

## ON THE UNIPOTENT RADICALS OF PARABOLIC SUBGROUPS IN CHEVALLEY GROUPS

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### 1. Introduction

Let  $(E, \Phi, \Delta)$  be a root system of rank  $\ell$  where  $E$  is a Euclidean space,  $\Phi$  is the set of roots and  $\Delta = \{\alpha_1, \dots, \alpha_\ell\}$  is a set of simple roots. Let  $I = \{1, \dots, \ell\}$  and  $J$  be a subset of  $I$ . Consider any type of Chevalley group  $G$ , over an arbitrary field  $F$ , defined by a complex semisimple Lie algebra with the root system  $\Phi$  (see [7]). Then  $G$  is generated by the elements  $x_\alpha(t)$  where  $\alpha$  runs over  $\Phi$  and  $t$  runs over  $F$ . Let  $P_J$  be the parabolic subgroup of  $G$  corresponding to  $J$  (see [1, §2.1]). Then we have a Levi decomposition  $P_J = L_J U_J$  with a Levi subgroup  $L_J$  of  $P_J$  and the unipotent radical  $U_J$  of  $P_J$ . The unipotent radical  $U_J$  is a subgroup of  $P_J$  generated by  $\{x_\alpha(t) | \alpha \in \Phi^+ - \Phi_J, t \in F\}$  where  $\Phi^+$  is the set of all positive roots and  $\Phi_J$  is the subsystem of  $\Phi$  spanned by  $\{\alpha_j | j \in J\}$  ([1, Proposition 2.6.4]).

The structure of  $U_J$  and the automorphism group of  $U_J$  has been studied by Gibbs([2]) when  $J = \emptyset$ , Khor([6]) when  $\Phi$  is of type  $A_\ell$  and Im([4] and [5]) when  $\Phi$  is of type  $B_\ell, C_\ell$  or  $D_\ell$ . In these cases Khor and Im showed that  $\Phi^+ - \Phi_J$  can be partitioned into blocks of roots and the set of these blocks can be identified with some (not necessarily reduced) root system of rank  $\ell - |J|$ . However the works of Khor and Im strongly depend on the ordinary matrix representation of  $G$  so that they had to restrict themselves to special types of  $G$ , for example  $SL_{\ell+1}(F)$  or  $Sp_{2\ell}(F)$ .

In this paper, we study the structure of  $U_J$  when  $\Phi$  is a simply-laced root system, that is, of type  $A, D$  and  $E$ . We consider  $W_J$ -orbits of  $\Phi^+ - \Phi_J$ , where  $W_J$  is the subgroup of the Weyl group  $W$  generated by  $\{s_j | j \in J\}$  and  $s_j$  is the simple reflection corresponding to the simple root

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$\alpha_j$ . We show that the set of  $W_J$ -orbits of  $\Phi^+ - \Phi_J$  can be identified with a subset of the lattice  $(\mathbb{Z}^+)^{\ell-|J|}$  containing the standard unit vectors. We also show that the addition of  $W_J$ -orbits (see Definition 3.2) can be characterized by the addition of corresponding lattice points. Therefore we have an obvious notion of the height function (or the *level* function) in this orbit space. The notion of level function plays an important role when we study the automorphism group of  $U_J$  (see [2], [6] and [4]).

**2. The  $W_J$ -orbits of  $\Phi^+ - \Phi_J$**

As in the Section 1, let  $(E, \Phi, \Delta)$  be a simply-laced root system (see [3]) where  $E$  is a Euclidean space equipped with a positive definite scalar product  $(\cdot, \cdot)$  and  $\Delta = \{\alpha_1, \dots, \alpha_\ell\}$  is a set of simple roots. We denote by  $\Phi^+$  the set of positive roots in  $\Phi$ . Let  $W$  be the Weyl group of the root system  $\Phi$  generated by the simple reflections  $s_i, i = 1, \dots, \ell$ , corresponding to the simple roots  $\alpha_i$ . For  $x, y \in E$  we denote  $\langle x, y \rangle = 2(x, y)/(y, y)$ .

