YOUNG'S LATTICE AND SUBSPACE LATTICE: JUMP NUMBER, GREEDINESS

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1. Introduction

Suppose we are given a finite number of tasks to be sequenced subject to precedence constraints, that is, a task cannot be scheduled until all of its predecessors have been scheduled. If a task t is scheduled immediately after the task u, then there is a jump (or setup) resulting in a fixed cost if u is not one of t's precedecessors and there is no cost (no setup) if u is one of t's precedecessors. Since the cost of a jump does not depend on where it occurs, the cost of a schedule is completely defined by the structure of the underlying partial order which represents the precedence constraints. The problem is: schedule the tasks to minimize the number of jumps. This is the jump number problem of a poset.

Let P be a finite poset and let |P| be the number of vertices in P. A subposet of P is a subset of P with the induced order. A chain C in P is a subposet of P which is a linear order. The length of the chain C is |C| - 1. A poset is ranked if every maximal chain has the same length. A linear extension of a poset P is a linear order $L = x_1, x_2, \ldots, x_n$ of the elements of P such that $x_i < x_j$ in P implies i < j. Let $\mathcal{L}(P)$ be the set of all linear extensions of P. Szpilrajn [13] showed that $\mathcal{L}(P)$ is not empty. Algorithmically, a linear extension L of P can be defined as follows:

- 1. Choose a minimal element x_1 in P.
- 2. Given x_1, x_2, \ldots, x_i choose a minimal element from $P \setminus \{x_1, \ldots, x_i\}$ and call this element x_{i+1} .

Let P, Q be two disjoint posets. The disjoint sum P+Q of P and Q is the poset on $P \cup Q$ such that x < y if and only if $x, y \in P$ and x < y in P or $x, y \in Q$ and x < y in Q. The linear sum $P \oplus Q$ of P and Q

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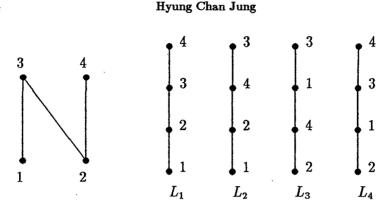


FIGURE 1: THE POSET N and its linear extensions.

is obtained from P + Q by adding the relation x < y for all $x \in P$ and $y \in Q$.

Throughout this section, L denotes an arbitrary linear extension of P. Let $a, b \in P$ with a < b. Then b covers a, denoted $a \prec b$, provided that for any $c \in P$, $a < c \leq b$ implies that c = b. A (P, L)-chain is a maximal sequence of elements z_1, z_2, \ldots, z_k such that $z_1 \prec z_2 \prec \cdots \prec z_k$ in both L and P. Let c(L) be the number of (P, L)-chains in L.

A consecutive pair (x_i, x_{i+1}) of elements in L is a jump (or setup) of P in L if x_i is not comparable to x_{i+1} in P. The jumps induce a decomposition $L = C_1 \oplus \cdots \oplus C_m$ of L into (P, L)-chains C_1, \ldots, C_m where m = c(L) and $(\max C_i, \min C_{i+1})$ is a jump of P in L for i = $1, \ldots, m-1$. Let s(L, P) be the number of jumps of P in L and let s(P) be the minimum of s(L, P) over all linear extensions L of P. The number s(P) is called the jump number of P. If s(L, P) = s(P) then L is called an optimal linear extension of P. We denote the set of all optimal linear extensions of P by $\mathcal{O}(P)$.

Let P^d denote the *dual* of the poset P, that is, the poset obtained from P by reversing the order. If L is a linear extension of P, then its dual L^d is a linear extension of P^d .

In Figure 1 only L_3 is optimal.

The width $\omega(P)$ of P is the maximal number of elements of an *antichain* (mutually incomparable elements) of P.

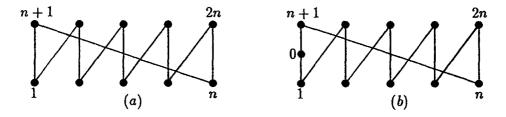


FIGURE 2: (A) CYCLE (B) C.

Dilworth [5] showed that $\omega(P)$ equals the minimum number of chains in a partition of P into chains. Since for any linear extension L of Pthe number of (P, L)-chains is at least as large as the minimum number of chains in a chain partition of P, it follows from Dilworth's theorem that

(1)
$$s(P) \ge \omega(P) - 1.$$

If equality holds in (1), then P is called a *Dilworth poset* or simply a D-poset. More discussion about D-posets is given in [6], [12].

A cycle is a partially ordered set with diagram in Figure 2(a). In 1982, Duffus, Rival and Winkler [6] proved that every poset which contains no cycle as a subposet is a D-poset.

