

## On Universal Derivation Modules\*

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—Dedicated to Professor Han Shick Park on his 60th birthday—

### 1. Introduction

There are two different notions of derivation modules of algebras. One is defined only for commutative algebras that are discussed in many papers [3], [4] and [8]. The other is defined for algebras that are not necessarily commutative which appeared in [2]. These two notions are different in the sense that universal derivation module in [2] is not a generalization of the usual one defined for any commutative algebra.

Let  $R$  be a commutative ring with unity,  $A$  a unitary  $R$ -algebra and  $M$  an  $A$ -bimodule. A pair  $(M, d)$ , where  $d : A \rightarrow M$  is an  $R$ -derivation, i.e., an  $R$ -linear map such that  $d(ab) = ad(b) + d(a)b$  for  $a, b \in A$ , is called a derivation module of  $A$ . A derivation module homomorphism  $f : (M, d) \rightarrow (N, \delta)$ , where  $(M, d)$ ,  $(N, \delta)$  are derivation modules of  $A$ , is an  $A$ -bimodule homomorphism such that  $f \circ d = \delta$ . A derivation module  $(U, d)$  is called a universal derivation module of  $A$  if for any derivation module  $(M, \delta)$ , there exists a unique derivation module homomorphism  $f : (U, d) \rightarrow (M, \delta)$ . A universal derivation module of  $A$  exists in the category of derivation modules of  $A$ , and is unique up to derivation module isomorphisms. In fact, there are two different constructions of them that are of interest. One is given in [2], the other by using a tensor product of three copies of  $A$ .

Let  $U = A \otimes_R A \otimes_R A / J$ , where  $J$  is the submodule of  $A \otimes_R A \otimes_R A$  generated by  $1 \otimes ab \otimes 1 - a \otimes b \otimes 1 - 1 \otimes a \otimes b$  for  $a, b \in A$ , and define  $d : A \rightarrow U$  by  $d(a) = \Pi(1 \otimes a \otimes 1) + J$ . Then it is easy to show that  $(U, d)$  is a universal derivation module of  $A$ . On the other hand, let  $\pi : A \otimes_R A \rightarrow A$  be the  $R$ -algebra homomorphism given by  $\pi(\sum a_i \otimes b_i) = \sum a_i b_i$  for  $a_i, b_i \in A$ , and  $U = \ker \pi$ . Then  $(U, d)$ , where  $d : A \rightarrow U$  is an  $R$ -derivation given by  $d(a) = 1 \otimes a - a \otimes 1$  for  $a \in A$ , is a universal derivation module of  $A$ . These two types have their uses according to the nature of problems.

### 2. Free Joins of Algebras

An  $R$ -algebra  $A$  is called a *free join* of a family  $(A_\alpha)_{\alpha \in I}$  of its subalgebras if for any  $R$ -algebra

\*A research supported by a grant from the Korea Science and Engineering Foundation in 1985~1986.

$C$  and a family  $(f_\alpha)_{\alpha \in I}$  the  $f_\alpha : A_\alpha \rightarrow C$  of algebra homomorphisms, there exists a unique algebra homomorphism  $f : A \rightarrow C$  extending each  $f_\alpha, \alpha \in I$ . For example [3], let  $(M_\alpha)_{\alpha \in I}$  be a family of submodules of an  $R$ -module  $M$  such that  $M = \bigoplus_{\alpha \in I} M_\alpha$ . Then the tensor algebra  $T(M)$  of  $M$  is a free join of the family  $(T(M_\alpha))_{\alpha \in I}$  of tensor algebras  $T(M_\alpha), \alpha \in I$ .

**Lemma 2.1.** *Let  $A$  be a free join of a family  $(A_\alpha)_{\alpha \in I}$  of its subalgebras. If  $A = \bigoplus_{\alpha \in I} A_\alpha$ ,  $g_\alpha : A_\alpha \rightarrow R$  is an  $R$ -algebra homomorphism for  $\alpha \in I$ , then for any finite sequence  $\alpha_1, \dots, \alpha_n$  of  $I$  such that  $\alpha_i \neq \alpha_j$  for  $i, j = 1, \dots, n$ ,  $A_{\alpha_1} \cdots A_{\alpha_n}$  is isomorphic to  $A_{\alpha_1} \otimes_R \cdots \otimes_R A_{\alpha_n}$ .*

**Proof.** Let  $(f_\alpha)_{\alpha \in I}$  be a family of algebra homomorphisms such that for  $\alpha_i$ ,  $f_{\alpha_i} : A_{\alpha_i} \rightarrow A_{\alpha_i} \otimes_R \cdots \otimes_R A_{\alpha_n}$  is given by  $f_{\alpha_i}(a) = \otimes a_i$ , where  $a_i = a$ ,  $a_j = 1$  for  $j \neq i$ , and  $f_\alpha = g_\alpha$  for  $\alpha \neq \alpha_1, \dots, \alpha_n$ . Let  $f' : A \rightarrow A_{\alpha_1} \otimes_R \cdots \otimes_R A_{\alpha_n}$  be the extending homomorphism of  $f_\alpha$ .