Let  $I = \{1, \dots, \ell\}$  and  $J$  be a subset of  $I$ . Let  $\Phi_J$  be the subsystem of  $\Phi$  spanned by  $\{\alpha_j | j \in J\}$  and  $W_J$  be the Weyl group of  $\Phi_J$ . Then  $W_J$  is the subgroup of  $W$  generated by  $\{s_j | j \in J\}$ . Since  $W_J$  acts on  $\Phi_J$  and  $s_j, j \in J$  permutes  $\Phi^+ - \{\alpha_j\}$  ([3, 10.2.B]),  $W_J$  acts on  $\Phi^+ - \Phi_J$ .

Since the case when  $J = I$  or  $J = \emptyset$  is trivial, we may assume  $J \neq I$  and  $J \neq \emptyset$ . Set  $I - J = \{i_1, \dots, i_n\}$ . For  $\alpha \in \Phi^+ - \Phi_J$ , we denote by  $[\alpha]$  the  $W_J$ -orbit containing  $\alpha$ .

Let  $n_1\alpha_1 + n_2\alpha_2 + \dots + n_\ell\alpha_\ell$  be the maximal positive root in  $\Phi$  ([3, 10.4.A]).

**DEFINITION 2.1.** For  $\alpha \in \Phi^+ - \Phi_J$  and  $\mathbf{A} = (a_1, \dots, a_n) \in \mathbb{Z}^n$ , we say that  $\alpha$  is of type  $\mathbf{A}$  if

$$\alpha = a_1\alpha_{i_1} + a_2\alpha_{i_2} + \dots + a_n\alpha_{i_n} + (\text{terms in } \alpha_j, j \in J).$$

We set  $\Lambda$  to be the set consisting of elements  $(a_1, \dots, a_n)$  in  $\mathbb{Z}^n$ , where  $0 \leq a_k \leq n_{i_k}$  for  $k = 1, \dots, n$ , such that there exists a root in  $\Phi^+ - \Phi_J$  of type  $(a_1, \dots, a_n)$ .

The main result of the present section is that the set of  $W_J$ -orbits of  $\Phi^+ - \Phi_J$  is parameterized by  $\Lambda$ . In fact, we have the following.

**THEOREM 2.2.** *Let  $\alpha$  and  $\beta$  be in  $\Phi^+ - \Phi_J$ . Assume  $\alpha$  is of type **A** and  $\beta$  is of type **B** respectively. Then  $[\alpha] = [\beta]$  if and only if  $\mathbf{A} = \mathbf{B}$ .*

*Proof.* Suppose  $\mathbf{A} \neq \mathbf{B}$ . Then  $\beta$  cannot be a  $W_J$ -conjugate of  $\alpha$ , for the action of  $s_j$  possibly change the coefficients of  $\alpha_j$  for  $j \in J$ . Conversely, assume  $\mathbf{A} = \mathbf{B}$ . We have to show that  $\alpha$  is a  $W_J$ -conjugate of  $\beta$ . This is a result of the following three lemmas.

**LEMMA 2.3.** *Let  $\alpha - \beta = \alpha_j$  for some  $j \in J$ . Then  $s_j(\alpha) = \beta$ .*

*Proof.* Since we are assuming that  $\Phi$  is simply-laced,  $s_j(\alpha)$  is equal to  $\alpha$ ,  $\alpha + \alpha_j$  or  $\alpha - \alpha_j$  and  $s_j(\beta)$  is equal to  $\beta$ ,  $\beta + \alpha_j$  or  $\beta - \alpha_j$ . We find that  $s_j(\alpha) - s_j(\beta) = -\alpha_j$  is true only when  $s_j(\alpha) = \alpha - \alpha_j$  and  $s_j(\beta) = \beta + \alpha_j$ . This proves the lemma.

