

$$\{(x, y) \in L_1 \times L_2 \mid x \neq 0 \neq y, x \neq 1 \neq y\} \cup \{(0, 0), (1, 1)\}$$

with the order also induced from the direct product $L_1 \times L_2$. In this paper, we investigate lattice properties preserved by taking truncate products. We assume throughout that every lattice is finite and bounded and we let $L^* = L - \{0, 1\}$ for any bounded lattices L .

We first observe the following simple facts for any lattices L_1 and L_2 :

- (i) $(x, y) \neq (0, 0)$ in $L_1 \diamond L_2$ if and only if $x \neq 0$ in L_1 and $y \neq 0$ in L_2 .
- (ii) $(x, y) \neq (1, 1)$ in $L_1 \diamond L_2$ if and only if $x \neq 1$ in L_1 and $y \neq 1$ in L_2 .
- (iii) $(x, y) \wedge (r, s) = (0, 0)$ in $L_1 \diamond L_2$ if and only if $x \wedge r = 0$ in L_1 or $y \wedge s = 0$ in L_2 .
- (iv) $(x, y) \vee (r, s) = (1, 1)$ in $L_1 \diamond L_2$ if and only if $x \vee r = 1$ in L_1 or $y \vee s = 1$ in L_2 .
- (v) Nonzero meets and nonunit joins agree on both $L_1 \diamond L_2$ and $L_1 \times L_2$.

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Let L be a lattice. For elements $a > b$ in L , we write $a \succ b$ or $b \prec a$ (a covers b or b is covered by a) if $a \geq c > b$ implies $a = c$ for every element c of L . An atom is any element which covers the least element and a dual atom is any element which is covered by the greatest element. Let us denote by $A(L)$ and $DA(L)$ the sets of all atoms and all dual atoms, respectively, of L . Then $A(L_1 \diamond L_2) = A(L_1) \times A(L_2)$ and $DA(L_1 \diamond L_2) = DA(L_1) \times DA(L_2)$, for any lattices L_1 and L_2 . Furthermore, if L_1 or L_2 is isomorphic to $\mathbf{1}$ or $\mathbf{2}$, where \mathbf{n} denotes an n -elements chain, then $L_1 \diamond L_2$ is isomorphic to $\mathbf{2}$ and so, in this paper, we may assume that all lattices are not isomorphic to $\mathbf{1}$ and $\mathbf{2}$.

The truncate product of $\mathbf{2}^3$ and $\mathbf{2}^2$ is given in Figure 1. The resulting lattice is neither distributive nor modular. Furthermore, it is not uniquely complemented.

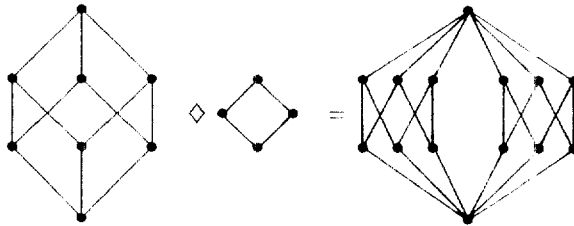


Figure 1

LEMMA 1. Let L_1 and L_2 be lattices. Then the following statements hold:

- (i) If a is a complement of c in L_1^* , then (a, y) is a complement of (c, z) in $L_1 \diamond L_2$ for any $y, z \in L_2^*$.
- (ii) If b is a complement of d in L_2^* , then (x, b) is a complement of (w, d) in $L_1 \diamond L_2$ for any $x, w \in L_1^*$.
- (iii) If $a \vee c = 1$ in L_1 and $b \wedge d = 0$ in L_2 or $a \wedge c = 0$ in L_1 and $b \vee d = 1$ in L_2 for $a, c \in L_1^*$ and $b, d \in L_2^*$, then (a, b) is a complement of (c, d) in $L_1 \diamond L_2$.

