

## On algebras which resembles the local Weyl algebra $\hat{D}_n(K)$

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Let  $K$  be an algebraically closed field of characteristic zero and let  $\hat{Q}_n(K) = k[[x_1, \dots, x_n]]$  be the formal power series ring over  $K$  in  $n$  variables. According to Björk [1], we denote by  $\hat{D}_n(K)$  the subring of  $\text{End}_K(\hat{Q}_n(K))$  generated over  $K$  by the left multiplications by elements of  $\hat{Q}_n(K)$  and partial differentials  $\partial_i = \frac{\partial}{\partial x_i}$

$$\hat{D}_n(K) = \hat{Q}_n(K) \langle \partial_1, \dots, \partial_n \rangle.$$

where  $\partial_i x_j - x_j \partial_i = \delta_{ij}$  (Kronecker's delta) and  $\partial_i \partial_j = \partial_j \partial_i$ . The ring  $\hat{D}_n(K)$  has the  $\Sigma$ -filtration  $\{\Sigma_v\}_{v \geq 0}$  such that  $\Sigma_0 = \hat{Q}_n(K)$  and  $\Sigma_v = \{ \sum_{|\alpha| \leq v} f_\alpha \partial^\alpha ; f_\alpha \in \hat{Q}_n(K) \text{ and } \partial^\alpha = \partial_1^{\alpha_1} \dots \partial_n^{\alpha_n} \text{ with } |\alpha| = \alpha_1 + \dots + \alpha_n \leq v \}$  and that the associated graded ring  $\text{gr}_\Sigma(\hat{D}_n(K))$  is a polynomial ring over  $\hat{Q}_n(K)$  in  $n$  variables. Moreover,  $\hat{D}_n(K)$  has weak global dimension  $n$ , i.e.,  $\text{w.gl. dim}(\hat{D}_n(K)) = n$ .

In the present article, we consider whether or not these conditions are sufficient to characterize the ring  $\hat{D}_n(K)$ .

### 2. Structure theorems

To simplify the notations, we denote  $\hat{Q}_n(K)$  by  $R$ . Let  $A$  be a (not necessarily commutative) ring finitely generated over  $R$ . Consider the following three conditions on  $A$ :

- (i)  $A$  has a  $\Sigma$ -filtration  $\{\Sigma_v\}_{v \geq 0}$  such that  $\Sigma_0 = R$ ,  $\Sigma_1$  generates  $A$  over  $R$ ,  $\Sigma_v \cdot \Sigma_w \subset \Sigma_{v+w}$  for any  $v, w \geq 0$  and  $A = \bigcup_{v \geq 0} \Sigma_v$ ;
- (ii) The associated graded ring  $\text{gr}_\Sigma^1(A) = \bigoplus_{v \geq 0} \Sigma_v / \Sigma_{v+1}$  is a polynomial

ring  $R[y_1, \dots, y_m]$  in  $m$  variables ;

(iii)  $\text{w.gl.dim}(A) = n$ .

If  $A$  satisfies the above conditions (i) and (ii), we call it a *pre-W-algebra* over  $R$ . We denote by  $L$  the free  $R$ -module  $\Sigma_1 / \Sigma_0 = \bigoplus_{i=1}^m R y_i$ .

**Lemma 2.1.** *Let  $A$  be a pre-w-algebra over  $R$ . Then we have the following :*

(1) *Let  $Y_1, \dots, Y_m$  be elements of  $\Sigma_1$  such that  $y_i \equiv Y_i \pmod{\Sigma_0}$  for any  $i$ . Then  $A$  is generated by  $Y_1, \dots, Y_m$  over  $R$ , which we write as  $A = R\langle Y_1, \dots, Y_m \rangle$ .*

(2) *For any  $y \in L$  and  $a \in R$ , define  $y[a]$  by*

$$y[a] = Y a - a Y$$

*for  $Y \in \Sigma_1$  with  $y \equiv Y \pmod{\Sigma_0}$ . Then  $y[a]$  is independent of the choice of  $Y$ , and  $y$  is considered as a  $K$ -derivation on  $R$ . So, we have an  $R$ -linear map  $\rho : L \rightarrow \text{Der}_R(R)$ ; we write  $y[a]$  as  $\rho(y)(a)$  as well.*

(3) *Define a bracket product  $[y, z]$  on  $L$  by*

$$[y, z] \equiv Y Z - Z Y \pmod{\Sigma_0}$$

*for  $Y, Z \in \Sigma_1$  with  $y \equiv Y \pmod{\Sigma_0}$  and  $z \equiv Z \pmod{\Sigma_0}$ . Then  $[y, z]$  is well-defined and  $\rho$  is a Lie-algebra homomorphism, i.e.,  $\rho([y, z]) = [\rho(y), \rho(z)]$ .*

**Proof.** (1) For any  $f \in A$ , we define  $v(f)$  as the smallest integer  $r$  with  $f \in \Sigma_r$ . If  $v(f) = r$ , there exists  $F_r(y_1, \dots, y_m) \in R[y_1, \dots, y_m]$  = the  $r$ -th homogeneous part of  $g_{\Sigma}^f(A)$  such that  $f - F_r(Y_1, \dots, Y_m) \in \Sigma_{r-1}$ . By induction on  $v(f)$ , we can verify the assertion straightforwardly.