**LEMMA 2.4.** *Let  $\alpha - \beta = \alpha_{j_1} + \dots + \alpha_{j_t}$  for some  $j_1, \dots, j_t$  in  $J$  which are not necessarily distinct. Then  $s_{j_{\sigma(1)}} s_{j_{\sigma(2)}} \dots s_{j_{\sigma(t)}}(\alpha) = \beta$  for some permutation  $\sigma$  of  $\{1, \dots, t\}$ .*

*Proof.* We prove the lemma by the induction on  $t$ . There is nothing to prove if  $t = 1$  by the previous lemma and let us assume  $t \geq 2$ . Then it is enough to show that  $\beta + \alpha_{j_u}$  is a root for some  $u$ . Because if  $\beta + \alpha_{j_u}$  is a root then  $\alpha - (\beta + \alpha_{j_u})$  is a sum of  $t - 1$  simple roots and by the induction hypothesis  $\beta + \alpha_{j_u}$  is a  $W_J$ -conjugate of  $\alpha$ . Again by the previous lemma, we have  $s_{j_u}(\beta + \alpha_{j_u}) = \beta$  and thus our assertion can be shown. Now, on the contrary, suppose that  $(\beta, \alpha_{j_u}) \geq 0$  for all  $u = 1, \dots, t$ . Then  $(\beta, \alpha - \beta) \geq 0$  and hence  $(\alpha, \beta) \geq 2$ , which is a contradiction. Therefore  $(\beta, \alpha_{j_u}) < 0$  for some  $u$  and  $\beta + \alpha_{j_u}$  is a root.

**LEMMA 2.5.** *Let  $\alpha - \beta = \alpha_{j_1} + \dots + \alpha_{j_t} - \alpha_{j_{t+1}} - \dots - \alpha_{j_{t+s}}$  for some  $j_1, \dots, j_{t+s}$  in  $J$  which are not necessarily distinct but  $j_u \neq j_{t+v}$  for  $u = 1, \dots, t$  and  $v = 1, \dots, s$ . Then  $[\alpha] = [\beta]$ .*

*Proof.* We use the induction on  $t + s$ . If  $t + s = 1$ , our assertion is already proved in Lemma 2.3. If  $t + s \geq 2$ , we may assume  $t \geq 1$  and  $s \geq 1$  by Lemma 2.4. Using the similar argument as in the proof of Lemma 2.4, we can show that it is enough to prove that  $(\alpha, \alpha_{j_u}) > 0$  for some  $u = 1, \dots, t$  or  $(\alpha, \alpha_{j_{t+v}}) < 0$  for some  $v = 1, \dots, s$ . Suppose, on the contrary, that  $(\alpha, \alpha_{j_u}) \leq 0$  for all  $u = 1, \dots, t$  and  $(\alpha, \alpha_{j_{t+v}}) \geq 0$  for all  $v = 1, \dots, s$ . Then  $(\alpha - \beta, \alpha) \leq 0$  and thus  $0 < (\alpha, \alpha) \leq (\alpha, \beta)$ . This implies that  $\alpha - \beta$  is a root which is impossible.

This completes the proof of Theorem 2.2.

Theorem 2.2 implies that the set of  $W_J$ -orbits of  $\Phi^+ - \Phi_J$  is in one-to-one correspondence with  $\Lambda$ .

### 3. The addition between $W_J$ -orbits

We begin with the following interesting observation.

**PROPOSITION 3.1.** *Let  $\alpha$  be a root of type A in  $\Phi^+ - \Phi_J$ . Assume that  $\alpha$  has the maximal height among roots of type A. Then for any root  $\beta$  of type A,  $\alpha - \beta$  is a sum of simple roots  $\alpha_j$  for  $j \in J$ . Therefore such  $\alpha$  is uniquely determined.*

