

## IRREDUCIBILITY OF FAMILIES OF COMPLEX PROJECTIVE CURVES

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### 1. Introduction

Through this paper, base field is the field of complex numbers. We mean a curve by an algebraic curve in  $P^r$ , which may be smooth or singular. The main object of our study is a parameter space of complex projective curves  $I'_{d,g,r}$ ; the union of the irreducible components of the Hilbert scheme  $\mathcal{H}_{d,g,r}$  whose general points correspond to smooth irreducible and nondegenerate curves.

In case  $\rho(d, g, r) = g - (r+1)(g-d+r) \geq 0$ , for a general curve  $C$  the dimension of  $W_d^r(C)$  is equal to  $\rho(d, g, r)$  [8] and its general member is very ample [6]. Moreover it is irreducible for  $\rho(d, g, r) > 0$  [7]. Hence there may be a component of  $I'_{d,g,r}$  whose general curve is the image curve of the morphism associated with the above series for  $\rho(d, g, r) \geq 0$ . In fact, the existence of such a component is stated in [9]. And it will be shown concretely in section 2.

J. Harris proposed the following conjecture in 1981, which seems to be the most prominent one concerning  $I'_{d,g,r}$ .

CONJECTURE.  $I'_{d,g,r}$  is irreducible for  $\rho(d, g, r) \geq 0$ .

Recently in case  $\rho(d, g, 2) > 0$ , Arbarello and Zariski independently solved Severi's problem which is very similar to the above conjecture, that is, the variety of irreducible plane curves of degree  $d$  with  $\delta$  nodes is irreducible. This in turn implies that the union of the components of  $\mathcal{H}_{d,g,2}$  whose general points correspond reduced, irreducible, nondegenerate curves is irreducible for  $\rho(d, g, 2) > 0$ . On the one hand, we found out that  $I'_{d,g,r}$  is reducible for  $r=g-8$ ,  $d=2g-8$ . But such a counter

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example occurs only in higher dimensional space, as we will see in section 4. On the other hand, any abstract curve can be embedded in  $\mathbf{P}^3$ . Thus in these points of view, it is quite meaningful to investigate the irreducibility of  $I'_{d,g,3}$ .

It was shown in [9] that  $I'_{d,g,3}$  is irreducible for  $d > \frac{5}{4}g + 1$  ( $\rho(d, g, 3) > 2g - 8$ ), while  $\rho(d, g, 3) \geq 0$  implies  $d \geq \frac{3}{4}g + 3$ . Our main result states that  $I'_{d,g,3}$  is irreducible for  $d > g$  ( $\rho(d, g, 3) > g - 12$ ). In fact we expect that  $I'_{d,g,3}$  is irreducible in much wider range in the course of the proof of the result.

### 2. The irreducibility of $I'_{d,g,r}$

In order to look at our main object effectively, that is, a family of curves of genus  $g$  and degree  $d$  in  $\mathbf{P}^r$  in some sense, we need to consider the following objects. Let  $\mathcal{D} = g_d^r$  be a general subseries of a base point free simple series  $|D|$  on an abstract curve  $\tilde{C}$ ,  $F$  a frame in  $V \subset H^0(C, \mathcal{O}(D))$  associated with  $\mathcal{D}$ . Then  $\phi_{\mathcal{D}}$ , which is defined by  $\phi_{\mathcal{D}}(P) = (f_0(P), \dots, f_r(P))$ ,  $P \in \tilde{C}$ , gives the birational morphism, where  $F = (f_0, \dots, f_r)$ . Conversely upon fixing a projective coordinate in  $\mathbf{P}^r$ , we can find such a triple  $(\tilde{C}, \mathcal{D}, F)$  by the normalization of  $C$  and the hyperplane section of  $C$ . Consequently Hilbert scheme  $\mathcal{H}_{d,g,r}$  can be considered as the family of the triple  $(\tilde{C}, \mathcal{D}, F)$ . Therefore we have to consider the pair  $(\tilde{C}, \mathcal{D})$  over the general curves.

We denote by  $M_g$  the moduli space of the smooth curves of genus  $g$  and by  $M_g^0$  the complement of the subvariety of  $M_g$  corresponding to curves with nontrivial automorphisms. As is well-known, there is a universal curve  $C$  over  $M_g^0$ , and the universal Jacobian  $J_d \xrightarrow{p} M_g^0$  parameterizing the line bundles of degree  $d$  over  $M_g^0$ . It may be considered as parameterizing the complete linear serieses  $(\mathcal{D}, \tilde{C})$  of degree  $d$  over  $M_g^0$ . We denote by  $W_d^r$ ,  $G_d^r$ , respectively, the union of the line bundles  $L$  of  $J_d$  with  $H^0(C, L) \geq r + 1$  and the scheme parameterizing pairs  $(g_d^r, \tilde{C})$  over  $M_g^0$ . Then  $W_d^r$  is in fact a closed subvariety of  $J_d$ . And we set

$$W_d^r(C) = W_d^r \cap p^{-1}(C), \quad G_d^r(C) = G_d^r \cap p^{-1}(C)$$

where  $p : G_d^r \rightarrow M_g^0$ ; since there will be no danger of ambiguity, we use

the same letter  $p$  as above.