(2) Replace  $Y$  by  $Y + b$  with  $b \in R$ . Then we have

$$(Y + b)a - a(Y + b) = Y a - a Y,$$

whence  $Y[a]$  is independent of the choice of  $Y$ . Furthermore, we have

$$\begin{aligned} y[ab] &= Y(ab) - (ab)Y = (aY + y[a])b - abY \\ &= abY + ay[b] + y[a]b - abY = ay[b] + y[a]b. \end{aligned}$$

So,  $y[ ]$  is a  $K$ -derivation on  $R$ .

(3) The assertion can be verified by a straightforward computation.

Q.E.D.

The structure of a pre-W-algebra over  $R$  is given in the follo-

wing :

**Theorem 2.2.** (1) Let  $A$  be a pre-w-algebra over  $R$ . Let  $Y_1, \dots, Y_m$  be elements of  $\Sigma_1$  as chosen in the previous lemma. Write

$$(2.0) \quad Y_i Y_j - Y_j Y_i = \sum_{k=1}^m \rho_{ijk} Y_k + \sigma_{ij}, \quad 1 \leq i, j \leq m,$$

where  $\rho_{ijk}, \sigma_{ij} \in R$ . Then we have the following equalities :

$$(2.1) \quad \sum_{\ell=1}^m (\rho_{i,\ell} \rho_{\ell k s} + \rho_{j k, \ell} \rho_{\ell i, s} + \rho_{k i, \ell} \rho_{\ell j, s}) \\ = y_i [\rho_{j k s}] + y_j [\rho_{k i s}] + y_k [\rho_{i j s}], \quad 1 \leq i, j, k, s \leq m.$$

$$(2.2) \quad \sum_{\ell=1}^m (\rho_{i k, \ell} \sigma_{\ell j} + \rho_{j k, \ell} \sigma_{i \ell} + \rho_{i j, \ell} \sigma_{k \ell}) \\ = y_i [\sigma_{j k}] + y_j [\sigma_{i k}] + y_k [\sigma_{i j}], \quad 1 \leq i, j, k \leq m.$$

$$(2.3) \quad \rho_{ijk} = -\rho_{jki}, \quad \sigma_{ij} = -\sigma_{ji}, \quad 1 \leq i, j, k \leq m.$$

The elements  $\{\rho_{ijk} ; 1 \leq i, j, k \leq m\}$  are determined uniquely by the Lie algebra  $L$  and the choice of  $R$ -free basis  $\{y_1, \dots, y_m\}$  of  $L$ .

(2) Suppose we are given as in Lemma 2.1. the Lie algebra  $L$  and an  $R$ -Linear map  $\rho : L \rightarrow \text{Der}_R R$  which is a Lie-algebra homomorphism. For an  $R$ -free basis  $\{y_1, \dots, y_m\}$  of  $L$ , suppose we are given elements  $\{\sigma_{ij} ; 1 \leq i, j \leq m\}$  satisfying the conditions (2.2) and (2.3) above. Then there exists an  $R$ -algebra  $A$  with a  $\Sigma$ -filtration  $\{\Sigma_v\}_{v \geq 0}$  such that

- (i)  $A$  is generated over  $R$  by elements  $Y_1, \dots, Y_m$  ;
- (ii) The equalities (2.0)~(2.3) hold ;
- (iii)  $\Sigma_v = \{\sum_{\alpha} f_{\alpha} Y^{\alpha} ; f_{\alpha} \in R, Y^{\alpha} = Y_1^{\alpha_1} \dots Y_m^{\alpha_m}, |\alpha| \leq v\}$  for any  $v \geq 0$  ;
- (iv)  $\text{gr}_{\Sigma}(A) \simeq R[y_1, \dots, y_m] :=$  the symmetric algebra of  $L$  over  $R$ .

**Proof.** (1) By the definition of  $[y_i, y_j]$  in Lemma 2.1,  $\{\rho_{ijk} ; 1 \leq i, j, k \leq m\}$  are the multiplication constants of the Lie algebra  $L$ . Hence they are uniquely determined by the choice of the  $R$ -free basis  $\{y_1, \dots, y_m\}$  of  $L$ . if one chooses  $\{y_1, \dots, y_m\}$  as in Lemma 2.1, then  $\{1, Y_1, \dots, Y_m\}$  is an  $R$ -free basis of  $\Sigma_1$ . Then the equalities (2.1) and (2.2) follow

from the Jacobi identity :

$$[Y_i, Y_j], Y_k + [[Y_i, Y_k], Y_j] + [[Y_k, Y_j], Y_i] = 0,$$

where  $[Y_i, Y_j] = Y_i Y_j - Y_j Y_i$ .