COROLLARY 1. *Let L_1 and L_2 be lattices and let $a \in L_1^*$ and $b \in L_2^*$. If a is a complement of c in L_1 and b is a complement of d in L_2 , then (c, y) and (x, d) are complements of (a, b) in $L_1 \diamond L_2$ for any $x \in L_1^*$ and $y \in L_2^*$.*

LEMMA 2. *For any lattice L , $L \diamond \mathbf{3} \cong L$.*

THEOREM 1. *The truncate product of two lattices is uniquely complemented if and only if one of its factors is uniquely complemented and the other is isomorphic to $\mathbf{3}$.*

Proof. The sufficiency of the condition follows easily from Lemma 2. To prove the necessity, let the truncate product of two lattices L_1 and L_2 be uniquely complemented. Let $a \in L_1^*$, $b \in L_2^*$ and let (c, d) be a unique complement of (a, b) in $L_1 \diamond L_2$. Then $c \in L_1^*$, $d \in L_2^*$ and $(a, b) \vee (c, d) = (1, 1)$, $(a, b) \wedge (c, d) = (0, 0)$ in $L_1 \diamond L_2$. Then we have four cases to consider :

- (i) $a \vee c = 1$ and $a \wedge c = 0$ in L_1 .
- (ii) $b \vee d = 1$ and $b \wedge d = 0$ in L_2 .
- (iii) $a \vee c = 1$, $a \wedge c \neq 0$ in L_1 and $b \vee d \neq 1$, $b \wedge d = 0$ in L_2 .
- (iv) $a \vee c \neq 1$, $a \wedge c = 0$ in L_1 and $b \vee d = 1$, $b \wedge d \neq 0$ in L_2 .

By symmetry we only treat the cases (i) and (iii).

CASE (I). $a \vee c = 1$ and $a \wedge c = 0$ in L_1 .

Since a is a complement of c in L_1 , (c, y) is a complement of (a, b) in $L_1 \diamond L_2$ for any $y \in L_2^*$ by Lemma 1(i). Since (c, d) is a unique complement of (a, b) in $L_1 \diamond L_2$, we have $y = d$ in L_2 , and hence $|L_2^*| = 1$. Thus $L_2 \cong \mathbf{3}$ and so $L_1 \diamond L_2 \cong L_1$ by Lemma 2. Hence L_1 is uniquely complemented, as required.

CASE (III). $a \vee c = 1$, $a \wedge c \neq 0$ in L_1 and $b \vee d \neq 1$, $b \wedge d = 0$ in L_2 .

If $a \leq x < 1$ in L_1 , then $x \vee c = a \vee c = 1$ in L_1 . Since $b \wedge d = 0$ in L_2 , it follows from Lemma 1(iii) that (a, d) and (x, d) are complements of (c, b) in $L_1 \diamond L_2$. As $L_1 \diamond L_2$ is uniquely complemented, we have $a = x$ in L_1 . Thus a is a dual atom in L_1 . Similarly, c is also a dual atom in L_1 and so $|DA(L_1)| \geq 2$. Thus, choose a dual atom z in L_1 with $z \neq a$,

then $a \vee z = 1$ in L_1 . Hence (c, d) and (z, d) are complements of (a, b) in $L_1 \diamond L_2$ by Lemma 1(iii). Since $L_1 \diamond L_2$ is uniquely complemented, we have $z = c$ in L_1 . Therefore, L_1 has exactly two dual atoms, that is, $DA(L_1) = \{a, c\}$.

Consider the element $(a \wedge c, b)$ in $L_1 \diamond L_2$. Since $L_1 \diamond L_2$ is uniquely complemented, there exists a unique element (p, q) in $(L_1 \diamond L_2)^*$ such that $(p, q) \vee (a \wedge c, b) = (1, 1)$ and $(p, q) \wedge (a \wedge c, b) = (0, 0)$. Since $P \neq 1$ in L_1 and $DA(L_1) = \{a, c\}$, we have $p \leq a$ or $p \leq c$ in L_1 .

Now we claim that $p \wedge a \wedge c \neq 0$ in L_1 . If $p \leq a$ and $p \leq c$, then clearly $p \wedge a \wedge c = p \neq 0$ in L . Next we consider the case when $p \leq a$ and $p \not\leq c$. Suppose that $p \wedge a \wedge c = 0$ in L_1 . Since $p \leq a$, $p \not\leq c$ in L_1 and $c \in DA(L_1)$, $p \wedge c = p \wedge a \wedge c = 0$ and $p \vee c = 1$ in L_1 , and hence p is a complement of c in L_1 . Thus (p, b) is a complement of (c, d) in $L_1 \diamond L_2$ by Lemma 1(i). Since (a, b) is a unique complement of (c, d) in $L_1 \diamond L_2$, we have $p = a$ in L_1 . Hence $p \wedge a \wedge c = a \wedge c \neq 0$ in L_1 , which is a contradiction. Hence $p \wedge a \wedge c \neq 0$ in L_1 . The case when $p \not\leq a$ and $p \leq c$ is similarly treated.

