## SOME REMARKS ON THE AUTOMATA-HOMOMORPHISMS

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DEFINITION. (1) An automaton,  $A=(M,X,\delta)$ , is a triple where M is a nonempty set (the set of states), X is a nonempty set (the set of inputs),  $\delta$  is a function (called the state transition function) mapping  $M \times X$  into M. Also, we shall assume the useful property that  $\delta(m,st) = \delta(\delta(m,s),t)$  for all  $s,t \in X$  and  $m \in M$ .

NOTE. (i) An automaton A means a triple  $(M, X, \delta)$  and M does not mean an automaton. But the attribute "automaton" will be sometimes used for M. (ii) Let  $X^*$  be the free monoid generated by X. Then  $\delta^*$ :  $M \times X^* \to M$  is the map defined as follows: For all  $m \in M$  and  $a \in X^*$ ,  $\delta^*(m, a) = m$  if a = e (empty string) and  $\delta^*(m, a) = \delta(\delta^*(m, b), t)$  if a = bt and  $t \in X$ .

NOTATION. For convenience we will denote  $\delta(m,t)$  as mt if  $t \in X$  and  $\delta^*(m,a)$  as ma if  $a \in X^*$ , i.e.,  $\delta(m,t) = mt$  and  $\delta^*(m,a) = ma$ .

- NOTE. (i)  $\delta^*(m,t) = \delta(m,t)$  for all  $m \in M$  and  $t \in X$ . (ii)  $\delta^*(m,ab) = \delta^*(\delta^*(m,a),b)$  for all  $m \in M$  and  $a,b \in X^*$ , i.e., m(ab) = (ma)b.
- (2) Let  $A = (M, X, \delta_A)$  and  $B = (N, Y, \delta_B)$  be automata. An automata-homomorphism (or a generalized XY-homomorphism) of A into B is a pair  $(f, \alpha)$  of mappings  $f : M \to N$  and  $\alpha : X \to Y$  such that  $f(ma) = f(m)\alpha(a)$  for all  $m \in M$  and  $a \in X$ .

NOTATION. We denote  $(f, \alpha)$  as  $f^{\alpha}$ , i.e.,  $f^{\alpha} = (f, \alpha)$ .

(3) Let  $A = (M, X, \delta_A)$  and  $B = (N, X, \delta_B)$  be automata. Let  $S = X^+ = X^* - \{e\}$ . Let  $f: M \to N$ ,  $\alpha: X \to X$  and  $\alpha^*: S \to S$  (or  $X^* \to X^*$ ) be maps. Then  $f^{\alpha}: A \to B$  is an  $\alpha X$ -homomorphism (or automata-homomorphism or a generalized X-homomorphism with respect to  $\alpha$ ) if  $f(ma) = f(m)\alpha(a)$  for all  $m \in M$  and  $a \in X$ . Also,  $f^{\alpha^*}$  is an  $\alpha^*S$ -homomorphism (resp.  $\alpha^*X^*$ -homomorphism) if  $f(ma) = f(m)\alpha(a)$ 

 $f(m)\alpha^*(a)$  for all  $m \in M$  and  $a \in S$  (resp.  $f(ma) = f(m)\alpha^*(a)$  for all  $m \in M$  and  $a \in X^*$ ).  $f^{\alpha}$  is an  $\alpha X$ -endomorphism if A = B and it is an  $\alpha X$ -homomorphism.  $f^{\alpha}$  is an  $\alpha X$ -isomorphism if it is an  $\alpha X$ -homomorphism and  $f, \alpha$  are bijective.  $f^{\alpha}$  is an  $\alpha X$ -automorphism if it is an  $\alpha X$ -isomorphism and A = B. Similarly, we can define  $\alpha^* S$ -and  $\alpha^* X^*$ -endomorphismS,  $\alpha^* S$ -and  $\alpha^* X^*$ -isomorphisms and  $\alpha^* S$ -and  $\alpha^* X^*$ -automorphismS.  $f: M \to N$  (or  $A \to B$ ) is an X-homomorphism (resp. S-homomorphism,  $X^*$ -homomorphism) if f(ma) = f(m)a for all  $m \in M$  and  $a \in X$  (resp. for all  $m \in M$  and  $a \in S$ , for all  $m \in M$  and  $a \in X^*$ ). Let  $f^{\alpha}$  and  $g^{\beta}$  be an  $\alpha X$ -homomorphism and an  $\beta X$ -homomorphism respectively. Then we define  $f^{\alpha} = g^{\beta}$  by letting f = g and  $\alpha = \beta$ 

NOTATION. (i) We denote  $f^{id}$  as f where  $id: X \to X$  (or  $S \to S$  or  $X^* \to X^*$ ) is the identity map.

