

## SIMPLER AXIOMATIC SYSTEMS OF LATTICE TOPOLOGIES

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In 1984, P. Z. Wang [3] gave several axiomatic systems of lattice topology, and obtained some results.

In this paper, we simplify some axiomatic systems. In particular, the 7 axioms of net convergence relation are simplified into 5 axioms of it.

DEFINITION 1. Let  $(L; \geq)$  be a complete lattice with the greatest element 1 and the least element 0. Then  $(L; \geq)$  is said to be dual if there is a map  $c : L \rightarrow L$  such that

- (1)  $(\alpha^c)^c = \alpha$  for all  $\alpha \in L$ ,
- (2)  $(\alpha \vee \beta)^c = \alpha^c \wedge \beta^c$  and  $(\alpha \wedge \beta)^c = \alpha^c \vee \beta^c$  for all  $\alpha, \beta \in L$ .

Throughout this paper,  $L$  always means a complete dual lattice and we note that the relation " $\leq$ " is the inverse of " $\geq$ ".

For any nonempty subsets  $A$  and  $B$  of  $L$ , define  $A \sim B$  if and only if  $\forall \alpha \in A \exists \beta \in B$  such that  $\alpha \geq \beta$ , and  $\forall \beta \in B \exists \alpha \in A$  such that  $\beta \geq \alpha$ .

DEFINITION 2. A nonempty subset  $R$  of  $L$  is called a filter if

- (3)  $\forall \alpha, \beta \in L \alpha \geq \beta$  and  $\beta \in R \Rightarrow \alpha \in R$ ,
- (4)  $\forall \alpha, \beta \in R \exists \gamma \in R$  such that  $\alpha \geq \gamma$  and  $\beta \geq \gamma$ .

Denote by  $\tau(L)$  the set of all filters of  $L$ . We first give a characterization of filters.

LEMMA 1. A nonempty subset  $R$  of  $L$  is a filter of  $L$  if and only if it satisfies (3) and

- (5)  $\forall \alpha, \beta \in R, \alpha \wedge \beta \in R$ ,

where  $\alpha \wedge \beta = \inf\{\alpha, \beta\}$ .

*Proof.* It is sufficient to show that if  $R$  satisfies (3) then the conditions (4) and (5) are equivalent. The fact that (5) implies (4) is

obvious, because  $\alpha, \beta \geq \alpha \wedge \beta$ . If  $R$  satisfies (4), that is,  $\forall \alpha, \beta \in R \exists \gamma \in R$  such that  $\alpha \geq \gamma$  and  $\beta \geq \gamma$ , then  $\alpha \wedge \beta \geq \gamma$ . It follows from (3) that  $\alpha \wedge \beta \in R$ . This completes the proof.

LEMMA 2. If  $R_t, t \in T$ , is an indexed family of filters of  $L$ , then  $\cap\{R_t : t \in T\}$  is a filter of  $L$ .

*Proof.* Obvious.

DEFINITION 3. Denote

$$n_L = \{n | n : L \rightarrow \tau(L) \text{ such that (n1) - (n3)}\},$$

where

(n1)  $n(0) = L$ ,

(n2)  $\beta \in n(\alpha) \Rightarrow (\exists \gamma)((\beta \geq \gamma \geq \alpha)((\forall \delta)(\gamma \geq \delta \Rightarrow \gamma \in n(\delta))))$ ,

(n3)  $n(\bigvee\{\alpha_t : t \in T\}) = \cap\{n(\alpha_t) : t \in T\}$ .

Then  $n \in n_L$  is called a neighborhood structure of  $L$ ,  $n(\alpha)$  is called a neighborhood system of  $\alpha$ , and  $N = \cup\{n(\alpha) : \alpha \in L\}$  is called the neighborhood system of  $L$ .

THEOREM 1. If  $n \in n_L$  then

(n4)  $\beta \in n(\alpha) \Rightarrow \beta \geq \alpha$ .