Then  $f'|_{A_{\alpha_1} \cdots A_{\alpha_n}}$  is an isomorphism.

Let  $A$  be a free join of a family  $(A_\alpha)_{\alpha \in I}$  of  $i$ -ts subalgebra, and  $B$  is a direct sum of  $A_\alpha$ . Let  $T(A_\alpha)$  and  $T(B)$  be tensor algebras of  $A_\alpha$  and  $B$  respectively. If  $h_\alpha : T(A_\alpha) \rightarrow A_\alpha$  is an algebra homomorphism extending the identity map  $i_\alpha : A_\alpha \rightarrow A_\alpha$  and  $k : T(B) \rightarrow A$  is the algebra homomorphism extending  $h_\alpha, \alpha \in I$  then it is easy to show [3] that  $k$  is onto and  $\ker h$  is an ideal of  $T(B)$  generated by  $\sum_{\alpha \in I} \ker h_\alpha$ . From this, we have the following fact.

**Lemma 2.2.** *Let  $\alpha_1, \dots, \alpha_n$  be a finite sequence of  $I$  which are all different. Then the  $R$ -linear map  $f : A_{\alpha_1} \otimes_R \cdots \otimes_R A_{\alpha_n} \rightarrow A_{\alpha_1} \cdots A_{\alpha_n}$  given by  $a_1 \otimes \cdots \otimes a_n \rightarrow a_1 \cdots a_n$  for  $a_i \in A_{\alpha_i}$  is an  $R$ -module isomorphism.*

**Theorem 2.3.** *Let  $A$  be a free join of a family  $(A_\alpha)_{\alpha \in I}$  of its subalgebras, and  $(U_\alpha, d_\alpha)$  a universal derivation module of  $A_\alpha, \alpha \in I$ . Then  $(U, d)$  is a universal derivation module of  $A$  where  $U = \bigotimes_{\alpha \in I} (A \otimes_{A_\alpha} U_\alpha \otimes_{A_\alpha} A)$  and  $D : A \rightarrow U$  is the  $R$ -derivation defined by*

$$D(\sum a_1 \cdots a_n) = \sum_{i=1}^n a_1 \cdots a_{i-1} \otimes d_{\alpha_i}(a_i) \otimes (a_{i+1} \cdots a_n).$$

**Proof.** Let  $D_{\alpha_i}$  be the  $R$ -linearization of the  $R$ -multilinear map  $\Phi : A_{\alpha_1} \times \cdots \times A_{\alpha_n} \rightarrow U$  given by  $\Phi(a_1, \dots, a_n) = a_1 \cdots a_{i-1} \otimes d_{\alpha_i}(a_i) \otimes a_{i+1} \cdots a_n$ . Then the map  $D : A \rightarrow U$  given

by  $D(\sum a_1 \cdots a_n) = \sum_{i=1}^n D_{\alpha_i}(a_1 \cdots a_n)$  is an  $R$ -derivation. It is not hard to show that this map  $D$  is a universal derivation of  $A$ .

### 3. Extensions of Algebras

Let  $E$  be a unitary extension algebra of an  $R$ -algebra  $A$  with unity. An ideal  $I$  of  $A$  is said to be an (*two-sided*)  $E$ -dense ideal if  $EI = IE = E$ . Every ideal of  $R$  containing an  $E$ -dense ideal is also  $E$ -dense. Furthermore, if  $I$  and  $J$  are  $E$ -dense ideals of  $R$ , then  $IJ, JI$  and  $I \cap J$  are also  $E$ -dense ideals.

An extension algebra  $E$  of  $A$  is called a (*two-sided*) *fractional extension* of  $A$  if for  $p, q \in E$  there exist  $E$ -dense ideals  $I, J$  such that  $pI, Jq \in A$ . It follows simply that  $A$  itself is  $E$ -dense. For example, the matrix ring  $M$  of the form

$$\begin{pmatrix} a & 0 \\ b & c \end{pmatrix}, \quad a, b \in Z/(\mathfrak{p}), \quad \mathfrak{p} : \text{a prime}$$

is a two-sided quotient ring which is neither left nor right artinian [6]. Hence  $M$  has a two-sided

quotient  $Z$ -algebra. When  $Q$  is a two-sided quotient algebra of an  $R$ -algebra  $A$  relative to a multiplicative subset  $S$  of  $A$  without zero-divisor, then  $Q$  is a fractional extension of  $A$ . An  $A$ -bimodule  $M$  is said to be  $E$ -torsion free if for every  $E$ -dense ideal  $I$  and  $I$  and  $x \in M$ ,  $Ix=0$  and  $xI=0$  imply  $x=0$ . For example, every  $E$ -bimodule is an  $E$ -torsion free.