By the preceding claim, $(p, q) \wedge (a \wedge c, b) = (0, 0)$ implies that $q \wedge b = 0$ in L_2 . Since $(p, q) \vee (a \wedge c, b) = (1, 1)$ and $DA(L_1) = \{a, c\}$, we have $p \vee (a \wedge c) \leq a \prec 1$ or $p \vee (a \wedge c) \leq c \prec 1$, and so $q \vee b = 1$ in L_2 . Hence b has a complement q in L_2^* . By duality, we obtain $A(L_2) = \{b, d\}$ and so a has a complement r in L_1^* . By Corollary 1, (a, q) (r, q) and (r, b) are distinct complements of (a, b) in $L_1 \diamond L_2$, which is a contradiction.

COROLLARY 2. *The truncate product of two lattices is isomorphic to 2^n if and only if one of its factors is isomorphic to 2^n and the other is isomorphic to 3 .*

A lattice L is said to be (*upper*) *semimodular* (or satisfy the *upper covering property*) if for any elements a, b and c , of L $a \prec b$ implies that $a \vee c = b \vee c$ or $a \vee c \prec b \vee c$. The *lower semimodularity* (or *lower covering property*) is defined dually. We observe that a lattice is semimodular if and only if $x \succ x \wedge y$ implies $x \vee y \succ y$. In [1], it is shown that the rectangular product of lattices L_1 and L_2 is semimodular if and only if L_1 and L_2 are semimodular with $|A(L_1)| = 1$ or $|A(L_2)| = 1$. But this condition does not apply for the truncate product (Figure 2).

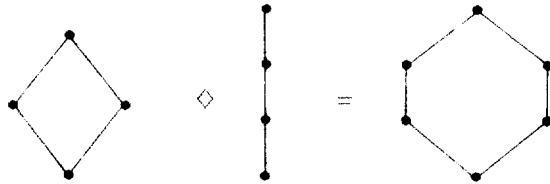


Figure 2

The *length* of an n -element chain \mathbf{n} is defined to be $n - 1$. More generally, the *length* $l(P)$ of an ordered set P is defined as the supremum of the lengths of chains in P . In an ordered set P of finite length with the least element 0 , the *height* $h(x)$ of an element $x \in P$ is $l([0, x])$, where $[0, x] = \{y \in P | y \leq x\}$. If P has the greatest element 1 , then clearly $h(1) = l(P)$.

THEOREM 2. *The truncate product of lattices L_1 and L_2 is semimodular if and only if L_1 and L_2 are seminodular and one of the following conditions holds:*

- (i) L_1 and L_2 are isomorphic to M_r and M_s , respectively, for some positive integers r and s , where M_n is the lattice of length 2 with n atoms.
- (ii) L_1 or L_2 is isomorphic to $\mathbf{3}$.
- (iii) $|DA(L_i)| = 1$ for $i = 1, 2$ and $|A(L_1)| = 1$ or $|A(L_2)| = 1$.

Proof. (\implies) Suppose that $L_1 \diamond L_2$ is semimodular such that $L_1 \diamond L_2 \not\cong M_n$ for any positive integer n and neither L_1 nor L_2 is isomorphic to $\mathbf{3}$. Then we shall show that both L_1 and L_2 are semimodular, $|DA(L_i)| = 1 (i = 1, 2)$ and $|A(L_1)| = 1$ or $|A(L_2)| = 1$.

CLAIM 1. Both L_1 and L_2 are semimodular.