*Proof.* By (n2), we know that

$$\beta \in n(\alpha) \Rightarrow (\exists \gamma)(\beta \geq \gamma \geq \alpha) \Rightarrow \beta \geq \alpha.$$

REMARK 1. In [4], the axiomatic system of the neighborhood structure of  $L$  was given by (n1) - (n4). But Theorem 1 above shows that it can be defined by (n1) - (n3) only.

DEFINITION 4. Denote

$$r_L = \{\uparrow \in \mathcal{P}(\tau(L) \times L) | \uparrow \text{ satisfies (r1) - (r5)}\},$$

where

(r1)  $(R, 0) \in \uparrow \Rightarrow R = L$ ,

(r2)  $\forall \alpha \in L, (\dot{\alpha}, \alpha) \in \uparrow$ , where  $\dot{\alpha} = \{\beta \in L | \beta \geq \alpha\}$ ,

(r3)  $\forall t \in T, (R_t, \alpha) \in \uparrow$  and  $R \supset \cap\{R_t : t \in T\} \Rightarrow (R, \alpha) \in \uparrow$ .

Define  $\beta[\alpha$  if and only if  $(\forall R \in \tau(L))((R, \alpha) \in \uparrow \Rightarrow \beta \in R)$ .

(r4)  $\beta[\alpha \Rightarrow (\exists \gamma)((\beta \geq \gamma \geq \alpha)((\forall \delta)(\gamma \geq \delta \Rightarrow \gamma[\delta]))$ ,

(r5)  $\forall t \in T, \beta[\alpha_t \Rightarrow \beta[\bigvee_{t \in T} \alpha_t$ .

Then  $\uparrow \in r_L$  is called a filter convergence relation of  $L$ . If  $(R, \alpha) \in \uparrow$ , we say that  $R$  converges to  $\alpha$ , and is denoted by  $R \uparrow \alpha$ .

PROPOSITION 1. If  $\uparrow \in r_L$  then  $\forall t \in T, \beta[\alpha_t \Leftrightarrow \beta[\bigvee_{t \in T} \alpha_t$ .

*Proof.* Sufficiency. If  $\beta[\bigvee_{t \in T} \alpha_t$  then by (r4) we have

$$(\exists \gamma)((\beta \geq \gamma \geq \bigvee_{t \in T} \alpha_t)((\forall \delta)(\gamma \geq \delta \Rightarrow \gamma[\delta])).$$

Since  $\alpha_t \leq \bigvee_{t \in T} \alpha_t \leq \gamma$  for every  $t \in T$ , it follows that  $\gamma[\alpha_t$  for all  $t \in T$ . Let  $R \in \tau(L)$  satisfy  $R \uparrow \alpha_t$  ( $\forall t \in T$ ). Then  $\gamma \in R$ . Combining  $\beta \geq \gamma$ , we get  $\beta \in R$  by (3). This shows that  $\beta[\alpha_t$  ( $\forall t \in T$ ).

Necessity is clear from (r5). The proof is complete.

THEOREM 2. Let  $\uparrow \in r_L$ . Then

(r6)  $R \uparrow \alpha$  and  $R \subset R' \Rightarrow R' \uparrow \alpha$ .

(r7)  $R_t \uparrow \alpha$  ( $\forall t \in T$ )  $\Rightarrow \bigcap \{R_t : t \in T\} \uparrow \alpha$ .

Conversely if  $\uparrow \in \mathcal{P}(\tau(L) \times L)$  satisfies (r1), (r2), (r4), (r5), (r6) and (r7) then (r3) holds, and hence  $\uparrow \in r_L$ .

*Proof.* (r6) follows directly from (r3). By Lemma 2 we know that  $\bigcap \{R_t : t \in T\}$  is a filter of  $L$ . Hence (r7) holds by (r3).

