

ω -LIMIT SETS FOR MAPS OF THE CIRCLE*

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1. Introduction

Let I be a closed interval, S^1 a circle and X a topological space, and let $C^0(X, X)$ denote the set of continuous maps of X into itself. For any $f \in C^0(X, X)$, let $f^0 : X \rightarrow X$ be the identity, and define, inductively, $f^n = f \circ f^{n-1}$ for any positive integer n . Let $P(f)$, $\Lambda(f)$ and $\Omega(f)$ denote the sets of periodic, ω -limit and nonwandering points of f , respectively. Let $\omega(x, f)$ denote the set of ω -limit points of $x \in X$ and denote $\Lambda(A, f) = \bigcup_{x \in A} \omega(x, f)$ for any $A \subset X$. (see §2 for definitions).

Let $\Lambda^0(f) = X$, and define, inductively, $\Lambda^n(f) = \Lambda(\Lambda^{n-1}(f), f)$ for any positive integer n .

Recently, there have been a number of excellent studies ([2], [4], [8], [9], [10]) for ω -limit sets of maps of the interval. For any $f \in C^0(I, I)$, A. N. Sarkovskii [6] has shown that $\Lambda(f)$ is closed, and hence that $\overline{P(f)} \subset \Lambda(f)$; and Z. Nitecki [5] has shown that if f is piecewise monotone, then $\Lambda(f) \subset \overline{P(f)}$, so that in this case $\Lambda(f) = \overline{P(f)}$. Especially Xiong [10] has proved that $\Lambda^2(f) = \Lambda(\overline{P(f)}, f) = \Lambda(\Omega(f), f)$ for any $f \in C^0(I, I)$. Hence $\Lambda^n(f)$ is closed for some $1 < n \leq \infty$ if and only if $\Lambda^n(f) = \overline{P(f)}$ for any $1 < n \leq \infty$. However there is a continuous map $\chi_\infty \in C^0(I, I)$ which satisfies the condition $\Lambda^2(\chi_\infty) \neq \overline{P(f)}$ even if periods of its periodic points are powers of 2 (see [4]).

In this paper we obtain similar results for maps of the circle. We will prove the following theorems in the section 3.

THEOREM A. *Let $f \in C^0(S^1, S^1)$. Then we have $\overline{P(f)} \subset \Lambda(f) \subset \Omega(f)$.*

THEOREM B. *Let $f \in C^0(S^1, S^1)$ and $P(f)$ be nonempty. Then we have*

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$$\Lambda^2(f) = \Lambda(\overline{P(f)}, f) = \Lambda(\Omega(f), f).$$

Here we have added to the corresponding result ([8], [10]) for maps of the interval the obvious requirement that the set of periodic points is nonempty—consider an irrational rotation.

2. Definitions, Notations and Preliminaries

Let X be a compact metric space and let $f \in C^0(X, X)$. A point $x \in X$ is called a *periodic point* of f if $f^n(x) = x$ for some positive integer n . The *period* of x is the least such integer n . The *orbit* of x is the set $\{f^k(x) \mid k=0, 1, 2, \dots\}$, and denoted by $\text{orb}(x)$. If x is a periodic point of period n , $\text{orb}(x)$ contains exactly n points, each of which is a periodic point of period n . A point $x \in X$ is called a *nonwandering point* of f if for every neighbourhood U of x , there exists an integer $n \geq 1$ such that $f^n(U) \cap U \neq \emptyset$. A point $y \in X$ is called an ω -*limit point* of x if there is a sequence $n_i \rightarrow \infty$ of positive integers such that $f^{n_i}(x) \rightarrow y$. For any $f \in C^0(X, X)$, it is easy to see that $\omega(x, f)$ is nonempty, closed and invariant relative to f , and that there is a minimal set of f in it. Additional results concerning ω -limit sets for maps of the interval have been obtained by Block and Coven [2], and Xiong ([8], [9], [10]).

