

V-RINGS DETERMINED BY POLYNOMIAL RINGS

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Let R be a ring with identity. A nonzero left R -module M is called *irreducible* (or *simple*) if 0 and M are the only R -submodules.

If R is a division ring, then $R[x]$ is a principal left and right ideal domain. For an irreducible right $R[x]$ -module M , $M \simeq R[x]/I$ as a right $R[x]$ -module and I is a maximal right ideal of $R[x]$. But since $R[x]$ is a principal ideal domain, there is a monic polynomial $f(x)$ in $R[x]$ such that $I = f(x)R[x]$. Now say $\deg f(x) = n$. Then the irreducible $R[x]$ -module $M \simeq R[x]/I$ is generated by $1 + I, x + I, \dots, x^{n-1} + I$ over R as an R -module.

By this well-known standard fact, it is quite natural to raise the following question at least when R is a simple.

Question 1. Suppose R is a simple ring. Then is every irreducible right $R[x]$ -module finitely generated R -module?

But the following example by Resco [7, Example 3.3] nullifies our hope for the affirmative answer for the above question.

Example 2 [Resco]. Let k be a field of characteristic zero and let $K = k[[x]]$ be the ring of power series over k . Then K is a domain. Now let $L = k((z))$ be the field of fractions of K . Let $d: K \rightarrow k$ be ordinary differentiation and extended d to L in the usual manner. Let $A = K[y, d]$ the differential operator ring over K . That is, A is free left K -module with basis $\{1, y, y^2, \dots\}$ and with multiplication extended from K via $ya = ay + d(a)$ for every a in K . Also $B = L[y, d]$. Define a $A[x]$ -module structure on B : for b in B and $f = \sum x^i a_i$ in $A[x]$, define

$$b \cdot f = b(\sum_i x^i a_i) = \sum_i z^{-i} b a_i$$

Then B is a right $A[x]$ -module. Moreover B is an irreducible faithful $A[x]$ -module. But B , as a right A -module, is not finitely generated.

But despite of the above pathological example, we are able to give an affirmative answer to our Question 1 in some situation. Indeed we observe several cases for which every irreducible $R[x]$ -module is a finitely generated R -module.

Definition 3. For a ring R , a right R -module M is called *bounded* if the annihilator $\text{Ann}_R(M)$ of M in R is nonzero.

For example of bounded modules let D be a division ring with the center field F . As we have known that, if D is not purely transcendental over F , then the polynomial ring $D[x]$ over D is not primitive. So in this case every irreducible $D[x]$ -module is bounded. For, if it were not, then there would exist an irreducible $D[x]$ -module M with $\text{Ann}_{D[x]}(M) = 0$. Thus M is a faithful $D[x]$ -module and so $D[x]$ is primitive. But this is a contradiction.

The following lemma is a well-known standard fact.

Lemma 4 [Bergman]. Let S be a finite centralizing ring extension of a ring R . If M is an irreducible S -module, then as a R -module, M is a finite direct sum of irreducible R -modules.

The following theorem gives an affirmative answer to Question 1 in some circumstance.

We recall that an overring S of a ring R with same identity is called a *centralizing extension of R with finite basis* if S is finitely generated as an R -module with centralizing finite basis $\{u_1, u_2, \dots, u_n\}$, that is,

$$S = \sum_{i=1}^n r u_i \text{ with } r u_i = u_i r \text{ for each } r \text{ in } R, i = 1, 2, \dots, n$$

$$\text{and if } r_1 u_1 + r_2 u_2 + \dots + r_n u_n = 0, \text{ then } r_1 = r_2 = \dots = r_n = 0$$

Theorem 5. For a simple ring R any bounded irreducible right

$R[x]$ -module is a finite direct sum of irreducible R -module. So it is finitely generated as a right R -module. Moreover, any bounded irreducible right $R[x]$ -module never be a projective R -module unless R is right Artinian.

Proof. Let M be a bounded irreducible right $R[x]$ -module. Then there is a maximal right ideal I of $R[x]$ such that M is $R[x]$ -module isomorphic to $R[x]/I$. Now since M is a bounded $R[x]$ -module, $A = \text{Ann}_{R[x]}(M)$ is a nonzero ideal of $R[x]$ contained in I . Pick

$$g(x) = a_0 + a_1x + \dots + a_nx^n$$

a nonzero polynomial in A with the least degree. Then since we may assume $a_n \neq 0$, Ra_nR is a nonzero ideal of R . By our assumption since R is simple, $Ra_nR = R$ so we have