(2) Let  $\{Y_1, \dots, Y_m\}$  be indeterminates and let  $A$  be the free  $R$ -algebra generated by  $Y_1, \dots, Y_m$  modulo the two-sided ideal  $I$  generated by

$$\{Y_i Y_j - Y_j Y_i, -\sum_{k=1}^m r_{i,j,k} Y_k - \sigma_{ij} ; 1 \leq i, j, k \leq m\} \text{ and}$$

$$\{Y_i f - f Y_i - \rho(y_i)(f) ; 1 \leq i \leq m, \forall f \in R\}.$$

We write  $y_i[f] = \rho(y_i)(f)$  by identifying  $Y_i$ 's with  $y_i$ 's in  $L$ . We can employ the proof of the Poincare-Birkhoff-Witt theorem (cf. Jacobson [2]) without major changes in the present situation to show that every element of  $A$  is written uniquely as a linear combination of standard monomials in  $Y_1, \dots, Y_m$  with coefficients in  $R$ . In particular, the equalities (2.1) and (2.2) imply that  $\Sigma_1$  (with the notation in (iii)) is a free  $R$ -module generated by  $1, Y_1, \dots, Y_m$ . Note that there is a surjective homomorphism  $\theta : R[Y_1, \dots, Y_m] \rightarrow \text{gr}_\Sigma(A)$ . Its kernel is generated by the relations  $y_i y_j - y_j y_i$  and  $y_i f - f y_i$ ,  $1 \leq i, j \leq m$ . But these elements are already zero in  $R[Y_1, \dots, Y_m]$ . Hence  $\text{gr}_\Sigma(A) \simeq R[y_1, \dots, y_m]$ . Q.E.D.

Let  $A$  be a pre- $W$ -algebra over  $R$ . We are interested in the existence of an  $R$ -algebra homomorphism from  $A$  to the local Weyl algebra  $\hat{D}_n(K)$ .

**Theorem 2.3.** *Let  $A$  be a pre- $W$ -algebra over  $R$ . Then the following conditions on  $A$  are equivalent :*

(1) *There is an  $R$ -algebra homomorphism  $\bar{\pi} : A \rightarrow \hat{D}_n(K)$  such that  $\bar{\rho}(\Sigma_v) \supseteq \Sigma_v$  for all  $v \geq 0$  and  $\bar{\rho}|_{\Sigma_1}$  induces the Lie-algebra homomorphism  $\rho : L := \Sigma_1 / \Sigma_0 \rightarrow \text{Der}_R(R)$ .*

(2) *There exists a lifting  $\{Y_1, \dots, Y_m\}$  of the  $R$ -free basis  $\{y_1, \dots, y_m\}$  in  $\Sigma_1$  for which  $\sigma_{ij} = 0, 1 \leq i, j \leq m$ .*

(3) *There exist  $\{a_i\}_{1 \leq i \leq m}$  in  $R$  such that*

$$(2.4) \quad \sigma_{ij} = \sum_{\ell=1}^m \rho_{ij,\ell} a_\ell + y_i[a_j] - y_j[a_i], \quad 1 \leq i, j \leq m.$$

(4) There exists an  $R$ -free submodule  $L$  of  $\Sigma_i$  such that  $L$  is closed under the bracket product  $[Y, Z] = YZ - ZY$  and the natural residue homomorphism  $\pi | \tilde{L} \tilde{L} \rightarrow L$ .

**Proof** (1)  $\rightarrow$  (1). Note that  $\hat{D}_n(K)$  acts on  $R$  in the natural fashion. So,  $A$  acts on  $R$  via the homomorphism  $\tilde{\rho}$ . For  $Y \in \Sigma_i$ , let  $a = \tilde{\rho}(Y) \cdot 1$  and let  $Y' = Y - a$ . Then, since  $\tilde{\rho}(Y) \in \Sigma_i := \bigoplus_{i=1}^n R \frac{\partial}{\partial x_i} + R$ , we know that  $\tilde{\rho}(Y') \in \text{Der}_K(R)$ . In particular,  $\tilde{\rho}(Y') \cdot 1 = 0$ . Now, for the given lifting  $\{Y_1, \dots, Y_m\}$ , we set  $Y_i = Y_i - \tilde{\rho}(Y_i) \cdot 1$ ,  $1 \leq i \leq m$ . Then  $\{Y_1, \dots, Y_m\}$  is a lifting of  $\{y_1, \dots, y_m\}$  in  $\Sigma_i$ . We assume from the beginning that  $Y_i = Y_i$ ,  $1 \leq i \leq m$ . Then the equality (2.0) implies  $\sigma_{ij} = 0$  ( $17 \leq i, j \leq m$ ) because  $\tilde{\rho}(Y_i) \in \text{Der}_K(R)$ .

(2)  $\rightarrow$  (3). Suppose  $\{Y_1, \dots, Y_m\}$  is the given lifting of  $\{y_1, \dots, y_m\}$  and  $\{Y_1, \dots, Y_m\}$  is a lifting for which  $\sigma_{ij} = 0$  when we write

$$(2.0) \quad Y_i Y_j - Y_j Y_i = \sum_{k=1}^m r_{ijk} Y_k + \sigma_{ij}, \quad 1 \leq i, j \leq m.$$

Then  $Y_i = Y_i + a_i$  with  $a_i \in R$ . Replacing  $Y_i$  in (2.0)' by this expression, we obtain the equality (2.4).