The following two technical lemmas are proved using essentially the same arguments used to prove the corresponding results [7] for maps of the interval.

LEMMA 1. *Let $f \in C^0(S^1, S^1)$ and let K be a subset of S^1 satisfying the condition $f(K) \subset K$. If $x \in \Omega(f) - K$, then $f^n(x) \notin K^0$ for any $n \geq 0$. (K^0 being the interior of K).*

Proof. Suppose that $x \in \Omega(f) - K$. Then by Lemma 2 of [3], we can choose a sequence $x_i \rightarrow x$ of points of S^1 and a sequence $k_i \rightarrow \infty$ of positive integers such that $f^{k_i}(x_i) = x$ for each $i > 0$. Assume that $f^n(x) \in K^0$ for some $n \geq 0$. By the continuity of f , we have $f^n(x_i) \rightarrow f^n(x)$. Hence there exists some $N > 0$ such that $f^n(x_i) \in K$, whenever $i > N$. Choose $k_j > n$ such that $j > N$. Then $f^{k_j - n}(f^n(x_j)) = x \notin K$. This is a contradiction to the assumption of the Lemma, because $f(K) \subset K$ implies $f^k(K) \subset K$ for each $k \geq 0$.

LEMMA 2. Suppose that $f \in C^0(S^1, S^1)$, $K \subset S^1$ has only finitely many connected components, and $f(K) \subset K$. Then the orbit of each point of $\Omega(f) \cap (\bar{K} - K)$ is finite.

Proof. Let $x \in \Omega(f) \cap (\bar{K} - K)$, and let $n \geq 0$. By Lemma 1, $f^n(x) \notin K^0$ for all $n \geq 0$. On the other hand, $f(K) \subset K$ implies $f^n(K) \subset K$. Hence $f^n(\bar{K}) \subset \bar{K}$. Therefore, $f^n(x) \in \bar{K} - K^0$. $\bar{K} - K^0$ is finite because K has only finitely many connected components. Thus the orbit of x is finite.

We will use the following notations throughout this paper. Let $a, b \in S^1$ with $a \neq b$. We write $[a, b]$, (a, b) and $(a, b]$ or $[a, b)$ to denote the closed, open and half-open intervals from a counterclockwise to b , respectively. Then we can give an order on the above intervals as the usual one on \mathbf{R} .

LEMMA 3. Let $f \in C^0(S^1, S^1)$. If $J \cap P(f) = \emptyset$ and $f(\bar{J}) \subset \bar{J}$, where J is an open interval with $\bar{J} \neq S^1$, then $J \cap \Omega(f) = \emptyset$.

Proof. Let $J = (a, b)$. Then either $x < f(x)$ or $x > f(x)$ for all $x \in J$. We may assume that $x < f(x)$ for all $x \in J$. Let $x \in J$. Then there exist $\varepsilon_1, \varepsilon_2 > 0$ such that $(x - \varepsilon_1, x + \varepsilon_1) \cap (f(x) - \varepsilon_2, b] = \emptyset$ and $f((x - \varepsilon_1, x + \varepsilon_1)) \subset (f(x) - \varepsilon_2, b]$. Hence $f^n((x - \varepsilon_1, x + \varepsilon_1)) \subset (f(x) - \varepsilon_2, b]$ for all integers $n \geq 1$, that is, $f^n((x - \varepsilon_1, x + \varepsilon_1)) \cap (x - \varepsilon_1, x + \varepsilon_1) = \emptyset$ for all integers $n \geq 1$. Therefore $x \notin \Omega(f)$.