Suppose that L_1 or L_2 is not semimodular. We may assume without loss of generality that L_2 is not semimodular. Then, there are elements $b, d \in L_2^*$ such that b covers $b \wedge d$ in L_2 and $b \vee d$ does not cover d in L_2 . Pick an element x in L_2 such that $d < x < b \vee d$ in L_2 . Consider the elements $(p, b) \wedge (p, d)$, (p, b) , (p, d) and $(p, b) \vee (p, d)$ in $L_1 \diamond L_2$ for some atom p in L_1 . Since $b > b \wedge d$ in L_2 , we have $(p, b) >$

$(p, b) \wedge (p, d)$ in $L_1 \diamond L_2$. Furthermore, we know that if $b \vee d = 1$ in L_2 then $(p, b) \vee (p, d) = (1, 1)$ in $L_1 \diamond L_2$ and that if $b \vee d \neq 1$ in L_2 then $(p, b) \vee (p, d) = (p, b \vee d)$ in $L_1 \diamond L_2$. In either case, we have $(p, d) < (p, x) < (p, b) \vee (p, d)$ in $L_1 \diamond L_2$, and so $(p, b) \vee (p, d)$ does not cover (p, d) in $L_1 \diamond L_2$, which is a contradiction.

CLAIM 2. $|DA(L_1)| = 1$ and $|DA(L_2)| = 1$.

Suppose that $|DA(L_1)| \geq 2$ or $|DA(L_2)| \geq 2$. We may assume without loss of generality that $|DA(L_2)| \geq 2$. Note that $L_i (i = 1, 2)$ does not isomorphic to $\mathbf{1}$, $\mathbf{2}$ and M_n for any positive integer n . Thus $l(L_1) \geq 3$. Since $|DA(L_2)| \geq 2$ and so there are distinct two dual atoms b, d in L_2 . Pick y such that $1 \succ b \geq y \succ b \wedge d$. Since $l(L_1) \geq 3$, there are elements a and c in L_1 such that $0 \prec c < a \prec 1$. Since $b \geq y \succ y \wedge d = b \wedge d$ in L_2 , y and d are incomparable elements in L_2 . Furthermore, since d is a dual atom in L_2 , we have $y \vee d = 1$ in L_2 . If $y \wedge d = 0$ in L_2 , then $y \succ y \wedge d = 0$ in L_2 and so $y \in A(L_2)$. Hence $(c, y) \succ (c, y) \wedge (c, d) = (0, 0)$ in $L_1 \diamond L_2$. But $(c, y) \vee (c, d) = (1, 1) \succ (a, d) \succ (c, d)$ in $L_1 \diamond L_2$, which is a contradiction to the semimodularity of $L_1 \diamond L_2$. If $y \wedge d \neq 0$ in L_2 , then $(c, y) \succ (c, y \wedge d) = (c, y) \wedge (c, d)$ in $L_1 \diamond L_2$. But $(c, y) \vee (c, d) = (1, 1) \succ (a, d) \succ (c, d)$ in $L_1 \diamond L_2$, which is also a contradiction to the semimodularity of $L_1 \diamond L_2$.

CLAIM 3. $|A(L_1)| = 1$ or $|A(L_2)| = 1$.

Suppose that $|A(L_1)| \geq 2$ and $|A(L_2)| \geq 2$. Then, there are two distinct atoms p_i, q_i in each L_i for $i = 1, 2$. Then $(p_1, p_2) \succ (0, 0) = (p_1, p_2) \wedge (q_1, q_2)$ in $L_1 \diamond L_2$. By Claim 2, $(p_1, p_2) \vee (q_1, q_2) \neq (1, 1)$ in $L_1 \diamond L_2$ and so $(p_1, p_2) \vee (q_1, q_2) = (p_1 \vee q_1, p_2 \vee q_2) \prec (q_1, p_2 \vee q_2) \succ (q_1, q_2)$ in $L_1 \diamond L_2$. Thus, $(p_1, p_2) \vee (q_1, q_2)$ does not cover (q_1, q_2) in $L_1 \diamond L_2$, which is a contradiction.

(\Leftarrow) Suppose that L_1 and L_2 are semimodular. Since other cases are trivial, we only assume that $|DA(L_i)| = 1$ for $i = 1, 2$ and $|A(L_1)| = 1$. Let $(a, b) \succ (a, b) \wedge (c, d)$ in $L_1 \diamond L_2$. We shall show that $(a, b) \vee (c, d) \succ (c, d)$ in $L_1 \diamond L_2$. We have three cases to consider.