Then the object of our study  $I'_{d,g,r}$  is really a  $PGL_{r+1}$ - bundle over the components of  $G_d^r$  whose general series  $(g_d^r, \tilde{C})$  is very ample. Let  $G$  be the union of such a components of  $G_d^r$ . Then the irreducibility of  $G$  implies the irreducibility of  $I'_{d,g,r}$ . We will, in fact, show that  $G$  is irreducible in case  $r=3, d > g$ .

There is a natural morphism  $m$  of  $I'_{d,g,r}$

$$m : I'_{d,g,r} \longrightarrow M_g$$

defined by  $m((\tilde{C}, g_d^r, F)) = \tilde{C}$ . A component  $S$  of  $I'_{d,g,r}$  (or  $R$  of  $G_d^r$ ) is said to be dominating  $M_g$  if  $\overline{m(S)} = M_g$  ( $\overline{p(R)} = M_g$ ).

In case  $d \geq 2g - 1$ , for any curve  $C$  every divisor  $D$  of degree  $d$  has dimension  $d - g$ , the dimension of  $W_d^r(C)$  is equal to  $g$ , a genral member of  $W_d^r(C)$  is very ample for  $r \leq d - g$ . Consequently in this case  $I'_{d,g,r}$  is empty if  $r > d - g$ , and irreducible of dimension  $\lambda(d, g, r) = 4g - 3 + (r + 1)(d - g + 1) - 1$  (this is the dominating component) if  $r \leq d - g$ .

On the other hand, we have the following useful theorem concerning  $G_d^r$ .

**THEOREM 2.1.** *Every component of  $G_d^r$  has dimension at least  $3g - 3 + \rho(d, g, r)$ . (See [2])*

This implies that the dimension of any component of  $I'_{d,g,r}$  is no less than  $3g - 3 + \rho(d, g, r) + (r + 1)^2 - 1 = \lambda(d, g, r)$ . These facts are available for the study of our problem.

Accordingly we have the following result which is stated in [9].

**THEOREM 2.2.** *In case  $\rho(d, g, r) \geq 0$ , there is a dominating component of  $I'_{d,g,r}$ . Moreover such a component is unique if  $\rho(d, g, r) > 0$ .*

*Proof.* If  $\rho(d, g, r) \geq 0$ , then  $\dim G_d^r(C) = \rho(d, g, r)$  and its general series is very ample on a general curve  $C$  [6]. Let  $S_0$  be the closure in  $\mathcal{K}_{d,g,r}$  of the locus of curves given by the general series over general curves. Then the closure of any other family of curves which does not dominate  $M_g$ , cannot properly contain  $S_0$ . Now a general series  $g_d^r$  of  $G_d^r(C)$  over the general curves  $C$  generating  $S_0$ , is a complete series for  $r \geq d - g$  and a subseries of the complete series  $|D| = g_d^{d-g}$  for  $r < d - g$ . Let us consider the closure  $S$  in  $\mathcal{K}_{d,g,r}$  of the locus of the curves given by a general subseries of a general  $|D| = g_d^\alpha$  with  $\alpha > r$  or  $d - g$

respectively, in case  $\rho(d, g, \alpha) \geq 0$ . We need not investigate for  $\alpha$  with  $\rho(d, g, \alpha) < 0$ , since in that case  $W_d^\alpha(C)$  is empty on a general curve and we consider the dominating components. At first, we compute the dimension of  $S$  in case  $r > d - g$ . Then we put

$$\alpha = r + k, \quad k > 0.$$

$$\begin{aligned} \dim S &= 3g - 3 + g - (\alpha + 1)(g - d + \alpha) + (\alpha + 1)(r + 1) - 1 \\ &= 3g - 3 + g + (r + 1)(d - g + 1) + k(d - g + 1) - k(r + 1) - k^2 - 1 \\ &< 3g - 3 + g + (r + 1)(d - g + 1) - 1 = \lambda(d, g, r). \end{aligned}$$

And in case that  $r \leq d - g$ , we set

$$\alpha = d - g + k, \quad k > 0$$

$$\begin{aligned} \dim S &= 3g - 3 + g - (\alpha + 1)(d - g + \alpha) + (\alpha + 1)(r + 1) - 1 \\ &= 3g - 3 + g + (r + 1)(d - g + 1) + k(r + 1) - k(d - g + 1) - k^2 - 1 \\ &< \lambda(d, g, r). \end{aligned}$$

Hence  $S$  cannot be a component of  $I'_{d, g, r}$ . In particular it does not contain  $S_0$ . As a result,  $S_0$  is the union of the dominating components of  $I'_{d, g, r}$ . In particular, in case  $\rho(d, g, r) > 0$   $G_d'(C)$  is irreducible on a general curve  $C$  [7] and so is  $S_0$ .

REMARK (1) Let  $G_0$  be the union of the dominating components of the above  $G$ . Then  $G_0$  is nonempty for  $\rho(d, g, r) \geq 0$  and  $G_0$  is irreducible for  $\rho(d, g, r) > 0$ . Note that  $G_0$  is the closure in  $G_d'$  of the locus of the pairs  $(g_d', C)$ , which is a general very ample series on a general curve  $C$ .

