

# On Geometric Properties of the Linear Invariant Families of Holomorphic Functions\*

by  
 Bong-Goo Park

Honam College, Kwangju 500, Korea

## 1. Introduction

Let  $\mathcal{A}(\mathcal{D})$  be the set of all analytic automorphisms of  $\mathcal{D}$  onto  $\mathcal{D}$  where  $\mathcal{D} = \{z: |z| < 1\}$ . Pommerenk has defined [12] a family of functions of the form  $f(z) = z + \dots$ , analytic and locally univalent ( $f'(z) \neq 0$ ) in  $\mathcal{D}$  to be a *linear invariant family*  $M$  if and only if for each  $\varphi(z)$  in  $\mathcal{A}(\mathcal{D})$  and every  $f$  in  $M$  the functional

$$A_{\varphi}[f(z)] = \frac{f[\varphi(z)] - f[\varphi(0)]}{f'[\varphi(0)]\varphi'(0)} = z + \dots$$

is also in  $M$ . If  $M$  is a linear invariant family, then the order of  $M$  is defined as

$$\alpha = \sup\{|f''(0)/2|: f \in M\}.$$

Let  $U_{\alpha}$  denote the union of all linear invariant families of order at most  $\alpha$ . Then the universal family  $U_{\alpha}$  is itself linear invariant. If  $f(z) = z + \dots$  is analytic and locally univalent in  $\mathcal{D}$ , then we may consider the linear invariant family  $M_f$  which it generates; namely

$$M_f = \{A_{\varphi}[f(z)]: \varphi(z) \in \mathcal{A}(\mathcal{D})\}.$$

The order of  $f(z)$  is the order of the linear invariant family which it generates. As an aid in computing the order of  $f(z)$ , denoted  $\text{ord}(f)$ , we have [12]

$$\begin{aligned} \text{ord}(f) &= \sup_{z \in \mathcal{D}} |-\bar{z} + (1 - |z|^2)f''(z)/2f'(z)| \\ &= \sup\{|g''(0)/2|: g \in M_f\}. \end{aligned}$$

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In the present paper, we show that a linear invariant family  $M$  of order  $\alpha$  generates the function

$$G(r) = \sup\{\max \arg f'(z) : |z| = r, f \in M, 0 \leq r < 1\}$$

which is an increasing continuous function satisfying  $G'(0^+) = 2\alpha$  and  $G(r) \geq 2 \arcsin r$ . Also we show that the associated function  $T(t) = G(\tanh t)/2t$  satisfies  $0 \leq T(\infty) \leq T(t) \leq \alpha$  and  $|\lambda|T_f(\infty) = T_{[\lambda f]}(\infty)$  where  $[\lambda f]$  denotes the real scalar multiple of  $f$  in a vector space structure placed on locally schlicht functions due to Hornich.

Moreover Pommerenke's lower estimate on

$$\sup\{|\arg f'(z)| : |z| = r, f \in U_\alpha\}$$

is improved.

## 2. The Behaviour of $\arg f'(z)$ for Linear Invariant Families

In order to gain some control over the behavior of  $\arg f'(z)$  for  $f \in M$ , we introduce the following: If  $M$  is a linear invariant family, we set

$$(2.1) \quad G(r, M) = G(r) = \sup_{f \in M} \max_{|z|=r} \arg f'(z), \quad 0 \leq r < 1,$$

where the argument varies continuously from the initial value of  $\arg f'(0) = 0$ .

**Lemma 2.1.** For any linear invariant family  $M$

$$G(r) = -\inf_{f \in M} \min_{|z|=r} \arg f'(z).$$

**Proof.** Let  $f(z)$  be in  $M$ ,  $z$  and  $\zeta$  in  $\mathcal{D}$  and

$$f(z, \zeta) = \frac{f\left(\frac{z+\zeta}{1+\bar{\zeta}z}\right) - f(\zeta)}{f'(\zeta)(1-|\zeta|^2)}.$$

