

Isometries of a Subalgebra of $C^{(1)}[0, 1]$

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ABSTRACT. By $C^{(1)}[0, 1]$ we denote the Banach algebra of complex valued continuously differentiable functions on $[0, 1]$ with norm given by

$$\|f\| = \sup_{x \in [0, 1]} (|f(x)| + |f'(x)|) \text{ for } f \in C^{(1)}.$$

By A we denote the subalgebra of $C^{(1)}$ defined by

$$A = \{f \in C^{(1)} : f(0) = f(1) \text{ and } f'(0) = f'(1)\}.$$

By an isometry of A we mean a norm-preserving linear map of A onto itself.

The purpose of this article is to describe the isometries of A . More precisely, we show tht any isometry of A is induced by a point map of the interval $[0, 1]$ onto itself.

The isometries of $C^{(1)}$ are determined by M. Cambern [1]. V.D. Pathak [3] have also determined the isometries of $C^{(n)}$, with norm given by

$$\|f\| = \sup_{x \in [0, 1]} \sum_{r=0}^n \frac{|f^{(r)}(x)|}{r!}.$$

In the proof we shall follow the techniques of [1] and [3].

DEFINITION 1: We define a function d from $[0, 1] \times [0, 1]$ into $[-1/2, 1/2]$ by $d(x, y) = x - y - [x - y + 1/2]$ and identify 0 with 1 then there exists a topology κ of $[0, 1]$ induced by a metric $|d|$. Denote $[0, 1]_\kappa$ for the topological space $[0, 1]$ with the topology κ . For $x \in [0, 1]_\kappa$ define $f'(x)$ by

$$f'(x) = \begin{cases} \lim_{d(y, x) \rightarrow 0} \frac{f(y) - f(x)}{d(y, x)} & \text{if } f : [0, 1]_\kappa \rightarrow C \\ \lim_{d(y, x) \rightarrow 0} \frac{d(f(y) - f(x))}{d(y, x)} & \text{if } f : [0, 1]_\kappa \rightarrow [0, 1]_\kappa. \end{cases}$$

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By $C^{(1)}[0, 1]_\kappa$ we denote the Banach algebra of complex valued continuously differentiable functions on $[0, 1]_\tau$ into C with norm given by

$$\|f\| = \sup_{x \in [0, 1]_\kappa} (|f(x)| + |f'(x)|) \quad \text{for } f \in C^{(1)}[0, 1]_\kappa.$$

By the identity function from $[0, 1]$ onto $[0, 1]_\kappa$ we can identify Banach algebra A with $C^{(1)}[0, 1]_\kappa$. We prove the following propositions.

PROPOSITION 2. *Given $x \in [0, 1]_\kappa$, $\theta \in [-\pi, \pi]$, then there exists $h \in C[0, 1]_\kappa$ such that*

$$|h(x)| + |h'(x)| > |h(y)| + |h'(y)|$$

for $y \in [0, 0]_\kappa$, $x \in [0, 1]_\kappa$, $y \neq x$, with $|h(x)| = h(x) > 0$, $|h'(x)| = e^{i\theta}h(x) > 0$.

PROOF: Let f_0 be the real valued, nonnegative continuous function on $[0, 1]_\kappa$ defined as follows

$$f_0(y) = \begin{cases} \frac{3}{4}d(y, x) + \frac{1}{8} & \cdots -\frac{1}{2} \leq d(x, y) \leq -\frac{1}{6} \\ 3d(y, x) + \frac{1}{2} & \cdots -\frac{1}{6} \leq d(x, y) \leq 0 \\ -3d(y, x) + \frac{1}{2} & \cdots 0 \leq d(x, y) \leq \frac{1}{6} \\ -\frac{3}{4}d(y, x) + \frac{1}{8} & \cdots \frac{1}{6} \leq d(x, y) \leq \frac{1}{2}. \end{cases}$$

Define $g(y) = \int_x^y f_0(t) dt$. It can be easily verified that $g(y)$ is as follows;

$$g(y) = \begin{cases} \frac{1}{32}(6d(y, x) - 1)(2d(y, x) + 1) & \cdots -\frac{1}{2} \leq d(x, y) \leq -\frac{1}{6} \\ \frac{1}{2}(3d(y, x) + 1)d(y, x) & \cdots -\frac{1}{6} \leq d(x, y) \leq 0 \\ -\frac{1}{2}(3d(y, x) - 1)d(y, x) & \cdots 0 \leq d(x, y) \leq \frac{1}{6} \\ -\frac{1}{32}(6d(y, x) + 1)(2d(y, x) - 1) & \cdots \frac{1}{6} \leq d(x, y) \leq \frac{1}{2}. \end{cases}$$