(ii)  $\text{END}_X(A) = \text{END}_X(M) = \{ f^{\alpha} \mid f^{\alpha} \text{ is an } \alpha X \text{-endomorphism}$  with a map  $\alpha : X \to X \}$ .

$$\operatorname{AUT}_X(A) = \operatorname{AUT}_X(M) = \{ f^{\alpha} \mid f^{\alpha} \text{ is an } \alpha X \text{-automorphism with}$$
  
a map  $\alpha : X \to X \}.$ 

$$\operatorname{End}_X(A) = \operatorname{End}_X(M) = \{f \mid f : M \to M \text{ is an } X\text{-endomorphism}\}.$$
  
 $\operatorname{Aut}_X(A) = \operatorname{Aut}_X(M) = \{f \mid f : M \to M \text{ is an } X\text{-automorphism}\}.$ 

PROPOSITION 1. Let  $A = (M, X, \delta)$  be an automaton. For any  $f^{\alpha}, g^{\beta} \in \text{END}_X(A)$ , we define  $f^{\alpha}g^{\beta} = (fg)^{\alpha\beta}$ . Then the following statements hold: (1)  $\text{END}_X(A)$  is a monoid and  $\text{End}_X(A)$  is a submonoid of  $\text{END}_X(A)$ .

(2)  $Aut_X(A)$  and  $AUT_X(A)$  are groups where the product of maps means the composition of maps.

LEMMA 2. Let  $A = (M, X, \delta)$  be an automaton. For any  $f^{\alpha}, g^{\beta}, h^{\gamma} \in \text{END}_X(A)$ , we define two relations and operations on  $\text{END}_X(A)$  as follows:

$$(f^{\alpha}, g^{\beta}) \in \sigma_E \iff f = g$$

$$(f^{\alpha}, g^{\beta}) \in \tau_E \iff \alpha = \beta$$

$$(f^{\alpha}, g^{\beta})h^{\gamma} = (f^{\alpha}h^{\gamma}, g^{\beta}h^{\gamma}) \text{ and } h^{\gamma}(f^{\alpha}, g^{\beta}) = (h^{\gamma}f^{\alpha}, h^{\gamma}g^{\beta}).$$

Then  $\sigma_E$  and  $\tau_E$  are congruences relations on  $END_X(A)$ .

*Proof.* We will show that  $\tau_E$  is a congruence relation on  $\mathrm{END}_X(A)$ . It is easy to show that  $\tau_E$  is an equivalence relation. To show  $\tau_E$  is a congruence relation, let  $(f^\alpha, g^\alpha) \in \tau_E$ . For any  $h^\beta \in \mathrm{END}_X(A)$ ,  $(f^\alpha, g^\alpha)h^\beta = (f^\alpha h^\beta, g^\alpha h^\beta) = ((fh)^{\alpha\beta}, (gh)^{\alpha\beta}) \in \tau_E$  and  $h^\beta(f^\alpha, g^\alpha) = (h^\beta f^\alpha, h^\beta g^\alpha) = ((hf)^{\beta\alpha}, (hg)^{\beta\alpha}) \in \tau_E$ . Similarly, it is easy to show that  $\sigma_E$  is a congruence relation.

NOTE. (1)  $\operatorname{AUT}_X(A) \leq \operatorname{END}_X(A)$  and  $\sigma_A$  and  $\sigma_E$  are relations on  $\operatorname{AUT}_X(A)$  and  $\operatorname{END}_X(A)$  resp. (2) Similarly, for any  $f^{\alpha}, g^{\beta} \in \operatorname{AUT}_X(A)$  we can define two congruence relations on  $\operatorname{AUT}_X(A)$  as follows:

$$(f^{\alpha}, g^{\beta}) \in \sigma_A \iff f = g$$
  
 $(f^{\alpha}, g^{\beta}) \in \tau_A \iff \alpha = \beta.$ 

Then (1)  $\sigma_A$  and  $\tau_A$  are congruence relations on  $AUT_X(A)$ .

(2) 
$$\sigma_A \leq \sigma_E$$
,  $\tau_A \leq \tau_E$  and  $AUT_X(A)/\tau_A = AUT_X(A)/Aut_X(A)$ .