Since  $M$  is a linear invariant family,  $f(z, \zeta)$  also belongs to  $M$ . If  $z^* = (z+\zeta)/(1+\bar{\zeta}z)$  a brief calculation shows

$$(2.2) \quad (1-|z|^2)f'(z, \zeta) = \frac{1-|z^*|^2}{1-|\zeta|^2} \cdot \frac{1+\bar{\zeta}z}{1+\bar{\zeta}z} \cdot \frac{f'(z^*)}{f'(\zeta)}$$

and, in particular, when  $z = -\zeta$  we have

$$(2.3) \quad (1 - |z|^2) f'(-\zeta, \zeta) = 1 / (1 - |\zeta|^2) f'(\zeta)$$

from which the Lemma 2.1 follows. ///

Since  $\max_{|z|=r} \arg f'(z)$  is a monotone increasing function of  $r$ ,  $G(r)$  is also monotone increasing. In general, the supremum of monotone increasing piecewise analytic continuous functions need not be continuous, however we now show that  $G(r)$  is in fact continuous.

**Theorem 2.1.** Let  $M$  be a linear invariant family of finite order. Let  $\bar{M}$  denote the closure of  $M$  in the topology of uniform convergence on compacta. Let  $M^* = \{f(sz) / s : f \in M \text{ and } 0 < s \leq 1\}$ . Then

$$(2.4) \quad G(r, M) = G(r, M^*) = G(r, \bar{M}).$$

Furthermore  $G(r)$  is a monotone increasing continuous function of  $r$  satisfying

$$G(r) \geq 2 \arcsin r, \quad 0 \leq r < 1.$$

**Proof.** Since  $G(r, M) \leq G(r, \bar{M})$  and  $G(r, M) \leq G(r, M^*)$ , to establish (2.4) we will show that  $G(r, \bar{M}) \leq G(r, M)$  and then that  $G(r, M^*) \leq G(r, M)$ .  $\bar{M}$  is a compact linear invariant family, hence there is an  $f(z)$  in  $\bar{M}$  such that  $G(r, \bar{M}) = \arg f'(r)$ . Since  $\bar{M}$  is the closure of  $M$ , there is a sequence  $f_n$  in  $M$  which converges to  $f$  locally uniformly. Thus  $G(r, M) \geq \lim_{n \rightarrow \infty} \arg f_n'(r) = G(r, \bar{M})$ . To obtain the second inequality we choose a sequence  $f_n$  in  $M^*$  and a sequence  $z_n$  in  $\mathcal{D}$ ,  $|z_n| = r$ , such that  $\arg f_n'(z_n) \rightarrow G(r, M^*)$ . Since  $f_n'(z_n) = g_n'(s_n z_n)$ ,  $g_n \in M$ ,  $0 < s_n \leq 1$ , we have

$$\arg f_n'(z_n) \leq \max_{|z|=r} \arg g_n'(z) \leq G(r, M).$$

Taking the limit as  $n \rightarrow \infty$  yields  $G(r, M^*) \leq G(r, M)$  and completes the proof of (2.4). Since  $G(r)$  is monotone increasing, in order to establish the continuity of  $G(r)$  it suffices to show  $G(r^-) \geq G(r^+)$  for all  $r$  in  $(0, 1)$ . We may assume  $M$  is compact by (2.4). Choose  $f_n$  in  $M$  and  $r_n \rightarrow r$  such that  $\arg f_n'(r_n) \rightarrow G(r^+)$ . By compactness there is an  $f$  in  $M$  such that  $\arg f'(r) = G(r^+)$ .

The continuity of  $\arg f'(r)$  implies

$$G(r^-) \geq \arg f'(r) = G(r^+).$$

If  $f(z)$  is any function in  $M$ , then  $g(z) = 2f(z/2)$  is in  $M^*$  and satisfies

$$(1-z)g''(z)/g'(z) = (1-z)f''(z/2)/2f'(z/2).$$

Consequently,  $\lim_{z \rightarrow 1} (1-z)g''(z)/g'(z) = 0$  and, by Satz 3.14 in [13], the function  $z/(1+z)$  is in  $\bar{M}^*$ . Since  $G(r, M^*) = G(r, \bar{M}^*)$  and  $\max_{|z|=r} \arg z/(1+z) = 2 \arcsin r$ , we have

$$G(r) = G(r, M^*) \geq 2 \arcsin r. \quad ///$$

**Corollary 1.** If  $M$  is a linear invariant family of finite order, then

$$\sup_{f \in M} \sup_{z \in \mathcal{D}} \arg f'(z) \geq \pi.$$

**Corollary 2.** If  $M$  is any linear invariant family of convex univalent functions, then  $G(r) \equiv 2 \arcsin r$ .