*Proof.* The uniqueness follows easily from the first statement. Suppose  $(\alpha_j, \alpha) < 0$  for some  $j \in J$ . Then  $\alpha + \alpha_j$  is a root but this is impossible for  $\alpha$  has the maximal height. Thus we have  $(\alpha_j, \alpha) \geq 0$  for all  $j \in J$ . Now let  $\beta = w(\alpha)$  for some  $w \in W_J$ . Write  $w = s_{j_1} \cdots s_{j_m}$  where  $j_1, \dots, j_m$  are in  $J$ . We show that  $\alpha - \beta$  is a sum of simple roots  $\alpha_j$  for  $j \in J$  by the induction on  $m$ . Since  $s_j(\alpha) = \alpha$  or  $\alpha - \alpha_j$  for all  $j \in J$ , we can easily verify our assertion when  $m = 1$  or  $2$ . So let us assume  $m \geq 3$ . If  $s_{j_m}(\alpha) = \alpha$ , then the induction hypothesis completes the proof. If  $s_{j_m}(\alpha) = \alpha - \alpha_{j_m}$ , then again by the induction hypothesis, we may assume that  $s_{j_1} \cdots s_{j_{m-1}}(\alpha_{j_m})$  is a negative root. In this case, by [3, 10.2.C], we have

$$s_{j_1} \cdots s_{j_{m-1}} = s_{j_1} \cdots s_{j_{t-1}} s_{j_{t+1}} \cdots s_{j_m}$$

for some  $t = 1, \dots, m - 1$ . Thus we have

$$w(\alpha) = s_{j_1} \cdots s_{j_{t-1}} s_{j_{t+1}} \cdots s_{j_{m-1}}(\alpha)$$

and the induction hypothesis applies.

By the above proposition, the root of maximal height of given type is well-defined. By the same argument, we can also show that there is a unique root of minimal height of given type.

Now, we define the addition between the  $W_J$ -orbits in  $\Phi^+ - \Phi_J$ .

**DEFINITION 3.2.** For  $\alpha, \beta$  and  $\gamma$  in  $\Phi^+ - \Phi_J$ , we say  $[\alpha] + [\beta] = [\gamma]$  if there exist  $\alpha' \in [\alpha]$  and  $\beta' \in [\beta]$  such that  $\alpha' + \beta' \in [\gamma]$ .

We note that the addition of two orbits is not always defined.

We consider  $\Lambda$  as a subset of the additive group  $\mathbb{Z}^n$ . The following result shows that the addition of  $W_J$ -orbits can be characterized by the addition in  $\Lambda$ .

**THEOREM 3.3.** Let **A** and **B** be (not necessarily distinct) elements of  $\Lambda$  such that  $\mathbf{A} + \mathbf{B}$  is also an element of  $\Lambda$ . Let  $\alpha$  be the root of type **A** with minimal height and let  $\beta$  be the root of type **B** with maximal height. Then  $\alpha + \beta$  is a root.

The following corollary is clear from the above theorem.

**COROLLARY 3.4.** Let  $\alpha, \beta$  and  $\gamma$  be roots of type **A**, **B** and **C** respectively. Then  $[\alpha] + [\beta] = [\gamma]$  if and only if  $\mathbf{A} + \mathbf{B} = \mathbf{C}$ .

*Proof of Theorem 3.3.* We set  $\alpha = \gamma_1$  and  $\beta = \gamma_{-1}$ . Suppose that  $\gamma_1 + \gamma_{-1} \notin \Phi$  but

$$\gamma = \gamma_1 + \gamma_{-1} + \sum_{j \in J_1} x_j \alpha_j - \sum_{j \in J_{-1}} x_j \alpha_j,$$

is a root, where  $J_1$  and  $J_{-1}$  are disjoint subsets of  $J$  and  $x_j$  are positive integers. We choose  $\gamma$  such that the positive integer

$$\sum_{j \in J_1 \cup J_{-1}} x_j$$

is minimal. We will show that this leads to a contradiction.