A linear extension $L = x_1, x_2, \ldots, x_n$ of P is greedy if L can be obtained by applying the following algorithm:

- 1. Choose a minimal element x_1 of P.
- 2. Suppose x_1, \ldots, x_i have been chosen. If there is a minimal element of $P \setminus \{x_1, \ldots, x_i\}$ which is greater than x_i then choose x_{i+1} to be this minimal element. If not, choose x_{i+1} to be any minimal element of $P \setminus \{x_1, \ldots, x_i\}$.

In words, L is obtained by *climbing as high as one can.* Let $\mathcal{G}(P)$ be the set of all greedy linear extensions of P. In Figure 1, L_1, L_2, L_3 are greedy linear extensions of the poset N, but L_4 is not greedy. So $\mathcal{O}(N) \subset \mathcal{G}(N)$. In fact, L_3 is a greedy optimal linear extension of N. Since the greedy algorighm above is a particular way of carrying out the algorithm for a linear extension, by induction we obtain [11] that every poset P has a greedy optimal linear extension.

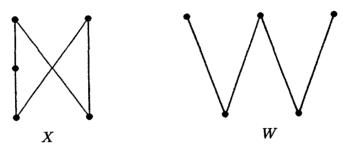


FIGURE 3: THE POSET X AND W.

A poset P is greedy if $\mathcal{G}(P) \subseteq \mathcal{O}(P)$, that is, every greedy extension is optimal. In Figure 3, $\mathcal{G}(X) \subset \mathcal{O}(X)$, $\mathcal{O}(W) = \mathcal{G}(W)$. In the above examples, X and W are greedy but N is not greedy.

A poset P is called *series parallel* if it can be constructed from singletons using the operations of disjoint sum (+) and linear sum (\oplus) . For example, $(1+1)\oplus(1+1)$, a crown with 4 elements, is a series parallel poset.

In 1979, Cogis and Habib [4] proved that every series parallel poset is greedy. In 1982, Cogis [3] asked for a characterization of greedy posets. The problem remains open. A poset P is *N*-free if P contains no cover-preserving subposet isomorphic to the poset N in Figure 1. Rival [10] showed that every N-free poset is greedy.

In 1985, El-Zahar and Rival [7] proved that if P is a poset which contains no subposet isomorphic to C in Figure 2(b), then $\mathcal{O}(P) \subseteq \mathcal{G}(P)$.

A poset P is said to be *reversible* if $L^d \in \mathcal{G}(P^d)$ for every $L \in \mathcal{G}(P)$. In 1986, Rival and Zaguia [11] showed that a poset P is reversible if and only if $\mathcal{O}(P) = \mathcal{G}(P)$.

In this paper, we study jump number and greediness of some classical posets (Young's Lattice and Subspace Lattice).

2. The Young's lattice L(m,n)

Let m, n be positive integers. The Young's lattice L(m, n) is a poset defined on

 $\{(a_1,\ldots,a_m): 0 \le a_1 \le \cdots \le a_m \le n, \text{ all } a_i$'s are integers}

with the following order relation: $(a_1, \ldots, a_m) \leq (b_1, \ldots, b_m)$ if and only if $a_i \leq b_i$ for $i = 1, \ldots, m$.

In this section we obtain an upper bound for the jump number of L(m,n), and also we will study the greediness property of L(m,n).

For $0 \leq i_1 \leq \cdots \leq i_{m-1} \leq n-1$, if $i_{m-1} = n-1$ choose the smallest k such that $i_k = n-1$. We define a chain $C(i_1, \ldots, i_{m-1})$ to be

- $\{(i_1, i_2, \dots, i_{m-1}, x_m) : i_{m-1} \le x_m \le n\}$ if $i_{m-1} < n-1$,
- $\{(i_1, i_2, \ldots, i_k, x_{k+1}, \ldots, x_{m-1}, x_m) : n-1 \le x_{k+1} \le \cdots \le x_{m-1} \le x_m \le n\}$ if $i_{m-1} = n-1$.

Let $L_0 = \bigoplus (C(i_1, ..., i_{m-1}) : 0 \le i_1 \le \dots \le i_{m-1} \le n-1$, lex. order).

LEMMA 2.1. L_0 is a linear extension of L(m, n).

Proof. Let $x \in C(i_1, \ldots, i_{n-1})$ and $y \in C(j_1, \ldots, j_{n-1})$ be distinct elements such that x < y in L(m, n). By definition of linear extension it is sufficient to show that x < y in L_0 .

If $(i_1, \ldots, i_{n-1}) = (j_1, \ldots, j_{n-1})$, then x and y belong to the same chain and thus x < y in L_0 .