Therefore $g \in C[0, 1]_\kappa$ and $g' = f_0$. Thus

$$\begin{aligned} g(x) &= 0, & g'(x) &= \frac{1}{2} \\ |g(x)| + |g'(x)| &= \frac{1}{2} \end{aligned}$$

Now consider $|g(y)| + |g'(y)|$ for $y \in [0, 1]_\kappa$ and $y \neq x$.

$$|g(y)| + |g'(y)| = \begin{cases} -\frac{3}{8}d(y, x)^2 - \frac{7}{8}d(y, x) - \frac{3}{32} < \frac{1}{2} & \cdots -\frac{1}{2} \leq d(x, y) \leq -\frac{1}{6} \\ -\frac{3}{2}d(y, x)^2 + \frac{5}{2}d(y, x) + \frac{1}{2} < \frac{1}{2} & \cdots -\frac{1}{6} \leq d(x, y) < 0 \\ -\frac{3}{2}d(y, x)^2 - \frac{5}{2}d(y, x) + \frac{1}{2} < \frac{1}{2} & \cdots 0 < d(x, y) \leq \frac{1}{6} \\ -\frac{3}{8}d(y, x)^2 + \frac{7}{8}d(y, x) - \frac{3}{32} < \frac{1}{2} & \cdots \frac{1}{6} \leq d(x, y) \leq \frac{1}{2}. \end{cases}$$

From this it follows that the function $h \in C[0, 1]_\kappa$ defined by $h(y) = 1 + e^{i\theta}g(y)$ has the desired properties.

If X is any compact Hausdorff space, we will denote by $C(X)$ the Banach algebra of continuous complex functions defined on X with the norm $\| \cdot \|_\infty$ determined by $\|g\|_\infty = \sup_{x \in X} |g(x)|$ for $g \in C(X)$. Now let W denote the compact space $[0, 1] \times [-\pi, \pi]$, given $f \in A$, we define $\tilde{f} \in C(W)$ by

$$\tilde{f}(x, \theta) = f(x) + e^{i\theta}f'(x) \quad (x, \theta) \in W.$$

The following lemma is then obvious.

LEMMA 3. *The mapping $f \rightarrow \tilde{f}$ establishes a linear and norm preserving correspondence between A and the closed subspace S of $C(W)$, $S = \{\tilde{f} : f \in A\}$.*

Next given $(x, \theta) \in W$, we define a continuous linear functional $L_{(x, \theta)}$ on A by

$$L_{(x, \theta)}(f) = \tilde{f}(x, \theta), \quad f \in A.$$

In view of Proposition 2 the proof of the following lemma is analogous to the proof of Lemma 1.2 in [1].

LEMMA 4. *If an element f^* of A^* is an extreme point of the unit ball U^* of A^* then f^* is of the form $e^{i\eta}L_{(x,\theta)}$ for some $\eta \in [-\pi, \pi]$, $(x, \theta) \in W$.*

We now suppose that T is an isometry of A . The adjoint T^* is then an isometry of A^* , and thus carries extreme points of U^* onto itself.

LEMMA 5. *The image by T of the constant function 1 of A is a constant function $e^{i\lambda}$, $\lambda \in [-\pi, \pi]$.*

PROOF: For each extreme point $e^{i\eta}L_{(x,\theta)}$ of U^* ,

$$|(e^{i\eta}L_{(x,\theta)})(1)| = 1.$$

Thus for each extreme point $T^*(e^{i\eta}L_{(x,\theta)})(1) = 1$. Therefore, $|(L_{(x,\theta)})(T(1))| = 1$. Thus for a fixed x , $|(T(1))(x) + e^{i\theta}(T(1))'(x)| = 1$ for all $\theta \in [-\pi, \pi]$. Choosing θ so that