Conversely let  $\uparrow \in \mathcal{P}(\tau(L) \times L)$  satisfy (r1), (r2), (r4), (r5), (r6) and (r7). Suppose  $R_t \uparrow \alpha$  ( $\forall t \in T$ ) and let  $R \supset \bigcap \{R_t : t \in T\}$ . Then by (r7),  $\bigcap \{R_t : t \in T\} \uparrow \alpha$ . It follows from (r6) that  $R \uparrow \alpha$ . Thus (r3) holds.

REMARK 2. The axiomatic system of filter convergence relation in [3] consisted of (r1), (r2), (r4), (r5), (r6) and (r7). Theorem 2 above shows that the above conditions are equivalent to the conditions (r1), (r2), (r3), (r4) and (r5). Hence Definition 4 is a simplification of axiomatic system of filter convergence relation in [3].

In the proof of the following proposition, P. Z. Wang have been used the notion of neighborhood system. But we prove it without the notion of neighborhood system.

PROPOSITION 2 ([3, PROPOSITION 2.3]). If  $\uparrow \in r_L$ , then

(r8)  $R \uparrow \alpha$  and  $\alpha' \geq \alpha \Rightarrow R \uparrow \alpha'$ .

*Proof.* Denote  $\tau(\alpha) = \{R \in \tau(L) : R \uparrow \alpha\}$ . Observe that  $\beta[\alpha$  is equivalent to  $\beta \in \bigcap \{R : R \in \tau(\alpha)\}$ . By Proposition 1, we have

$$\bigcap_{t \in T} \bigcap \{R : R \in \tau(\alpha_t)\} = \bigcap \{R : R \in \tau(\bigvee_{t \in T} \alpha_t)\}.$$

If  $\alpha' \geq \alpha$  then  $\alpha' \vee \alpha = \alpha'$ . Thus

$$\begin{aligned} & (\cap\{R : R \in \tau(\alpha)\}) \cap (\cap\{R : R \in \tau(\alpha')\}) \\ &= \cap\{R : R \in \tau(\alpha' \vee \alpha)\} \\ &= \cap\{R : R \in \tau(\alpha')\}. \end{aligned}$$

Hence  $\cap\{R : R \in \tau(\alpha)\} \supset \cap\{R : R \in \tau(\alpha')\}$ . If  $R \uparrow \alpha$  then

$$R \supset \cap\{R : R \in \tau(\alpha)\} \supset \cap\{R : R \in \tau(\alpha')\}.$$

It follows from (r3) that  $R \uparrow \alpha'$ . This completes the proof.

A binary relation  $\geq$  directs a set  $T$  if  $T$  is non-void and

(a) if  $m, n, p \in T$  are such that  $m \geq n$  and  $n \geq p$ , then  $m \geq p$ ;

(b) if  $m \in T$ , then  $m \geq m$ ;

(c) if  $m, n \in T$ , then there is  $p \in T$  such that  $p \geq m$  and  $p \geq n$ .

A directed set is a pair  $(T, \geq)$  such that  $\geq$  directs  $T$ . A net is a pair  $(S, \geq)$  such that  $S$  is a function and  $\geq$  directs the domain of  $S$ .

DEFINITION 5. Let  $(T, \geq)$  be a directed set,  $(D_t, \geq_t)$  a directed set for each  $t$  in  $T$ , and let  $\Pi = \prod_{t \in T} D_t \times T$ . Define a map  $\omega_\Pi : \Pi \rightarrow L$  by  $\omega_\Pi(f, t) = \omega_t(f(t))$  for each  $(f, t) \in \Pi$ , where  $\omega_t : D_t \rightarrow L$  ( $t \in T$ ) is a function. Then we say that  $\omega_\Pi$  is the product net generated by  $\{(D_t, \geq_t) : t \in T\}$ .

Let  $W = W(L)$  be a set of nets in  $L$ . Consider the following conditions:

( $\omega_1$ ) If  $D$  is a filter of  $L$ , then  $i_D \in W$ , where  $i_D$  is the identity map of  $D$ .