**Proof.** This is immediate from Theorem 2.1 and the fact that  $|\arg f'(z)| \leq 2 \arcsin r$  for any convex univalent function.  $///$

### 3. Rotationally Invariant Family

Kirwan [7] defines a family  $M$  to be *rotationally invariant* if whenever  $f$  is in  $M$  then  $f(tz)/t$ ,  $0 < |t| \leq 1$ ,  $t$  complex, is also in  $M$ . The convex functions, close-to-convex functions,  $V_k$ ,  $S$ , and  $U_k$  [3] are examples of linear invariant families which are also rotationally invariant.

**Theorem 3.1.** If  $M$  is a compact rotationally linear invariant family of finite order, then  $M$  contains the function  $z/(1+z)$ .

**Proof.** If  $M$  is compact rotationally linear invariant, then  $M = M^* = \bar{M}^*$  and the last part of the proof of Theorem 2.1 shows that  $z/(1+z)$  must be in  $M$ .  $///$

For several well-known linear invariant families  $G(r, M)$  can be determined explicitly. In addition to the convex functions which have already been considered in Corollary 2, close-to-convex functions have  $G(r) = 4 \arcsin r$ , functions in  $V_k$  have  $G$

$(r) = k \operatorname{arc} \sin r$ ,  $\beta$ -close-to- $V_k$  functions satisfy  $G(r) = (k+2\beta) \operatorname{arc} \sin r$  [2] and for the class  $S$ ,  $G(r) = 4 \operatorname{arc} \sin r$ ,  $0 \leq r \leq 1/\sqrt{2}$  and  $G(r) = \pi + \log[r^2/(1-r^2)]$ ,  $1/\sqrt{2} \leq r < 1$ , [5]. It is evident from Theorem 2.1 that  $G(r)$  does not determine the class  $M$ , however the following results show that  $G(r)$  does uniquely define the order of  $M$ .

**Theorem 3.2.** Let  $M$  be a linear invariant family of order  $\alpha$ , let  $t \in (0, \infty)$ ,  $r = \tanh t$ , and define

$$(3.1) \quad T(t) \equiv G(\tanh t)/2t = \sup_{f \in M} \max_{|z| = \tanh t} (1/2t) \arg f'(z).$$

Then 1)  $T(t) = -\inf_{f \in M} \min_{|z| = \tanh t} (1/2t) \arg f'(z)$ .

$$2) (t_1 + t_2)T(t_1 + t_2) \leq t_1T(t_1) + t_2T(t_2).$$

$$3) \lim_{t \rightarrow \infty} T(t) = T(\infty) \text{ exists.}$$

$$4) 0 \leq T(\infty) \leq T(t) \leq \alpha.$$

$$5) 0 \leq T(\infty) \leq (\alpha^2 - 1)^{1/2}.$$

$$6) T(t) \text{ is continuous in } (0, \infty) \text{ and } \lim_{t \rightarrow 0} T(t) = \alpha.$$

$$7) \text{ For every } \alpha \geq 1 \text{ there is a linear invariant family of order } \alpha \text{ with } T(\infty) = \gamma \text{ where } \gamma \text{ is any number in } [0, (\alpha^2 - 1)^{1/2}].$$