DEFINITION. Let  $A = (M, X, \delta)$  be an automaton. Let  $S = X^* - \{e\}$  and  $a \in S$ . (1)  $T_a : M \to M$  is called a right translation if  $T_a(m) = ma$  for all  $m \in M$ . (2) We define a congruence  $\mu_M \subset S \times S$  on S through  $(a,b) \in \mu_M \iff T_a = T_b$  for  $a,b \in S$ . (3) A (or M) is cyclic iff M = mS for some  $m \in M$ . Also, m is called a generator. (4) A (or M) is abelian iff m(st) = m(ts) for all  $m \in M$  and  $s,t \in S$ . (5) A (or M) is strongly connected iff every element of M is a generator. (6) A (or M) is perfect iff A is strongly connected and abelian (see Fleck [4]).

PROPOSITION 3. Let  $A = (M, X, \delta)$  be an automaton. Then the following conditions are equivalent:

- (1)  $\mu_M = O$  on X where O is the identity relation.
- (2) For all  $a, b \in X$ ,  $T_a = T_b \Longrightarrow a = b$ .
- (3)  $\sigma_A = O$  on  $AUT_X(A)$ .
- (4)  $\sigma_E = O$  on  $END_X(A)$  if A is perfect.

Proof. (1)  $\iff$  (2): Trivial. (2)  $\implies$  (3): Let  $(f^{\alpha}, f^{\beta}) \in \sigma_A$ . Since  $f^{\alpha}, f^{\beta} \in \operatorname{AUT}_X(A), f(ma) = f(m)\alpha(a) = f(m)\beta(a)$  for all  $m \in M$  and  $a \in X$ . This means  $T_{\alpha(a)}(f(m)) = T_{\beta(a)}(f(m))$ . Since f is bijective,  $T_{\alpha(a)}(m) = T_{\beta(a)}(m)$  for all  $m \in M$ . So, we have  $T_{\alpha(a)} = T_{\beta(a)}$ . By

assumption,  $\alpha(a) = \beta(a)$  for all  $\alpha \in X$ . Hence  $\alpha = \beta$ . i.e.,  $\sigma_A = O$ . (3)  $\Longrightarrow$  (2): We define a map  $\alpha: X \to X$  given by  $\alpha(a) = b$ ,  $\alpha(b) = a$  and  $\alpha(t) = t$  for all  $t \in X - \{a,b\}$ . Then  $\alpha$  is bijective with  $\alpha(\alpha(a)) = a$  and  $\alpha(\alpha(b)) = b$ . Moreover,  $I^{\alpha} \in \operatorname{AUT}_X(A)$  (it is easy to show this, using  $T_a = T_b$ ) and  $(I^{\alpha}, I^{id}) \in \sigma_A$  where  $id: X \to X$  is the identity map. Since  $\sigma_A = O$ ,  $I^{\alpha} = I^{id}$ . Hence  $\alpha = id$ . This means that a = b. (2)  $\Longrightarrow$  (4): Let  $(f^{\alpha}, f^{\beta}) \in \sigma_E$ . Since  $f^{\alpha}, f^{\beta} \in \operatorname{END}_X(A)$ ,  $f(ma) = f(m)\alpha(a) = f(m)\beta(a)$  for all  $m \in M$  and  $a \in X$ . This implies  $T_{\alpha(a)}(f(m)) = T_{\beta(a)}(f(m))$ . Since M is perfect, from Lemma 1 of Park [1] and  $T_S = \operatorname{End}_S(M)$  we have  $T_{\alpha(a)} = T_{\beta(a)}$  where  $T_S = \{T_a: a \in S\}$ . By assumption,  $\alpha(a) = \beta(a)$  for all  $a \in X$ . Hence  $\alpha = \beta$ . i.e.,  $\sigma_E = O$ . (4)  $\Longrightarrow$  (2): Clear from  $\sigma_A \leq \sigma_E = O$ .

DEFINITION. The automaton A is called *faithful* if one of the equivalent statements of Proposition 3 is satisfied (see Puskas [2]).

NOTE. For a set X, let  $\alpha: X \to X$  be a map and let  $\alpha^*: X^* \to X^*$  be the map defined by  $\alpha^*(e) = e$  (empty string) and  $\alpha^*(a_1a_2a_3 \cdots a_n) = \alpha(a_1)\alpha(a_2)\alpha(a_3)\cdots\alpha(a_n)$  for all  $a_1a_2a_3\cdots a_n \in X^* - \{e\}$ . Then the following statements hold:

- (1)  $\alpha^*$  is bijective if  $\alpha$  is bijective.
- (2)  $\alpha^*$  is a monoid homomorphism.