(3)  $\rightarrow$  (2). Conversely, if we are given  $\{a_i\}_{1 \leq i \leq m}$  satisfying (2.4), set  $Y_i = Y_i + a_i$ . Then  $\{Y_1, \dots, Y_m\}$  is a lifting of  $\{y_1, \dots, y_m\}$  for which  $\sigma_{ij} = 0$ .

(2)  $\rightarrow$  (4). Let  $\{Y_1, \dots, Y_m\}$  be as in (2) above. Let  $\tilde{L}$  be the  $R$ -submodule of  $\Sigma_i$  generated by  $Y_1, \dots, Y_m$ . Then  $\tilde{L}$  is a free  $R$ -module. Since  $\sigma_{ij} = 0$ , we readily verify that  $[Y, Z] \in \tilde{L}$  for any  $Y, Z \in \tilde{L}$ . Clearly,  $\pi$  induces an isomorphism between  $\tilde{L}$  and  $L$ .

(4)  $\rightarrow$  (1). Define  $\tilde{\rho} : \tilde{L} \rightarrow \text{Der}_K(R)$  by  $\tilde{\rho}(Y) = \rho(\pi(y))$ . Extend this to  $\Sigma_i$  in a natural fashion by putting  $\tilde{\rho}|_{\Sigma_0} = \text{id}_R$ . Furthermore, we extend  $\tilde{\rho}$  to the free  $R$ -algebra  $F$  generated by  $Y_1, \dots, Y_m$  as follows. For an element  $Y_{i_1} f_{i_1} \dots Y_{i_r} f_{i_r}$  of  $F$  with  $Y_{i_j} \in \{Y_1, \dots, Y_m\}$  and  $f_{i_j} \in R$ , define

$$Y_{i_1} f_{i_1} \dots Y_{i_r} f_{i_r}(a) = y_{i_1} [f_{i_1} [y_{i_2} [f_{i_2} [\dots [f_{i_r}(a)] \dots ]]]],$$

where  $y_{i_j} = \pi(Y_{i_j})$  and  $f[b] := fb \in R$ . In view of (2) of Theorem 2.2,

$A$  is identified with the residue ring of  $F$  by the two-sided ideal  $I$  considered in Theorem 2.2. So, in order to have  $\tilde{\rho}$  as above, we have only to show that

$$Y_i[y_j[a]] - y_j[Y_i[a]] = \sum_{k=1}^m \rho_{jk} y_k[a] \quad \text{and}$$

$$y_i[fa] = fy_i[a] + y_i[f]a$$

for  $a \in R$ . These equations hold, in fact, because  $\rho : L \rightarrow \text{Der}_k(R)$  being a Lie-algebra homomorphism implies

$$y_i[y_j[a]] - y_j[y_i[a]] = [y_i, y_j][a] = \sum_{k=1}^m \rho_{ik} y_k[a]$$

and the second equality above.

Q.E.D.

If a pre- $W$ -algebra  $A$  over  $R$  satisfies one of the equivalent conditions in Theorem 2.3, we call  $A$  a  $W$ -algebra over  $R$ .

**Remark 2.4** (1) Suppose that  $\rho : L \rightarrow \text{Der}_k(R)$  is an isomorphism. Then, as an  $R$ -free basis  $\{y_1, \dots, y_m\}$  of  $L$ , we can take  $y_i = \rho^{-1}\left(\frac{\partial}{\partial x_i}\right)$ . Then  $\rho_{ik} = 0$  for all  $1 \leq i, j, k \leq m$ . So the case with all  $\rho_{ik} = 0$  can take place. We then say that  $L$  is *essentially abelian*.

(2) Suppose  $L$  is essentially abelian. Let  $\{y_1, \dots, y_m\}$  be an  $R$ -free basis of  $L$  such that  $[y_i, y_j] = 0, 1 \leq i, j \leq m$  and let  $\{Y_1, \dots, Y_m\}$  be such that  $y_i \equiv Y_i \pmod{\Sigma_0}$  and  $Y_i Y_j - Y_j Y_i = c_{ij} \in K^* = K - (0)$  for  $1 \leq i, j \leq m, i \neq j$ . Suppose that  $\rho(y_i)(M) \in \mathfrak{m}$ , where  $\mathfrak{m}$  is the maximal ideal of  $R$ . Then we cannot find  $\{a_i\}_{1 \leq i \leq m}$  so that the equality (2.4) holds. There exists an  $R$ -algebra  $A$  satisfying these conditions. In fact, we can take  $A$  to be the residue ring of an  $R$ -free algebra  $F$  generated by  $Y_1, \dots, Y_m$  modulo the two-sided ideal  $I$  as considered in Theorem 2.2, (2). Then  $\rho$  cannot be extended to an  $R$ -algebra homomorphism  $\tilde{\rho} : A \rightarrow \hat{D}_n(K)$  as considered in Theorem 2.3.