**Proof.** The first claim follows directly from Lemma 2.1. Let  $t_k (k=1, 2)$  be given in  $(0, \infty)$ ,  $r_k = \tanh t_k$  and  $z_k = r_k e^{i\theta}$ . If  $r = \tanh(t_1 + t_2)$  and  $z = r e^{i\theta}$ , then  $(z_1 + z_2)/(1 + z_1 \bar{z}_2) = z$ . Using  $z, z_1$ , and  $z_2$  in (2.2) yields

$$(1 - |z_1|^2) f'(z_1, z_2) = \frac{1 - |z|^2}{1 - |z_2|^2} \cdot \frac{f'(z)}{f'(z_2)}$$

which implies

$$\begin{aligned} \arg f'(z) &= \arg f'(z_1, z_2) + \arg f'(z_2) \\ &\leq 2t_1 T(t_1) + 2t_2 T(t_2). \end{aligned}$$

Hence

$$2(t_1 + t_2)T(t_1 + t_2) \leq 2t_1 T(t_1) + 2t_2 T(t_2)$$

which proves the second assertion.

The third claim follows immediately from 2) and a problem in Pólya and Szegő [11].

Furthermore, 2) implies  $T(nt) \leq T(t)$  for any integer  $n$ , thus  $T(\infty) \leq T(t)$  for all  $t$  in  $(0, \infty)$ . Since  $r = \tanh t$  is equivalent to  $t = (1/2) \log (1+r)/(1-r)$ , the estimates [12]

$$|\log (1-|z|^2)f'(z)| \leq \alpha \log (1+r)/(1-r)$$

and

$$|\arg f'(z)| \leq (\alpha^2 - 1)^{1/2} \log(1+r)/(1-r)$$

immediately yield  $T(t) \leq \alpha$  and  $T(\infty) \leq (\alpha^2 - 1)^{1/2}$ , which completes the proof of 4) and 5).

The first part of 6) follows from Theorem 2.1. Since  $T(t) \leq \alpha$ , to prove the remainder of 6) it suffices to show  $\liminf_{t \rightarrow 0^+} T(t) \geq \alpha$ . As in Theorem 2.1 we may assume that  $M$  is compact and choose an  $f$  in  $M$  such that  $f''(0)/2 = a_2 = \alpha$ .

Thus for  $z$  sufficiently small,

$$\arg f'(z) = \arg(1 + 2a_2z + 0(z^2))$$

and

$$\max_{|z|=r} \arg f'(z) = \arcsin[2a_2r + 0(r^2)].$$

Consequently

$$\begin{aligned} T(t) &= \sup_{f \in M} \max_{|z| = \tanh t} \frac{\arg f'(z)}{2t} \\ &\geq \frac{\arcsin[2a_2r + 0(r^2)]}{\log(1+r)/(1-r)} \end{aligned}$$

and

$$\liminf_{t \rightarrow 0^+} T(t) \geq \lim_{r \rightarrow 0^+} \frac{\arcsin[2a_2r + 0(r^2)]}{\log(1+r)/(1-r)} = a_2 = \alpha.$$

Finally, let  $\gamma \in [0, (\alpha^2 - 1)^{1/2}]$ ,  $\alpha > 1$ ,  $C = \alpha(\alpha^2 - 1 - \gamma^2)^{1/2}(\alpha^2 - 1)^{-1/2} + i\gamma$ ,

and

$$(3.2) \quad f_c(z) = \frac{1}{2C} \left[ \left( \frac{1+z}{1-z} \right)^C - 1 \right].$$

Then the order of  $f(z)$  is  $\{|C|^2+1+[(1-|C|^2)^2+4r^2]^{1/2}\}^{1/2}/\sqrt{2}$  which is  $\alpha$ . Thus to prove 7) it suffices to show that  $T(\infty)=\gamma$  for the linear invariant family  $M$  generated by  $f_c(z)$ .