(2) J. Harris' conjecture consequently implies that there is no component of  $I'_{d, g, r}$  other than the unique dominating component  $S_0$  in case  $\rho(d, g, r) > 0$ .

### 3. Irreducibility of $I'_{d, g, 3}$

From now on, we only consider the case  $\rho(d, g, 3) > 0$ , that is,  $d > \frac{3}{4}g + 3$ . Then a general member of  $G_0$  in  $G_d^3$  is a complete series  $g_d^3$  for  $d - g \leq 3$  and a general subseries  $g_d^3$  of a complete series  $g_d^{d-3}$  for  $d - g > 3$  on a general curve.

For  $d > g + 3$ , assume that  $G'$  is a component of  $G$  whose general member is a subseries  $g_d^3$  of a complete  $g_d^{d-3}$ . Then the dimension of

$G' \cap p^{-1}(C)$  is equal to  $g+4(d-g-3)$  for  $C \in p(G')$ , which is the dimension of  $G_0 \cap p^{-1}(C)$  for  $C \in p(G_0)$  ( $m(G_0) = M_g$ ). Since  $G'$  is a component of  $G_d^3$ ,  $\dim G' \geq 3g-3 + \rho(d, g, 3)$  and hence  $\dim p(G') = 3g-3$ . Accordingly  $G' = G_0$ .

Consequently, for any  $d > \frac{3}{4}g+3$  any other possible component of  $G$  other than  $G_0$  arises from the family of the subseries  $g_d^3$  of a special series  $|D|$  only. Because we consider only the case  $\frac{3}{4}g+3 < d \leq 2g-2$ ,  $d \geq 7$  and if  $d=7$ , then  $g=5$  and hence  $r(D) \leq 3$  for any divisor of degree 7. As a result there is no component of  $I'_{d,g,r}$  other than  $S_0$  in case  $d=7$ . Therefore we may just assume that  $d > 7$ . Here  $g_d^r$  is said to be special if  $l(K \setminus D) > 0$  for  $D \in g_d^r$ .

By the fact mentioned before, we will look for a component of  $G$  whose general series is a special series. For brevity, we call base point free simple series a birationally very ample series.

LEMMA 3.1. *If an irreducible component  $W$  of  $G_d^2$  contains a subvariety  $X$  with  $\dim X > g-8$ , whose general member is birationally very ample, then the dimension of  $W$  is equal to the expected dimension  $3g-3 + \rho(d, g, 2) = 3d+g-9$ .*

*Proof.* Let  $\tilde{X}$  be the variety whose points are the pairs  $(\mathcal{D}, F)$ , where  $\mathcal{D}$  is a member of  $X$ ,  $F$  is a frame for the corresponding  $\mathcal{D}$ . Then the tangent space  $T_{(\mathcal{D}, F)}(\tilde{X})$  to  $\tilde{X}$  at  $(\mathcal{D}, F)$  is a vector subspace of  $H^0(C, N_{\mathcal{D}})$  of dimension at least  $(g-7) + 3^2 - 1 = g+1$ , since  $H^0(C, N_{\mathcal{D}})$  is the tangent space to  $\tilde{W}$  at  $(\mathcal{D}, F)$  where  $\tilde{W}$  is the variety associated with  $W$ .

Let  $Z$  be the ramification divisor of  $\phi_{\mathcal{D}}$ , for a general  $\mathcal{D} \in X$ . Then we have an exact sequence

$$0 \rightarrow K_{\mathcal{D}} \rightarrow N_{\mathcal{D}} \rightarrow N'_{\mathcal{D}} \rightarrow 0$$

where  $K_{\mathcal{D}} = \theta_C(Z) / \theta_C$ ,  $N'_{\mathcal{D}} = \phi^* \theta_{P^2} / \theta_C(Z)$ . Then  $N'_{\mathcal{D}}$  becomes a line bundle,  $K_{\mathcal{D}}$  is concentrated on  $Z$  (See [2]). Accordingly  $h^1(C, K_{\mathcal{D}}) = 0$  and so  $h^1(C, N_{\mathcal{D}}) = h^1(C, N'_{\mathcal{D}})$ . But by Lemma (1.4) in [4],

$$T_{(\mathcal{D}, F)}(\tilde{X}) \cap H^0(C, K_{\mathcal{D}}) = \{0\}.$$

Thus  $\dim T_{(\mathcal{D}, F)}(\tilde{X}) \leq h^0(C, N'_{\mathcal{D}})$  and hence  $r(N'_{\mathcal{D}}) \geq g$ . If  $N'_{\mathcal{D}}$  is special,

then by Clifford's theorem

$$h^1(C, N_{\phi}') = r(N_{\phi}') + g - \text{deg}(N_{\phi}') \leq g - r(N_{\phi}') \leq 0.$$

Consequently  $N_{\phi}'$  must be nonspecial,  $h^1(C, N_{\phi}) = h^1(C, N_{\phi}') = 0$ . Therefore  $W$  was the expected dimension  $3g - 3 + \rho(d, g, 2) = 3d + g - 9$ , for  $\dim T_{\mathfrak{a}}(W) = 3g - 3 + \rho(d, g, 2) + h^1(C, N_{\phi})$  (See [2]).