CASE (1). If $a \wedge c = 0$ in L_1 , then $(a, b) \succ (a, b) \wedge (c, d) = (0, 0)$ in $L_1 \diamond L_2$ and so $a \in A(L_1)$ and $b \in A(L_2)$. Since $A(L_1) = \{a\}$ and $a \wedge c = 0$ in L_1 , we have $c = 0$ in L_1 . Hence, $(c, d) = (0, 0)$ in $L_1 \diamond L_2$ and so $(a, b) \vee (c, d) = (a, b) \succ (0, 0) = (c, d)$ in $L_1 \diamond L_2$.

CASE (II). If $a \wedge c \neq 0$ in L_1 and $b \wedge d = 0$ in L_2 , then $(a, b) \succ (a, b) \wedge (c, d) = (0, 0)$ in $L_1 \diamond L_2$ and again $a \in A(L_1)$ and $b \in A(L_2)$. Since $A(L_1) = \{a\}$ and $a \wedge c \neq 0$, we have $a \leq c$ in L_1 . As $b \succ 0 = b \wedge d$ and L_2 is semimodular, $b \vee d \succ d$ in L_2 . Now, since $|DA(L_2)| = 1$, we have $b \vee d \neq 1$ in L_2 and so $(a, b) \vee (c, d) = (c, b \vee d) \succ (c, d)$ in $L_1 \diamond L_2$.

CASE (III). If $a \wedge c \neq 0$ in L_1 and $b \wedge d \neq 0$ in L_2 , then $(a, b) \succ (a, b) \wedge (c, d) = (a \wedge c, b \wedge d)$ in $L_1 \diamond L_2$. Hence, $a = a \wedge c$ in L_1 and $b \succ b \wedge d$ in L_2 , or $a \succ a \wedge c$ in L_1 and $b = b \wedge d$ in L_2 . Since L_1 and L_2 are semimodular, $a \leq c$ in L_1 and $b \vee d \succ d$ in L_2 , or $a \vee c \succ c$ in L_1 and $b \leq d$ in L_2 . Observe that $c \neq 1 \neq d$ in either case. If $a \leq c$ in L_1 and $b \vee d \succ d$ in L_2 , then $b \vee d \neq 1$ in L_2 . For, otherwise we have $b = 1$ and so $a = 1$, whence $c = 1$, contradicting to the preceding observation. Similarly, if $b \leq d$ and $a \vee c \succ c$, then $a \vee c \neq 1$. Now, since $(a, b) \vee (c, d) = (c, b \vee d)$ in $L_1 \diamond L_2$ or $(a, b) \vee (c, d) = (a \vee c, d)$ in $L_1 \diamond L_2$, we have $(a, b) \vee (c, d) \succ (c, d)$ in $L_1 \diamond L_2$.

Let L be a lattice of finite length. It is well known that L is modular if and only if L is both upper and lower semimodular. By Theorem 2 and duality, the following corollaries are immediately obtained.

COROLLARY 3. *The truncate product of lattices L_1 and L_2 is modular if and only if L_1 and L_2 are modular and one of the following conditions holds:*

- (i) L_1 and L_2 is isomorphic to M_r and M_s , respectively, for some positive integers r and s .
- (ii) L_1 or L_2 is isomorphic to $\mathbf{3}$.
- (iii) $|DA(L_i)| = 1$ and $|A(L_i)| = 1$ for $i = 1, 2$.

COROLLARY 4. *The truncate product of lattices L_1 and L_2 is distributive if and only if L_1 and L_2 are distributive and one of the following conditions holds :*

- (i) L_1 or L_2 is isomorphic to $\mathbf{3}$.
- (ii) $|DA(L_i)| = 1$ and $|A(L_i)| = 1$ for $i = 1, 2$.

Finally, we list a series of propositions whose proofs are quite straightforward.

An ordered set P with 0 is called *graded* if for $x, y \in P$, $x \leq y$ and $h(x) + 1 = h(y)$ if and only if $x \prec y$, and is said to satisfy the

Jordan-Dedekind Chain Condition if all maximal chains between the same endpoints have the same finite length. Observe that an ordered set P with 0 is graded if and only if every interval of P is of finite length and satisfies the Jordan-Dedekind Chain Condition.

PROPOSITION 1. *The truncate product of lattices L_1 and L_2 is graded if and only if both L_1 and L_2 are.*

We call a lattice *atomic* when every nonzero element is the join of the atoms below it.