For any  $\varphi(z)$  in  $\mathcal{A}(\mathcal{D})$  we have

$$\log A_\varphi'[f_c(z)] = \log [f_c'(\varphi(z))\varphi'(z)/f_c'(\varphi(0))\varphi'(0)]$$

and, letting  $c=a+i\gamma$ ,

$$\begin{aligned} \arg A_\varphi'[f_c(z)] &= \gamma \log \left| \frac{(1+\varphi(z))(1-\varphi(0))}{(1-\varphi(z))(1+\varphi(0))} \right| + (a+1) \arg \left( \frac{1+\varphi(z)}{1+\varphi(0)} \right) \\ &\quad + (a-1) \arg \left( \frac{1-\varphi(z)}{1-\varphi(0)} \right) + 2 \arg(1+\bar{\zeta}z). \end{aligned}$$

If  $z=r e^{i\theta}$ , then

$$|[1+\varphi(z)][1-\varphi(0)]/[1-\varphi(z)][1+\varphi(0)]| \leq (1+r)/(1-r)$$

and thus

$$\begin{aligned} (3.3) \quad \arg A_\varphi'[f_c(z)] &\leq \gamma \log(1+r)/(1-r) + |a+1|\pi + |1-a|\pi + \pi \\ &\leq \gamma \log(1+r)/(1-r) + 3\pi, \end{aligned}$$

where we have used the fact that  $0 \leq a \leq 1$ .

On the other hand

$$(3.4) \quad \arg f_c'(r) = \gamma \log(1+r)/(1-r),$$

hence (3.3) and (3.4) yield

$$\gamma \leq G(r, M) / \log(1+r)/(1-r) = T(r) \leq \gamma + \frac{3\pi}{t}$$

which show that  $T(\infty)=\gamma$  and completes the proof of the Theorem. ///

**Corollary.** Let  $M$  be a linear invariant family of order  $\alpha$ . Then  $G'(0^+)$  always exists and satisfies  $G'(0^+)=2\alpha$ .

**Proof.** We have

$$\begin{aligned} G'(0^+) &= \lim_{r \rightarrow 0^+} \frac{G(r)}{r} = \lim_{r \rightarrow 0^+} \frac{\log(1+r)/(1-r)}{r} \cdot \frac{G(r)}{\log(1+r)/(1-r)} \\ &= 2 \lim_{r \rightarrow 0^+} T(r) = 2\alpha. \quad /// \end{aligned}$$

#### 4. Improvement of Pommerenke's Result

Pommerenke's best estimates [12] on  $\arg f'(z)$  for  $f(z)$  in  $U_\alpha$  are  $|\arg f'(z)| \leq 2 \int_0^r \frac{(\alpha^2 - x^2)^{1/2}}{1 - x^2} dx \leq (\alpha^2 - 1)^{1/2} \log \frac{1+r}{1-r} + 2 \arcsin r$  while, for any  $z$  in  $\mathcal{D}$ , there is an  $f(z)$  in  $U_\alpha$  with

$$(4.1) \quad |\arg f'(z)| \geq (\alpha^2 - 1)^{1/2} \log (1+r)/(1-r).$$

One might therefore conjecture that for  $U_\alpha$ ,  $G(r)$  is either  $(\alpha^2 - 1)^{1/2} \log (1+r)/(1-r)$  or  $(\alpha^2 - 1)^{1/2} \log (1+r)/(1-r) + 2 \arcsin r$ . Neither conjecture is true for any  $\alpha > 1$  since in the first case  $G'(0) = 2(\alpha^2 - 1)^{1/2} \neq 2\alpha$ , while in the second  $G'(0) = 2[(\alpha^2 - 1)^{1/2} + 1] \neq 2\alpha$ . This suggests that it should be possible to improve (4.1) and it is as follows:

**Theorem 4.1.** For each  $\alpha$  in  $(1, \infty)$  and for each  $z$  satisfying  $0 < |z| < 1/\alpha$ , there is an  $f(z)$  in  $U_\alpha$  with  $\arg f'(z) > (\alpha^2 - 1)^{1/2} \log (1+r)/(1-r)$ .