**THEOREM 3.2.** *Let  $\mathscr{W}$  be an irreducible closed subvariety of  $G_d^r$  whose general series is a complete birationally very ample series for  $r \geq 2$ . Then*

$$\dim \mathscr{W} \leq 3d + g - 4r - 1$$

*Proof.* The above inequality holds for  $r = 2$ . We may assume  $r \geq 3$ . Let  $C_{r-2}$  be the  $(r-2)$ -folds symmetric product over  $M_g$  of universal curve  $C$ . And let  $X$  be the set of the pairs  $(\Sigma P_i, |D|)$  of birationally very ample  $|D| \in \mathscr{W}$  and  $\Sigma P_i \in C_{r-2}$  such that  $|D| \setminus \Sigma P_i$  is birationally very ample  $g^2_{d-r+2}$ . Then  $X$  is open in  $C_{r-2} \times_{M_g} \mathscr{W}$ .

We define a morphism

$$\phi : X \rightarrow G^2_{d-r+2}$$

by  $\phi(\Sigma P_i, |D|) = |D| \setminus \Sigma P_i$ . Let us consider the fiber of a fixed  $(\Sigma P_i, |D|)$ . We suppose  $\phi(\Sigma P_i, |D|) = \phi(\Sigma Q_i, |D'|)$ , or equivalently,  $|D| \setminus \Sigma P_i = |D'| \setminus \Sigma Q_i$ . Then  $||D| \setminus \Sigma P_i + \Sigma Q_i| = |D'|$  and hence  $r(K \setminus D + \Sigma P_i \setminus \Sigma Q_i) = r(K \setminus D + \Sigma P_i)$ . Thus  $\Sigma Q_i$  is a base locus of  $|K \setminus D + \Sigma P_i|$  since  $l(K \setminus D + \Sigma P_i) = l(K \setminus D) \neq 0$ . There exists only the finite number of such  $\Sigma Q_i$ 's, accordingly such  $|D'|$ 's are finite, for  $||D| \setminus \Sigma P_i + \Sigma Q_i| = |D'|$ . Therefore the dimension of the fiber of  $\phi$  is equal to zero. On the other hand, by Lemma 3.1.

$$\dim \phi(X) \leq 3(d-r+2) + g - 9.$$

Thus

$$\begin{aligned} \dim X &\leq 3d + g - 3r - 3 \\ \dim \mathscr{W} &\leq 3d + g - 3r - 3 - r + 2 \\ &= 3d + g - 4r - 1. \quad \square \end{aligned}$$

**THEOREM 3.3.** *Let  $\mathscr{B}$  be the union of the components of  $G_a^3$  whose general series is a birationally very ample series. Then  $\mathscr{B}$  is irreducible*

and hence  $\mathcal{B} = G = G_0$  for  $d \geq g + 3$ . In particular  $G$  and  $I'_{d,g,3}$  is irreducible.

*Proof.* As mentioned before, any other component can come into being from only the family of the special series. Precisely suppose that  $\mathcal{Z}$  is another component of  $\mathcal{B}$ . Then a general series  $g_d^3$  of  $\mathcal{Z}$  is a general subseries of a birationally very ample series  $|D|$ , where  $|D|$  is a general member of some irreducible component  $\mathcal{W}$  of  $G_d'$  whose general member is birationally very ample complete series for some  $r > d - g \geq 3$ . Thus  $\mathcal{Z}$  is the family of the Grassmannians of projective 3-planes in the projective  $r$ -space  $|D|$ ,  $|D| \in \mathcal{W}$ . Consequently

$$\begin{aligned} \dim \mathcal{Z} &\leq 3d - 4r + g - 1 + 4(r - 3) \\ &= 3d + g - 15 \\ &< 4d - 15 \\ &= 3g - 3 + \rho(d, g, 3) \end{aligned}$$

for  $d \geq g + 3$ . This is a contradiction since every component of  $G_d^3$  has dimension at least  $4d - 15$ .

In order to deal with the case  $d \leq g + 2$ , it is useful to have an upper bound of the dimension of  $G_d'(C)$  in a neighborhood of the birationally very ample series  $g_d'$  on a fixed curve  $C$ . Fortunately we have the following effect in the proof of Proposition 2.12 in [3].