( $\omega_2$ ) Let  $D$  be a directed set and let  $D'$  be a directed subset of  $D$ . If  $\omega : D \rightarrow L$  is a net, then  $\omega' = \omega|_{D'}$  is also a net, where  $\omega|_{D'}$  is the restriction of  $\omega$  on  $D'$ .

( $\omega_3$ ) Let  $\omega_t : D_t \rightarrow L$  ( $\forall t \in T$ , a directed set). If  $\omega_t \in W$  ( $t \in T$ ), then the product net  $\omega_\Pi \in W$ .

DEFINITION 6. If  $W = W(L)$  satisfies the conditions ( $\omega_1$ ) - ( $\omega_3$ ), then we say that  $W$  is sufficient.

Given a net  $\omega : D \rightarrow L$  ( $(D, \geq)$  is a directed set), denote

$$F(\omega) = \{\alpha \in L : \omega(d) \leq \alpha \text{ eventually}\},$$

where " $\omega(d) \leq \alpha$  eventually" means that there exists  $d_0 \in D$  such that  $d \leq d_0$  implies  $\omega(d) \leq \alpha$ .

DEFINITION 7. Let  $W$  be a set of nets in  $L$ , which is sufficient. Denote

$$l_L = \{ \searrow \mid \searrow \subset W \times L \text{ such that (I1) - (I5)} \},$$

where

$$(I1) \ (\omega, 0) \in \searrow \Rightarrow \omega(d) = 0 \text{ eventually,}$$

$$(I2) \ \omega(d) \leq \alpha \text{ eventually} \Rightarrow (\omega, \alpha) \in \searrow,$$

$$(I3) \ (\omega_t, \alpha) \in \searrow \ (\forall t \in T) \text{ and } F(\omega) \supset \bigcap_{t \in T} F(\omega_t) \Rightarrow (\omega, \alpha) \in \searrow.$$

If for every  $\omega \in W$

$$(\omega, \alpha) \in \searrow \Rightarrow \omega(d) \leq \beta \text{ eventually,}$$

then we say that  $\beta$  covers  $\alpha$ .

(I4) If  $\beta$  covers  $\alpha$ , then

$$(\exists \gamma)((\beta \geq \gamma \geq \alpha)((\forall \delta)(\gamma \geq \delta \Rightarrow \gamma \text{ covers } \delta))),$$

(I5) If  $\beta$  covers  $\alpha_t$  ( $t \in T$ ), then  $\beta$  covers  $\bigvee_{t \in T} \alpha_t$ .

Then  $\searrow \in l_L$  is called a  $(W)$  net convergence relation on  $L$ . If  $(\omega, \alpha) \in \searrow$ , we say that  $\omega$  converges to  $\alpha$ , denoted by  $\omega \searrow \alpha$ .

PROPOSITION 3. If  $\searrow \in l_L$ , then  $\beta$  covers  $\alpha_t$  ( $t \in T$ ) if and only if  $\beta$  covers  $\bigvee_{t \in T} \alpha_t$ .

*Proof.* Necessity follows from (I5).

Sufficiency. Suppose  $\beta$  covers  $\bigvee_{t \in T} \alpha_t$ . Then by (I4),

$$(\exists \gamma)((\beta \geq \gamma \geq \bigvee_{t \in T} \alpha_t)((\forall \delta)(\gamma \geq \delta \Rightarrow \gamma \text{ covers } \delta))).$$

Since  $\gamma \geq \bigvee_{t \in T} \alpha_t \geq \alpha_t$  ( $t \in T$ ), taking  $\delta = \alpha_t$  we have that  $\gamma$  covers  $\alpha_t$  ( $t \in T$ ), and hence  $\beta$  covers  $\alpha_t$  ( $t \in T$ ). This completes the proof.