**Proof.** Since  $U_\alpha$  is rotationally invariant we may assume  $z = r$ ,  $0 < r < 1/\alpha$ . Let

$$f_r(z) = \int_0^\pi (1 + we^{i\lambda})^{\alpha-1} (1 - we^{-i\lambda})^{-\alpha-1} dw$$

where  $\lambda = \arccos r$ . The function  $f_r$  is in  $V_{2\alpha}$  since it is generated by the measure with weight  $\alpha - 1$  at  $\theta = \lambda$  and weight  $\alpha + 1$  at  $\theta = -\lambda$ . Furthermore,  $\arg f_r'(r) = 2\alpha \arcsin r$ . Since  $V_{2\alpha} \subset U_\alpha$ , it now suffices to show  $2\alpha \arcsin r > (\alpha^2 - 1)^{1/2} \log (1+r)/(1-r)$  for  $0 < r < 1/\alpha$ . An elementary calculation shows that  $h(r) = 2\alpha \arcsin r - (\alpha^2 - 1)^{1/2} \log (1+r)/(1-r)$  is a strictly increasing function of  $r$ ,  $r \in (0, 1/\alpha)$ , and, since  $h(0) = 0$ , this completes the proof. ///

A careful examination of Pommerenke's proof that

$$|\arg f'(z)| \leq 2 \int_0^r (\alpha^2 - x^2)^{1/2} (1 - x^2)^{-1} dx, \quad |z| = r, \quad f \in U_\alpha,$$

leads one to consider

$$f(z) = \int_0^\pi \exp[2i \int_0^r (\alpha^2 - x^2)^{1/2} (1 - x^2)^{-1} dx] dw$$

as a possible extremal function for the maximum of the argument of the derivative.



Indeed, in this case  $\arg f'(r) = 2 \int_0^r (\alpha^2 - x^2)^{1/2} (1 - x^2)^{-1} dx$  which would certainly make it extremal. Unfortunately,  $f(z)$  is not in  $U_\alpha$ . This is difficult to verify directly from the definition of the order of  $f(z)$ , however if we note that  $(1-z)f''(z)/f'(z) \rightarrow i(\alpha^2 - 1)^{1/2}$  as  $z \rightarrow 1$  in any angle then  $f$  has as a limit function [13, Satz 3.14]

$$f_c(z) = (1/2c) \{ [(1+z)/(1-z)]^c - 1 \},$$

where  $c = -1 + i(\alpha^2 - 1)^{1/2}$ . Furthermore, the order of  $f_c(z)$  is

$$\beta = [\alpha^2 + 1 + (\alpha^4 + 2\alpha^2 - 3)^{1/2}]^{1/2} / \sqrt{2}$$

and a computation shows  $\beta > \alpha$  for all  $\alpha > 1$ . If  $M$  is the linear invariant family generated by  $f(z)$ , then  $f_c(z)$  is in  $\bar{M}$  and, since order  $M = \text{order } \bar{M}$ , it follows that order  $f(z) \geq \text{order } f_c(z) = \beta > \alpha$ , which shows that  $f(z)$  is not in  $U_\alpha$ .

One fruitful method of investigation of  $U_\alpha$  has been to place various normed linear space structures on  $X = \bigcup_{\alpha \geq 1} U_\alpha$ .

Following Hornich, we define

$$\begin{aligned} [f+g](z) &= \int_0^z f'(w)g'(w)dw & (f, g \in X), \\ [af](z) &= \int_0^z [f'(w)]^a dw & (f \in X, a \text{ real}) \end{aligned}$$

where square brackets denote the algebraic operations on  $X$ .

**Theorem 4.2.** If  $f$  is in  $X$  and  $a$  is real, then

$$(4.2) \quad |a|T_f(\infty) = T_{(af)}(\infty),$$

where  $T_r(\infty)$  denotes the value of  $T(\infty)$  for the linear invariant family  $M_r$  which  $g$  generates.