**THEOREM 3.4.** *Let  $C$  be a curve of genus  $g$ ,  $D$  special divisor on  $C$  with  $|D|$  a birationally very ample  $g_d'$ . Then in a neighborhood of  $|D|$  on the Jacobi variety  $J(C)$  of  $C$*

$$\dim W_d'(C) \leq 2d - g - 3r + l(K \setminus 2D) + 1.$$

This bound is also given by J. Harris, P. Griffiths, R. Accola. (See [9])

**REMARK.** In case  $d \leq g + 2$ , any component of  $I'_{d,g,3}$  other than  $S_0$  can be given by some component  $\mathcal{W}$  of Theorem 3.2 for  $r > 3$  or by a component  $V$  of  $G_d^3$  with  $p(V) \ni M_g$  whose general member is a complete  $g_d^3$ . Then for a general curve  $C \in p(V)$ ,  $V \cap p^{-1}(C) = G_d^3(C)$  has dimension greater than  $\rho(d, g, 3)$  by Theorem 2.1. In other words,  $\text{Ker } \mu_0 \neq \{0\}$  for a general  $g_d^3 (= |D|) \in G_d^3(C)$  on a general curve  $C \in p(V)$ , since the dimension of the tangent space to  $G_d^3(C)$  at  $g_d^3 (= |D|)$  is equal to  $\rho(d, g, 3) + \dim \text{Ker } \mu_0$ , where

$$\mu_0 : H^0(C, \mathcal{O}(D)) \otimes H^0(C, K(\setminus D)) \rightarrow H^0(C, K)$$

is the cup-product map. Therefore in case  $d=g+2$ , there is not such a  $V$ , for  $l(K\backslash D)=h^0(C, K(\backslash D))=1$  implies  $\text{Ker } \mu_0=\{0\}$ .

LEMMA 3.5. *There is not a component of  $G_{g+1}^3$  which does not dominate  $M_g$  and whose general member  $g_{g+1}^3$  is complete.*

*Proof.* Assume that  $V$  is such a component of  $G_{g+1}^3$ . Let  $f$  be the degree of the base locus of  $|K\backslash D|=|E|$  where  $|D|$  is a general member of  $V$ . We denote by  $X$  the family of such a series  $|D|$  of  $V$ .

If  $f>0$ , we have the following morphism

$$\psi : X \rightarrow G_{g-3-f}^1$$

defined by  $\psi(g_{g+1}^3=|D|)=|K\backslash D|\backslash F$ , where  $F$  is the base locus of  $|K\backslash E|$ . Thus

$$\begin{aligned} \dim V &\leq \dim G_{g-3-f}^1 + f \\ &= 3g-3 + \rho(g-3-f, g, 1) + f \\ &= 4g-11-f \\ &\leq 4(g+1) - 15. \end{aligned}$$

This contradicts the fact that  $V$  is a component of  $G_{g+1}^3$ . Consequently  $f=0$  and hence  $\text{Ker } \mu_0=H^0(K\backslash 2E)$  where  $|E|=|K\backslash D|$ . A general curve  $C$  of  $p(V)$  is non-hyperelliptic, for a hyperelliptic curve cannot have a special very ample series. Therefore  $\dim \text{Ker } \mu_0 \leq 2$  by Clifford's theorem.

In  $\dim \text{Ker } \mu_0=h^0(C, K\backslash 2E)=2$ , then we have the following morphism which is finite morphism onto its image

$$\psi : \rightarrow G_4^1$$

defined by  $\psi(|D|)=|K\backslash 2E|$  where  $E \in |K\backslash D|$ . Thus

$$\begin{aligned} \dim V &\leq \dim G_4^1 = 2g+3 \\ &\leq 4(g+1) - 15 \end{aligned}$$

for  $\rho(g+1, g, 3) \geq 0$  implies  $g \geq 8$ . Accordingly this case cannot occur.

If  $\dim \text{Ker } \mu_0=1$ , then  $|K\backslash 2E|=P_1+P_2+P_3+P_4$ . Thus for any divisor  $E \in |K\backslash D|$  not containing any  $P_i, i=1, \dots, 4$

$$\begin{aligned} \overline{\phi_4(E)} &\supset PT_{1,E} W_{g-3}^3(C) \\ &= PT_{|K\backslash 2E|} W_4^0(C) \\ &= \overline{\phi_R(P_1+P_2+P_3+P_4)}. \end{aligned}$$



Then  $r(E + P_1 + P_2 + P_3 + P_4) = r(E) + 4$  by geometric Riemann-Roch theorem. This is impossible since  $|D|$  is base point free. (In fact, our  $|D|$  is very ample). Hence such a component  $V$  cannot exist in  $G_{g+1}^3$ .  $\square$

Now we will show that there is no component of  $G_d^3$  whose general member  $g_d^3$  is a subseries  $g_d^3$  of a very ample ple series  $g_d^r = |D|$  for some  $r > 3$ . Then this implies the irreducibility of  $I'_{d,q,3}$ , as mentioned in the above Remark.

In the first place, it will be shown that there is no component of  $I'_{g+2,g,3}$  which is given by the family of the series  $(|D|, \tilde{C})$  with  $l(K \setminus D) = 2$ . Recall that we denote by  $\mathcal{B}$  the union of the components of  $G_d^3$  whose general series is a birationally very ample series. We can give more general result as follows.