Formally we will think of the circle as \mathbf{R}/\mathbf{Z} and use π to denote the canonical projection. Thus every continuous map f of the circle has countably many lifts, i. e., continuous maps $F: \mathbf{R} \rightarrow \mathbf{R}$ satisfying $f \circ \pi = \pi \circ F$. Let $f \in C^0(S^1, S^1)$ and let $F \in C^0(\mathbf{R}, \mathbf{R})$ be a lifting of f to the covering space. If F and F' are liftings of the same map f , then $F = F' + k$ for some integer k . There exists a unique integer N such that $F(X+1) = F(X) + N$ for all lifts F and all $X \in \mathbf{R}$, which is called the *degree* of f , denote by $\text{deg}(f)$.

A technical concept we will use is that of f -covering. We say of two closed intervals J and K that J f -covers K if $f(J') = K$ for some subinterval J' of J . For maps of the interval this is equivalent to $f(J) \supset K$; for maps of the circle, it is stronger. The importance of

f -coverings lies in the fact that if J f^n -covers itself for some n , then f has a periodic point in J .

LEMMA 4. Let $f \in C^0(S^1, S^1)$ with $\deg(f) \neq 0$, and let J be a component of $S^1 - \overline{P(f)}$ with $\bar{J} \neq S^1$. If $J \cap \Omega(f) \neq \emptyset$, then exactly one of the followings holds:

- (1) If $x \in J$ and $f(x) \in J$, then $x < f(x)$.
- (2) If $x \in J$ and $f(x) \in J$, then $x > f(x)$.

Proof. Let $J = (a, b)$ be a component of $S^1 - \overline{P(f)}$ with $a \neq b$ and suppose that there exist $x, y \in J$ such that $f(x), f(y) \in J$, $x < f(x)$ and $y > f(y)$.

Case 1. First assume that \bar{J} f -covers \bar{J} . Then there is a closed subinterval $I_1 \subset \bar{J}$ with $f(I_1) = \bar{J}$. We may assume that $I_1 \neq \bar{J}$ by Lemma 3. Since $J \cap \overline{P(f)} = \emptyset$, I_1 contains a fixed point of f , which is an end point of J , say a , without loss of generality. Then we may also assume $I_1 = [a, c]$ for some $a < c < b$ with $f(c) = b$. Note that $f(z) > z$ for all $z \in [a, c]$. Since $f(y) < y$, we may see that $y \in (c, b)$. Suppose that $[c, y]$ does not f -cover $[b, f(y)]$. Then since $f(c) > c$ and $f(y) < y$, f has a fixed point in $[c, y]$. Hence $[c, y]$ f -covers $[b, f(y)]$. Therefore there is a closed subinterval I_2 of $[c, y]$ with $f(I_2) = [a, f(y)]$. Also note that there are a closed subinterval I_3 of $[a, f(y)]$ and an integer $k \geq 1$ such that $f^k(I_3) = I_2$. For this, first note that $f([a, c]) = [a, b]$ with $f(c) = b$. Put $c = x_0$ and by induction we can find a sequence (x_i) with $x_{i+1} \in (a, x_i)$, $f(x_{i+1}) = x_i$ and $f([a, x_{i+1}]) = [a, x_i]$ for $i = 0, 1, 2, \dots$, so that $f^{i+1}(x_i) = b$ and $f^{i+1}([a, x_i]) = [a, b]$. Hence since $x_0 > x_1 > \dots > x_i > \dots > a$, we may assume that $x_i \rightarrow z$ for some $z \in [a, b)$. Then we have $f(z) = z$ and hence we know that $z = a$. Now $x_i \rightarrow a$ implies that there is some integer $k \geq 1$ such that $x_{k-1} \in (a, f(y))$, and we can find I_3 in (a, x_{k-1}) . In this case $f^{k+1}(I_3) = f(I_2) = [a, f(y)] \supset I_3$, and hence f has a periodic point in I_3 , which leads a contradiction.

Case 2. Assume that \bar{J} does not f -cover \bar{J} . Then we may see that $[x, y]$ (if $x < y$) or $[y, x]$ (if $y < x$) f -covers $[b, a]$, otherwise f has a fixed point in J . Hence $[b, a]$ does not f -cover $\bar{J} = [a, b]$. In this case, since $P(f)$ is invariant, $f(a) \notin J$ and $f(b) \notin J$, and hence $\deg(f)$

is zero, which completes the proof.