$$\arg((T(1))(x)) = \arg(e^{i\theta}(T(1))'(x))$$

we get

$$|(T(1))(x)| + |(T(1))'(x)| = 1.$$

Again by choosing θ , so that

$$\arg((T(1))(x)) = \pi + \arg(e^{i\theta}(T(1))'(x))$$

we get

$$|| (T(1))(x) | - |(T(1))'(x) || = 1.$$

Thus either

$$\{|(T(1))(x)| = 1 \text{ and } |(T(1))'(x)| = 0\}.$$

or

$$(1) \quad \{|(T(1))(x)| = 0 \text{ and } |(T(1))'(x)| = 1\}.$$

Therefore, for any $x \in [0, 1]$, $|(T(1))(x)| = 1$ or $|(T(1))(x)| = 0$. But since $|(T(1))|$ is a continuous function on $[0, 1]$ we have

$$|(T(1))(x)| \equiv 0 \quad \text{or} \quad |(T(1))'(x)| \equiv 1.$$

Now $|(T(1))(x)| \equiv 0$ implies that $(T(1))(x) \equiv (T(1))'(x) \equiv 0$ which contradicts (1).

Hence $|(T(1))(x)| \equiv 1$ from which it follows that $(T(1))'(x) \equiv 0$ and hence

$$T(1) \equiv e^{i\lambda} \text{ for some fixed } \lambda \in [-\pi, \pi].$$

We denote $T^*(L_{(x,\theta)})$ by

$$e^{i\lambda(x,\theta)} L_{(y(x,\theta), \psi(x,\theta))}.$$

The above Lemma 4 shows that $\lambda(x, \theta) \equiv \lambda$ for all $\theta \in [-\pi, \pi]$. For

$$T^*(L_{(x,\theta)})(1) = e^{i\lambda(x,\theta)} L_{(y(x,\theta), \psi(x,\theta))}(1),$$

so that $L_{(x,\theta)}(T(1)) = e^{i\lambda(x,\theta)}$ and thus $L_{(x,\theta)}(e^{i\lambda}) = e^{i\lambda(x,\theta)}$. Hence $\lambda(x, \theta) = \lambda$.

LEMMA 6. If $x \in [0, 1]_\kappa$, then for all $\theta \in [-\pi, \pi]$,

$$y(x, \theta) = y(x, 0).$$

PROOF: For fixed $x \in [0, 1]_\kappa$, we consider the map $\rho : [-\pi, \pi] \rightarrow [0, 1]_\kappa$ given by

$$\rho(\theta) = y(x, \theta).$$

Consider the function h of the Proposition 2 constructed for $(y(x, \theta), \psi(x, \theta))$, then

$$\begin{aligned} & \frac{3}{2} |\theta - \theta_1| \\ & \geq |(e^{i\theta} - e^{i\theta_1})| \|T(h)\| \\ & \geq |(e^{i\theta} - e^{i\theta_1})(T(h)'(x))| \\ & = |T(h)(x) + e^{i\theta} T(h)'(x) - T(h)(x) - e^{i\theta_1} T(h)'(x)| \\ & = |L_{(x,\theta)}(T(h)) - L_{(x,\theta_1)}(T(h))| \\ & = |T^*(L_{(x,\theta)} - L_{(x,\theta_1)})(h)| \\ & = |h(y(x,\theta)) + e^{i\psi(x,\theta)} h'(y(x,\theta)) - h(y(x,\theta_1)) - e^{i\psi(x,\theta_1)} h'(y(x,\theta_1))| \\ & \geq ||h(y(x,\theta)) + e^{i\psi(x,\theta)} h'(y(x,\theta))| - |h(y(x,\theta_1)) + e^{i\psi(x,\theta_1)} h'(y(x,\theta_1))|| \\ & \geq ||h| - \frac{3}{2} + \frac{1}{2} d^2(y(x,\theta), y(x,\theta_1)) + \frac{3}{2} |d(y(x,\theta), y(x,\theta_1))|| \\ & = \left| \frac{1}{2} d^2(y(x,\theta), y(x,\theta_1)) + \frac{3}{2} |d(y(x,\theta), y(x,\theta_1))| \right| \end{aligned}$$

for sufficiently small $|\theta - \theta_1|$. Therefore ρ is continuous. Hence the image of $[-\pi, \pi]$ in $[0, 1]_\kappa$ is connected subset of $[0, 1]_\kappa$. It is in fact singleton. For otherwise we could find g in A such that $g \equiv g' \equiv 0$ on an open interval $I \in \rho([-\pi, \pi])$ while for some $y_{(x, \phi)} \notin [0, 1]_\kappa$,