Suppose $(i_1, \ldots, i_{n-1}) \neq (j_1, \ldots, j_{n-1})$. Let p be the smallest k such that $i_k \neq j_k$. Since x < y in L(m, n), we get $i_p < j_p$. If $(i_1, \ldots, i_{n-1}) < (j_1, \ldots, j_{n-1})$ in lexicographic order, then x < y in L_0 . If $(i_1, \ldots, i_{n-1}) \not\leq (j_1, \ldots, j_{n-1})$, then there exists q > p such that $i_q > j_q$. Let $x = (x_1, \ldots, x_n)$ and $y = (y_1, \ldots, y_n)$. Since $i_p < j_p \leq j_q < i_q \leq n-1$ and $i_q \leq x_q$, we have $x_p < y_p \leq y_q < x_q$. Thus $x_p < y_p$ but $x_q \not\leq y_q$, and hence $x \not\leq y$ in L(m, n) which is a contradiction.

COROLLARY 2.2.

$$s(L(m,n)) \leq \binom{m+n-2}{m-1} - 1.$$

Proof. Since $c(L_0) = |\{(i_1, \ldots, i_{m-1}) : 0 \le i_1 \le \cdots \le i_{m-1} \le n-1\}|,$

$$c(L_0)=inom{m+n-2}{m-1} ext{ and thus } s(L(m,n))\leqinom{m+n-2}{m-1}-1.$$

It is easy to check s(L(2,n)) = n - 1, that is, equality holds in Corollary 2.2. Consider L(3,4). Let $C_1 = C(0,0)$, $C_2 = C(0,1)$, $C_3 = C(1,1)$, $C_4 = C(0,2)$, $C_5 = C(1,2)$, $C_6 = C(2,2)$, $C_7 = \{(i,3,3) : i = 0,1,2,3\}$, $C_8 = \{(i,3,4) : i = 0,1,2,3\}$, $C_9 = \{(i,4,4) : i = 0,1,2,3,4\}$. Let $\ell = \bigoplus_{i=1}^9 C_i$. Then ℓ is a linear extension of L(3,4). Thus $s(L(3,4)) \leq 8$, that is, equality does not hold in Corollary 2.2.

By (1), we get $\omega(L(m,n)) - 1 \leq s(L(m,n))$. But this is not a good lower bound. If m or n is, 1, then equality holds. On the other hand, $\omega(L(2,4)) = 3$ while s(L(2,4)) = 3.

PROPOSITION 2.3. L(m,n) is greedy if and only if m, n satisfy one of the followings: (1) m = 1 or n = 1, (2) $m \leq 2$ and $n \leq 2$.

Proof. Suppose that m, n satisfy (1) or (2). Since L(1, n) is a chain, L(1, n) is greedy. Since L(n, 1) is isomorphic to L(1, n), L(n, 1) is greedy. By direct calculation, s(L(2, 2)) = 1. Note that every greedy linear extension of L(2, 2) has two chains. Thus L(2, 2) is greedy.

Now assume that either $m \ge 3$ and $n \ge 2$ or $m \ge 2$ and $n \ge 3$ holds. Let $C_1 = \{(0,0), (0,1), (1,1)\}, C_2 = \{(0,2), (0,3)\}, C_3 = \{(1,2), (1,3)\}, C_4 = \{(2,2), (2,3), (3,3)\}.$ Let $L = C_1 \oplus C_2 \oplus C_3 \oplus C_4$. L is a greedy linear extension of L(2,3). Since s(L(2,3)) = 2, L is not an optimal linear extension of L(2,3). Hence L(2,3) is not greedy. Since L(3,2) is isomorphic to L(2,3), L(3,2) is not greedy.

L(m,n) contains either L(2,3) or L(3,2). Without loss of generality, assume that L(m,n) contains L(2,3). We can construct a greedy linear extension of L(m,n) which is not optimal. Let

$$C_1 = \{(0, \dots, 0), (0, \dots, 0, 1), (0, \dots, 0, 1, 1)\},\$$

$$C_2 = \{(0, \dots, 0, i) : 2 \le i \le n\},\$$

$$C_3 = \{(0, \dots, 0, 1, i) : 2 \le i \le n\},\$$

 $\ell_0 = \oplus C(i_1, \ldots, i_{m-1})$ over all (i_1, \ldots, i_{m-1}) satisfying $0 \le i_1 \le \cdots \le i_{m-1} \le n-1$ and $(i_1, \ldots, i_{m-1}) \ne (0, \ldots, 0, \ell)$ for $\ell = 0, 1$, and arranged lexicographically. Let $L = C_1 \oplus C_2 \oplus C_3 \oplus \ell_0$, then L is a greedy linear extension of L(m, n). Now we get

$$c(L) = \binom{m+n-2}{m-1} + 1 > \binom{m+n-2}{m-1}.$$

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By Corollary 2.2, L is not an optimal linear extension of L(m,n). Hence L(m,n) is not greedy.