THEOREM 3. Let  $\searrow \in l_L$ . Then  $\searrow$  satisfies the following conditions:

(I6) If  $\omega_t \searrow \alpha_t \in L$  ( $t \in T$ ) and  $\omega^* \searrow \alpha$ , where  $T$  is a directed set and  $\omega^* : T \rightarrow L$  is defined by  $\omega^*(t) = \alpha_t$  for each  $t \in T$ , then  $\omega_{\Pi} \searrow \alpha$ ;

(I7) If  $\omega \searrow \alpha$  and  $\alpha' \geq \alpha$ , then  $\omega \searrow \alpha'$ ;

(18) Let  $\omega$  be a net. If for each subnet  $\omega'$  of  $\omega$ , there is a subnet  $\omega''$  of  $\omega'$  such that  $\omega'' \searrow \alpha$ , then  $\omega \searrow \alpha$ .

*Proof.* Denote  $W(\alpha) = \{\omega \in W : \omega \searrow \alpha\}$  and

$$\Gamma(\alpha) = \{\beta \in L : \beta \text{ covers } \alpha, \text{ and } (\forall \delta)(\beta \geq \delta \Rightarrow \beta \text{ covers } \delta)\}.$$

The fact that  $\beta$  covers  $\alpha$  is equivalent to

$$\beta \in \cap\{F(\omega) : \omega \in W(\alpha)\}.$$

Obviously (14) means that

$$\cap\{F(\omega) : \omega \in W(\alpha)\} \sim \Gamma(\alpha).$$

Therefore  $\omega \searrow \alpha \Leftrightarrow F(\omega) \supset \Gamma(\alpha)$ . We now show that  $\omega_{\Pi} \searrow \alpha$ . Since  $\omega^* \searrow \alpha$ , therefore  $F(\omega^*) \supset \Gamma(\alpha)$ . Let  $\gamma \in \Gamma(\alpha)$ . Then there is  $t_0 \in T$  such that  $\omega^*(t) = \alpha_t \leq \gamma$  whenever  $t \leq t_0$ . So  $\gamma$  covers  $\omega^*(t) = \alpha_t$  ( $t \leq t_0$ ). It follows from  $\omega_t \searrow \alpha_t$  that there is  $d_t^* \in D_t$  such that  $\omega_t(d_t) \leq \gamma$  ( $t \leq t_0$ ) whenever  $d_t \leq d_t^*$ , where  $D_t$  is the domain of a net  $\omega_t$ . If we take  $(f_0, t_0)$  in  $\Pi = (\prod_{t \in T} D_t) \times T$ , where

$$f_0(t) = \begin{cases} d_t^*, & \text{if } t \leq t_0, \\ \text{any element in } D_t, & \text{otherwise,} \end{cases}$$

then for every  $(f, t) \in \Pi$  with  $(f, t) \leq (f_0, t_0)$ , we have  $t \leq t_0$  and  $f(t) \leq f_0(t) = d_t^*$ , where  $f(t) \in D_t$ . Thus  $\omega_{\Pi}(f, t) = \omega_t(f(t)) \leq \gamma$ . This proves that  $F(\omega_{\Pi}) \supset \Gamma(\alpha)$ . Therefore  $\omega_{\Pi} \searrow \alpha$ , and (16) is true.

We notice that Proposition 3 is equivalent to

$$(6) \quad \bigcap_{t \in T} \cap\{F(\omega) : \omega \in W(\alpha_t)\} = \cap\{F(\omega) : \omega \in W(\bigvee_{t \in T} \alpha_t)\}.$$

If  $\alpha' \geq \alpha$  then  $\alpha' \vee \alpha = \alpha'$ . By (6) we have

$$\begin{aligned} & (\cap\{F(\omega) : \omega \in W(\alpha)\}) \cap (\cap\{F(\omega) : \omega \in W(\alpha')\}) \\ &= \cap\{F(\omega) : \omega \in W(\alpha' \vee \alpha)\} \\ &= \cap\{F(\omega) : \omega \in W(\alpha')\}. \end{aligned}$$

Hence  $\cap\{F(\omega) : \omega \in W(\alpha)\} \supset \cap\{F(\omega) : \omega \in W(\alpha')\}$ . If  $\omega \searrow \alpha$  then  $\omega \in W(\alpha)$ . Therefore

$$F(\omega) \supset \cap\{F(\omega) : \omega \in W(\alpha)\} \supset \cap\{F(\omega) : \omega \in W(\alpha')\}.$$

It follows from (13) that  $\omega \searrow \alpha'$ , which proves (17).

