

ON FINITE DIMENSIONAL  $C^*$ -SUBALGEBRAS  
OF AF  $C^*$ -ALGEBRA

SUN YOUNG JANG

1. Introduction

The set of traces on a  $C^*$ -algebra is a very useful invariant of the algebra and there have been some significant recent advances concerning the relationship between traces, finiteness and comparability of elements. For example a simple  $C^*$ -algebra with a finite trace is a finite algebra [3]. An approximately finite dimensional algebra, that is AF  $C^*$ -algebra, is a  $C^*$ -algebra which is an inductive limit of a sequence of finite dimensional  $C^*$ -algebras. The study of AF  $C^*$ -algebra was begun by Bratteli [2] following earlier more specialized studies by Glimm [6] and Dixmier [4]. Elliott showed that if  $A$  is an AF  $C^*$ -algebra, then  $A$  is classified up to isomorphism by  $K_0(A)$ , considered as a partially ordered abelian group, [5]. The relation between trace and  $K_0(A)$  has been studied by J. Cuntz and G.K Pedersen. In this paper we study the finite dimensional  $C^*$ -subalgebras of AF  $C^*$ -algebra by using the trace and the partially ordered abelian group  $K_0$ .

## 2. Preliminaries

Let  $A$  be a  $C$ -algebra. A trace on  $A$  is a function  $\phi: A_+ \rightarrow [0, \infty]$  such that

- i)  $\phi(\alpha x) = \phi(x)$  if  $x \in A_+$  and  $\alpha \in \mathbb{R}_+$ ,
- ii)  $\phi(x+y) = \phi(x) + \phi(y)$  if  $x$  and  $y$  belong to  $A_+$ ,
- iii)  $\phi(u^*xu) = \phi(x)$  for all  $x$  in  $A_+$  and all unitaries  $u$  in  $A$ .

In here  $A$  is a  $C^*$ -algebra with unit containing  $A$  as a closed ideal and  $A_+$  is the set of all positive elements in  $A$ . We say that  $\phi$  is finite if  $\phi(x) < \infty$  for  $x \in A_+$  and  $\phi$  is semi-finite if for each  $x \in A_+$ ,  $\phi(x)$  is the supremum of the numbers  $\phi(y)$  for those  $y \in A_+$  such that  $y \leq x$  and  $\phi(y) < +\infty$ . Clearly  $\phi$  may be unbounded functional on  $A$ .  $y \leq x$  means that  $x-y \in A_+$  for  $x, y \in A$ . If a trace  $\phi$  is finite, then  $\phi$  can be extended to  $A$  as a positive linear functional on  $A$ .  $\phi$  is lower semi-continuous if for each  $\alpha \in \mathbb{R}_+$  the set  $\{x \in A_+ | \phi(x) \leq \alpha\}$  is closed. The trace has deep relation with the type of von Neumann algebras. A cone  $M$  in the positive part of a  $C^*$ -algebra  $A$  is called hereditary if  $0 \leq x \leq y$  and  $y \in M$  implies  $x \in M$  for each  $x$  in  $A$ . A  $*$ -subalgebra  $B$  of  $A$  is hereditary if  $B_+$  is hereditary in  $A_+$ .

LEMMA 2 ([3]). Let  $B$  a hereditary  $C^*$ -subalgebra of  $A$ . Each finite trace  $\rho$  on  $B$  has an extension to a semi-finite lower semi-continuous trace  $\tilde{\rho}$  on  $A$ .

### 3. Abelian group $K_0$

Let  $A$  be a  $*$ -algebra. We present the construction of  $K_0(A)$ , which in genera yields a pre-ordered abelian group, built from the family of self adjoint projections in all matrix algebras over  $A$ . Let  $e, f$  be projections in  $A$ .  $e$  and  $f$  are  $*$ -equivalent, written  $e \approx f$ ; if there is an element  $w \in A$  such that  $w = ewf$   $ww^* = e$   $w^*w = f$ . We define

$$P(A) = \bigcup_{n=1}^{\infty} \{\text{projections in } M_n(A)\}.$$

In here  $M_n(A) = \{[a_{ij}]_{m \times n} | a_{ij} \in A\}$ . Given  $e, f \in P(A)$   $e \approx f$  mean that  $\begin{bmatrix} e & 0 \\ 0 & 0 \end{bmatrix} \approx \begin{bmatrix} f & 0 \\ 0 & 0 \end{bmatrix}$  for some suitable sized zero matrices. And define  $e, f \in P(A)$  to be stably  $*$ -equivalent, written  $e \approx f$  provided  $e \oplus g \approx f \oplus g$  for some  $g \in P(A)$ , i. e.,  $\begin{bmatrix} e & 0 \\ 0 & g \end{bmatrix} \approx \begin{bmatrix} f & 0 \\ 0 & g \end{bmatrix}$ .