LEMMA 5. Let $f \in C^0(S^1, S^1)$ with $\deg(f) \neq 0$, and let $J = (a, b)$, $a \neq b$ be a component of $S^1 - \overline{P(f)}$ with $\bar{J} \neq S^1$. If $z \in J \cap \Omega(f)$ has an infinite orbit, then there exists an integer $N \geq 1$ such that

$$z \notin \bigcup_{k=0}^{\infty} f^{kN}([a, z)) \text{ or } z \notin \bigcup_{k=0}^{\infty} f^{kN}((z, b^-]).$$

Proof. Note that $z \in \Omega(f^n)$ for all integers $n \geq 1$ by Lemma 3 of [3]. Also note that there is an integer $n \geq 1$ such that $f^n(J) \cap J \neq \emptyset$ and $f^n(J) \cap (S^1 - \bar{J}) \neq \emptyset$ otherwise if $f^n(\bar{J}) \subset \bar{J}$ for some integer $n \geq 1$, then $J \cap \Omega(f^n) = \emptyset$ by Lemma 3. Hence $f^n(J)$ contains a periodic point of f , so that there are a point $x \in J$ and an integer $m \geq n$ such that $f^{jm}(x) = f^m(x)$ for all $j \geq 1$. Clearly $f^m(x) \notin J$. Without loss of generality, we may assume that $z < x$. Note that $z \in \Omega(f^m)$.

Suppose that $z \in \bigcup_{k=0}^{\infty} f^{km}([a, z))$, that is, there are a point $y \in (a, z)$ and an integer $k_1 \geq 1$ such that $f^{k_1 m}(y) = z$. Put $k_1 m = N$. Then we claim that $z \notin \bigcup_{k=0}^{\infty} f^{kN}((z, b^-])$ and hence we complete the proof. To prove the claim, put $g = f^N$. Then $g(x)$ is a fixed point of $g = f^N$ and $z \in \Omega(g)$, $g(y) = z$ and $\deg(g) \neq 0$. Now put $I_1 = [y, x]$, $I_2 = [x, g(x)]$, $I_3 = [g(x), y]$. Then by Lemma 4, I_1 does not g -cover I_3 , hence I_1 g -covers I_2 . If I_3 does not g -cover I_3 , then I_2 g -covers I_1, I_2 and I_3 or $\deg(g) = 0$. But $\deg(g) \neq 0$ implies $I_1 \cap P(f) \neq \emptyset$, which is a contradiction. Hence I_3 g -covers I_3 .

Case 1. Assume that I_3 does not g -cover I_1 . Then $\deg(g) = 1$, since $\deg(g) \neq 0$, and I_1 and I_2 do not g -cover I_1 . Also by Lemma 4, we can see that $g(a) = a$. Now suppose that there are an integer $k \geq 1$ and a point $u \in (z, b)$ such that $g^k(u) = z$. Let G be a lifting of g with $\pi(0) = g(x)$, $G(0) = 0$. Then there exist $A, Y, Z, X, B \in (0, 1)$ such that $\pi(A) = a, \pi(Y) = y, \pi(Z) = z, \pi(X) = x$ and $\pi(B) = b$. Also, we know that $G(0) = 0, G(X) = G(1) = 1$. Let $\pi(U) = u$, where $U \in (Z, B)$. Then, $G^k(0) = 0, G^k(A) = A, G^k(1) = 1$ and $G^k(X) = 1$. Note that $G^k(U) = Z + i$ for some integer i . If $G^k(U) \leq Z < U$, then G^k has a periodic point in $[U, X]$ (if $U < X$) or $[X, U]$ (if $X < U$), which is a contradiction. Hence $G^k(U) \geq Z + 1$, so that $[A, Z + 1] \subset G^k([A, U])$. Therefore there exists a point $V \in [A, U]$ such that $G^k(V) = U$. Then

$v = \pi(V) < \pi(U) = u = g^k(v)$. But $z = g^k(u) < u$, which is a contradiction by Lemma 4.