PROPOSITION 4. Let  $A = (M, X, \delta)$  be an automaton. Let  $T_X = \{T_a : a \in X\}$  and let  $\langle T_X \rangle$  be the semigroup generated by  $T_X$ . Then  $S/\mu_M \cong \langle T_X \rangle$  where  $\cong$  means semigroup-isomorphic.

LEMMA 5. Let  $A = (M, X, \delta)$  be an automaton.

(1) If  $f^{\alpha} \in AUT_X(A)$ , then for any  $a, b \in S$ ,

$$(a,b) \in \mu_M \iff (\alpha^*(a),\alpha^*(b)) \in \mu_M$$

(2) If  $f^{\alpha} \in \text{END}_X(A)$  and A is perfect, then for any  $a, b \in S$ ,

$$(a,b) \in \mu_M \Longrightarrow (\alpha^*(a),\alpha^*(b)) \in \mu_M$$

*Proof.* For (1),

$$(a,b) \in \mu_{M} \iff T_{a} = T_{b} \iff T_{a}(m) = T_{b}(m) \text{ for all } m \in M$$

$$\iff ma = mb \iff f(ma) = f(mb)$$

$$\iff f(m)\alpha^{*}(a = f(m)\alpha^{*}(b)$$

$$\iff T_{\alpha^{*}(a)}(f(m)) = T_{\alpha^{*}(b)}(f(m)) \iff T_{\alpha^{*}(a)} = T_{\alpha^{*}(b)}$$

$$\iff (\alpha^{*}(a), \alpha^{*}(b)) \in \mu_{M}.$$

For (2),

$$(a,b) \in \mu_{M} \iff T_{a} = T_{b} \iff T_{a}(m) = T_{b}(m) \text{ for all } m \in M$$

$$\iff ma = mb \implies f(ma) = f(mb)$$

$$\iff f(m)\alpha^{*}(a) = f(m)\alpha^{*}(b)$$

$$\iff T_{\alpha^{*}(a)}(f(m)) = T_{\alpha^{*}(b)}(f(m)) \iff T_{\alpha^{*}(a)} = T_{\alpha^{*}(b)}$$

$$\iff (\alpha^{*}(a), \alpha^{*}(b)) \in \mu_{M}.$$

LEMMA 6. Let  $A=(M,X,\delta)$  be a perfect automaton and let  $\alpha,\beta:X\to X$  be maps. Let  $\Pi_{\alpha}$  and  $\Pi_{\beta}$  be maps defined by  $\Pi_{\alpha}([a])=[\alpha^*(a)]$  and  $\Pi_{\beta}([a])=[\beta^*(a)]$  for  $a\in S$  respectively where  $[\ ]=[\ ]\mu_M$ . Then for any  $f^{\alpha},q^{\beta}\in \mathrm{END}_X(A)$  the following statements hold:

- (1)  $\Pi_{\alpha}$  and  $\Pi_{\beta}$  are endomorphisms.
- (2)  $\Pi_{\beta\alpha} = \Pi_{\beta}\Pi_{\alpha}$ .
- (3)  $\Pi_{\alpha} = \Pi_{\beta} \iff \alpha = \beta$  if A is faithful where the product of maps means the composition of maps.

Proof. We note that  $\Pi_{\alpha}$  and  $\Pi_{\beta}$  are well-defined from lemma 5(2). For (1) and (2), it is easy to check them. For (3), for every  $t \in X$ ,  $\Pi_{\alpha}([t]) = \Pi_{\beta}([t])$ . This implies  $[\alpha^*(t)] = [\beta^*(t)]$ . Hence  $(\alpha^*(t), \beta^*(t)) \in \mu_M$ . Since  $t \in X$ ,  $\alpha^*(t) = \alpha(t)$  and  $\beta^*(t) = \beta(t)$ . Moreover,  $(\alpha(t), \beta(t)) \in \mu_M \iff T_{\alpha(t)} = T_{\beta(t)}$ . Since A is faithful, we can conclude that  $T_{\alpha(t)} = T_{\beta(t)} \implies \alpha(t) = \beta(t)$ . i.e.,  $\alpha = \beta$ . The converse is trivial.