If  $\langle \gamma, \alpha_j \rangle > 0$  for some  $j \in J_1$ , then  $\gamma - \alpha_j \in \Phi$  but this is impossible because of the minimality of  $\gamma$ . Thus we may assume  $\langle \gamma, \alpha_j \rangle \leq 0$  for all  $j \in J_1$  and similarly  $\langle \gamma, \alpha_j \rangle \geq 0$  for all  $j \in J_{-1}$ . We also note that  $\langle \gamma_1, \alpha_j \rangle \leq 0$  and  $\langle -\gamma_{-1}, \alpha_j \rangle \leq 0$  for all  $j \in J$  by Proposition 3.1. For  $j \in J_1 \cup J_{-1}$ , we can write

$$\langle \gamma, \alpha_j \rangle = \langle \gamma_1, \alpha_j \rangle + \langle \gamma_{-1}, \alpha_j \rangle + y_j,$$

where  $y_j$  is a  $\mathbb{Z}$ -linear combination of  $\{x_j | j \in J_1 \cup J_{-1}\}$  which depends on the location of  $\alpha_j$  in the Dynkin diagram of  $\{\alpha_j | j \in J_1 \cup J_{-1}\}$ . By the preceding remarks, we find that

$$-2 \leq \epsilon y_j \leq 1 \quad \text{for } j \in J_\epsilon \text{ and } \epsilon \in \{-1, 1\}.$$

We also note that if  $j \in J_\epsilon$  then  $\epsilon y_j = 1$  implies  $\langle \epsilon \gamma_\epsilon, \alpha_j \rangle = -1$  for  $\epsilon \in \{-1, 1\}$ . We choose  $j_1 \in J_1 \cup J_{-1}$  such that there is at most one  $\alpha_j$  ( $j_1 \neq j \in J_1 \cup J_{-1}$ ) which is not perpendicular to  $\alpha_{j_1}$ . This is possible, since the Dynkin diagram does not contain a cycle.

Since  $y_j, j \in J_1 \cup J_{-1}$ , is determined by the connected component of  $\alpha_j$  in the Dynkin diagram of  $\{\alpha_j | j \in J_1 \cup J_{-1}\}$ , we let  $\mathcal{C}$  be the connected component of  $\alpha_{j_1}$  in the Dynkin diagram of  $\{\alpha_j | j \in J_1 \cup J_{-1}\}$ . We consider the following four possibilities for  $\mathcal{C}$ . In the following description of  $\mathcal{C}$ , the number  $k$  on a vertex represents the simple root  $\alpha_{j_k}$  ( $j_k \in J_1 \cup J_{-1}$ ). We shall assume that the numbering of vertices in the Dynkin diagram is

- (a) that of [3, p.58] for types  $A_m, E_6, E_7$  and  $E_8$ ,
- (b) that of [3, p.58] in the reverse order for type  $D_m$ .

For the simplicity, we set  $\alpha_{j_1} = a_1, \alpha_{j_k} = a_k, x_{j_k} = X_k$  and  $y_{j_k} = Y_k$ . We say  $a_k \in J_\epsilon$  if  $j_k \in J_\epsilon$ . We define  $\epsilon_k = 1$  if  $a_k \in J_1$  and  $\epsilon_k = -1$  if  $a_k \in J_{-1}$ .

CASE I.  $\mathcal{C} = A_m, m \geq 1$ .

If  $m = 1$ , then  $\epsilon_1 Y_1 = 2X_1 \geq 2$  which is absurd. Thus we assume  $m \geq 2$ . First, we assume that  $\epsilon_k = \epsilon$  for all  $k = 1, \dots, m$ . Then we have the following system of linear inequalities:

$$\begin{aligned} -2 &\leq \epsilon Y_1 = 2X_1 - X_2 &\leq 1 \\ -2 &\leq \epsilon Y_s = -X_{s-1} + 2X_s - X_{s+1} &\leq 1 \quad (s = 2, \dots, m-1) \\ -2 &\leq \epsilon Y_m = -X_{m-1} + 2X_m &\leq 1 \end{aligned}$$