$$I = c_1a_nd_1 + c_2a_nd_2 + \dots + c_ka_nd_k$$

for some a_i and d_i in R , $i = 1, 2, \dots, k$. Thus

$$f(x) = c_1g(x)d_1 + c_2g(x)d_2 + \dots + c_kg(x)d_k$$

is a monic polynomial in A with the least degree. Now for any r in R , $rf(x) - f(x)r = 0$, i.e., $rf(x) = f(x)r$ for any r in R . Hence $f(x)$ is a monic central polynomial in $R[x]$. In this case we may use the division algorithm with $f(x)$ and get $A = f(x)R[x]$ since $f(x)$ is monic central. Now if we denote F the center of R , then F is a field and

$$R[x]/A = R \otimes_F F[x]/f[x]F[x]$$

But since F is a field, as a vector space over F , $F[x]/f[x]F[x]$ is generated by $1 + f(x)f[x], x + f(x)f[x], \dots, x^{n-1} + f(x)f[x]$, where n is the degree of the polynomial $f(x)$. Therefore $1 + f(x)R[x], x + f(x)R[x], \dots, x^{n-1} + f(x)R[x]$, i.e., $1 + A, x + A, \dots, x^{n-1} + A$ is a finite centralizing element of $R[x]/A$ over the ring R . Now since M is an irreducible $R[x]$ -module and $A = \text{Ann}_{R[x]}M$, considering M as an $R[x]/A$ -module it is also an irreducible $R[x]/A$ -module. But since $R[x]/A$ is a finite centralizing extension of R , irreducible right $R[x]/A$ -module M is a finite direct sum of irreducible right R -module by Lemma 4. Therefore this $R[x]$ -module M is finitely generated as an R -module.

Moreover, if M is a projective R -module considering as a right R -module, then its irreducible R -direct summand is also a projective R -module. So the Socle(R) the sum of the minimal right ideal of R is nonzero because any minimal right ideal of R is R -isomorphic to an irreducible R -direct summand of M . But since R is simple, Soc(R)= R . Thus R is simple Artinian. Therefore a bounded irreducible right $R[x]$ -module never be a R -projective module unless R is Artinian.

Corollary 6. Let R be a simple ring, Then if the polynomial ring $R[x]$ is not primitive, any irreducible right $R[x]$ -module is a finite direct sum of irreducible R -modules.

Proof Since the polynomial ring $R[x]$ is not primitive, any irreducible right $R[x]$ -module should be bounded. Therefore it follows immediately by the proof of Theorem 5.

By the hint of Theorem 5 we are able to come to the consideration of ring R such that every irreducible $R[x]$ -module is a projective R -module. The forthcoming result may characterize semi-simple Artinian ring in a new way via $R[x]$ -module structure. Roughly speaking, the R -projective module property of irreducible $R[x]$ -modules influences very strongly on the ring structure of R so that the ring R becomes semisimple Artinian ring.

Theorem 7. The followings are equivalent.

- (i) Every irreducible right $R[x]$ -module is a projective right R -module.
- (ii) R is semisimple Artinian.

Proof (ii) implies (i). Suppose R is semi-simple Artinian. Then any right R -module is projective. So it is obvious.

(i) implies (ii). Assume that every irreducible $R[x]$ -module is a projective right R -module. Define a map θ from $R[x]$ to R by $\theta(f(x)) = f(0)$ for $f(x)$ in $R[x]$. Then θ is a ring epimorphism. We *claim* that every irreducible R -module is a projective R -module. Now for an irreducible right R -module R/B with B a maximal right ideal B of R , let

$$I = \{f(x) \in R[x] \mid \theta(f(x)) \in B\}.$$

Then since B is a right ideal of R , I is a right ideal of $R[x]$ and I contains a two-sided ideal $xR[x]$ of $R[x]$.

Pick $f(x) \in R[x] - I$. Then $f(0) \in R - B$ and so $f(0) + B$ is a generator of R/B i.e., $(f(0) + B)R = R/B$. In this case $R[x]/I$ is generated by $f(x) + I$. For, if $g(x) + I$ is in $R[x]/I$, then $g(0) + B = f(0)r + B$ for some r in R . Hence $g(0) - f(0)r$ is in B and therefore $g(x) - f(x)r$ is in I . So $g(x) + I = (f(x) + I)f = f(x)r + I$. This means that $R[x]/I$ is an irreducible $R[x]$ -module.

Now as R -modules, $R[x]/I$ is isomorphic to R/B . For if we define $\bar{\theta}: R[x]/I \rightarrow R/B$ by $\bar{\theta}(f(x) + I) = f(0) + B$, then it can be straightforwardly checked that $\bar{\theta}$ is an R -isomorphism. So $R[x]/I$ is a cyclic R -module. Now by assumption, since $R[x]/I$ is a projective R -module and so is R/B . Therefore every irreducible R -module is projective. Hence every maximal right ideal is an R -direct summand of R because the exact sequence

$$0 \rightarrow B \rightarrow R \rightarrow R/B \rightarrow 0$$

of R -module with B a maximal right ideal of R is splitted.