COROLLARY 6.1. Let  $A = (M, X, \delta)$  be an automaton. Let  $\alpha, \beta$ :  $X \to X$  be bijective. Then for any  $f^{\alpha}, g^{\beta} \in AUT_X(A)$  the following statements hold:

- (1)  $\Pi_{\alpha}$  and  $\Pi_{\beta}$  are semigroup-automorphisms
- (2)  $\Pi_{\beta\alpha} = \Pi_{\beta}\Pi_{\alpha}$ .
- (3)  $\Pi_{\alpha} = \Pi_{\beta} \iff \alpha = \beta \text{ if } A \text{ is faithful.}$

RECALL. Let S and T be semigroups. Let  $f: S \to T$  be a homomorphism. The Kernel of f is the set Ker f of all the elements of  $S \times S$  which are carried by f onto the same element of T. That is, Ker  $f = \{(a, b) \in S \times S : f(a) = f(b)\}.$ 

LEMMA 7. Let  $A = (M, X, \delta)$  be a perfect automaton and let  $\operatorname{End}(S/\mu_M)$  be the set of all endomorphisms (not X-endomorphisms) on  $S/\mu_M$ . Let  $h : \operatorname{END}_X(A) \to \operatorname{End}(S/\mu_M)$  be a map defined by  $h(f^{\alpha}) = \Pi_{\alpha}$ . Then

- (1) h is a homomorphism.
- (2) Ker  $h = \tau_E$  if A is faithful.

*Proof.* (1) is trivial. For (2), Ker  $h = \{(f^{\alpha}, g^{\beta}) : h(f^{\alpha}) = h(g^{\beta})\}$ . Now, from  $h(f^{\alpha}) = h(g^{\beta})$  we have  $\Pi_{\alpha} = \Pi_{\beta}$ . By Lemma 6(3),  $\alpha = \beta$ . Hence Ker  $h = \tau_E$ .

LEMMA 8. Let  $A = (M, X, \delta)$  be an automaton and let  $\operatorname{Aut}(S/\mu_M)$  be the set of all automorphisms (not X-automorphisms) on  $S/\mu_M$ . Let  $h: \operatorname{AUT}_X(A) \to \operatorname{Aut}(S/\mu_M)$  be a map defined by  $h(f^{\alpha}) = \Pi_{\alpha}$ . Then

- (1) h is a group-homomorphism.
- (2) Ker  $h = Aut_X(M)$  if A is faithful.

*Proof.* (1) is trivial. For (2), Ker  $h = \{f^{\alpha} \in AUT_X(A) : h(f^{\alpha}) = I \text{ (identity map)}\}$ . Now, from  $\Pi_{\alpha} = I$  we have  $\Pi_{\alpha}([a]) = [a]$  for all  $a \in X$ . This implies  $[\alpha^*(a)] = [\alpha(a)] = [a]$ . So, we have  $[\alpha(a)] = [a] \iff (\alpha(a), a) \in \mu_M \iff T_{\alpha(a)} = T_\alpha \implies \alpha(a) = a$  for all  $a \in X$ . Hence  $\alpha = id$  and Ker  $h = Aut_X(M)$ .

From Lemma 7 and Lemma 8 we can obtain the following proposition.

PROPOSITION 9. Let  $A = (M, X, \delta)$  be a faithful automaton. Then

- (1) the factor group  $\operatorname{AUT}_X(A)/\operatorname{Aut}_X(A)$  is isomorphic to a subgroup of  $\operatorname{Aut}(S/\mu_M)$ .
- (2)  $\text{END}_X(A)/\tau_E$  is isomorphic to a submonoid of  $\text{End}(S/\mu_M)$  if A is perfect.

DEFINITION. Let  $A = (M, X, \delta)$  be an automaton. Let  $\Omega_M = \{f : M \to M \text{ is a transformation map}\}$ . i.e., the semigroup of all arbitrary maps of M into M. (1) We define the *centralizer*  $C(T_X)$  and the *normalizer*  $N(T_X)$  of  $T_X$  in  $\Omega_M$  as follows:

$$C(T_X) = \{ f \in \Omega_M : T_a f = f T_a \text{ for all } T_a \in T_X \}$$

$$N(T_X) = \{ f \in \Omega_M : T_X f = f T_X \}.$$

(2) We define the permutation centralizer (briefly p – centralizer)  $C_p(T_X)$  and the permutation normalizer (briefly p-normalizer)  $N_p(T_X)$  of  $T_X$  as follows:

$$C_p(T_X) = C(T_X) \cap S_M$$
 and  $N_p(T_X) = N(T_X) \cap S_M$ 

where  $S_M$  is the symmetric group over M (see Puscas [2]).

