

ON $*$ -PRIMES AND $*$ -VALUATIONS

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We study the relations between $*$ -primes and $*$ -valuations to deduce a necessary and sufficient condition for extending $*$ -valuations.

1. Introduction

Let $(D, *)$ be a $*$ -field; that is, a skew field with an involution $*$ (an anti-automorphism of order 2). For general valuation theory on skew fields one can refer to [7]. For $*$ -fields we need our valuations to also be compatible with the involution $*$. Following Holland [4], we define a $*$ -valuation on a $*$ -field $(D, *)$ to be a valuation w onto an additively written ordered group with the additional property that $w(x^*) = w(x)$ for all non-zero $x \in D$. One of the properties any reasonable generalization of the concept of a valuation should have is that valuations allow extensions to larger fields. In [3] and [8] a necessary and sufficient condition is given for extending an abelian valuation from a division ring D to the over division ring E . We will solve here a $*$ -version of this problem were valuations are replaced with $*$ -valuations. This is done by using a characterization of those $*$ -primes giving rise to $*$ -valuations, together with an extension theorem for $*$ -primes. Basic properties of $*$ -primes in a $*$ -ring R are given in section (2).

2. $*$ -primes

Throughout this section R will be an arbitrary $*$ -ring with unit. A couple (P, R') is said to be a $*$ -prime in the $*$ -ring R if the following conditions are satisfied :

- 1) R' is a $*$ -closed subring of R .
- 2) P is a $*$ -closed prime ideal in R' .

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3) if $xR'y \subset P$ with $x, y \in R$ then $x \in P$ or $y \in P$.

If P is a $*$ -closed prime ideal of R , then (P, R) is a $*$ -prime in R .

Let T be a subset of the $*$ -ring R . A subset S of R is an m -system for T iff $0 \notin S$ and for any $s_1, s_2 \in S$ there is an $x \in T$ such that $s_1xs_2 \in S$.

Lemma 1. *If (P, R') is a $*$ -prime in R then $R - P$ is an m -system for R' . Conversely, if P is a $*$ -closed additive subgroup of R' which is multiplicatively closed and such that $R - P$ is an m -system for $R^p = \{r \in R \mid rP \subset P \text{ and } Pr \subset P\}$ then (P, R') is a $*$ -prime in R .*

Proof. This is immediate.

Consider $S = \{(P, R') \mid R' \text{ a } * \text{-closed subring of } R, P \text{ a } * \text{-closed prime ideal of } R'\}$. Let (P_1, R_1) and (P_2, R_2) be elements of S . Say that (P_1, R_1) dominates (P_2, R_2) (notation : $(P_2, R_2) < (P_1, R_1)$) iff $R_1 \supset R_2$ and $P_1 \cap R_2 = P_2$. If (P, R') is maximal in S with respect to $<$ then call it a dominating pair in R .

Lemma 2. *Let (P, R^p) be a dominating pair in R . If R' is a $*$ -closed subring of R , I a $*$ -closed ideal in R' such that $R^p \subset R'$ and $I \cap R^p = P$ then $I = P$ and $R' = R^p$.*

Proof. Let $T = \{(I, R') \mid I \text{ a } * \text{-closed ideal in } R^p, R^p \subset R' \text{ and } I \cap R' = P\}$. Since T is not empty it contains (by Zorn's lemma) a maximal element, say (Q, B) . One can prove that Q is a $*$ -closed prime ideal in B . This yields that $(Q, B) > (P, R^p)$. But (P, R^p) is a dominating pair, hence $P = Q$, $B = R^p$ follows, i.e. $T = \{(P, R^p)\}$ which proves the lemma.

By a $*$ - R -ring A we mean a $*$ -ring A where R is assumed to be a subring of A .

Lemma 3. *Let $\pi = (P, R')$ be an arbitrary $*$ -prime in R then (K, A^k) is a $*$ -prime in A which restricts to π (i.e. $K \cap R = P$ and $A^k \cap R = R'$) if and only if*

- 1) K is a $*$ -closed left and right R' -module.
- 2) $K \cap R = P$.
- 3) $A - K$ is an m -system for A^k .