**Proof.** We actually show that

$$(4.3) \quad ||a|T_f(t) - T_{(af)}(t)| \leq \pi|a-1|/2t,$$

from which (4.2) follows obviously. For any  $(\varphi)z$  in  $\mathcal{A}(\mathcal{G})$  and any  $r$  in  $[0, 1]$  a computation shows

$$|a| |\arg A_{\varphi}'(f(z))| = |\arg A_{\varphi}'([af](z)) + (a-1) \arg \varphi'(z)/\varphi'(0)| \\ \leq |\arg A_{\varphi}'([af](z))| + |a-1|\pi.$$

Therefore

$$|a| |\arg A_{\varphi}'(f(z))| \leq G(r, M_{(af)}) + |a-1|\pi$$

and consequently

$$|a|G(r, M_f) \leq G(r, M_{(af)}) + |a-1|\pi.$$

Upon reversing the roles of  $f$  and  $[af]$ , we obtain

$$||a|G(r, M_f) - G(r, M_{(af)})| \leq |a-1|\pi$$

from which (4.3) follows directly. ///

**Remark 4.1.** It is perhaps appropriate to remark at this stage of development that a function  $\beta(t)$ , similar to  $T(t)$ , was introduced by Pommerenke for the study of the distortion of  $|f'(z)|$  in linear invariant families. To his conclusions [12, Satz 2.2] one can add the facts that  $\beta(t)$  is continuous,  $\lim_{t \rightarrow 0^+} \beta(t) = \alpha$  and for each  $\beta$  in  $[1, \alpha]$  there is a linear invariant family  $M$  with  $\beta(\infty) = \beta$ . There are several differences in the behaviour of  $\beta(t)$  and  $T(t)$ . Although  $\beta(t)$  may be constant,  $T(t)$  can not. This is obvious since  $T(\infty) < T(0)$ . The function  $T_{(af)}(\infty)$  is linear while  $\beta_{(af)}(\infty) = 1 + (\beta_f(\infty) - 1)|a|$ . It would also be of interest to know if there is a proposition for  $T(t)$  comparable to the following, due to Pommerenke;  $\beta(\infty) = \alpha$  for a compact family  $M$  if and only if

$$(1/2\alpha) \{ [(1+z)/(1-z)]^{\alpha} - 1 \}$$

is in  $M$ .

Recall that a function  $f$  in  $X$  is said to have *boundary rotation*  $k\pi$  if for  $z = re^{i\theta}$

$$(4.4) \quad \sup_{r \in (0,1)} \int_0^{2\pi} |\operatorname{Re} \{ [1 + zf''(z)] / f'(z) \}| d\theta = k\pi.$$

$G(r)$  certainly does not depend on the boundary rotation of  $f$ . For example, we

can find a function  $f(z)$  in  $S$  with boundary rotation  $100\pi$ . However, although  $f$  is in  $V_{100}$  but not  $V_{k'}$  for any  $k' < 100$ , it is not true that  $G(r, M_r) = 100 \text{ arc sin } r$  since any function in  $S$  satisfies  $|\arg f'(z)| \leq 4 \text{ arc sin } r$  for  $0 \leq r \leq 1/\sqrt{2}$  [5].

We will now give a second illustration of the fact that  $G(r)$  is not a function of the boundary rotation, this time concentrating on what happens for  $r$  close to 1.

Recall that Corollary 2 asserted that  $G(1)/\pi = k/2$  for the case of convex univalent functions ( $k=2$ ), where

$$G(1) = \lim_{r \rightarrow 1} G(r) = \sup_{f \in M} \sup_{|z| < 1} \arg f'(z).$$

One might hope that this is indeed a phenomenon of boundary rotation and will persist for other values of  $k$ . To see that this is not the case consider a close-to-convex function  $f(z)$  which has infinite boundary rotation. Then  $f_s(z) = f(sz)/s$ ,  $0 < s < 1$ , satisfies  $G(1) \leq 4\pi$  since  $f_s(z)$  is also close-to-convex. On the other hand as  $s \rightarrow 1$  the boundary rotation of  $f_s \rightarrow \infty$  and we cannot have  $G(1)/\pi = k/2$ .