For  $e \in P(A)$ , we use  $[e]$  to denote the equivalence class of  $e$  with respect to  $\approx$ . If  $e_1, e_2, f_1, f_2 \in P(A)$  with  $e_1 \approx e_2$  and  $f_1 \approx f_2$ , then  $e_1 \oplus f_1 \approx e_2 \oplus f_2$ . Hence we see that  $\oplus$  induces a well-defined binary operation  $+$  on the set of equivalence classes  $P(A)/\approx$ , where  $[e] + [f] = [e \oplus f]$  for all  $e, f \in P(A)$ . Then the operation is commutative and associative. Moreover the semi-group  $(P(A)/\approx, +)$  satisfies cancellation law: so  $(P(A)/\approx, +)$  is an abelian group.

Denote

$$P(A)/\cong, +) = K_0(A).$$

For any  $*$ -algebra  $A$ , we set

$$K_0(A)_+ = \{[e] | e \in P(A)\}.$$

For any  $x, y \in K_0(A)$  we define

$$x \leq y \text{ on } K_0(A) \text{ if and only if } y - x \in K_0(A)_+.$$

The relation  $\leq$  on  $K_0(A)$  is a pre-order. A  $C^*$ -algebra  $A$  is an AF  $C^*$ -algebra if  $A$  is the norm-closure of the union of finite dimensional  $C^*$ -algebras  $A_n$ .

**THEOREM 3.1** ([1]). If  $A$  is an AF  $C^*$ -algebra, then  $K_0(A)$  is a partially ordered abelian group.

**PROPOSITION 3.2.** Let  $A$  be a AF  $C^*$ -algebra and  $p, q$  be projections in  $A$ . If  $\phi(p) \leq \phi(q)$  for all nonzero traces  $\phi$  on  $A$ , then  $[p] \leq [q]$  in  $K_0(A)$ .

**PROOF.** We may assume that  $p, q$  lie in a finite dimensional subalgebra  $A_1$  by replacing  $p$  and  $q$  by equivalent projections. By [2, Theorem 2.2], we can find an increasing sequence  $(A_n)_{n=1}^{\infty}$  of finite dimensional subalgebras containing  $A_1$  and  $A$  is the norm closure of  $\bigcup_{n=1}^{\infty} A_n$ . If  $\phi(p) \leq \phi(q)$  for all trace  $\phi$  on  $A$ , then  $\phi(p) \leq \phi(q)$  for all trace  $\phi$  on  $A_n$  for all  $n$ . If not; let  $e$  be the unit of the finite dimensional  $C^*$ -subalgebra  $A_1$ . There exists an integer  $n_0$  and a trace  $\phi_{n_0}$ , on  $A_{n_0}$  such that  $\phi_{n_0}(p) > \phi_{n_0}(q)$  and  $\phi_{n_0}(e) = \alpha$ , for some  $\alpha > 0$ . Let  $\phi'_{n_0} = \frac{1}{\alpha} \phi_{n_0}|_{A_0} e$ . Since  $eA_1e = A_1 \subset eA_{n_0}e$ ,  $\phi'_{n_0}$  is a trace on  $eA_{n_0}e$  such that  $\phi'_{n_0}(p) > \phi'_{n_0}(q)$  and  $\phi'_{n_0}(e) = 1$ . Then for  $n > n_0$  there

exists a trace  $\phi_n$  on  $eA_n e$  such that  $\phi_n|_{eA_{n_0}e} = \phi'_{n_0}$ . Hence there exists a trace  $\phi_n$  on  $eA_n e$  such that  $\phi_n(p) > \phi_n(q)$  and  $\phi_n(e) = 1$  for  $n > n_0$ . Let  $eAe = B$  and  $\tilde{\phi}_n$  be an extension of  $\phi_n$  to a state on  $B$ . Since  $B$  has a unit  $e$ ,  $\{\tilde{\phi}_n\}$  has a weak\*-limit  $\tilde{\phi}$ . Then  $\tilde{\phi}$  is a tracial state and  $\tilde{\phi}(e) = 1$ . Since  $B$  is a hereditary subalgebra of  $A$  and  $\tilde{\phi}|_{B_+}$  is a finite trace on  $B$ , by Lemma 2.1  $\tilde{\phi}$  extended to a trace on  $A$ . Furthermore  $\tilde{\phi}(p) > \tilde{\phi}(q)$  and this contradicts to the hypothesis. Hence  $[p] \leq [q]$  in  $K_0(A_n)$ . Since  $[p] \leq [q]$  in  $K_0(A)$  if and only if  $[p] \leq [q]$  in  $K_0(A_n)$  for some  $n$ ,  $[p] \leq [q]$  in  $K_0(A)$ .