Summing up all the middle terms in the above system of inequalities, we get

$$\sum_{k=1}^m \epsilon Y_k = X_1 + X_m \geq 2.$$

Hence  $\varepsilon Y_k$  are equal to 1 for at least two values of  $k$ , say  $\varepsilon Y_s = 1 = \varepsilon Y_t$ . Then, as we have seen above,  $\langle \varepsilon \gamma_\varepsilon, a_s \rangle = -1 = \langle \varepsilon \gamma_\varepsilon, a_t \rangle$ . Considering the Dynkin diagram of  $\{\varepsilon \gamma_\varepsilon, a_1, \dots, a_m\}$ , we conclude that this is absurd. (See the proof of the classification theorem of Dynkin diagrams, for example, [3, pp. 58–63].) Next, we assume that  $\varepsilon_k$  are not all same. We observe that if  $\varepsilon_1 \neq \varepsilon_2$  then  $\varepsilon_1 Y_1 = 2X_1 + X_2 \geq 3$  which is absurd. Hence we may assume  $\varepsilon_1 = \varepsilon_2$  and similarly we may assume  $\varepsilon_{m-1} = \varepsilon_m$ . We also observe that if  $\varepsilon_s \neq \varepsilon_{s-1} = \varepsilon_{s+1}$  for some  $s$  then  $\varepsilon_s Y_s = X_{s-1} + 2X_s + X_{s+1} \geq 4$  which is impossible. Thus we may assume that  $\varepsilon_k$  remain unchanged for more than two consecutive terms. In particular we must have  $m \geq 4$ . Now, if

$$\varepsilon_1 = \varepsilon_2 = \dots = \varepsilon_r \neq \varepsilon_{r+1} = \varepsilon_{r+2} = \dots,$$

then we have the following system of linear inequalities:

$$\begin{aligned} -2 &\leq \varepsilon_1 Y_1 &= 2X_1 - X_2 &\leq 1 \\ -2 &\leq \varepsilon_s Y_s &= -X_{s-1} + 2X_s - X_{s+1} &\leq 1 \quad (2 \leq s \leq r-1) \\ -2 &\leq \varepsilon_r Y_r &= -X_{r-1} + 2X_r + X_{r+1} &\leq 1 \\ -2 &\leq \varepsilon_{r+1} Y_{r+1} &= X_r + 2X_{r+1} - X_{r+2} &\leq 1 \\ &&&\vdots \\ -2 &\leq \varepsilon_m Y_m &= -X_{m-1} + 2X_m &\leq 1 \end{aligned}$$

Summing up all the middle terms, we get

$$\sum_{k=1}^m \varepsilon_k Y_k \geq X_1 + 2X_r + 2X_{r+1} + X_m \geq 6.$$

Therefore  $\varepsilon_k Y_k$  must be equal to 1 for at least six values of  $k$ , and this gives a contradiction if we consider the Dynkin diagram of  $\{\gamma_1, a_1, \dots, a_m\}$  or  $\{-\gamma_{-1}, a_1, \dots, a_m\}$ .

CASE II.  $\mathcal{C} = D_m, m \geq 4$ .

First, suppose that  $\varepsilon_k = \varepsilon$  for all  $k = 1, \dots, m$ . Then we have the following system of linear inequalities:

$$\begin{aligned} -2 &\leq \varepsilon Y_1 &= 2X_1 - X_3 &\leq 1 \\ -2 &\leq \varepsilon Y_2 &= 2X_2 - X_3 &\leq 1 \\ -2 &\leq \varepsilon Y_3 &= -X_1 - X_2 + 2X_3 - X_4 &\leq 1 \\ -2 &\leq \varepsilon Y_s &= -X_{s-1} + 2X_s - X_{s+1} &\leq 1 \quad (4 \leq s \leq m-1) \\ -2 &\leq \varepsilon Y_m &= -X_{m-1} + 2X_m &\leq 1 \end{aligned}$$