Case 2. Assume that I_3 g -covers I_1 . Let G be a lift of g such that $\pi(0) = g(x)$, $\pi(A) = a$, $\pi(Y) = y$, $\pi(Z) = z$, $\pi(X) = x$, $\pi(B) = b$ and $G(Y) = Z$. Then $G(X) = 1$ by Lemma 4. Since I_2 does not g -cover I_1 , $G(1) = 1$.

(1) Suppose that $G(A) \geq B$. If $G(A) \geq 1 + B$, then there is a closed subinterval $[C, D] \subset [A, Y]$ with $G([C, D]) = [1 + A, 1 + B]$ and $G(D) = 1 + A$. But by Lemma 4, $G(U) \geq 1 + U$ for all $U \in [C, D]$ and $1 + A = G(D) \geq 1 + D$, which is a contradiction. Hence if $G(A) \geq B$, then $B \leq G(A) \leq 1 + A$.

Note that $G(0) \leq 0$ or $G(0) \geq 2$, since $\deg(f) \neq 0$. In each case, we obtain

(*) there exists a closed subinterval $I_4 \subset [g(x), a]$ such that $g(I_4) = \bar{J}$.

(2) Suppose that $G(A) \leq A$. Then $G(A) = A$. If $G(0) = 0$, then $\deg(g) = 1$ and the above case shows that $z \notin \bigcup_{k=0}^{\infty} g^k((z, b])$. If $G(0) \leq -1$ or $G(0) \geq 2$, then we also have (*).

Hence, we may assume that (*) holds. Suppose that there are a point $u \in (z, b)$ and an integer $k \geq 1$ such that $g^k(u) = z$. Let $\pi(U) = u$ with $Z < U < B$. Then $G^k(X) = 1$.

If $G^k(U) \leq Z$, then G^k has a periodic point in (A, B) , so that g has a periodic point in J . Therefore $G^k(U) \geq 1 + Z$. Hence there exists a closed subinterval $I_5 \subset [u, x]$ (if $u < x$) or $[x, u]$ (if $x < u$) in J such that $g^k(I_5) = I_4$. Then $g^{k+1}(I_5) = g(I_4) = \bar{J} \supset I_5$, so that, g has a periodic in J , which is also a contradiction. Hence this completes the proof.

3. Proofs of Theorems

In this section, we will prove theorems A and B. We need the following lemma:

LEMMA 6. *Let $f \in C^0(S^1, S^1)$ with $\deg(f) = 0$ and let F be a lifting of f . Then for any $X \in R$, we have*

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$$\pi(\omega(X, F)) = \omega(\pi(X), f).$$

Proof. It is easy to see that

$$\pi(\omega(X, F)) \subset \omega(\pi(X), f).$$

Let $y \in \omega(\pi(X), f)$. Then there is a sequence (n_i) of integers with $n_i \rightarrow \infty$ such that $f^{n_i}(\pi(X)) \rightarrow y$. Since $\deg(f) = 0$, the image of F is compact, and hence there is a subsequence of $(F^{n_i}(X))$ which converges to some element Y of \mathbf{R} . Then it follows that $\pi(Y) = y$ and $y \in \pi(\omega(X, F))$, which completes the proof.