Since  $K_0(A)$  is a partially ordered group for an AF C\*-algebra  $A$ , if  $p, q$  are projections and  $\phi(p) = \phi(q)$  for all traces  $\phi$  on AF C\*-algebra  $A$ , then  $[p] = [q]$  in  $K_0(A)$ .

PROPOSITION 3.3. Let  $A$  be an AF C\*-algebra and  $p, q$  be projections in  $A$ . Then  $[p] = [q]$  in  $K_0(A)$  if and only if  $p \simeq q$  in  $A$ .

PROOF. Clearly  $p \simeq q$  implies  $[p] = [q]$ . In AF C\*-algebra by [1. Lemma 20] if  $[p] = [q]$  in  $K_0(A)$ , then  $\begin{bmatrix} p & 0 \\ 0 & 0 \end{bmatrix} \simeq \begin{bmatrix} q & 0 \\ 0 & 0 \end{bmatrix}$  for some suitable sized zero matrix. Hence there exists a  $w \in M_n(A)$  such that  $w^*w = \begin{bmatrix} e & 0 \\ 0 & 0 \end{bmatrix}$  and  $ww^* = \begin{bmatrix} f & 0 \\ 0 & 0 \end{bmatrix}$  for some  $n$ . Since  $e, f$  are in  $A$  and the zero matrices in the above is of the same sized, there exists a partial isometry  $w' \in A$  such that  $w = \begin{bmatrix} w' & 0 \\ 0 & 0 \end{bmatrix}$ .

#### 4. Main results.

Let  $A$  be a  $*$ -algebra. A set  $n \times n$   $*$ -matrix units in  $A$  is a set of  $n \times n$  matrix units  $\{e_{ij} | i, j = 1, \dots, n\}$  of elements of  $A$  such that  $e_{ij}e_{km} = \delta_{jk}e_{im}$  and  $e_{ij}^* = e_{ji}$  for all  $i, j$ . In this case  $e_{11}, \dots, e_{nn}$  are orthogonal projections. A  $*$ -matricial subbasis in  $A$  is a set  $\{e_{pq}^i | i = 1, \dots, k, p, q = 1, \dots, n(i)\}$  of elements of  $A$  such that

- 1)  $\{e_{pq}^{(i)} | p, q = 1, \dots, n(i)\}$  is a set of  $n(i) \times n(i)$   $*$ -matrix units for each  $i = 1, \dots, k$ ;
- 2)  $e_{pq}^{(i)} e_{rs}^{(j)} = 0$  for all  $i, j, p, q, r, s$  with  $i \neq j$ .

Then  $e^{(i)} = \sum_{p=1}^{n(i)} e_{pp}^{(i)}$  are mutually orthogonal projections in  $A$ . If a  $*$ -algebra  $A$  has a  $*$ -matricial subbasis  $\{e_{pq}^{(i)}\}$  that spans  $A$ , then  $\{e^{(i)}\}$  is a  $*$ -matricial basis for  $A$ . In this case  $\sum_{i=1}^k \sum_{p=1}^{n(i)} e_{pp}^{(i)}$  is a unit of  $A$ . Thus a  $*$ -algebra is matricial if and only if it has a  $*$ -matricial basis.

**THEOREM 4.** Let  $A$  be an AF  $C^*$ -algebra with unit acting on a separable Hilbert space  $H$ . Suppose that  $M \subset A$  and  $N \subset A$  are  $*$ -isomorphic finite dimensional  $C^*$ -subalgebras of  $A$ . Then there exists a unitary element  $u$  in  $A$  such that  $uMu^* = N$ .

**PROOF.** Suppose that  $\{E_{ij}^k | i, j = 1, \dots, n_k, k = 1, \dots, n\}$  and  $\{F_{ij}^k | i, j = 1, \dots, n_k, k = 1, \dots, n\}$  are  $*$ -matricial basis of  $M$  and  $N$  respectively. We may assume that  $M, N$  have the same unit with  $A$ . We show that there exists a partial

isometry  $V^k \in A$  with initial projection  $E_{11}^k$  and terminal projection  $F_{11}^k$  for  $k=1, \dots, n$ . Let  $U = \sum_{k=1}^n \sum_{i=1}^{n_k} F_{i1}^k V^k E_{i1}^k$ .