NOTE.  $N(T_X)$  is a monoid and  $C(T_X) \leq N(T_X)$  (a submonoid of  $N(T_X)$ ).

LEMMA 10. Let  $A = (M, X, \delta)$  be a faithful automaton. Let  $f \in N_p(T_X)$ . Then for any  $T_a \in T_X$  there is a unique  $T_b \in T_X$  such that  $fT_b = T_a f$  (or  $fT_a = T_b f$ ).

**Proof.** Suppose there is another  $T_c \in T_X$  such that  $T_a f = f T_c$ . Then  $fT_b = fT_c$  and  $fT_b(m) = fT_c(m)$  for all  $m \in M$ . This implies that f(mb) = f(mc). Since f is 1-1, mb = mc. This means that  $T_b(m) = T_c(m)$  for all  $m \in M$ . i.e.,  $T_b = T_c$ . Hence b = c.

LEMMA 11. Let  $A = (M, X, \delta)$  be an automaton. Then

- (1)  $\operatorname{End}_X(M) = C(T_X)$  and  $\operatorname{Aut}_X(M) = C_p(T_X)$ .
- (2)  $C_p(T_X)$  is a normal subgroup of  $N_p(T_X)$ .

Proof. For the first part of (1),  $\operatorname{End}_X(M) \subset C(T_X)$ : For any  $f \in \operatorname{End}_X(M)$ , it is enough to show that  $fT_a = T_a f$  for all  $T_a \in T_X$ . To do this, choose any  $m \in M$ . Then  $fT_a(m) = f(ma) = f(m)a = T_a f(m)$ . Hence it holds. Similarly, the converse can be shown easily. The second part of (1) follows from the first part of (1). For (2), for any  $f \in N_p(T_X)$ ,  $g \in C_p(T_X)$  and  $T_a \in T_X$ ,  $T_a f g f^{-1} = f T_b g f^{-1}$  for some  $T_b \in T_X = f g T_b f^{-1} = f g f^{-1} T_a$ .

PROPOSITION 12. Let  $A = (M, X, \delta)$  be a faithful automaton. Then the following statements hold:

- (1)  $\operatorname{AUT}_X(A) = N_p(T_X)$ .
- (2)  $N_p(T_X)/C_p(T_X) \cong \text{a subgroup of } \operatorname{Aut}(S/\mu_M).$
- (3)  $\operatorname{Aut}_X(A)$  is a normal subgroup of  $\operatorname{AUT}_X(A)$ .

*Proof.* For (1),  $AUT_X(A) \subset N_p(T_X)$ : To prove this, choose any  $f \in$  $AUT_X(A)$  and let f be an  $\alpha X$ -automorphism. Then we have f(ma) = $f(m)\alpha(a)$  for all  $m \in M$  and  $a \in X$ . This means that  $f[T_a(m)] =$  $T_{\alpha(a)}[f(m)]$ . Also, this implies that  $fT_a = T_{\alpha(a)}f$ . Hence since  $\alpha$  is bijective,  $fT_X = T_X f$ . AUT<sub>X</sub>(A)  $\supset N_p(T_X)$ : By Lemma 10, for any  $f \in N_p(T_X)$  and  $T_a \in T_X \exists ! T_b \in T_X$  such that  $fT_a = T_b f$ . Let  $\alpha: X \to X$  be a map defined by  $\alpha(a) = b$  with  $fT_a = T_b f$ . Claim:  $\alpha$ is bijective. (i)  $\alpha$  is well-defined: To prove this, let t = u for  $t, u \in X$ . By Lemma 10, for  $T_t$  and  $T_u \exists ! T_c, T_d \in T_X$  such that  $fT_t = T_c f$  and  $fT_u = T_d f$ . This implies  $T_c f = T_d f$ . Hence  $T_c = T_d$ . So, we have c=d since X is reduced. Thus,  $\alpha(t)=c=d=\alpha(u)$ . (ii)  $\alpha=1-1$ : Suppose  $\alpha(t) = \alpha(u)$ . Let  $\alpha(t) = c$  with  $fT_t = T_c f$  and let  $\alpha(u) = d$ with  $fT_u = T_d f$ . Then from  $c = d fT_t = fT_u$ . Hence  $T_t = T_u$ . Thus, we have t = u. (iii)  $\alpha$  is onto: For any  $b \in X$ , consider  $T_b \in T_X$ . By Lemma 10  $\exists ! T_a \in T_X$  such that  $T_b f = f T_a$ . Hence  $\exists a \in X$  such that  $\alpha(a) = b$  with  $fT_a = T_b f$ .