THEOREM A. *Let $f \in C^0(S^1, S^1)$. Then we have $\overline{P(f)} \subset \Lambda(f) \subset \Omega(f)$.*

Proof. By definition, it is clear that $P(f) \subset \Lambda(f) \subset \Omega(f)$. Suppose that $\deg(f) = 0$ and let F be a lift of f . Then by [6], we have $\overline{P(F)} \subset \Lambda(F)$, and hence by Lemma 2 of [1] and Lemma 6

$$\overline{P(f)} = \pi(\overline{P(F)}) \subset \pi(\Lambda(F)) = \Lambda(f).$$

Therefore we may assume that $\deg(f) \neq 0$. Let $x \in \overline{P(f)} - P(f)$, and let (x_n) be a sequence of periodic points of f such that $x_n \rightarrow x$. Let the period of x_n be p_n with respect to f . Without loss of generality, we may assume that $x_n \in [x_1, x]$ and $x_1 < x_2 < \dots < x$ with order in $[x_1, x]$. For a fixed $i \geq 1$, let $g = f^{p_i}$ and n_j be the period of x_j with respect to g . Then

$$K = g(L) \cup g^2(L) \cup \dots$$

is connected, where $L = [x_i, x)$. Now for each $k = 1, 2, 3$, consider the sequence $(g^{An_j - k}(x_j))$ in K , which has a subsequence converging to some $u_k \in \bar{K}$. Then we know that $g^k(u_k) = x$. Since $x \notin P(f)$, u_i , $i = 1, 2, 3$, are distinct, so that, one of these points has to lie in K , say u_k . Then there are $v \in [x_i, x)$ and some $t \geq 0$ such that $g^t(v) = u_k$. Therefore

$$g^{t+k}(v) = f^{(t+k)p_i}(v) = x.$$

Now let $q_i = (t+k)p_i$ for each $i = 1, 2, \dots$. Then $[x_i, x]$ f^{q_i} -covers $[x_i, x]$ or $[x, x_i]$. Suppose that $f^{q_i}([x_i, x]) \supset [x_i, x]$, and $f^{q_i}([x, x_i]) \supset [x_i, x]$.

Then since $f^{q_i}(x_i) = x_i$ and $\deg(f^{q_i}) \neq 0$, we know that $f^{q_i}(x) \in [x_i, x]$. Therefore we conclude that $f^{2q_i}([x_i, x]) \supset [x_i, x]$ or $f^{q_i}(x) \in [x_i, x]$. If for infinitely many i , $f^{q_i}(x) \in [x_i, x]$ holds, then $x \in \omega(x, f)$, so that $x \in \Lambda(f)$. Therefore we may assume that $f^{2q_i}([x_i, x]) \supset [x_i, x]$ for all

$i \geq 1$. Let $t_i = \sum_{j=1}^i 2q_j$ and define $F_1 = [x_1, x]$, $F_2 = F_1 \cap f^{-t_1}([x_2, x])$, and inductively $F_{i+1} = F_i \cap f^{-t_i}([x_{i+1}, x])$. Then we know that each F_i is nonempty closed and $F_1 \supset F_2 \supset \dots$. Therefore $\bigcap_{i=1}^{\infty} F_i \neq \emptyset$. Choose an arbitrary point $y \in \bigcap_{i=1}^{\infty} F_i$. Then $f^{t_i}(y) \in [x_{i+1}, x]$, $i = 1, 2, 3, \dots$, shows that $x \in \omega(y, f) \subset \Lambda(f)$, which completes the proof.

LEMMA 7. *Let $f \in C^0(S^1, S^1)$ and $x, y \in S^1$. If $\omega(x, f^n) = \omega(y, f^n)$ for some integer $n \geq 1$, then $\omega(x, f) = \omega(y, f)$.*

Proof. By Lemma 6 of [9], $\omega(x, f) = \bigcup_{k=0}^{n-1} \omega(f^k(x), f^n)$ and $f^i(\omega(f^k(x), f^n)) = \omega(f^{k+i}(x), f^n)$. Since $\omega(f^k(x), f^n) = f^k(\omega(x, f^n)) = f^k(\omega(y, f^n)) = \omega(f^k(y), f^n)$, we have $\omega(x, f) = \omega(y, f)$.