We can obtain a relationship between  $G(1)$  and the order of  $M$  by utilizing the class  $K(\beta)$  of generalized close-to-convex functions of order  $\beta$ . A function  $f$  in  $X$  is in  $K(\beta)$ ,  $\beta \geq 0$  if for each  $r$  in  $(0, 1)$  and each pair  $\theta_1$  and  $\theta_2$ ,  $0 \leq \theta_1 < \theta_2 \leq 2\pi$ , we have

$$(4.5) \quad \int_{\theta_2}^{\theta_1} \text{Re}[1 + re^{i\theta} f''(re^{i\theta})/f'(re^{i\theta})] d\theta = -\beta\pi;$$

equivalently if there is a  $c \neq 0$  and a normalized convex univalent function  $\varphi(z)$  such that for  $z$  in  $\mathcal{D}$

$$|\arg\{cf'(z)/\varphi'(z)\}| \leq \beta\pi/2.$$

**Theorem 4.3.** Let  $M$  be a linear invariant family satisfying

$$\sup_{f \in M} \sup_{|z| < 1} \arg f'(z) = \beta\pi < \infty.$$

Then  $M \subset K(\beta)$ ,  $|\arg f'(z)| \leq 2(\beta+1) \text{ arc sin } r$ , and  $M$  is of finite order  $\alpha$ ,  $\beta+1 \geq \alpha \geq 1$ .

**Proof.** We show that  $M$  is in  $K(\beta)$  but not in  $K(\beta-2)$  (when  $\beta > 2$ ). Let  $z_2 = e^{i\theta} z_1$ ,  $|z_1| = r$ ,  $\theta$  in  $(0, 2\pi)$ . Then for  $z = re^{i\theta}$

$$\int_{\theta_2}^{\theta_1} \text{Re}[1 + zf''(z)/f'(z)] d\theta = \arg[z_2 f'(z_2)/z_1 f'(z_1)].$$

We therefore set

$$\Psi(r, \theta) = \inf\{\arg(z_2 f'(z_2)/z_1 f'(z_1)) : f \in M\}.$$

Since

$$\arg\left[\frac{z_2 f'(z_2)}{z_1 f'(z_1)}\right] = \arg\left[\frac{z_2}{z_1} \left(\frac{1-|z_1|^2}{1-\bar{z}_1 z_2}\right)^2\right] + \arg f'(\zeta_0, z_1)$$

where  $\zeta_0 = (z_2 - z_1)/(1 - \bar{z}_1 z_2)$ , we see that

$$(4.6) \quad \Psi(r, \theta) = 2 \arccot\left[\frac{(1-r^2) \cot(\theta/2)}{(1+r^2)}\right] + \inf_{f \in M} \arg f'(\zeta_0, z).$$

Because of the linear invariance of  $M$ ,

$$\inf\{\arg f'(\zeta_0, z) : f \in M\} = \inf\{\arg f'(\zeta_0) : f \in M\}$$

and hence by the hypothesis we have

$$(2-\beta)\pi \geq \inf_{z_1 < 1} \Psi(r, \theta) \geq -\beta\pi.$$

Thus  $M \subset K(\beta)$  but, if  $\beta > 2$ ,  $M$  is not in  $K(\beta-2)$ . The remainder of the theorem now follows from well-known results for  $K(\beta)$ . Namely, order  $K(\beta) = \beta+1$  and  $|\arg f'(z)| \leq 2(\beta+1) \arcsin |z|$ ,  $f$  in  $K(\beta)$ . ///

**Remark 4.2.** Finally we remark that Theorem 3.2 may be used to show that certain families are not linear invariant. For example, as one type of generalization of  $V_k$ , by Pinchuk [1], let  $V_k^\lambda$  denote the class of functions in  $X$  which satisfy

$$\sup_{0 \leq r < 1} \int_0^{2\pi} |Re[e^{i\lambda}(1+z f''(z)/f'(z))]| d\theta = k\pi \cos \lambda, \quad k \geq 2, \quad |\lambda| < \pi/2.$$

One can show that

$$\alpha = \sup\{a_2 : f \in V_k^\lambda\} = k|1 + e^{-2i\lambda}|/4$$

while  $T(\infty, V_k^\lambda) \geq (k+2)|\sin 2\lambda|/4$ . It is easy to see that the inequality  $T(\infty) \leq (\alpha^2 - 1)^{1/2}$  is not valid for various values of  $\lambda$  and  $k$  and hence, by Theorem 3.2, can not be a linear invariant family for those values.

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