Now, we will show that f is an  $\alpha X$ -homomorphism. For any  $m \in M$  and  $a \in X$ ,

$$f(m)\alpha(a) = f(m)b$$
 with  $fT_a = T_b f$   
=  $T_b f(m) = fT_a(m) = f(ma)$ .

(2) follows from Proposition 9 and Lemma 11. (3) follows from Lemma 11(2).

NOTATION. Let  $A = (M, X, \delta)$  be an automaton and  $\alpha : S \to S$  be a map. For  $m, q \in M$ ,  $H_{m\alpha q} = \{a \in S : m\alpha(a) = q\}$  and  $H_{mq} = \{a \in S : ma = q\}$ .

The following lemma is a generalization of Lemma 18 of Park [1].

LEMMA 13. Let  $A = (M, X, \delta_A)$  and  $B = (N, X, \delta_B)$  be automata. Let  $m \in M$  be a fixed element and let  $\alpha : S \to S$  be a map. If  $f : M \to N$  is any map, then the following statements hold:

- (1) If  $f(mt) = f(m)\alpha(t)$  for all  $t \in S$ , then  $H_{mq} \subset H_{f(m)\alpha f(q)}$  for all  $q \in M$ .
- (2) If  $H_{mq} \subset H_{f(m)\alpha f(q)}$  for some  $q \in M$ , then  $f(mt) = f(m)\alpha(t)$  for all  $t \in H_{mq}$ .
- (3)  $f(mt) = f(m)\alpha(t)$  for all  $t \in H_{mq} \iff H_{mq} \subset H_{f(m)\alpha f(q)}$  for all  $q \in M$ .
- (4) Assume M is strongly connected. Then  $f(mt) = f(m)\alpha(t)$  for all  $t \in S \iff H_{mq} \subset H_{f(m)\alpha f(q)}$  for all  $q \in M$ .

Proof. For (1), for every  $a \in H_{mq}$  we have ma = q. This implies  $f(q) = f(ma) = f(m)\alpha(a)$ . Hence  $a \in H_{f(m)\alpha f(q)}$ . For (2), for every  $t \in H_{mq}$  we have mt = q and also, since  $t \in H_{f(m)\alpha f(q)}$ , we have  $f(m)\alpha(t) = f(q)$ . This implies  $f(m)\alpha(t) = f(mt)$ . (3) is clear from (1) and (2). For (4), suppose M is strongly connected. Then we have M = mS. So, for every  $t \in S$ , we have k = mt for some  $k \in M$ . This implies  $t \in H_{mk} \subset H_{f(m)\alpha f(k)}$ . Thus,  $f(m)\alpha(t) = f(k)$ . Hence  $f(mt) = f(k) = f(m)\alpha(t)$ . The converse is clear from (1).

The following proposition is a generalization of Proposition 19 of Park [1].

PROPOSITION 14. Let  $A = (M, X, \delta_A)$  and  $B = (N, X, \delta_B)$  be automata. Let  $f: M \to N$  and  $\alpha: S \to S$  be maps. Then the following statements are equivalent:

- (1)  $f^{\alpha}: A \to B$  is an  $\alpha S$ -homomorphism.
- (2)  $H_{mq} \subset H_{f(m)\alpha f(q)}$  for any  $m, q \in M$ .
- (3)  $f(qs) = f(q)\alpha(s)$  for some  $q \in M$  and all  $s \in S$  if M is strongly connected and  $\alpha$  is a semigroup-homomorphism.
- *Proof.* (1)  $\Longrightarrow$  (2): For all  $m \in M$  and  $t \in S$ ,  $f(mt) = f(m)\alpha(t)$ . Hence it holds by Lemma 13(1). (2)  $\Longrightarrow$  (1): To show  $f(mt) = f(m)\alpha(t)$  for all  $m \in M$  and  $t \in S$ , we recall  $S = \bigcup_{q \in M} H_{mq}$  (see Proposition 11 of
- Park [1]). Now, for any  $t \in S$ , we have  $t \in H_{mq}$  for some  $q \in M$ . By the assumption,  $t \in H_{mq} \subset H_{f(m)\alpha f(q)}$ . Hence it holds from (2) of Lemma 13. (2)  $\Longrightarrow$  (3): Since M is strongly connected, we have M = qS for some  $q \in M$ . This means that for any  $s \in S$  there is an  $k \in M$  such that k = qs. This implies  $s \in H_{qk} \subset H_{f(q)\alpha f(k)}$  by the assumption.