LEMMA 8. *Let $f \in C^0(S^1, S^1)$ with $\deg(f) = 0$. Then we have*

$$\Lambda^2(f) = \Lambda(\overline{P(f)}, f) = \Lambda(\Omega(f), f).$$

Proof. It suffices to show that $\Lambda(\overline{P(f)}, f) = \Lambda(\Omega(f), f)$ by Theorem A. Let F be a lift of f . Then note that $\pi(\Omega(F)) = \Omega(f)$ and $\pi(P(F)) = P(f)$ by Lemma 2 of [1] and $\Lambda(\overline{P(F)}, F) = \Lambda(\Omega(F), F)$ by Theorem 1 of [8]. Using the above facts and Lemma 7, we can easily obtain the results.

THEOREM B. *Let $f \in C^0(S^1, S^1)$ with $P(f) \neq \emptyset$. Then we have*

$$\Lambda^2(f) = \Lambda(\overline{P(f)}, f) = \Lambda(\Omega(f), f).$$

Proof. By Lemma 8, we may assume that the degree of f is not zero. Let $z \in \Omega(f) - \overline{P(f)}$. Then we claim that there is some point $x \in \overline{P(f)}$ such that $\omega(z, f) = \omega(x, f)$. If z has a finite orbit, that is, $f^m(z)$ is a fixed point of f^m for some integer m , then we have

$$\omega(z, f^m) = \omega(f^m(z), f^m) = \{f^m(z)\}.$$

By Lemma 7, since $\omega(z, f) = \omega(f^m(z), f)$, we have done.

Hence we may assume that z has an infinite orbit. If $P(f)$ is a singleton, then, since $P(f) = \Omega(f)$ by Theorem C of [3], we have done. Therefore we may also assume that $P(f)$ has more than one

point. Let $J=(a, b)$, $a \neq b$, be a component of $S^1 - \overline{P(f)}$ containing z . Then there exists an integer $N \geq 1$ such that either $z \notin \bigcup_{k=0}^{\infty} f^{kN}([a, z])$ or $z \in \bigcup_{k=0}^{\infty} f^{kN}([z, b])$ by Lemma 5. Suppose that $z \in \bigcup_{k=0}^{\infty} f^{kN}([a, z]) = K$. Then $f^N(K) \subset K$ and $z \in (\overline{K} - K) \cap \Omega(f^N)$ since $z \in \Omega(f^k)$ for every positive integer k by Lemma 3 of [3]. By Lemma 2, K has infinite components. Therefore $f^{kN}([a, z])$, $k=0, 1, 2, \dots$, are pairwise disjoint. Hence $\text{diam}(f^{kN}([a, z])) = \text{diam}(f^{kN}([a, z])) \rightarrow 0$ as $k \rightarrow \infty$, that is, for each point $y \in [a, z]$, $\omega(y, f^N) = \omega(z, f^N)$. But, since $a \in \overline{P(f)}$, $\omega(a, f^N) = \omega(z, f^N)$, so that, $\omega(a, f) = \omega(z, f) = \omega(y, f)$ for every point $y \in [a, z]$. Therefore $\Lambda(\overline{P(f)}, f) = \Lambda(\Omega(f), f)$, which completes the proof by Theorem A.

COROLLARY. Let $f \in C^0(S^1, S^1)$ and $P(f)$ be nonempty. Then $\Lambda^n(f)$ is closed for some $1 < n \leq \infty$ if and only if $\Lambda^n(f) = \overline{P(f)}$ for any $1 < n \leq \infty$.

Proof. By Theorem B, we have

$$\Lambda^2(f) = \Lambda(\overline{P(f)}, f) \subset \overline{P(f)}.$$

By combining Theorem A, we obtain

$$\Lambda(f) \supset \overline{P(f)} \supset \Lambda^2(f) \supset \Lambda^3(f) \supset \dots \supset \Lambda^\infty(f) \supset P(f),$$

and these relations give the proof.

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