### 3. Case $L$ is essentially abelian

we begin with the following :

**Lemma 3.1.** *Let  $A$  be a  $W$ -algebra over  $R$  with an  $R$ -algebra homomorphism  $\tilde{\rho}: A \rightarrow \hat{D}_n(K)$  which is an extension of the Lie-algebra homomorphism  $\rho: L \rightarrow \text{Der}_K(R)$ . Then we have  $\text{w.gl.dim}(A) \geq n$ .*

**Proof.** Note that any element  $\xi$  of  $A$  can be expressed as  $\xi = \sum_{\alpha} f_{\alpha} y^{\alpha}$ , where  $f_{\alpha} \in R$  and  $Y_{\alpha} = Y_1^{\alpha_1} \cdots Y_m^{\alpha_m}$  (cf. the equality  $Y_a - aY = y[a]$  in Lemma 2.1). Furthermore, this expression is unique. Indeed, if we have a nontrivial expression  $\sum_{\alpha} f_{\alpha} Y^{\alpha} = 0$  then this yields a homogeneous nontrivial relation

$$\sum_{|\alpha|=v} f_{\alpha} y^{\alpha} = 0, \quad y^{\alpha} = y_1^{\alpha_1} \cdots y_m^{\alpha_m},$$

where  $v = \max\{|\alpha| : f_{\alpha} \neq 0\}$ . This contradicts the hypothesis that  $\text{gr}_2(A)$  is a polynomial ring in  $y_1, \dots, y_m$  over  $R$ . Hence  $A$  is a free  $R$ -module, whence  $A$  is  $R$ -flat as a left  $R$ -module. Similarly,  $\xi$  can be expressed uniquely as  $\xi = \sum_{\beta} y^{\beta} g_{\beta}$ . So,  $A$  is  $R$ -flat as a right  $R$ -module. Hence  $A$  is  $R$ -flat as a ring. In view of Björk [1; Cor. 2.9, p.42], we have

$$(*) \quad \text{w.dim}_R(A \otimes_R M) \leq \text{w.dim}_A(A \otimes_R M)$$

for any left  $R$ -module  $M$ . Take an  $R$ -module  $K = R/.$  with  $.= (x_1, \dots, x_n)R$ . Then, by the theory of syzygy, we know that  $\text{w.dim}_R(K) = n$ ; in fact,  $\text{Tor}_n^R(K, K) = K \neq (0)$ . Then the above inequality (\*) implies that  $\text{w.dim}_A(A \otimes_R K) \geq n$ . Hence  $\text{w.gl.dim}(A) \geq n$ . Q.E.D.

We shall be concerned with the condition  $\text{w.gl.dim}(A) = n$  for a  $W$ -algebra over  $R$ .

**Theorem 3.2.** *Let  $A$  be a  $W$ -algebra over  $R$  with an  $R$ -algebra*

homomorphism  $\tilde{\rho}: A \rightarrow \hat{D}_n(K)$ . Suppose that  $L$  is essentially abelian and  $A$  has  $w.gl.dim(A) = n$ . Then  $\tilde{\rho}$  is an injection.

**Proof.** Let  $\tilde{\rho}_1 = \tilde{\rho}|_L$ , where  $\tilde{L}$  is an  $R$ -free submodule of  $\Sigma_1$  isomorphic to  $L$  as a Lie algebra (cf. Theorem 2.3). Then there exists an  $R$ -free basis  $\{Y_1, \dots, Y_m\}$  of  $\tilde{L}$  such that  $Y_i Y_j = j Y_j Y_i$  for  $1 \leq i, j \leq m$ . Let  $\tilde{L} = \bigoplus_{i=1}^m KY_i$ , and let  $Q = \text{Ker}(\tilde{\rho}_1|_{L_0})$ . Then  $\tilde{L}_0 \simeq Q \subset \tilde{\rho}_1(\tilde{L})$  is a direct sum as Lie algebras and  $Q$  is contained in the center of  $A$ . Let  $B$  be the  $R$ -subalgebra generated of  $\hat{D}_n(K)$  by  $\tilde{\rho}_1(\tilde{L})$  and let  $J$  be the two-sided ideal of  $A$  generated by  $Q$ . Then  $B \simeq A/J$  and  $B$  is a  $W$ -algebra over  $R$ . Indeed, we may take  $\{Y_1, \dots, Y_m\}$  so that  $\{Y_{r+1}, \dots, Y_m\}$  is a  $K$ -basis of  $Q$ . Let  $Y_i = \tilde{\rho}_1(Y_i)$ ,  $1 \leq i \leq r$ . Then  $B$  is generated by  $Y_r, \dots, Y_1$  over  $R$  which act on  $R$  via the derivations  $\delta_i = y_i[\ ]$ ,  $1 \leq i \leq r$ . Note that  $\{Y_1, \dots, Y_r\}$  are linearly independent over  $R$ . We claim:

**Lemma 3.3.**  $\{\delta_1, \dots, \delta_r\}$  are algebraically independent over  $R$ . Namely, if  $\sum_{\gamma} f_{\gamma} \delta^{\gamma} = 0$  with  $f_{\gamma} \in R$  and  $\delta^{\gamma} = \delta_1^{\gamma_1} \dots \delta_r^{\gamma_r}$  then  $f_{\gamma} = 0$  for all  $\gamma$ .