Finally to finish our proof, let J be a right ideal of R and let K be a maximal complement of J in R , that is, K is a right ideal maximal with respect to the property $J \cap K = 0$. Actually, the existence of maximal complement is assured by Zorn's lemma. Then $J + K = J \oplus K$ is an essential right ideal of R . If $J \oplus K \leq R$, then there is a maximal right ideal M of R such that $J \oplus K \subseteq M \subseteq R$. In this case M is essential since $J \oplus K$ is essential. But by our result in the previous paragraph M is a direct summand of R . This is impossible and so $J \oplus K = R$. Therefore every right ideal J of R is an R -direct summand of R . Hence R is semi-simple Artinian ring.

As in the proof of the above theorem a ring whose every irreducible R -module is projective is simple Artinian. With this fact the following definition may be of interest.

Definition 8. A ring R is called a *right V-ring* if every right irreducible R -module is injective.

In the process of the proof for Theorem 7, we get following.

Theorem 9. If every irreducible right $R[x]$ -module is an injective R -module, then R is a right V -ring.

By this result we may consider its converse.

Lemma 10 [Armendariz and Fisher]. Let R be a P.I.-ring. Then R is a von Neumann ring if and only if R is a V-ring.

Lemma 11 [Posner]. Let R be a prime P.I.-ring. Then R has the classical right quotient ring $Q(R)$ which is simple Artinian. Also in this case $Q(R)$ is the classical left quotient ring and $Q(R) = RF$, where F is the center of the simple Artinian ring $Q(R)$. Moreover the field F is a field of fraction of the domain $Z(R)$.

Lemma 12. Every prime, von Neumann regular P.I.-ring is simple Artinian.

Proof Let R be a prime, von Neumann regular P.I.-ring. Since R is a von Neumann regular ring, then so is $Z(R)$. Now let $0 \neq a \in Z(R)$, then there is b in $Z(R)$ such that $a = aba$. But since R is prime, so is $Z(R)$ and hence $Z(R)$ is a commutative domain. From the fact $a = aba$, we have $a(1 - ba) = 0$ in $Z(R)$. So $1 = ba$, since $a \neq 0$. Thus $Z(R)$ is a field. So the center F of $Q(R)$ is $Z(R)$ by Lemma 11. Thus $Q(R) = RF = RZ(R) = R$ and so R is simple Artinian by Lemma 11.

Lemma 13. Let R be a P.I.-ring. Then the followings are equivalent.

- (i) Every irreducible right $R[x]$ -module is finitely generated over R as a module.
- (ii) Every primitive factor ring of $R[x]$ is finitely generated over R as a module.

Proof (i) implies (ii) Suppose every irreducible $R[x]$ -module is a finitely generated R -module. Let A be a two-sided ideal of $R[x]$ such that $R[x]/A$ is a primitive ring. Then the ring $R[x]/A$ is a primitive P.I.-ring and so it is simple Artinian by Kaplansky. So the ring $R[x]/A$ has a minimal right ideal I_0 . In this case I_0 has the form I/A with $A \subseteq I$ and I is a right ideal of $R[x]$. We claim that I/A is an irreducible $R[x]$ -module. By the module structure defined by

$$(f(x) + A)g(x) = f(x)g(x) + A$$

for $f(x) + A$ in I/A and $g(x)$ in $R[x]$, I/A is a right $R[x]$ -module

compatible with the original module structure of I/A as $R[x]/A$ -module. By this newly induced module structure on I/A , since I/A is an irreducible $R[x]/A$ -module, I/A is an irreducible $R[x]$ -module. Finally, since

$$R[x]/A \simeq \oplus \sum I_0$$

as $R[x]$ -module and I_0 is finitely generated as R -module by assumption, we have that $R[x]/A$ also is finitely generated as an R -module.

(ii) implies (i) Suppose every primitive factor ring of $R[x]$ is finitely generated as an R -module. Now let $M=R[x]/N$ be an irreducible $R[x]$ -module with N a maximal right ideal of $R[x]$. If $A=\text{Ann}_{R[x]}(M)$, then $R[x]/N$ is a faithful irreducible $R[x]/A$ -module. Hence $R[x]/A$ is a primitive P.I.-ring. So $R[x]/A$ is simple Artinian by Kaplansky and hence $A \neq 0$. Now as $R[x]/A$ -module we have

$$R[x]/A \simeq \oplus \sum R[x]/N$$

since $R[x]/A$ is simple Artinian and $R[x]/N$ is an irreducible $R[x]/A$ -module. So $M=R[x]/N$ is a finitely generated R -module because $R[x]/A$ is a finitely generated R -module.