Proof. If (K, A^k) is a $*$ -prime in A which restricts to π , then (1) and (2) are evident, and (3) holds by using Lemma (1). The converse is also true by using Lemma (1).

If S, T are subsets of A then $S < T >$ stands for $\{x \in A \mid x = \sum s_i t_i,$

$s_i \in S, t_i \in \overline{T}$ where \overline{T} is the multiplicative closed set generated by T .

Theorem 4. *Let A a $*$ - R -algebra, $\pi = (P, R^p)$ a fixed dominating $*$ -prime in R . Let B be a $*$ -closed subset of A and M a $*$ -closed subset of B satisfy the following properties:*

- (i) $BP \subset P < B >$ and $BR^p \subset R^p < B >$.
- (ii) $P < B > \cap R = P$.
- (iii) M is an m -system for B .
- (iv) $R^p - P \subset M$.
- (v) $M \cap P < B > = \phi$.

Then there is a $*$ -prime (K, A') in A which restricts to π , such that $BK \subset K$ and $KB \subset K$.

One can adapt the proof of Theorem (2.3) in [8] to prove Theorem (4).

3. $*$ -valuations in $*$ -fields

Let $(D, *)$ be a $*$ -field, and let D^x be the multiplicative group of non-zero elements of D . Following Holland [4], a function w from D^x onto an additively written ordered group Γ is called a $*$ -valuation of D if

- (i) $w(xy) = w(x) + w(y)$, for every $x, y \in D^x$.
- (ii) $w(x + y) \geq \min(w(x), w(y)), x + y \neq 0$.
- (iii) $w(x^*) = w(x)$.

It then follows that Γ is abelian since $w(x) + w(y) = w(xy) = w(y^*x^*) = w(y) + w(x)$.

First, we recall some basic facts about $*$ -valuations in $*$ -fields.

Definition. Let R be a subring of D .

- (1) R is called *total* if for every $x \in D^x$, x or $x^{-1} \in R$.
- (2) R is called *symmetric* if it contains x^*x^{-1} for every $x \in D^x$.
- (3) R is called *$*$ -valuation ring* if it is total and symmetric.

Remark 5 [4]. If R is a symmetric subring of D then R is $*$ -closed and preserved under conjugation.

Remark 6. If R is a $*$ -closed total subring which is preserved under conjugation then R is symmetric and so it is a $*$ -valuation subring.

Lemma 7[4]. *Let w be a $*$ -valuation of a $*$ -field D , then*

- (1) $V = \{x \in D | w(x) \geq 0\}$ is $*$ -closed subring of D and $P = \{x \in D | w(x) > 0\}$ is a $*$ -closed maximal ideal of V .

- (2) V is total.
 (3) Every ideal in V is two-sided.
 (4) The ideal P is the unique maximal ideal of V , formed by the non-units in V , and V/P is a $*$ -skew-field.
 (5) V is symmetric (therefore preserved under conjugation).

Proposition 8 [4]. Given a $*$ -valuation subring V of the $*$ -field D , then there exist an ordered abelian group Γ and a $*$ -valuation $w : D^\times \rightarrow \Gamma$ such that V coincides with the $*$ -valuation ring of W .

In a $*$ -field which is finite-dimensional over its centre, $*$ -valuation rings may be defined without demanding symmetry.

Theorem 9. Let D be a $*$ -field finite dimensional over its centre. Then any $*$ -closed total subring V of D is a $*$ -valuation subring.

One can adopt the proof of Theorem (3) in [2] to prove theorem (9).

The following proposition characterises those $*$ -primes in $*$ -fields which yield $*$ -valuation rings.

Proposition 10. A $*$ -prime (P, D^P) such that D^P is preserved under conjugation, yields a $*$ -valuation of D with $*$ -valuation ring D^P and maximal ideal P . Conversely, if V is a $*$ -valuation ring, P its maximal ideal, then (P, V) is a $*$ -prime of D .