Note that the coefficient matrix of the above system is nothing but the Cartan matrix of the type  $D_m$ . Since the inverse of the Cartan matrix is known, we find that  $\varepsilon Y_t = 1$  for some  $t = 2, \dots, m-1$  if  $m \geq 5$ , and that  $\varepsilon Y_t = 1$  for at least two values of  $t$  if  $m = 4$ . But the Dynkin diagram of  $\{\varepsilon \gamma_\varepsilon, a_1, \dots, a_m\}$  gives a contradiction. Second, suppose that  $\varepsilon_k$  are not all same. In this case, we have already seen in Case I that  $\varepsilon_1 = \varepsilon_2 = \varepsilon_3$ . If  $\varepsilon_3 \neq \varepsilon_4$ , then by the same method as in the Case I we get

$$\sum_{k=1}^m \varepsilon_k Y_k \geq X_1 + X_2 + X_3 + X_m \geq 4$$

and this is impossible. Hence we may assume that  $\varepsilon_1 = \varepsilon_2 = \varepsilon_3 = \varepsilon_4$ . Then, again using the method of Case I, we get

$$\sum_{k=1}^m \varepsilon_k Y_k \geq X_1 + X_2 - X_3 + 2X_r + 2X_{r+1} + X_m$$

for some  $r \geq 4$ . Suppose that

$$\sum_{k=1}^m \varepsilon_k Y_k \leq 2.$$

Then, since  $X_3 \leq X_1 + X_2 + 2$ , we have

$$5 \leq 2X_r + 2X_{r+1} + X_m \leq 4$$

and this is absurd. Thus we must have

$$\sum_{k=1}^m \varepsilon_k Y_k \geq 3,$$

but this implies  $\varepsilon_k Y_k = 1$  for at least three values of  $k$ , which is also absurd.

CASE III.  $C = E_6$ .

If  $\varepsilon_1 = \varepsilon_3 \neq \varepsilon_2 = \varepsilon_4 = \varepsilon_5 = \varepsilon_6$  or  $\varepsilon_1 = \varepsilon_3 = \varepsilon_5 = \varepsilon_6 \neq \varepsilon_2 = \varepsilon_4$  then we have

$$\sum_{k=1}^6 \varepsilon_k Y_k \geq X_1 + X_2 + X_4 + X_6 \geq 4$$



and this is impossible. Thus, by the symmetry, we may assume that  $\varepsilon_k = \varepsilon$  for all  $k = 1, \dots, 6$ . In this case, the coefficient matrix of the system of inequalities is nothing but the Cartan matrix of the type  $E_6$ . Since we know the inverse of the Cartan matrix, we can easily verify that there are too many edges in the Dynkin diagram of  $\{\varepsilon\gamma_\varepsilon, a_1, \dots, a_6\}$ .

CASE IV.  $C = E_7$ .

This case occurs only when  $\Phi = E_8$  and  $J = \{1, 2, \dots, 7\}$ . Then there are two  $W_J$ -orbits, namely,  $[\alpha_8]$  and  $[\alpha_{\max}]$  where  $\alpha_{\max}$  is the maximal positive root. Note that  $\gamma_1 = \alpha_8$  is the root of minimal height in  $[\alpha_8]$  and  $\gamma_{-1} = \alpha_{\max} - \alpha_8$  is the root of maximal height in  $[\alpha_8]$ . Therefore we have  $\gamma_1 + \gamma_{-1} = \alpha_{\max} \in \Phi$ . This completes the proof of Theorem 3.3.

#### 4. The level function on $J$ -roots

Next, we define a projection of the  $W_J$ -orbit space onto  $\mathbf{R}^n$  (or  $\mathbf{Z}^n$ ) in a natural way.

DEFINITION 4.1. Let  $V$  be the real vector space whose basis is consisting of  $W_J$ -orbits of  $\Phi^+ - \Phi_J$  and  $R$  be the subspace of  $V$  spanned by all possible relations  $[\alpha] + [\beta] = [\gamma]$  among the orbits. We set  $\mathcal{E} = V/R$ . By Theorem 2.2 and Corollary 3.4, we may identify  $V$  with the real vector space with a basis  $\Lambda$  and  $R$  with the subspace spanned by all possible relations  $\mathbf{A} + \mathbf{B} = \mathbf{C}$  in  $\Lambda$ . We call the image of  $[\alpha]$  (or  $\mathbf{A}$ ) in  $\mathcal{E}$  a  $J$ -root and denote it by  $\langle \alpha \rangle$  or  $\langle \mathbf{A} \rangle$ .