As a byproduct of the above lemma we have the following.

Proposition 14. Let R be a P.I. - ring. Then the followings are equivalent.

- (i) Every irreducible $R[x]$ -module is a finitely generated R -module.
- (ii) Every maximal ideal of $R[x]$ can be contracted to a maximal ideal of R .

Proof (i) implies (ii) Let A be a maximal ideal of $R[x]$. Then $R/A \cap R \subseteq R[x]/A$ and $R[x]/A$ is simple P.I. So $R[x]/A$ is simple Artinian. By Lemma 13, $R[x]/A$ is a finitely generated R -module. Therefore $R[x]/A$ is a finitely generated $R/A \cap R$ -module. Hence $R[x]/A$ is a finite centralizing extension of $R/A \cap R$. Hence $R/A \cap R$ is Artinian, since $R[x]/A$ is Artinian. Now since $R/A \cap R$ is prime P.I., it is simple Artinian. So $A \cap R$ is maximal in R .

(ii) implies (i) Let A be a primitive ideal of $R[x]$. Then since $R[x]$ is P.I., A is a maximal ideal. So by our assumption. $A \cap R$ is

a maximal ideal of R . Hence $R/A \cap R$ is simple P.I. and so it is simple Artinian. Therefore

$$R[x]/A = \frac{(R/A \cap R)[x]}{A/(A \cap R)[x]}$$

is a finitely generated $R/A \cap R$ -module because $R/A \cap R$ is simple Artinian. Hence $R[x]/A$ is a finitely generated R -module. Thus by Lemma 13, we get our conclusion.

Now we are in the situation to characterize V -ring whenever it satisfies a polynomial identity.

Theorem 15. Let R be a P.I.-ring. Then the followings are equivalent.
 (i) Every irreducible right $R[x]$ -module is an injective R -module.
 (ii) R is a (right) V -ring.

Proof. By Theorem 9, (i) implies (ii) immediately. Now suppose R is a (right) V -ring. Then by Lemma 10, R is a von Neumann regular ring. For an irreducible right $R[x]$ -module M , let $A = \text{Ann}_{R[x]}(M)$ the annihilator of M in $R[x]$. Then the ring $R[x]/A$ has M as a faithful irreducible module. So $R[x]/A$ is a primitive ring. Our claim is that the subring $R+A/A \simeq R/R \cap A$ of $R[x]/A$ is a prime ring. For this, let \bar{U} and \bar{V} be ideals of $R/R \cap A$ such that $\bar{U}\bar{V} = \bar{0}$. Then there are ideals U, V of R such that $\bar{U} = U/R \cap A$ and $\bar{V} = V/R \cap A$. Then of course $\bar{U} = U+A/A$. So $U[x]$ and $V[x]$ are ideals of $R[x]$, and

$$(U[x]+A)(V[x]+A) \subseteq A.$$

Thus $(U[x]+A/A)(V[x]+A/A) = \bar{0}$ in $R[x]/A$. But since $R[x]/A$ is prime, we have either $U[x]+A/A = \bar{0}$ or $V[x]+A/A = \bar{0}$ in $R[x]/A$. Therefore $U[x] \subseteq A$ or $V[x] \subseteq A$. Hence $U \subseteq A \cap R$ or $V \subseteq A \cap R$. This means $\bar{U} = \bar{0}$ or $\bar{V} = \bar{0}$. So $R+A/A \simeq R/R \cap A$ is a prime ring.

On the other hand, since R is a von Neumann regular ring, its homomorphic image $R/R \cap A$ is also a von Neumann regular ring. Hence the ring $R/R \cap A$ is a prime, von Neumann regular ring satisfying a polynomial identity. So by Lemma 12, $R/R \cap A$ is simple Artinian. Thus the ideal $R \cap A$ is a maximal ideal.

By this argument so far done in the proof we have that $R \cap A$ is maximal whenever A is a primitive ideal of $R[x]$. But since $R[x]$ is a P.I.-ring, every primitive ideal of $R[x]$ is a maximal ideal. So every maximal ideal of $R[x]$ can be contracted to a maximal ideal of R . But note that in the proof of Proposition 14 every irreducible $R[x]$ -module is a *finite direct sum* of an irreducible R -module whenever every maximal ideal of R .

Returning to our situation, M is a finite direct sum of irreducible R -module. Now finally by condition (ii) since irreducible R -module is injective, we have that M is an injective R -module. This completes the proof.

Example 16. Without P.I.-ness of R , Theorem 15 is not true. Let V be an infinite dimensional vector space over a field K . Let S be the socle of $\text{End}_K(V)$, and let $R=S+K1$. Then R is a von Neumann ring but not P.I. In this case as Villamayor and Michler pointed out, as a right R -module, V is irreducible but not injective.

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