$$|g(y_{(x, \phi)})| < |g'(y_{(x, \phi)})|.$$

For instance, one may take

$$g(y) = \begin{cases} 0 & y \leq y_1 \\ (y - y_1)^2 & y_1 \leq y \leq \frac{1 + 3y_1}{4} \\ -\left(y - \frac{1 + y_1}{2}\right)^2 & \frac{1 + 3y_1}{4} \leq y \leq \frac{3 + y_1}{4} \\ (y - 1)^2 & \frac{3 + y_1}{4} \leq y \leq 1 \end{cases}$$

where y_1 is least upper bound of I and $y_{(x, \phi)}$ sufficiently near to y_1 . Thus for an infinite number of $\theta \in [-\pi, \pi]$ with $y_{(x, \theta)} \in I$,

$$L_{(x, \theta)}(T(g)) = T^*(L_{(x, \theta)})(g) = e^{i\lambda} L_{(y_{(x, \theta)}, \psi(x, \theta))}(g) = 0$$

while

$$L_{(x, \phi)}(T(g)) = e^{i\lambda} L_{(y_{(x, \phi)}, \psi(x, \phi))}(g) \neq 0.$$

Since ρ is continuous, $\rho^{-1}(I)$ is in $[-\pi, \pi]$ and therefore there exist an infinite number of θ 's such that

$$(2) \quad L_{(x, \theta)}(T(g)) = 0 \quad \text{while} \quad L_{(x, \phi)}(T(g)) \neq 0.$$

Therefore $(T(g))(x) + e^{i\theta}(T(g))'(x) = 0$.

Varying θ we can see that $(T(g))'(x) = 0$. Thus $L_{(x, \phi)}(T(g)) = 0$ which contradict (2).

Hence $y_{(x, \theta)} = y_{(x, 0)}$ for all $\theta \in [-\pi, \pi]$.

Finally we define a point map τ of $[0, 1]_\kappa$ to $[0, 1]_\kappa$ by

$$\tau(x) = y_{(x, 0)}.$$

Consideration of $(T^{-1})^*$ shows that τ is onto, and, applying Lemma 6, one-one.

THEOREM 7. *Let T be an isometry of A . Then, for $f \in A$,*

$$(T(f))(x) = e^{i\lambda} f(\tau(x))$$

with $e^{i\lambda} = T(1)$. Moreover, τ is one of the functions $F, 1 - F$ where F is the mapping of $[0, 1]_{\kappa}$ onto $[0, 1]_{\kappa}$ defined by $F(x) = x + c - [x + c]$, $x \in [0, 1]_{\kappa}$, $c \in [0, 1]_{\kappa}$.

PROOF: Given $x \in [0, 1]_{\kappa}$ and $\theta \in [-\pi, \pi]$, consider the function g of the Proposition 2 constructed for (x, θ) . Clearly, g does not depend on θ ; $g(x) = 1$; $g'(x)$ is positive real and $g'(x) > |g'(y)|$ for all $y \in [0, 1]_{\kappa}$, $y \neq x$. Therefore,

$$\begin{aligned} \|g\| &= g'(x) \\ &= e^{i\theta} L_{(x, \theta)}(g) \\ &= e^{i\theta} T^* L_{(x, \theta)}(T^{-1}(g)) \\ &= e^{i(\lambda - \theta)} L_{(\tau(x), \psi(x, \theta))}(T^{-1}(g)). \end{aligned}$$

Thus we have for all $\theta \in [-\pi, \pi]$

$$(3) \quad \|g\| = e^{i(\lambda - \theta)} (T^{-1}(g))(\tau(x)) + e^{i\psi(x, \theta)} (T^{-1}(g))'(\tau(x)).$$

Since

$$\|g\| = \|T^{-1}(g)\| = \sup_{y \in [0, 1]_{\kappa}} |(T^{-1}(g))(y)|$$

by (3) we have

$$\|g\| = |(T^{-1}(g))(\tau(x))| + |(T^{-1}(g))'(\tau(x))|.$$

Again since g is independent of θ ,

$$(T^{-1}(g))(\tau(x)), (T^{-1}(g))'(\tau(x))$$

are independent of θ but

$$A(\theta) = \{e^{i\psi(x, \theta)} (T^{-1}(g))'(\tau(x))\}$$

depend on θ for otherwise (3) cannot be true. In other words, $A(\theta)$ is not constant. Now by (3) $A(\theta)$ must be on a circle with center as $\{(T^{-1}(g))(\tau(x))\}$ and radius equal to $\|g\|$.