In order to prove (18), let  $\omega : D \rightarrow L$  be a net such that for each subnet  $\omega'$  of  $\omega$ , there is a subnet  $\omega''$  of  $\omega'$  satisfying  $\omega'' \searrow \alpha$ , but  $\omega$  does not converge to  $\alpha$ . Then by (13), we have  $F(\omega) \not\supseteq \cap\{F(\omega) : \omega \in W(\alpha)\}$ . Hence there exists  $\beta_0 \in \cap\{F(\omega) : \omega \in W(\alpha)\}$ , but  $\beta_0 \notin F(\omega)$ . The latter means that

$$(7) (\forall d \in D)((\exists d' \in D)((d' \leq d)(\omega(d') \not\leq \beta_0))).$$

Let  $D' = \{d' \in D : \omega(d') \not\leq \beta_0\}$ . Then  $(D', \geq)$  is a directed subset of  $(D, \geq)$ . Since  $W$  is sufficient, it follows that  $\omega' \in W$ . By (7) we have

$$(8) \omega'(d) \not\leq \beta_0 \text{ for all } d \in D'.$$

For each subnet  $\omega''$  of  $\omega'$ , we will prove that  $\omega''$  does not converge to  $\alpha$ . If  $\omega'' \searrow \alpha$  then  $\omega'' \in W(\alpha)$ . Therefore

$$F(\omega'') \supseteq \cap\{F(\omega) : \omega \in W(\alpha)\}.$$

Hence

$$(9) \beta_0 \in F(\omega'').$$

Let  $\bar{\omega} : \bar{D} \rightarrow L$  be a subnet of  $\omega'$  such that there is a map  $N : \bar{D} \rightarrow D'$  satisfying the axioms:

$$(a) \bar{\omega} = \omega' \circ N, \text{ i.e., } (\forall d \in \bar{D}) (\bar{\omega}(d) = \omega'(N(d))),$$

$$(b) \forall d' \in D' \exists \bar{d} \in \bar{D} \text{ such that } (\forall d \in \bar{D})(d \leq \bar{d} \Rightarrow N(d) \leq d').$$

Thus (9) implies that

$$(\exists d_0 \in \bar{D})((\forall d \in \bar{D})(d \leq d_0 \Rightarrow (\bar{\omega}(d) = \omega'(N(d)) \leq \beta_0))).$$

This contradicts to (8), and hence (18) holds. This completes the proof.

**THEOREM 4.** If  $\searrow \subset W \times L$  satisfies (11) - (13) and (15) - (17), then it satisfies (14), and hence  $\searrow \in l_L$ .

*Proof.* It is similar to the final paragraph in the proof of [4; Theorem 4.1.3].

**REMARK 3.** In [3], the axiomatic system of net convergence relation was given by (11) - (13) and (15) - (18). But we know that, from Theorems 3 and 4, the conditions (11) - (13) and (15) - (18) are equivalent to that of Definition 7. Hence (11) - (15) are a simplification of axiomatic system of net convergence relation in [3].

REMARK 4. Following Definition 7, the non-uniqueness of the net convergence relation, i.e., (I7), is a consequence of net convergence axioms.

REMARK 5. Theorems 3 and 4 also show that (I8) is a consequence of (I1) - (I3) and (I5) - (I7). Hence the axiomatic system of net convergence relation in [3] is not independent.

### References

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