**Lemma 3.1.** *For a fractional extension  $E$  of  $A$ , let  $M$  be a  $E$ -torsion free  $A$ -bimodule, and  $f: M \rightarrow E \otimes_A M \otimes_A E$  an  $A$ -bimodule homomorphism given by  $f(x) = 1 \otimes x \otimes 1$  is one-to-one. Also, every  $A$ -bimodule homomorphism  $f: M \rightarrow N$  is an  $E$ -bimodule homomorphism.*

**Proof.** See proposition 4 in [1]

**Lemma 3.2.** *Let  $E$  be a fractional extension of  $A$ , and  $M$  an  $E$ -bimodule. If  $d, \delta: E \rightarrow M$  are  $R$ -derivation such that  $d = \delta$  on  $A$ , then  $d$  is equal  $\delta$  on  $E$ .*

An  $A$ -bimodule  $H$  is called an *injective hull* of an  $A$ -bimodule  $M$  if  $H$  is a left(right) injective hull of the left  $A \otimes_R A^{op}$ -module(right  $A^{op} \otimes_R A$ -module)  $M$ .

**Lemma 3.3.** *Let  $E$  be a fractional extension of an  $R$ -algebra  $A$ , and  $M$  an  $E$ -torsion free  $A$ -bimodule. Then an injective hull  $H$  of  $M$  is  $E$ -torsion free.*

**Proof.** Let  $T_l(H) = \{x \in H \mid Ix = 0 \text{ for some } E\text{-dense ideal } I \text{ of } A\}$ . Then  $T_l(H)$  is an  $A$ -bisubmodule of  $H$ .  $H$  is a maximal essential extension of  $M$  and  $T_l(H)M = 0$ , and hence  $T_l(H) = 0$ . By the same way, we know that  $T_r(H) = \{x \in H \mid xI = 0 \text{ for some } E\text{-dense ideal } I \text{ of } A\}$  is zero. Consequently,  $H$  is  $E$ -torsion free.

Let  $M$  be an  $E$ -torsion free  $A$ -bimodule, and  $I$  an  $E$ -dense ideal of  $A$ . Consider  $I$  and  $A$  as a left  $A \otimes_R A^{op}$ -module. Then there exists a unique module homomorphism  $f: A \rightarrow H$  extending an  $A$ -bimodule homomorphism  $\phi: I \rightarrow M$ . Indeed, this  $f$  is a map  $A$  into  $M$ . From this fact, we have the following lemma.

**Lemma 3.4.** *Let  $E$  be a fractional extension of  $A$ , and  $M$  an  $A$ -bimodule. If  $f: M \rightarrow E \otimes_A M \otimes_A E$  is an  $A$ -bimodule homomorphism given by  $f(x) = 1 \otimes x \otimes 1$  for  $x \in M$ , then for any  $R$ -derivation  $d: A \rightarrow M$ , there exists a unique  $R$ -derivation  $\delta: E \rightarrow E \otimes_A M \otimes_A E$  such that  $\delta|_A = f \circ d$ .*

**Theorem 3.5.** *Let  $E$  be a fractional extension of an  $R$ -algebra, and  $(U, d)$  a universal derivation module of  $A$ . For any  $A$ -bimodule homomorphism  $f: U \rightarrow E \otimes_A U \otimes_A E$  given by  $f(x) = 1 \otimes x \otimes 1$  for  $x \in U$ , let  $\delta: E \rightarrow E \otimes_A U \otimes_A E$  be the  $R$ -derivation in above lemma. Then  $(U, \delta)$  is a universal derivation module of  $E$ .*

**Proof.** Let  $(V, \tau)$  be a universal derivation module of  $E$ , and  $\tau' = \tau|_A$ . Let  $\Phi$  be the  $A$ -linearization of the  $A$ -multilinear map  $\psi: E \times U \times E \rightarrow M$  given by  $\psi(p, x, q) = pq(x)q$ , where  $g: U \rightarrow V$  is the unique  $A$ -bimodule such that  $g \circ d = \tau$ . It is easy to prove that  $\Phi$  is the unique derivation module homomorphism such that  $\Phi \circ \delta = \tau$  on  $E$ . This means  $(U, \delta)$  is a universal derivation module of  $E$ .