3. The subspace lattice $L_p(n)$

Let p be a prime number and F_p be the Galois field of p elements. Let $L_p(n)$ be the lattice of subspaces of the n dimensional vector space $F_p^{(n)}$ over F_p ordered by inclusion. In general it is very difficult to find $s(L_p(n))$. In this section we study $s(L_p(n))$ for n = 3, 4. Let $L_p(n)^k$ be the set of all k dimensional subspaces of $F_p^{(n)}$, i.e., the k-th level of $L_p(n)$. It is well known [1] that the following properties hold in $L_p(n)$: 1. For $k \ge 1$, $|L_p(n)^k|$ equals the Gaussian coefficient $\binom{n}{k}_p$ where

$$\binom{n}{0}_{p} = 1, \ \binom{n}{k}_{p} = \frac{(p^{n}-1)(p^{n-1}-1)\cdots(p^{n-k+1}-1)}{(p^{k}-1)(p^{k-1}-1)\cdots(p-1)}.$$

2. $|L_p(n)| = G_{n,p}$ where $G_{n,p}$ is the Galois number, i.e.,

$$G_{n,p} = \sum_{k=0}^{n} \binom{n}{k}_{p}.$$

3. For k = 0, 1, ..., n,

$$\binom{n}{k}_{p} = \binom{n}{n-k}_{p}.$$

- 4. The Gaussian coefficient is unimodal, i.e.,
- (a) if n is even then

$$\binom{n}{0}_{p} < \binom{n}{1}_{p} < \cdots < \binom{n}{n/2}_{p} > \cdots > \binom{n}{n}_{p},$$

(b) if n is odd then

$$\binom{n}{0}_{p} < \cdots < \binom{n}{(n-1)/2}_{p} = \binom{n}{(n+1)/2}_{p} > \cdots > \binom{n}{n}_{p}.$$

Let $A \in L_p(n)$. Let A_g denote a basis of A. Let x be any element of $F_p^{(n)}$ such that $x \notin A$. Denote $\langle A_g \cup \{x\} \rangle$ to be the subspace generated by $A_g \cup \{x\}$.

LEMMA 3.1. If $L \in \mathcal{L}(L_p(n))$, then every $(L_p(n), L)$ -chain C has length at most one.

Proof. Let $X = \sup C$ and $Y = \inf C$. We may choose bases X_g and Y_g so that $Y_g \subseteq X_g$. Suppose that $|C| \ge 3$, so that $|X_g \setminus Y_g| \ge$ 2. Then there exist $y_1, y_2 \in X_g \setminus Y_g$ such that $\langle Y_g \cup \{y_1\} \rangle \in C$ and $y_2 \in X \setminus \langle Y_g \cup \{y_1\} \rangle$. This implies that $\langle Y_g \cup \{y_2\} \rangle \neq \langle Y_g \cup \{y_1\} \rangle$. But $\langle Y_g \cup \{y_2\} \rangle \notin C$. Hence $\langle Y_g \cup \{y_2\} \rangle > X$ or $\langle Y_g \cup \{y_2\} \rangle < Y$ in L, contradicting $Y \le \langle Y_g \cup \{y_2\} \rangle \le X$.

A (k + 1)-dimensional subspace covers $\frac{p^{k+1}-1}{p-1}$ k-dimensional subspaces, while a k-dimensional subspace is covered by $\frac{p^{n-k}-1}{p-1}$ (k + 1)-dimensional subspaces.

We may regard $L_p(n-1)$ as the sublattice of $L_p(n)$ obtained by considering only those *n*-tuples whose *n*-th coordinate is 0. No subspace of $L_p(n) \setminus L_p(n-1)$ is contained in a subspace of $L_p(n-1)$.

Let e_1, \ldots, e_n be the standard basis of $F_p^{(n)}$. Let

$$M_p(k+1) = L_p(k+1) \backslash (L_p(k) \cup L_p(k)^*)$$

where $L_p(k)^* = \{ \langle X \cup \{e_{k+1}\} \rangle : X \in L_p(k) \}$. Given a linear ordering $L = x_1, x_2, \ldots$ of $L_p(k)$, let $L^* = \overline{x_1}, \overline{x_2}, \ldots$ where $\overline{x_i} = \langle x_i \cup \{e_{k+1}\} \rangle$.

Define a good extension L_n of $L_p(n)$ inductively as follows:

- 1. Let $L_1 = C_1 \in \mathcal{O}(L_p(1))$ where $C_1 = \{ \langle 0 \rangle, \langle e_1 \rangle \}.$
- 2. Given L_k , let $L_{k+1} = L_k \oplus \ell_{k+1} \oplus L_k^*$ where $\ell_{k+1} \in \mathcal{O}(M_p(k+1))$.

THEOREM 3.2. Let L_n be a good extension of $L_p(n)$. Then $L_n \in \mathcal{L}(L_p(n))$.