Hence  $f(q)\alpha(s) = f(k) = f(qs)$ . (3)  $\Longrightarrow$  (1): We have M = qS from the strong connectedness of M. This implies that for any  $m \in M$  there is an  $a \in S$  such that m = qa. So, we have ms = (qa)s. Hence for any  $m \in M$  and  $s \in S$  we have  $f(ms) = f(qa)s = f(qa)s = f(q)\alpha(as) = f(q)\alpha(as) = f(qa)s = f(qa)s = f(qa)s$ .

COROLLARY 14.1. Let  $A = (M, X, \delta)$  be an automaton. Then  $f^{\alpha}$ :  $M \to M$  is an  $\alpha S$ -automorphism  $\iff f$  and  $\alpha$  are permutations on M and S respectively and  $H_{mq} \subset H_{f(m)\alpha f(q)}$  for any  $m, q \in M$ .

The following lemma is a generalization of Lemma 1 of Park [1].

LEMMA 15. Let  $A = (M, X, \delta_A)$  and  $B = (N, X, \delta_B)$  be automata. Let  $HOM_S(A, B)$  be the set of all  $\alpha S$ -homomorphisms of A into B for all  $\alpha$ 's where  $\alpha : S \to S$  is a map. If A is strongly connected, then for every  $f^{\alpha}, g^{\beta} \in HOM_S(A, B), f^{\alpha} = g^{\beta} \iff \alpha = \beta$  and f(p) = g(p) for some  $p \in M$ .

*Proof.* To show f(m)=g(m) for all  $m\in M$ , from the strong connectedness of A we have M=qS for all  $q\in M$ . This implies that M=pS. So, for every  $m\in M$ , m=pt for some  $t\in S$ . Hence  $f(m)=f(pt)=f(p)\alpha(t)=g(p)\beta(t)=g(pt)=g(m)$ . The converse is trivial.

NOTE. If  $f^{\alpha} \in \text{AUT}_{S}(M)$ , then  $(f^{n})^{\alpha^{n}} \in \text{AUT}_{S}(M)$  for any nonnegative integer n where  $f^{n} = fff \cdots f$  (n times) and the product means the composition of f's.

DEFINITION. Let  $A = (M, X, \delta)$  be an automaton. Then we say that a mapping  $\alpha : S \to S$  is an *M-homomorphism* if  $m\alpha(a) = ma$  for all  $m \in M$  and  $a \in S$ . We recall that f is a regular permutation on a set M if f is a permutation on M and for every power, say  $f^n$ , of f, it is the case that  $f^n(p) = p$  for some  $p \in M$  implies  $f^n = 1$ .

PROPOSITION 16. Let  $A = (M, X, \delta)$  be strongly connected and let  $f^{\alpha} \in AUT_{S}(M)$ . Then f is a regular permutation on M if  $\alpha : S \to S$  is an M-homomorphism.

*Proof.* Suppose that for any  $n \in N$ ,  $f^n(x) = x$  for some  $x \in M$ . Claim:  $f^n = I$  (identity). (Proof). Since  $f^{\alpha} \in AUT_S(M)$ ,  $(f^n)^{\alpha^n} \in AUT_S(M)$ . So, this implies  $(f^n)^{\alpha^n} \in END_S(M)$ . Also,  $I^{\alpha n} \in END_S(M)$ . We will show this. For all  $m \in M$  and  $a \in S$ ,  $I(ma) = ma = m\alpha(a) = I(m)\alpha(a)$ . This implies  $I^{\alpha} \in \operatorname{AUT}_{S}(M)$  and  $(I^{n})^{\alpha^{n}} \in \operatorname{AUT}_{S}(M)$ . Since  $I^{n} = I$ , we have  $I^{\alpha^{n}} \in \operatorname{AUT}_{S}(M)$ . Hence  $I^{\alpha^{n}} \in \operatorname{END}_{S}(M)$ . From Lemma 15, we can conclude  $f^{n} = I$ .

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