**Proof.** Denote by  $Q(R)$  the quotient field of  $R$ . We can find  $\Delta_1, \dots, \Delta_r \in \bigoplus_{i=1}^r R \delta_i$  satisfying the following conditions:

$$(1) \quad \bigoplus_{i=1}^r Q(R) \delta_i = \bigoplus_{i=1}^r Q(A) \Delta_i;$$

(2) if we express  $\Delta_i = \sum_{j=1}^n a_{ij} \partial_j$  with  $a_{ij} \in R$  and  $\partial_j = \frac{\partial}{\partial x_j}$  and define  $s_i = \min\{j \mid a_{ij} \neq 0\}$  then  $s_1 < s_2 < \dots < s_r$ .

Suppose we have a nontrivial relation  $\sum f_{\gamma} \delta^{\gamma} = 0$ . Let  $v = \max\{|\gamma| \mid f_{\gamma} \neq 0\}$ . Expressing  $\delta_i$  as a  $Q(R)$ -linear combinations of  $\Delta_j$ 's and substituting it for  $\delta_i$  in  $\sum f_{\gamma} \delta^{\gamma} = 0$ , we obtain a nontrivial relation  $\sum g_{\gamma} \Delta^{\gamma} = 0$  with  $\max\{|\gamma| \mid g_{\gamma} \neq 0\} = v$ . Expressing then  $\Delta_i$  in terms of  $\partial^{\beta} = \partial_1^{\beta_1} \dots \partial_n^{\beta_n}$ , we obtain

$$(*) \quad \sum_{|\gamma|=v} (g_{\gamma} \prod_{i=1}^r (a_{is_i})^{\gamma_i}) \partial^{\gamma} + \dots = 0,$$

where  $\tilde{\gamma} = (\gamma_1, \dots, \gamma_r, 0, \dots, 0)$  if  $\gamma = (\gamma_1, \dots, \gamma_r)$ . Among  $g_{\gamma}$ 's with  $|\gamma| = v$



and  $g_r \neq 0$ , let  $(\alpha_1, \dots, \alpha_r)$  be the largest with respect to the lexicographic relation  $(\gamma_1, \dots, \gamma_r) \geq (\gamma'_1, \dots, \gamma'_r)$  if and only if  $\gamma_1 = \gamma'_1, \dots, \gamma_{i-1} = \gamma'_{i-1}, \gamma_i \geq \gamma'_i$ . Then  $(g_r \prod_{i=1}^r a_{\alpha_i}) \partial^{\vec{\alpha}}$  has no other terms in (\*) to cancel with. This is a contradiction. Q.E.D.

**Proof of Theorem 3.2 resumed.** The above lemma implies that B is isomorphic to a W-algebra over R generated by  $Y_1, \dots, Y_r$ . Since any element  $\xi$  of A is expressed uniquely in the form

$$(**) \quad \xi = \sum_{\gamma} f_{\gamma} Y^{\gamma} + \eta, \quad f_{\gamma} \in R \text{ and } \eta \in J,$$

where  $Y^{\gamma} = Y_1^{\gamma_1} \dots Y_r^{\gamma_r}$ , we know that  $A/J$  is isomorphic to B.

Now we can easily show that  $A \simeq B[Y_{r+1}, \dots, Y_m]$ , a polynomial ring in  $Y_{r+1}, \dots, Y_m$  over B (cf. the above expression (\*\*)) of  $\xi$ ). By Björk [1; Th. 3.4, p.43], we have  $w.gl.dim(A) = w.gl.dim(B) + (m-r) \geq n + m - r$  (cf. Lemma 3.1). By the hypothesis  $w.gl.dim(A) = n$ , we have  $m = r$ . This implies  $J = (0)$ . Hence  $A \simeq B$ . Q.E.D.

A W-algebra A over R is called a W-subalgebra of  $\hat{D}_n(K)$  provided  $\bar{\rho}$  is injective.

**Theorem 3.4.** *There is a one-to-one correspondence between the set of W-subalgebras of  $\hat{D}_n(K)$  and the set of R-submodules L of  $Der_K(R)$  satisfying the conditions:*

- (L-1)  $\tilde{L}$  is a free R-submodule of  $Der_K(R)$ ;
- (L-2)  $\tilde{L}$  is closed under the bracket product of  $Der_K(R)$ .