Proof. For any $X \in L_p(n-1)$, there doesn't exist $Y \in L_p(n) \setminus L_p(n-1)$ such that X > Y. Thus $\mathcal{L}(L_p(n))$ has an element of the form $L_{n-1} \oplus L$ where $L \in \mathcal{L}(L_p(n) \setminus L_p(n-1))$. Now consider $L_p(n) \setminus L_p(n-1)$. For any $Y \in L_p(n) \setminus (L_p(n-1) \cup L_p(n-1)^*)$, there does not exist $Z \in L_p(n-1)^*$ such that Y > Z. This implies that $\ell_n \oplus L_{n-1}^* \in \mathcal{L}(L_p(n) \setminus L_p(n-1))$ where $\ell_n \in \mathcal{O}(M_p(n))$. Hence $L_n = L_{n-1} \oplus \ell_n \oplus L_{n-1}^* \in \mathcal{L}(L_p(n))$.

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CONJECTURE 3.3. The good extension L_n of $L_p(n)$ is an optimal linear extension.

By direct calculation, we can obtain $s(L_p(1)) = 0$ and $s(L_p(2)) = p$. That is, $L_1 = C_1 = \{\langle 0 \rangle, \langle e_1 \rangle\} \in \mathcal{O}(L_p(1))$ and $L_2 = L_1 \oplus \ell_2 \oplus L_1^* \in \mathcal{O}(L_p(2))$ where $\ell_2 = \bigoplus_{i=1}^{p-1} \{\langle e_1 + i \cdot e_2 \rangle\}.$

Let N(i, L) be the number of one-element chains of L which belong to $L_p(n)^i$.

PROPOSITION 3.4. For $n \ge 2$, let $L \in \mathcal{L}(L_2(n))$. Then $N(1,L) \ge n-1$.

Proof. For any $L \in \mathcal{L}(L_2(n))$, we can rearrange the $(L_2(n), L)$ chains in L so that all one-element $(L_2(n), L)$ -chains $\langle u_1 \rangle, \ldots, \langle u_{N(1,L)} \rangle$ which belong to $L_2(n)^1$ come before all the two-element $(L_2(n), L)$ chains which begin with an element in $L_2(n)^1$. Since any two-dimensional subspace X covers 3 one-dimensional subspaces and $X \setminus \{0\}$ has 3 elements, the sum of two different elements in $X \setminus \{0\}$ is the other element in $X \setminus \{0\}$. Without loss of generality we may assume that the first $(L_2(n), L)$ -chain in L is $\{\langle 0 \rangle, \langle e_1 \rangle\}$ since the first $(L_2(n), L)$ -chain in L has two elements. Thus

$$|\{a_0 \cdot e_1 + a_1 \cdot u_1 + \dots + a_{N(1,L)} \cdot u_{N(L,1)}\} \setminus \{0\}| \ge |L_2(n)^1|.$$

So $2^{N(1,L)+1} - 1 \ge 2^n - 1$. Hence we get $N(1,L) \ge n - 1$.

COROLLARY 3.5. For $n \ge 2$, let $L \in \mathcal{L}(L_2(n))$. Then $N(n-1,L) \ge n-1$.

Proof. Consider $L_2(n)^d$.

APPLICATION 3.6. $s(L_2(3))$ and $s(L_2(4))$.

For the simplicity of notation, let $ij = e_i + e_j$ and $i, j = e_i, e_j$.

[1] We determine $s(L_2(3))$. Let L be any linear extension of $L_2(3)$. With n = 3 we get, by Proposition 3.4 and Corollary 3.5, $N(1, L) \ge 2$ and $N(2, L) \ge 2$. And thus L has at least 4 one-element $(L_2(3), L)$ chains. By Lemma 3.1, every $(L_2(3), L)$ -chain which has more than one element has two elements. So L has at most $(G_{3,2} - 2 - 2)/2 = 6$ twoelement $(L_2(3), L)$ -chains. Thus $s(L_2(3)) \ge 9$. The good extension L_3 of $L_2(3)$, i.e., $L_3 = L_2 \oplus \ell_3 \oplus L_2^*$ where $\ell_3 = \{\langle 123 \rangle\} \oplus \{\langle 13 \rangle, \langle 2, 13 \rangle\} \oplus$ $\{\langle 23, \rangle \langle 1, 23 \rangle\} \oplus \{\langle 13, 23 \rangle\}$, has 10 chains. Hence $s(L_2(3)) = 9$.

[2] We determine $s(L_2(4))$. Let L be any linear extension of $L_2(4)$. With n = 4 we get, by Proposition 3.4 and Corollary 3.5, $N(1, L) \ge 3$ and $N(3, L) \ge 3$. So any L has at least 6 one-element chains in $L_2(4)^1$ and $L_2(4)^3$.