PROPOSITION 2. *The truncate product of lattices L_1 and L_2 is atomic if and only if both L_1 and L_2 are.*

We call a lattice L *biatomic* when every nonzero element is the join of the atoms below it and whenever p is an atom of L with $p \leq a \vee b$ there are atoms $a_1 \leq a$ and $b_1 \leq b$ with $p \leq a_1 \vee b_1$. In [2], it is shown that a rectangular product of lattices is biatomic exactly when both of its factors are biatomic. But the biatomicity need not be preserved by taking truncate product, as Figure 1 shows. A pair of nonzero elements a and b of a lattice L is called a *biatomic pair* if whenever p is an atom of L with $p \leq a \vee b$ there are atoms $a_1 \leq a$ and $b_1 \leq b$ with $p \leq a_1 \vee b_1$. We then define an atomic lattice to be *weakly biatomic* if for any nonzero elements a and b with $a \vee b \neq 1$ form a biatomic pair.

PROPOSITION 3. *The truncate product of lattices L_1 and L_2 is weakly biatomic if and only if both L_1 and L_2 are.*

PROPOSITION 4. *If the truncate product of lattices L_1 and L_2 is biatomic, then L_1 and L_2 are also biatomic.*

A lattice L is said to be *join-semidistributive* if $a \vee b = a \vee c$ implies that $a \vee b = a \vee (b \wedge c)$ for all $a, b, c \in L$. As we see in Figure 1, even if L_1 and L_2 are join-semidistributive, $L_1 \diamond L_2$ need not be join-semidistributive. Furthermore, in [1], if L_1 and L_2 are biatomic and join-semidistributive and if they satisfy the ascending chain condition, then the rectangular product of L_1 and L_2 is join-semidistributive. Although $L_1 = L_2 = \mathbf{2}^2$ satisfies the hypotheses, $L_1 \diamond L_2 = M_4$ is not join-semidistributive. But the following proposition shows that the converse is true for truncate products.

PROPOSITION 5. *If the truncate product of lattices L_1 and L_2 is join-semidistributive, then L_1 and L_2 are also join-semidistributive.*

We know that, in any lattice L , the following conditions are equivalent:

- (i) For any elements $x_1, x_2, \dots, x_n \in L$, if $x_i \wedge x_j \neq 0$ for all $i \neq j$, then $x_1 \wedge x_2 \wedge \dots \wedge x_n \neq 0$ in L .
- (ii) For any elements x, y, z in L , if each of $x \wedge y$, $y \wedge z$ and $z \wedge x$ is nonzero elements, then $x \wedge y \wedge z$ is nonzero.

A lattice L is said to satisfy the *Chinese Remainder Theorem* (C R T) if and only if the condition (i) (and hence (ii)) holds in it. In [1], we have shown that the rectangular product of L_1 and L_2 satisfies the C R T if and only if both L_1 and L_2 do. Since nonzero meets and nonunit joins agree on both the truncate product and the rectangular product, we obtain the following proposition.

PROPOSITION 6. *The truncate product of lattices L_1 and L_2 satisfies the C R T if and only if both L_1 and L_2 do.*

A lattice L satisfies the *strict Chinese Remainder Theorem* (strict C R T) if L is atomic and, for any atoms p, q, r in L , $M(p, q, r) = (p \vee q) \wedge (q \vee r) \wedge (r \vee p)$ is an atom in L . Note that the strict C R T implies the C R T, but the converse may not hold (see M_n). In [1], it is shown that the rectangular product of L_1 and L_2 satisfies the strict C R T if and only if both L_1 and L_2 do. But the truncate product of 2^2 and M_n is M_{2n} which does not satisfy the strict C R T. However the converse is true.

PROPOSITION 7. *If the truncate product of lattices L_1 and L_2 satisfies the strict C R T, then both L_1 and L_2 do.*

An atomic lattice L satisfies the *switching condition* if $p, q \leq r \vee s$ and $p \leq r \vee q$ imply that $q \leq s \vee p$ for any atoms p, q, r, s of L . In [1], it is shown that the rectangular product of L_1 and L_2 satisfies the switching condition if and only if both L_1 and L_2 do. We also have the following proposition.

PROPOSITION 8. *The truncate product of lattices L_1 and L_2 satisfies the switching condition if and only if both L_1 and L_2 do.*

References

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