**Corollary 3.6.** *Let  $E$  be a fractional extension of  $A$ . A universal derivation module of  $A$  is  $E$ -torsion free if and only if a universal derivation module of  $E$  is trivial.*

#### 4. Free Algebras

An  $R$ -algebra  $A$  containing a monoid  $M$  is called a monoid algebra of  $M$  over  $R$  if for any  $R$ -algebra  $C$  and monoid homomorphism  $f: M \rightarrow C$ , there exists a unique  $R$ -algebra homomorphism  $g: A \rightarrow C$  extending  $f$ . For an  $A$ -bimodule  $V$ , there exists a unique  $R$ -derivation  $d: A \rightarrow V$  extending the map  $d': M \rightarrow V$  given by  $d'(u, v) = d'(u)v + ud'(v)$  for  $u, v \in M$ . By the same way we know that for a free monoid  $M$  on a set  $X$  and  $A$ -bimodule  $V$ , there exists a unique map  $d: M \rightarrow V$  extending a map  $\Phi: X \rightarrow V$  and  $d'(uv) = ud'(v) + d'(u)v$  for  $u, v \in M$ . In fact,  $d'$  is a map defined by

$$d'(x_1, \dots, x_n) = \sum_{i=1}^n x_1 \cdots x_{i-1} \Phi(x_i) x_{i+1} \cdots x_n.$$

**Lemma 4.1.** *Let  $R[X]$  be a free  $R$ -algebra generated by a set  $X$ , and  $V$  on  $R[X]$ -bimodule. Then there exists a unique  $R$ -derivation  $d: R[X] \rightarrow V$  extending a map  $\Phi: X \rightarrow V$ . Furthermore, every universal derivation module of  $R[X]$  is generated by  $d(X)$ .*

**Lemma 4.2.** *Let  $U$  be an  $R[X]$ -bimodule, and  $d: R[X] \rightarrow U$  an  $R$ -derivation extending a map  $\Phi: X \rightarrow U$  with  $\Phi(X)$  as a basis  $U$ . Then  $(U, d)$  is a universal derivation module of  $R[X]$ .*

**Proof.** For a derivation  $(M, \delta)$  of  $R[X]$ , the map  $f: U \rightarrow M$  given by  $f(\sum_{x \in X} a_x \Phi(x)) = \sum_{x \in X} a_x \delta(x)$  for  $a_x \in R[X] \otimes_R R[X]^{\circ\phi}$  is the unique derivation module homomorphism such that  $f \circ d = \delta$ .

**Theorem 4.3.** *Let  $U = \bigoplus_{x \in X} U_x$ , where  $U_x \cong R[X] \otimes_R R[X]$ , and  $\Phi_x: X \rightarrow U_x$  a map by  $\Phi_x(y) = 1_x$  if  $y = x$  and 0 if  $y \neq x$ . Then  $(U, d)$  is a universal derivation module of  $R[X]$ , where  $d: R[X] \rightarrow U$  is an  $R$ -derivation extending  $\Phi = \sum_{x \in X} \Phi_x$ . In particular,  $(R[x] \otimes_R R[x], d)$  is a universal derivation of  $R[x]$ , where  $d: R[x] \rightarrow R[x] \otimes_R R[x]$  is the  $R$ -derivation given by  $d(x^n) = \sum_{i=1}^n x^{i-1} \otimes x^{n-i}$  for indeterminate  $x$ .*

Next, we will study of universal derivation modules of exact sequence of algebras.

**Theorem 4.4.** *Let  $A \xrightarrow{f} B \rightarrow D$  be a exact sequence of algebras over  $R$ , and  $(U, d)$  a universal derivation modules of  $A$ . If  $J = U(\ker f) + (\ker f)U + Ad(\ker f) + d(\ker f)A$ , and  $\partial: B \rightarrow U/J$  is an  $R$ -derivation defined by  $\partial(b) = d(a) + J$  for  $a \in f^{-1}(b)$ , then  $(U/J, \partial)$  is a universal derivation module of  $B$ .*

**Proof.** Suppose  $(U, \delta)$  is a universal derivation module of  $B$ . A  $B$ -bimodule  $V$  can be consider as an  $A$ -bimodule with  $av = f(a)v$ ,  $va = vf(a)$  for  $a \in A$ ,  $v \in V$ .

Since  $\delta \circ f: A \rightarrow V$  is an  $R$ -derivation of  $A$ , there exists a unique derivation module homomorphism  $g: U \rightarrow V$  such that  $\delta \circ f = g \circ d$ . Indeed,  $g$  is onto. Consider  $U/J$  as a  $B$ -bimodule by  $b(u+J) = au+J$ ,  $(u+J)b = ua+J$  for some  $a \in f^{-1}(b)$ . Since  $g: U \rightarrow V$  is onto and  $J \subseteq \ker g$  there exists an onto derivation module homomorphism  $k: U/J \rightarrow V$ . By definition of universal derivation module, there exists a unique derivation module homomorphism  $\Phi: U \rightarrow U/J$  such that  $\Phi \circ d = \partial$ . Hence  $k$  is one-to-one. We proved that  $U/J$  is isomorphic to  $V$ . Hence  $(U/J, \partial)$  is

universal derivation module of  $A$ .

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