Suppose that there exist $L_0 \in \mathcal{L}(L_2(4))$ which has 6 one-element $(L_2(4), L)$ -chains in $L_2(4)^1$ and $L_2(4)^3$. Then

$$N(2, L_0) = \binom{4}{2}_2 - 2(\binom{4}{1}_2 - 3 - 1) = 13.$$

By Lemma 3.1, every $(L_2(4), L_0)$ -chain which has more than one element has two elements. So L_0 has $(G_{4,2}-3-13-3)/2 = 24$ two-element $(L_2(4), L_0)$ -chains. Thus $s(L_0, L_2(4)) = 3 + 13 + 3 + 24 - 1 = 42$. So $s(L_2(4)) \ge 42$. Let

$$\begin{split} \ell_{\alpha} &= \{ \langle 14 \rangle, \langle 14, 23 \rangle \} \oplus \{ \langle 124 \rangle, \langle 3, 124 \rangle \} \oplus \{ \langle 24 \rangle, \langle 24, 13 \rangle \} \\ &\oplus \{ \langle 134 \rangle, \langle 2, 134 \rangle \} \oplus \{ \langle 234 \rangle, \langle 1, 234 \rangle \} \oplus \{ \langle 34 \rangle, \langle 12, 34 \rangle \}, \\ \ell_{\beta} &= \{ \langle 1, 24 \rangle \} \oplus \{ \langle 1, 34 \rangle \} \oplus \{ \langle 14, 2 \rangle \} \oplus \{ \langle 14, 234 \rangle \} \oplus \{ \langle 23, 134 \rangle \} \\ &\oplus \{ \langle 13, 234 \rangle \} \oplus \{ \langle 24, 134 \rangle \} \oplus \{ \langle 34, 124 \rangle \} \oplus \{ \langle 134, 234 \rangle \}, \\ \ell_{\gamma} &= \{ \langle 2, 34 \rangle, \langle 1, 2, 34 \rangle \} \oplus \{ \langle 3, 24 \rangle, \langle 1, 3, 24 \rangle \} \oplus \{ \langle 3, 14 \rangle, \langle 2, 3, 14 \rangle \}, \\ \ell_{\delta} &= \{ \langle 23, 24 \rangle, \langle 1, 23, 24 \rangle \} \oplus \{ \langle 13, 14 \rangle, \langle 2, 13, 14 \rangle \} \oplus \\ &\{ \langle 12, 14 \rangle, \langle 3, 12, 14 \rangle \}, \\ \ell_{4} &= \{ \langle 1234 \rangle \} \oplus \ell_{\alpha} \oplus \ell_{\beta} \oplus \ell_{\gamma} \oplus \ell_{\delta} \oplus \{ \langle 21, 23, 24 \rangle \}. \end{split}$$

Then $\ell_4 \in \mathcal{O}(M_2(4))$. Define $L_4 = L_3 \oplus \ell_4 \oplus L_3^*$. This L_4 is a good extension of $L_2(4)$ and has 43 $(L_2(4), L_4)$ -chains. Hence $s(L_2(4)) = 42$.

Note that every element in $M_p(n)^k$ is a (k+1)-dimensional subspace in $M_p(n)$ and every element in $L_p(n-1)^{*k}$ is a (k+1)-dimensional subspace in $L_p(n-1)^*$. Note also that $L_p(n)^i = L_p(n-1)^i \cup M_p(n)^{i-1} \cup L_p(n-1)^{*i-1}$.

APPLICATION 3.7. Upper Bounds of $s(L_p(3))$ and $s(L_p(4))$.

[1] Consider $L_p(3)$.

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Let

$$\begin{split} A &= \{ \langle e_1 + a_2 \cdot e_2 + a_3 \cdot e_3 \rangle : 1 \le a_i \le p - 1 \}, \\ B &= \{ \langle e_1 + a_3 \cdot e_3 \rangle : 1 \le a_3 \le p - 1 \}, \\ C &= \{ \langle e_2 + a_3 \cdot e_3 \rangle : 1 \le a_3 \le p - 1 \}, \\ M &= M_p(3)^1 \setminus [\{ \langle \{e_2\} \cup Y \rangle : Y \in B\} \cup \{ \langle \{e_1\} \cup Z \rangle : Z \in C \}]. \end{split}$$

Define

$$\ell(A) = \bigoplus_{X \in A} \{X\},$$

$$\ell(B) = \bigoplus_{Y \in B} \{Y, \langle \{e_2\} \cup Y \rangle\},$$

$$\ell(C) = \bigoplus_{Z \in C} \{Z, \langle \{e_1\} \cup Z \rangle\},$$

$$\ell(M) = \bigoplus_{Z \in M} \{Z\},$$

$$\ell_3 = \ell(A) \oplus \ell(B) \oplus \ell(C) \oplus \ell(M).$$

Now define

(2)