LEMMA 3.6. *In case  $d = g + 2$ , there is no component in  $\mathcal{B}$  whose general series is a subseries of some birationally very ample series  $|D| = g_{g+2}^4$ . In particular there is no component in  $G$  whose general member is a subseries of some very ample  $|D| = g_{g+2}^4$ .*

*Proof.* Assume that there is such a component  $\mathcal{J}$  in  $\mathcal{B}$ . Then we can associate with  $\mathcal{J}$  an irreducible component  $\mathcal{W}$  of  $G_{g+2}^4$  such that  $g_{g+2}^3$  is a general member of  $\mathcal{J}$  if and only if it is a general subseries  $g_d^3$  of a general  $|D|$  of  $\mathcal{W}$ . Let  $f$  be the degree of the base locus of  $|K \setminus D| = |E|$ , where  $|D|$  is a general member of  $W$ . Then

$$|E| = |E \setminus F| + F (= g_{g-4-f}^1 + F)$$

where  $F$  is the base locus of  $|E|$ . Let  $\mathcal{W}'$  be the closure of the following set:

$$\{|E| \in G_{g-4}^1 \mid |E| = |K \setminus D|, |D| \text{ a general member of } \mathcal{W}\}$$

$$\begin{aligned} \dim \mathcal{W} &= \dim \mathcal{W}' \\ &\leq \dim G_{g-4-f}^1 + f \\ &= 3g - 3 + \rho(g - 4 - f, g, 1) + f \\ &= 4g - 13 - f. \end{aligned}$$

Thus

$$\begin{aligned} \dim \mathcal{J} &= \dim \mathcal{W} + 4(4 - 3) \\ &\leq 4g - 13 - f + 4 \\ &\leq 4(g + 2) - 15. \end{aligned}$$

By Theorem 2.1 we obtain the desired result.  $\square$

Therefore we consider only the case of  $l(K \setminus D) \geq 3$  for  $d \geq g+2$ .

**THEOREM 3.7.**  *$\mathcal{B}$  is irreducible whenever  $d \geq g+1$  and hence  $\mathcal{B} = G = G_0$ . In particular  $I'_{d,g,3}$  is irreducible.*

*Proof.* By Theorem 3.3, it is sufficient to consider the case  $d = g+1$ ,  $g+2$ . Assume  $\mathcal{U}$  is a component of  $\mathcal{B}$  other than  $G_0$ . Then  $\mathcal{U}$  comes from an irreducible closed subvariety  $\mathcal{W}$  of  $G_d^r$  such that  $g_d^3$  is a general member of  $\mathcal{U}$  if and only if it is a general subseries of a general  $|D|$  of  $\mathcal{W}$  for  $r \geq d-g+3$  by Lemmas 3.5, 3.6. Let  $f$  be the degree of the base locus  $F$  of  $|E| = |K \setminus D|$  where  $(|D|, C)$  is a general member of  $\mathcal{W}$ .

We denote by  $\mathcal{W}'$  the same object in the proof of Lemma 3.6. Then the following cases may occur.

Case 1)  $|E \setminus F| = g_{2g-d+r-1}^{g-d+r-1}$  is birationally very ample. Then by Theorem 3.2

$$\begin{aligned} \dim \mathcal{W} &= \dim \mathcal{W}' \\ &\leq 3(2g-2-d-f) - 4(g-d+r-1) + g-1+f \\ &= 3g+d-2f-4r-3. \end{aligned}$$

As a result

$$\begin{aligned} \dim \mathcal{U} &\leq 3g+d-2f-4r-3+4(r-3) \\ &\leq 4d-15 \end{aligned}$$

for  $d > g$ . Thus this case cannot occur.

Case 2)  $|E|$  is not birationally very ample and the image curve  $C'$  of  $\phi_{|E|}$  is not a rational curve. Let  $\gamma, n$  be the genus and the degree of  $C'$  respectively. Then the moduli dimension of curves, that is, dimension of  $p(\mathcal{U})$  is no more than  $2g+(2n-3)(1-\gamma)-2$  by Riemann's moduli count. Hence

$$\dim \mathcal{W} \leq (2g-2) + \dim W_d^r(C)$$

since  $r \geq 1$

$$\leq (2g-2) + 2d-g-3r+1$$

by Theorem 3.4 for  $d \geq g$ . Thus

$$\begin{aligned} \dim^r \mathcal{U} &= \dim \mathcal{W} + 4(r-3) \\ &= 2d + g + r - 13 \\ &\leq 4d - 15 \end{aligned}$$

since  $r \leq \frac{2d-g+1}{3}$  by Castelnuovo's genus bound and  $d > 7$  as mentioned before. Consequently this case cannot occur either.

Case 3)  $|E|$  is not birationally very ample and the genus of the image curve of  $\phi_{|E|}$  is equal to zero.

Let  $n$  be the degree of the morphism  $\phi_{|E|}$ . Then  $|E| = (i-1)g_n^1 + F$  and  $f = (2g-2-d) - (i-1)n$ , where  $i = l(K \setminus D) = l(E) = g-d+r$ . Therefore

$$\begin{aligned} \dim \mathcal{W} &\leq \dim G_n^1 + f \\ &\leq 2g-5+2n+2g-2-d-n(i-1) \\ &= 4g-d-n(i-3)-7 \\ \dim \mathcal{U} &= \dim \mathcal{W} + 4(r-3) \\ &\leq 4g-d+n(i-3)-7+4(r-3) \\ &= 3d-(n-4)(i-3)-7 \\ &\leq 3d+(g-d+r-3)-7 \end{aligned}$$

since hyperelliptic curve has no special birationally very ample series and hence  $n \geq 3$ . Thus

$$\begin{aligned} \dim \mathcal{U} &\leq 2d+g+r-10 \\ &\leq 4d-15 \end{aligned}$$

since  $r \leq \frac{2d-g+1}{3}$ ,  $d > 8$ .