$$\begin{aligned} uE_{ij}^r u^* &= \left( \sum_k \sum_{q=1}^{n_k} F_{qi}^k V^k E_{iq}^k \right) (E_{ij}^r) \left( \sum_s \sum_p F_{pi}^s V^s E_{ip}^s \right)^* \\ &= \left( \sum_k \sum_q F_{qi}^k V^k E_{iq}^k \right) (E_{ij}^r) \left( \sum_s \sum_p E_{pi}^s (V^s)^* F_{ip}^s \right) \\ &= \sum_{k,q,r,s,p} \delta_{kr} \delta_{qi} \delta_{rs} \delta_{jp} F_{qi}^k V^k E_{iq}^k E_{ij}^r E_{pi}^s (V^s)^* F_{ip}^s \\ &= F_{i1}^r V^r E_{1i}^r E_{ij}^r E_{ji}^r (V^r)^* F_{ij}^r \\ &= F_{i1}^r V^r E_{11}^r (V^r)^* F_{ij}^r = F_{ij}^r. \end{aligned}$$

Therefore

$$\begin{aligned} uMu^* &= N \text{ and } uu^* = \sum_{k,s,p,q} (F_{qi}^k V^k E_{iq}^k) (F_{pi}^s V^s E_{ip}^s)^* \\ &= \sum_{k,s,p,q} \delta_{ks} \delta_{pq} F_{qi}^k V^k E_{iq}^k E_{pi}^s (V^s)^* F_{ip}^s \\ &= \sum_{k,q} F_{qi}^k V^k E_{iq}^k E_{qi}^k (V^k)^* F_{iq}^k \\ &= \sum_{k,q} F_{qq}^k = I \end{aligned}$$

Similarly  $u^*u = I$  Hence  $u$  is the unitary that we want.

Let  $\phi$  be a trace on  $A$ . Let  $p_i^k = EE_{ii}^k$ ,  $Q_i^k = EF_{ii}^k$ ,  $1 \leq k \leq n$  for central projection  $E \in A$ . Then

$$\sum_{k=1}^n \sum_{i=1}^{n_k} p_i^k = \sum_{k=1}^n \sum_{i=1}^{n_k} Q_i^k = E.$$

We put

$$E^k = \sum_{i=1}^{n_k} E_{ii}^k$$

and

$$F^k = \sum_{i=1}^{n_k} F_{ii}^k.$$

Let

$$S(i) = \sum_{k=1}^n E^k - E_{11}^k - E_{ii}^k + E_{1i}^k + E_{i1}^k$$

and

$$V(i) = \sum_{k=1}^n F^k - F_{11}^k - F_{ii}^k + F_{1i}^k + F_{i1}^k$$

Then

$$\begin{aligned} S(i) P_i^k S(i)^* &= \left( \sum_{l=1}^n E^l - E_{11}^l - E_{ii}^l + E_{1i}^l + E_{i1}^l \right) (E E_{ii}^k) \\ &\quad \left( \sum_{r=1}^n E^r - E_{11}^r - E_{ii}^r + E_{1i}^r + E_{i1}^r \right)^* \\ &= \left( \sum_{l=1}^n \delta_{lk} E E^l E_{11}^k - E E_{11}^k E_{11}^k - E E_{ii}^k E_{ii}^k \right. \\ &\quad \left. + E E_{1i}^k E_{11}^k + E E_{i1}^k E_{11}^k \right) \\ &\quad \left( \sum_{r=1}^n E^r - E_{11}^r - E_{ii}^r + E_{1i}^r + E_{i1}^r \right)^* \\ &= \sum_r \delta_{kr} E E_{11}^k (E^r - E_{11}^r E_{ii}^r + E_{1i}^r + E_{i1}^r) \\ &= E E_{11}^k E^k - E E_{11}^k E_{11}^k - E E_{11}^k E_{ii}^k + E E_{11}^k E_{i1}^k \\ &\quad + E E_{11}^k E_{1i}^k = E E_{ii}^k = P_{ii}^k, \end{aligned}$$

Moreover  $S(i)S(i)^* = S(i)^*S(i) = \sum_{k=1}^n E^k = I$ . By similar

computation  $V_{(i)} Q_i^k V_{(i)}^* = Q_i^k$ .



Since  $S_{(i)}$ ,  $V_{(i)}$  are unitary and trace is invariant under inner automorphisms,  $\phi(EE_{11}^k) = \phi(EE_{jj}^k) = \phi(EE_{11}^k) = \phi(EF_{jj}^k)$  for all  $k=1, \dots, n$   $j=1, \dots, n_k$ .

Since  $E$  is a central projection,  $\phi(E_{11}^k) = \phi(F_{11}^k)$  for all trace  $\phi$  on  $A$ . By Proposition 3.2  $[E_{11}^k] = [F_{11}^k]$  in  $K_0(A)$  and by Proposition 3.3 there exists a partial isometry  $V^k \in A$  with initial projection  $E_{11}^k$  and terminal projection  $F_{11}^k$ .

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University of Ulsan  
Ulsan 690  
Korea

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