**Proof.** Let A be a W-subalgebra of  $\hat{D}_n(K)$ . Then we can find an R-free submodule  $\tilde{L}$  of  $\Sigma_1$  which is isomorphic to  $L := \Sigma_1 / \Sigma_0$ . Since  $\bar{\rho}$  is injective, so is  $\rho : L \rightarrow Der_K(R)$ . Hence  $\tilde{L}$  is an R-free submodule of  $Der_K(R)$ . Since  $\rho \circ (\pi|_{\tilde{L}})$  is a Lie-algebra homomorphism, L is closed under the bracket product (cf. theorem 2.3). Conversely, let  $\tilde{L}$  be an R-submodule of  $Der_K(R)$  satisfying the condition (L-1) and (L-2). Let  $\{Y_1, \dots, Y_m\}$  be an R-free basis of  $\tilde{L}$ . Then we have:

$$(1) Y_i Y_j - Y_j Y_i = \sum_{k=1}^m r_{ijk} Y_k, \quad 1 \leq i, j \leq m,$$

$$(2) Y_i f - f Y_i = y_i[f] \quad \text{for } f \in R \text{ and } 1 \leq i \leq m.$$

Construct an  $R$ -algebra  $A$  as in Theorem 2.2, (2). Then the natural  $R$ -algebra homomorphism  $A \rightarrow \hat{D}_n(K)$  is injective (cf. the proof of Lemma 3.3). Q.E.D.

A  $W$ -subalgebra  $A$  of  $\hat{D}_n(K)$  is said to be of *maximal rank* if  $\text{rank } \bar{L} = n$ . We shall consider the case  $n=1$ , then  $L$  is essentially abelian. Hence there exists an  $R$ -algebra homomorphism  $\bar{\rho}: A \rightarrow \hat{D}_1(K)$  which must be injective by virtue of Theorem 3.2. We set  $Y = Y_1$ , a free generator of the  $R$ -module  $\bar{L}$  (cf. Theorem 2.3). Then we have  $Yx - xY = f$ , where  $f = x'u$  with  $u \in R^*$ . Replacing  $Y$  by  $u^{-1}Y$ , we may assume that  $f = x'$ . We shall show:

**Lemma 3.5.**  $\text{Tor}_2^A(K, K) = K$  if  $r \geq 2$ , while it is zero if  $r=1$ .  $\text{Tor}_1^A(K, K) = K$  if  $r=1$ .

**Proof.** Suppose  $r > 0$ . Then  $K$  is a two-sided  $A$ -module. As a right  $A$ -module,  $K$  has the following free  $A$ -module resolution:

$$0 \rightarrow e_2 A \xrightarrow{\bar{\varphi}_1} e_1 A \oplus e_1' A \xrightarrow{\varphi_0} e_0 A \xrightarrow{\varepsilon} K \rightarrow 0,$$

where  $\varepsilon$  is the natural residue homomorphism and  $\varphi_i$  ( $i=0,1$ ) is given as:

$$\varphi_0(e_1) = e_0 Y, \quad \varphi_0(e_1') = e_0 x' \quad \text{and} \quad \varphi_1(e_2) = e_1 x - e_1'(Y + x'^{-1}).$$

Take the tensor product of this sequence with a left  $A$ -module  $K = Av$  to obtain the complex:

$$0 \rightarrow e_2 A \otimes_A Av \xrightarrow{\bar{\varphi}_1} (e_1 A \otimes_A Av) \oplus (e_1' A \otimes_A Av) \xrightarrow{\tilde{\varphi}_0} e_0 A \otimes_A Av \rightarrow 0,$$

where we can make the identification:  $e_i A \otimes_A Av = e_i \otimes Kv$  for  $e_i = e_0, e_1, e_1'$

and  $e_2$ . Then it is clear that  $\bar{\varphi}_1 = \bar{\varphi}_0 = 0$  if  $r \geq 2$ . Hence  $\text{Tor}_2^A(K, K) = K$  if  $r \geq 2$ . If  $r = 1$ , then  $\bar{\varphi}_1(e'_2 \otimes v) = -e_2 \otimes v$ , whence  $\bar{\varphi}_1$  is injective. So,  $\text{Tor}_2^A(K, K) = 0$  if  $r = 1$ . If  $r = 1$ ,  $\text{Tor}_1^A(K, K) = K$  because  $\bar{\varphi}_0 = 0$ .  
Q.E.D.

If  $n \geq 2$ , we know little on W-subalgebras of  $\hat{D}_n(K)$  even if it is of maximal rank. We shall give two partial results.

**Proposition 3.6.** *Let  $A$  be a W-subalgebra of maximal rank of  $\hat{D}_n(K)$  corresponding to a Lie subalgebra  $L = \bigotimes_{i=1}^n RY_i$ , with  $Y_i = x_i^n \frac{\partial}{\partial x_i}$  and  $r_i \geq 1$ . Then we have*

$\mu := \max\{v : \text{Tor}_v^A(K, K) \neq 0\} = 2\#\{i : r_i \geq 2\} + \#\{i : r_i = 1\}$ . Hence  $r_i = 1$  for all  $i$  provided  $\text{w.gl.dim}(A) = n$ .