Proof. We first claim that D^P is total. Suppose $x^{-1} \notin D^P$ then, since $x D^P x^{-1} \subset D^P$, $P x D^P x^{-1} \subset P$ follows, but this yields $P x \subset P$ (using the defining property of $*$ -primes). On the other hand also $x P \subset P$. Hence $x \in D^P$ and D^P is total. Clearly D^P is $*$ -closed. Then, by Remark (6), D^P is a $*$ -valuation ring. Now, if $x \notin P$ then as before one can show that $x^{-1} \in D^P$, a contradiction, so $x \in P$. This proves that P is maximal ideal in D^P .

For the converse, it is enough to check property (3) in the definition of $*$ -primes: take $x, y \in D$ such that $xy \in P$, if $x \notin P$ then $x^{-1} \in V$ and so $x^{-1}xy = y \in P$.

Theorem 11. Let D, E be $*$ -fields, $D \subset E$, a $*$ -valuation w on D extends to a $*$ -valuation of E if and only if PE^c is a proper ideal in VE^c , where V is the $*$ -valuation subring of w , P its maximal ideal, and E^c is the commutator subgroup of E .

Proof. We first note that $PE^c = E^cP$ and $VE^c = E^cV$ (for, $r(xy x^{-1}y^{-1}) = ((rxy)r(rxy)^{-1}r^{-1})r$). Let $B = VE^c$ and $M = V - P$. Clearly $M \subset B \subset$

E . Since both V and E^c are *-closed, it follows that $(VE^c)^* = (E^c)^*V^* = E^cV = VE^c$, that is B is *-closed. Also, from the fact that $w(x^*) = w(x)$, it follows that M is *-closed. By Proposition (10), (P, V) is a *-prime in D . Applying Theorem (4), with $B = VE^c$ and $M = V - P$ which satisfy properties (i)-(v), yields a *-prime (P', V') in E such that $VE^c \subset E^{p'}$ and $P' \cap D = P$. Clearly $(P', E^{p'})$ is a *-prime in E .

To show that $E^{p'}$ is a *-valuation ring in E with maximal ideal P' , one must show that $E^{p'}$ is preserved under conjugation (Proposition (10)). Let $x \in E$, and $e \in E^{p'}$, then $xex^{-1}e^{-1} \in E^c \subset E^{p'}$, so that $xex^{-1} \in E^{p'}$. Now, by Proposition (8), $E^{p'}$ defines the desired extension.

To prove the converse, assume that w_1 is an extension of w and PE^c is not a proper ideal in VE^c . Then an equation of the form

$$\sum_i a_i c_i = 1, \quad a_i \in P, \quad c_i \in E^c$$

holds. Thus $0 = w_1(1) \geq \min_i \{w(a_i) + w_1(c_i)\}$. Since $a_i \in P, w(a_i) > 0$ follows. Also $w_1(c_i) = 0$ (for, c_i is a product of commutators), so $w(a_i) + w_1(c_i) > 0$ a contradiction. Therefore PE^c is a proper ideal in VE^c .

For examples of a *-field extension where the condition in Theorem (11) does not hold, see [8].

There is a remarkable relation between *-valuations and the notion of ordering of a *-field D . Beginning with a definition of Baer, at least four different notions of orderings have been proposed for D [1,4, 5,6]. The connection between orderings and *-valuation is provided by the fact that, to any ordering \geq on D , we can associate a *-valuation ring V (the order subring) consists of elements of D which are bounded by some rational numbers with respect to \geq . This has been done for the c -ordering [1], the strong ordering [5], and the Jordan ordering [6]. For the notion of Baer ordering [4], it is shown that V is a total subring, but whether or not V is a *-valuation ring is still an open question. The following corollary of Theorem (9) is a partial answer to that question.

Corollary. *If D is a Baer ordered *-field which is finite dimensional over its centre, then the order subring V associated with the ordering is a *-valuation ring.*

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