Our situation is now similar to that of constructing a base of a root system.

DEFINITION 4.2. A  $J$ -root is called an *indecomposable*  $J$ -root if it cannot be written as a sum of two (not necessarily distinct)  $J$ -roots.

Note that the unit vectors  $\mathbf{E}_i = (0, \dots, 1, \dots, 0)$  are contained in  $\Lambda$  for all  $i = 1, \dots, n$ .

PROPOSITION 4.3.  $\langle \alpha_i \rangle, i \in I - J$ , in  $\mathcal{E}$  are the only indecomposable  $J$ -roots and every  $J$ -root is a sum of indecomposable  $J$ -roots. Moreover, for any  $J$ -root  $\langle \alpha \rangle$ , we can write

$$\langle \alpha \rangle = \langle \alpha_{k_1} \rangle + \dots + \langle \alpha_{k_t} \rangle, \quad k_1, \dots, k_t \in I - J$$

such that

$$\langle \alpha_{k_1} \rangle + \cdots + \langle \alpha_{k_t} \rangle$$

is a  $J$ -root for all  $s = 1, \dots, t$ .

*Proof.* It is enough to prove the second statement. Let  $\alpha$  be of type  $A = (a_1, \dots, a_n)$ . We show that  $\langle \alpha \rangle$  can be written as in the proposition by induction on  $a = a_1 + \cdots + a_n$ . If  $a = 1$ , we are done. If  $a > 1$ , we write  $\alpha = \alpha_{k_1} + \cdots + \alpha_{k_t}$  such that  $\alpha_{k_1} + \cdots + \alpha_{k_s} \in \Phi$  for all  $s = 1, \dots, t$  ([3, 10.2.A]). Since  $a > 1$ , the number of indices  $k_s$  in  $I - J$  is greater than 1. Choose the maximal  $u$  such that  $k_u \in I - J$ . Then  $[\alpha] = [\alpha_{k_1} + \cdots + \alpha_{k_u}] = [\alpha_{k_1} + \cdots + \alpha_{k_{u-1}}] + [\alpha_{k_u}]$  and hence  $\langle \alpha \rangle = \langle \alpha_{k_1} + \cdots + \alpha_{k_{u-1}} \rangle + \langle \alpha_{k_u} \rangle$ . Now the induction hypothesis completes the proof.

**PROPOSITION 4.4.**  $\mathcal{E}$  is an  $n$ -dimensional vector space over  $\mathbb{R}$  with a basis  $\{\langle \alpha_i \rangle | i \in I - J\}$ .

*Proof.* First, we define a map  $\varphi$  from  $\mathbb{R}^n$  onto  $\mathcal{E}$  by  $\varphi(\varepsilon_i) = \langle \alpha_i \rangle$ , where  $\varepsilon_i$  are the standard unit vectors, for  $i = 1, \dots, n$ . Next, we define a map  $\psi$  from  $V$  onto  $\mathbb{R}^n$  by  $\psi([\alpha]) = (a_1, \dots, a_n)$  where  $\alpha$  is of type  $(a_1, \dots, a_n)$ . Then  $\psi$  induces a mapping from  $\mathcal{E}$  onto  $\mathbb{R}^n$  by Corollary 3.4. Now it is clear that  $\psi$  is the inverse mapping of  $\varphi$ .

Hence we have a natural notion of the *level* function on  $J$ -roots. That is we define the level of  $\langle \alpha \rangle$  by the sum of coefficients with respect to the basis  $\{\langle \alpha_i \rangle | i \in I - J\}$  of  $\mathcal{E}$ .

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