On the other hand $A(\theta)$ must be on or within the circle with center as origin and radius equal to $\rho = |(T^{-1}(g))'(\tau(x))| = \|g\| - |(T^{-1}(g))(\tau(x))|$. This implies that $(T^{-1}(g))(\tau(x)) = 0$ for otherwise $A(\theta)$ has to be a constant which is false.

Also by (3)

$$\|g\| = e^{i(\lambda - \theta + \psi(x, \theta))} (T^{-1}(g))(\tau(x)).$$

Since the left hand side is independent of θ , we have

$$\lambda - \theta + \psi(x, \theta) = \lambda + \psi(x, 0).$$

Hence for all $\theta \in [-\pi, \pi]$,

$$\psi(x, \theta) = \psi(x, 0) + \theta.$$

Now f be any element of A such that $f(x) = 0$ then for all $\theta \in [-\pi, \pi]$

$$\begin{aligned} f'(x) &= e^{i\theta} L_{(x, \theta)}(f) \\ &= e^{i\theta} T^* L_{(x, \theta)}(T^{-1}(f)) \\ &= e^{i(\lambda - \theta)} L_{(\tau(x), \psi(x, \theta))}(T^{-1}(f)) \\ &= e^{i(\lambda - \theta)} [(T^{-1}(f))(\tau(x)) + e^{i\psi(x, \theta)} (T^{-1}(f))'(\tau(x))] \\ &= e^{i\lambda} [e^{-i\theta} (T^{-1}(f))(\tau(x)) + e^{i\psi(x, 0)} (T^{-1}(f))'(\tau(x))] \end{aligned}$$

so that $(T^{-1}(f))(\tau(x)) = 0$. For an arbitrary $f \in A$, define $g(y) = f(y) - f(x)$, $y \in [0, 1]$ then $g(x) = 0$ and so

$$\begin{aligned} 0 &= (T^{-1}(g))(\tau(x)) = (T^{-1}(f))(\tau(x)) - f(x)(T^{-1}(1))(\tau(x)) \\ &= (T^{-1}(f))(\tau(x)) - e^{-i\lambda} f(x). \end{aligned}$$

Thus, replacing f by $T(f)$, it follows that for all $x \in [0, 1]_{\kappa}$ and $f \in C^{(1)}[0, 1]_{\kappa}$,

$$(T(f))(x) = e^{i\lambda} f(\tau(x)).$$

Now F_0 is the mapping of $[0, 1]_\kappa$ onto itself given by

$$F_0(y) = \begin{cases} 2(2y - \tau(x) + \frac{1}{2})^3 - (2y - \tau(x) + \frac{1}{2}) \\ \quad + \frac{2\tau(x) + 1}{4} & \dots 0 \leq y \leq \frac{\tau(x)}{2} \\ y & \dots \frac{\tau(x)}{2} \leq y \leq \frac{\tau(x)+1}{2} \\ 2(2y - \tau(x) - \frac{3}{2})^3 - (2y - \tau(x) - \frac{3}{2}) \\ \quad + \frac{2\tau(x) + 1}{4} & \dots \frac{\tau(x)+1}{2} \leq y \leq 1. \end{cases}$$

Therefore

$$\begin{aligned} (T(F_0))(x) + (T(F_0))'(x) &= L_{(x,0)}(T(F_0)) \\ &= T^* L_{(x,0)}(F_0) \\ &= e^{i\lambda} L_{(\tau(x), \psi(x,0))}(F_0) \\ &= e^{i\lambda} [F_0(\tau(x)) + e^{i\psi(x,0)}(F_0)'(\tau(x))] \\ &= e^{i\lambda} [\tau(x) + e^{i\psi(x,0)}]. \end{aligned}$$

therefore

$$\begin{aligned} (T(F_0))'(x) &= e^{i(\lambda + \psi(x,0))}, \\ \tau(x)' &= e^{i\psi(x,0)}. \end{aligned}$$

But since $\tau(x)'$ is real valued and $\tau(x)$ is one to one we have $\tau(x)' \equiv 1$ or $\tau(x)' \equiv -1$ and, therefore $\tau(x) = x + c - [x + c]$ or $\tau(x) = -x + c - [x + c]$.

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