As a result, in any case the existence of another component  $\mathcal{U}$  leads to a contradiction to Theorem 2.1.

#### 4. Some examples

Let  $M_{g,n}^1$  be the locus in  $M_g$  of curves having a base point free  $g_n^1$ ,  $n \leq \frac{g}{2} + 1$ . Then this family covers  $M_g$ . Then one may expect that the dimension of the component of  $G_d^r(C)$  whose general member is birationally very ample with birationally very ample residual become decreasing with respect to  $n$ , where  $C \in M_{g,n}^1$ .

EXAMPLE 1. Let  $C$  be a curve which belongs to  $M_{g,3}^1$ . Then there is no birationally very ample series  $|D|$  on  $C$  whose residual series  $|K \setminus D|$  is also birationally very ample.

*Proof.* Suppose that both  $|D|$  and  $|K \setminus D|$  are birationally very ample series for some divisor  $D$  on  $C$ . Then two conditions are imposed on  $|D|$  and  $|K \setminus D|$  by any pair of two points except only the finite pairs.

Thus for general divisor  $P+Q+R$  of  $g_3^1$ ,

$$\begin{aligned} r(|D| \setminus P \setminus Q) &= r(|D| \setminus P \setminus R) = r(D) - 2 \\ r(|K \setminus D| \setminus Q \setminus P) &= r(|K \setminus D| \setminus Q \setminus R) = r(K \setminus D) - 2. \end{aligned}$$

Hence these are codimension one subspaces of  $|D| \setminus P$  and  $|K \setminus D| \setminus Q$  respectively. We choose  $D_0, E_0$  in  $|D| \setminus P$  and  $|K \setminus D| \setminus Q$  such that  $D_0, E_0$  does not belong to  $\{|D| \setminus P \setminus Q\} \cup \{|D| \setminus P \setminus R\}$  and  $\{|K \setminus D| \setminus Q \setminus P\} \cup \{|K \setminus D| \setminus Q \setminus R\}$  respectively. Then

$$(D_0, P+Q+R) = P, \quad (E_0, P+Q+R) = Q.$$

Consequently

$$(D_0 + E_0, P+Q+R) = P+Q, \quad D_0 + E_0 \in |K|.$$

This implies that  $|P+Q+R| = g_3^1$  imposes 3 conditions on  $|K|$ , and hence contradicts Riemann-Roch Theorem.

On the other hand, it is useful to our problem to know a sharper upper bound of the dimension of  $W_d^r(C)$  whose general  $|D|$  is birationally very ample. But the following example satisfies the equality of the bound in Theorem 3.3.

EXAMPLE 2. Let  $C$  be a curve of genus  $\geq 11$  with base point free  $g_3^1$ . Then for any  $P \in C$ , the residual series of  $|g_3^1 + P| = |F|$  becomes a birationally very ample series  $g_{\frac{g}{2g-6}}^{g-4}$ . In particular, in a neighborhood of the series

$$\dim W_{\frac{g}{2g-6}}^{g-4}(C) = 1.$$

*Proof.* Severi gave the beautiful fact that if a curve of genus  $g$  is  $d_1, d_2$  coverings of the genus  $g_1, g_2$  curves respectively, then  $g \leq (d_1 - 1)(d_2 - 1) + d_1 g_1 + d_2 g_2$ . By this  $C$  has a unique  $g_3^1$  and can be neither hyperelliptic nor elliptic-hyperelliptic. And  $C$  cannot have a base point

free  $g_5^2$  nor a birationally very ample  $g_6^2$ , since base point free  $g_5^2$  become birationally very ample and the genus of  $C$  is no less than 11. Consequently  $r(g_3^1+P)=r(F)$  is equal to one for any  $P \in C$ . And any  $g_6^2$  is base point free, the degree of the morphism  $\phi$  associated the series  $g_6^2$  is equal to 3, for  $C$  is not elliptic-hyperelliptic. Then

$$|\phi^{-1}(P')|=g_3^1, \quad |\phi^{-1}(2P')|=|2g_3^1|=g_6^2, \quad P' \in \phi(C).$$

Accordingly any  $g_6^2$  on  $C$  is equal to  $|2g_3^1|$  and hence  $r(g_3^1+P+Q+R)=r(F+Q+R)=1$  for any pair  $(Q, R)$  but one pair  $(Q_0, R_0)$  such that  $P+Q_0+R_0=g_3^1$ . Consequently the residual series  $|K \setminus F|$  is birationally very ample because  $r(K \setminus F \setminus Q \setminus R)=r(K \setminus F)-2$  except the pair  $(Q_0, R_0)$  by Riemann-Roch theorem. Therefore the dimension of the family of birationally very ample  $g_{2g-4}^2$  at least one and exactly one by Theorem 3.4.

REMARK. Any  $g_6^2$  on a trigonal curve  $C$  of genus  $\geq 11$ , is equal to  $|2g_3^1|$ . Here we call curve  $C \in M_{g,3^1}$  a trigonal curve.