 $L_3^0 = L_2 \oplus \ell_3 \oplus L_2^*$

where

(3) $L_2 = \{\langle 0 \rangle, \langle e_1 \rangle\} \oplus (\oplus \{\langle e_1 + a \cdot e_2 \rangle\} : 1 \le a \le p-1) \oplus \{\langle e_2 \rangle, \langle e_1, e_2 \rangle\}.$ Note that $N(1, L_3^0) = N(2, L_3^0) = p^2 - p$. By the same technique as in [1] of Application 3.6, we get

$$c(L_3^0) = 2(p^2 - p) + \frac{G_{3,p} - 2(p^2 - p)}{2} = 2p^2 + 2.$$

Hence

(4)
$$s(L_p(3)) \le 2p^2 + 1.$$

[2] Consider $L_p(4)$. Let

2] Consider
$$L_p(4)$$
. Let
 $A = \{ \langle e_1 + a_2 \cdot e_2 + a_3 \cdot e_3 + a_4 \cdot e_4 \rangle : 1 \le a_i \le p - 1 \},$
 $B_1 = \{ \langle e_1 + a \cdot e_4, e_3 \rangle : 1 \le a \le p - 1 \},$
 $B_2 = \{ \langle e_2 + a \cdot e_4, e_3 \rangle : 1 \le a \le p - 1 \},$
 $B_3 = \{ \langle e_3 + a \cdot e_4, e_2 \rangle : 1 \le a \le p - 1 \},$
 $B_4 = \{ \langle e_1 + a \cdot e_4, e_2 + b \cdot e_4 \rangle : 1 \le a, b \le p - 1 \},$
 $B_5 = \{ \langle e_1 + a \cdot e_4, e_3 + b \cdot e_4 \rangle : 1 \le a, b \le p - 1 \},$
 $B_6 = \{ \langle e_1 + a \cdot e_4, e_2 + b \cdot e_4 \rangle : 1 \le a, b \le p - 1 \},$
 $C = \{ \langle e_1 + a \cdot e_4, e_2 + b \cdot e_4, e_3 + c \cdot e_4 \rangle : 1 \le a, b, c \le p - 1 \},$

For each $X \in A^c$ where $A^c = M_p(4)^0 \setminus A$, choose a $T_X \in L_p(3)^1$ such that $X + T_X \in A$. Define

$$\ell(A) = \bigoplus_{X_{a} \in A} \{X_{a}\},$$

$$\ell(A^{c}) = \bigoplus_{X \in A^{c}} \{X, \langle X, T_{X} \rangle\},$$

$$\ell(M) = (\bigoplus\{Y_{0}\} : Y_{0} \in M_{p}(4)^{1} \setminus \bigcup_{i=1}^{6} B_{i} \setminus \{\langle X, T_{X} \rangle : X \in A^{c}\}),$$

$$\ell(B_{1}) = \bigoplus_{Y_{1} \in B_{1}} \{Y_{1}, \langle \{e_{2}\} \cup Y_{1} \rangle\},$$

$$\ell(B_{2}) = \bigoplus_{Y_{2} \in B_{2}} \{Y_{2}, \langle \{e_{1}\} \cup Y_{2} \rangle\},$$

$$\ell(B_{3}) = \bigoplus_{Y_{3} \in B_{3}} \{Y_{3}, \langle \{e_{1}\} \cup Y_{3} \rangle\},$$

$$\ell(B_{4}) = \bigoplus_{Y_{4} \in B_{4}} \{Y_{4}, \langle \{e_{3}\} \cup Y_{4} \rangle\},$$

$$\ell(B_{5}) = \bigoplus_{Y_{5} \in B_{5}} \{Y_{5}, \langle \{e_{2}\} \cup Y_{5} \rangle\},$$

$$\ell(B_{6}) = \bigoplus_{Y_{6} \in B_{6}} \{Y_{6}, \langle \{e_{1}\} \cup Y_{6} \rangle\},$$

$$\ell(C) = \bigoplus_{Z \in C} \{Z\},$$
(5)
$$\ell_{4} = \ell(A) \oplus \ell(A^{c}) \oplus \ell(M) \oplus [\oplus_{i=1}^{6} \ell(B_{i})] \oplus \ell(C).$$

Then $c(\ell_4) = p^4 + 3p^3 - 6p^2 + 5p - 3$. Now define

 $L_4^0 = L_3^0 \oplus \ell_4 \oplus (L_3^0)^*$

where L_3^0 is defined in (2). Note that $N(1, L_4^0) = N(3, L_4^0) = p^3 - 2p^2 + 2p - 1$. The L_4^0 has $p^4 + 3p^3 - 2p^2 + 5p + 1$ ($L_p(4), L_3^0$)-chains. Thus