**Proof.** Let  $S_i$  be the free algebra generated by  $Y_i$  over a one-dimensional polynomial ring  $K[x_i]$  modulo the two-sided ideal generated by  $Y_i x_i - x_i Y_i = x_i^{r_i}$ . Since  $Y_i Y_j = Y_j Y_i$  and  $x_i Y_j = Y_j x_i$  if  $i \neq j$ ,  $A$  is isomorphic to

$$(S_1 \otimes_K S_2 \otimes_K \cdots \otimes_K S_n)_{K[x_1, \dots, x_n]} \otimes R,$$

where  $S_1 \otimes_K \cdots \otimes_K S_n$  is regarded as an algebra over  $K[x_1, \dots, x_n]$ . Consider a complex

$$(\tilde{C}) : 0 \rightarrow e_2 S_1 \xrightarrow{\psi_1} e_1 S_1 \oplus e_1 S_1 \xrightarrow{\psi_0} e_0 S_1 \xrightarrow{\epsilon} K \rightarrow 0,$$

which is defined in the same fashion as in the proof of Lemma 3.5 with  $A$  replaced by  $S_i$ . It is a resolution of the two-sided  $S_i$ -module  $K$  by free right  $S_i$ -modules. The complex  $\tilde{C} := (\tilde{C}_1 \otimes_K \cdots \otimes_K \tilde{C}_n)_{K[x_1, \dots, x_n]} \otimes R$  is a resolution of the two-sided  $A$ -module  $K$  by free right  $A$ -modules. Let  $C_i$  (resp.  $C$ ) be the complex obtained from  $\tilde{C}_i$  (resp.  $\tilde{C}$ ) by replacing  $K$  by  $0$ . Then, taking the tensor products with the left  $A$ -module  $K$ , we obtain  $\bar{C} := C \otimes_A K \simeq \bar{C}_1 \otimes_K \cdots \otimes_K \bar{C}_n$ , where  $\bar{C}_i = C_i \otimes_A K$ . By the Kunnet

formula for homologies, we have

$$\text{Tor}_v^A(K,K) \simeq \bigoplus_{v_1+\dots+v_n=v} \text{Tor}_{v_1}^{S_1}(K,K) \otimes_K \dots \otimes_K \text{Tor}_{v_n}^{S_n}(K,K)$$

Thence we obtain the stated formula in view of Lemma 3.5.

Q.E.D.

**Proposition 3.7.** *Let A be a W-subalgebra of maximal rank of  $\hat{D}_2(K)$  corresponding to a Lie subalgebra  $L=RY_1+RY_2$  with  $Y_i g \frac{\partial}{\partial x_i}$ , where  $h=x_1f+x_2g \in M:=Rx_1+Rx_2$ . Suppose that h is a homogeneous polynomial in  $x_1$  and  $x_2$ . Then  $\text{Tor}_3^A(K,K) \neq 0$  and  $\text{Tor}_4^A(K,K)=0$ .*

**Proof.** We have the following relations :

$$\begin{aligned} Y_1Y_2 - Y_2Y_1 &= -h_{x_2}Y_1 + h_{x_1}Y_2 \\ Y_1x_1 - x_1Y_1 &= h = Y_2x_2 - x_2Y_2 \\ Y_1x_2 - x_2Y_1 &= 0 = Y_2x_1 - x_1Y_2 \end{aligned}$$

where  $h_{x_i} = \frac{\partial h}{\partial x_i}$ . Construct a complex of right A-modules :

$$\begin{aligned} 0 \rightarrow e_3A \xrightarrow{\varphi_2} e_2A \oplus e_2^*A \oplus e_2^*A \oplus e_2^*A \xrightarrow{\varphi_1} \\ e_1A \oplus e_1^*A \oplus e_1^*A \oplus e_1^*A \xrightarrow{\varphi_0} e_0A \xrightarrow{\varepsilon} K \rightarrow 0 \end{aligned}$$

where :

- (0) K is the two-sided A-module with  $x_i \cdot 1 = y_i \cdot 1 = 0$  for  $i=1, 2$  ;
- (i)  $\varepsilon(e_0) = 1$  ;
- (ii)  $\varphi_0(e_1) = e_0Y_1, \varphi_0(e_1^*) = e_0x_1, \varphi_0(e_1^*) = e_0Y_2, \varphi_0(e_1^*) = e_0x_2$  ;
- (iii)  $\varphi_1(e_2) = e_1x_1 - e_1^*(Y_1 + f) - e_1^*g, \varphi_1(e_2^*) = -e_1^*f + e_1^*x_2$   
 $-e_1^*(Y_2 + g), \varphi_1(e_2^*) = e_1x_2 - e_1^*Y_1, \varphi_1(e_2^*) = -e_1^*Y_2 + e_1^*x_1$  ;
- (iv)  $\varphi_2(e_3) = e_2x_2(Y_1 + g + h_{x_2}) + e_2^*x_1(Y_1 + f + h_{x_1})$   
 $-e_2^*x_1(Y_2 + g + h_{x_2}) - e_2^*x_2(Y_1 + f + h_{x_1})$

It is straightforward to show that this complex is a resolution of K right free A-modules. The stated result follows from this observation.

Q.E.D.

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