We now give an interesting example such that  $I'_{d,g,r}$  is reducible. Moreover this has other component whose dimension violates  $\lambda(d, g, r)$  even in case  $\rho(d, g, r)=g$ .

LEMMA 4. Let  $C$  be a trigonal curve of genus  $\geq 11$ .  $|K \setminus 2F|$  is very ample where  $|F|=g_3^1$ .

*Proof.* Consider the dimension of  $|2F+P+Q|$  for  $P, Q \in C$ . Then  $r(2F+P+Q) \leq 3$  since any trigonal curve of genus  $\geq 3$  cannot be hyperelliptic. If  $r(2F+P+Q)=3$ , that is,  $r(2F+P+Q)=g_8^3$ , then this series cannot be birationally very ample by Castenouvo's genus bound. But if we assume that the degree of  $\phi_{|2F+P+Q|}=2$ , then the genus of the image curve is no more than one. This is impossible, for  $C$  cannot be hyperelliptic nor elliptic-hyperelliptic. Thus  $r(2F+P+Q)=2$ , equivalently,  $|K \setminus 2F|$  is very ample since  $r(K \setminus 2F \setminus P \setminus Q)=r(K \setminus 2F)-2$  for any  $(P, Q)$  by Riemann-Roch theorem.

EXAMPLE 5. For  $d=2g-8, r=g-8, g \geq 18$ , the only component of  $I'_{d,g,r}$  which is not the (unique) dominating component is the component given by the series whose residual is  $g_6^2=|2g_3^1|$  on the locus of trigonal curves. Moreover its dimension violates the expected dimension  $\lambda(2g-$

$8, g, g-8$ ).

*Proof.* Let  $S$  be the component of  $I'_{2g-8, g, g-8}$  which is not the dominating component. Then  $S$  is given by some component  $W$  of  $G_{2g-8}^*$  whose general member is complete very ample for  $\alpha \geq g-7$ . Then only the following cases may occur by Clifford's Theorem.

Case 1.  $\alpha = g-7$

$$\dim S = \dim W + (g-6)(g-7) - 1$$

by Theorem 3.2

$$\begin{aligned} &\leq 3(2g-8) + g - 4(g-7) + (g-6)(g-7) - 2 \\ &\leq \lambda(2g-8, g, g-8). \end{aligned}$$

Thus this case cannot occur.

Case 2.  $\alpha = g-6$ .

Let  $W'$  be the closure of the locus of the residual series  $|K \setminus D|$  of a general series  $|D|$  of  $W$ . Then general  $|K \setminus D| = g_6^1$  in  $W'$  has the base locus of degree  $f$ , for some  $f \geq 0$ . Then

$$\begin{aligned} \dim W' &\leq \dim G_{6-f}^1 + f \\ &= 2g - 5 + 2(6-f) + f \\ &= 2g + 7 - f. \end{aligned}$$

Hence

$$\begin{aligned} \dim S &= \dim W + (g-5)(g-7) - 1 \\ &= \dim W' + (g-5)(g-7) - 1 \\ &\leq 2g + 7 + (g-5)(g-7) - 1 \\ &\leq \lambda(2g-8, g, g-8). \end{aligned}$$

Consequently case 2 cannot occur.

Case 3.  $\alpha = g-5$ .

We denote by  $W'$  same one in case 2. Then a general  $\mathcal{E} = g_6^2$  of  $W'$  is base point free and not birationally very ample, since  $g(C) \geq 11$ . If  $\deg \phi_e$

$=2$ , then the genus of the image curve  $C'$  of  $\phi_t$  is no more than one, and hence the general curve  $C$  of  $S$  is elliptic-hyperelliptic. But in fact our curve  $C$  cannot be hyperelliptic, since hyperelliptic curve has no special very ample series. On the one hand, if  $C$  is elliptic-hyperelliptic,  $g_6^2 = \phi^*(g_3^2)$ , where the linear series  $g_3^2$  is given by the hyperplane sections of the genus 1 curve  $C'$ . Thus  $|g_6^2 + P + Q| = \phi^*(g_3^2 + P') = \phi^*(g_4^3) = g_8^3$  for each pair  $(P, Q)$  such that  $\phi(P) = \phi(Q) = P'$  for some  $P' \in C'$ . This is impossible since a general member of  $W$  is very ample. As a consequence,  $\deg \phi_t = 3$ . Therefore the image curve  $C'$  is a conic and hence  $C$  is a trigonal curve,  $g_6^2$  is equal to  $|2g_3^1|$  by the previous Remark. Thus a general series of  $W$  is residual to  $|2g_3^1|$  on a trigonal curve. (Consequently there is no contradiction in the last case by Lemma 4.) As a result, there exists the component  $S$  whose general member is in fact a fiber of a trigonal curve. Thus

$$\begin{aligned} \dim S &= \dim M_{g, s^1} + 0 + (g-4)(g-7) - 1 \\ &= 5g - 20 + (g-7)(g-7) - 1 \\ &\geq \lambda(2g-8, g, g-8) \end{aligned}$$

for  $g \geq 18$ . Consequently, we obtain the desired result.

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