(6)
$$s(L_p(4)) \le p^4 + 3p^3 - 2p^2 + 5p.$$

[3] In general, equality does not hold in (4) and (6). If p = 2, then we get equality in (4) and (6). Let L_2 be defined as in (3) and ℓ_4 as in (5). We construct $L_{\alpha} \in \mathcal{L}(L_3(3))$ such that $s(L_{\alpha}, L_3(3)) = 18$. Let

$$\begin{split} \ell_1^e &= \{ \langle e_1 + e_2 + e_3 \rangle \} \oplus \{ \langle e_1 + 2e_2 + 2e_3 \rangle \} \oplus \{ \langle e_1 + e_2 + 2e_3 \rangle \}, \\ \ell_2^e &= \{ \langle e_2 + e_3 \rangle, \langle e_1, e_2 + e_3 \rangle \} \oplus \{ \langle e_1 + 2e_3 \rangle, \langle e_2, e_1 + 2e_3 \rangle \} \\ &\oplus \{ \langle e_1 + 2e_2 + e_3 \rangle \}, \langle e_1 + e_2, e_2 + e_3 \rangle \} \\ &\oplus \{ \langle e_2 + 2e_3 \rangle, \langle e_1, e_2 + 2e_3 \rangle \} \oplus \{ \langle e_1 + e_3 \rangle, \langle e_2, e_1 + e_3 \rangle \}, \\ \ell_3^e &= \{ \langle e_1 + e_2, e_2 + 2e_3 \rangle \} \oplus \{ \langle e_1 + 2e_2, e_2 + e_3 \rangle \} \\ &\oplus \{ \langle e_1 + 2e_2, e_2 + 2e_3 \rangle \}. \end{split}$$

Let

$$L_{\alpha} = L_2 \oplus \ell_1^e \oplus \ell_2^e \oplus \ell_3^e \oplus L_2^*.$$

Then $s(L_{\alpha}, L_3(3)) = 18$. So $s(L_3(3)) \leq 18$. So equality does not hold in (4). Similarly, we can construct a linear extension $L_{\beta} = L_{\alpha} \oplus \ell_4 \oplus L_{\alpha}^* \in \mathcal{L}(L_3(4))$. Since $s(L_{\beta}, L_3(4)) = 157$, equality does not hold in (6).

PROPOSITION 3.8. $L_p(n)$ is greedy if and only if n = 1, 2. Furthermore, $L_p(n)$ is reversible, i.e., $\mathcal{G}(L_p(n)) = \mathcal{O}(L_p(n))$, if and only if n = 1, 2.

Proof. $L_p(1)$ is obviously greedy. If $L \in \mathcal{G}(L_p(2))$, then only the first $(L_p(2), L)$ -chain and the last $(L_p(2), L)$ -chain have two elements, and the other $(L_p(2), L)$ -chains have one element. Since $s(L, L_p(2)) = 1 + p - 1 + 1 - 1 = p$, $L \in \mathcal{O}(L_p(2))$.

Suppose $n \geq 3$. Let L be the linear extension of $L_p(3)$ defined by

$$egin{aligned} L = L_2 \oplus \{\langle e_1 + e_3
angle\} \oplus (\oplus \{\langle e_1 + a \cdot e_2 + b \cdot e_3
angle\} : 1 \leq a, b \leq p-1) \ \oplus \ell_3^0 \oplus \{\langle e_2, e_1 + e_3
angle\} \oplus L_2^* \end{aligned}$$

where L_2 is a good extension of $L_p(2)$ and

$$\ell_3^0 \in \mathcal{O}(M_p(3) \setminus \bigcup_{1 \leq a, b \leq p-1} \langle e_1 + a \cdot e_2 + b \cdot e_3 \rangle \setminus \langle e_1 + e_3 \rangle \setminus \langle e_2, e_1 + e_3 \rangle).$$

Then $L \in \mathcal{G}(L_p(3))$ but $L \notin \mathcal{O}(L_p(3))$. Thus $L_p(3)$ is not greedy. Since $L_p(n) \supset L_p(3)$, we can construct $\ell \in \mathcal{G}(L_p(n) \setminus L_p(3))$. Then $L \oplus \ell \in \mathcal{G}(L_p(n))$ where L is defined as above. But $L \oplus \ell \notin \mathcal{O}(L_p(n))$. This shows that $L_p(n)$ is not greedy for $n \geq 3$.

For n = 1, 2 we have $\mathcal{O}(L_p(n)) \subset \mathcal{G}(L_p(n))$. Thus from the above result we obtain that $\mathcal{G}(L_p(n)) = \mathcal{O}(L_p(n))$ if and only if n = 1, 2.

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