

## THE STRUCTURE OF IDEALS IN A POLYNOMIAL SEMIRING IN SEVERAL VARIABLES

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### 1. Introduction

In recent literature we have examined the structure of monic, monic free and  $k$ -ideals in a polynomial semiring. In this paper we extend this examination into polynomial semirings in several variables. We do this by investigating a class of polynomials, called saturated polynomials, that can be grouped together naturally to form ideals. It happens that these ideals form a basic structure for arbitrary ideals in a polynomial semiring in several variables. We develop a structure theorem for ideals and apply this theorem to monic, monic free and  $k$ -ideals.

Let  $S$  be a semiring with an identity and  $x_1, x_2, \dots, x_n$  be indeterminates which commute with each other and with each element of  $S$ . Then  $S[x_1, x_2, \dots, x_n]$  is a semiring and a typical element of  $S[x_1, x_2, \dots, x_n]$  is of the form  $\sum a_{i_1 i_2 \dots i_n} x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}$  where  $i_1, i_2, \dots, i_n$  are nonnegative integers and  $a_{i_1 i_2 \dots i_n} \in S$ . If we let  $\alpha = \{i_1, i_2, \dots, i_n\}$ ,  $\Phi = x_1 x_2 \dots x_n$ ,  $a_\alpha = a_{i_1 i_2 \dots i_n}$  and  $\Phi^\alpha = x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}$  then we can denote  $\sum a_{i_1 i_2 \dots i_n} x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}$  by  $\sum a_\alpha \Phi^\alpha$ . With this notation we call an ideal  $M$  in  $S[x_1, x_2, \dots, x_n]$  a *monic* ideal if  $\sum a_\alpha \Phi^\alpha \in M$  implies  $a_\alpha \Phi^\alpha \in M$  for each  $\alpha$  and an ideal  $F$  is called *monic free* if  $M$  is a monic ideal such that  $M \subseteq F$ , then  $M = \{0\}$ . An ideal  $B$  in a semiring  $S$  is called a  $k$ -ideal in  $S$  if  $a \in B$ ,  $c \in S$  and  $a + c \in B$  imply  $c \in B$ . Finally we call a semiring  $S$  a *strict* semiring if  $a, b \in S$  and  $a + b \in S$  imply  $a = b = 0$ . For this paper all semirings will be commutative and strict.

### 2. Saturated ideals

Consider an ideal  $A$  in a polynomial semiring  $S[x_1, x_2, \dots, x_n]$ . We want to find a way to partition the elements of  $A$  in some natural arrangement. We will call a polynomial  $f = \sum a_\alpha \Phi^\alpha$  in  $S[x_1, x_2, \dots, x_n]$  *saturated* in  $S[x_1, x_2, \dots, x_n]$  if either  $f = 0$  or each  $x_i \in X = \{x_1, x_2, \dots, x_n\}$  appears in some nonzero term of  $f$ . For example, the polynomial  $f = 3x + 6xy + 7yz + 5$  is saturated in  $Z[x, y, z]$  but not

in  $Z[w, x, y, z]$ . If  $S$  is a strict semiring and  $f, g$  are saturated in  $S[x_1, x_2, \dots, x_n]$ , then it is clear that  $f+g$ ,  $fg$  and any multiple of  $f$  are all saturated in  $S[x_1, x_2, \dots, x_n]$ , i. e., the set  $S_X$  of all saturated polynomials in  $S[x_1, x_2, \dots, x_n]$  is an ideal. Consequently, if  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$ , then  $A_X = A \cap S_X$  is an ideal in  $S[x_1, x_2, \dots, x_n]$ . Let  $\tau = \{x'_1, x'_2, \dots, x'_t\}$  be a subset of  $X$ . Then  $S_\tau$  and  $A_\tau = A \cap S_\tau$  are ideals in  $S[x'_1, x'_2, \dots, x'_t]$ . If  $\tau = \phi$ , define  $A_\tau = A \cap S$ . Note that if  $\tau \neq \delta$ , then  $A_\tau \cap A_\delta = \{0\}$ . Thus every  $f = \sum a_\alpha \phi^\alpha \in A$  belongs to one and only one  $A_\tau$ . Consequently, any ideal  $A$  in  $S[x_1, x_2, \dots, x_n]$  can be decomposed into a finite number of saturated subideals, i. e.,  $A = \bigcup_{\tau \subseteq X} A_\tau$  where  $A_\tau$  is saturated in  $S[x'_1, x'_2, \dots, x'_t]$  and  $A_\tau \cap A_\delta = \{0\}$  if  $\tau \neq \delta$ . Now  $A_\tau$  is an ideal in  $S[x'_1, x'_2, \dots, x'_t]$  but not in  $S[x_1, x_2, \dots, x_n]$ . However,  $A_\tau$  generates an ideal  $(A_\tau)$  in  $S[x_1, x_2, \dots, x_n]$ . This proves the following structure theorem.

**THEOREM 2.1.** *If  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$ , then  $A = \sum_{\tau \subseteq X} (A_\tau)$ , where  $A_\tau \cap A_\delta = \{0\}$  for  $\tau \neq \delta$ .*

This decomposition in a sense partitions  $A$  into a sum of simpler ideals. The question now is how useful is this decomposition? We have one use in the following theorem.

**THEOREM 2.2.** *An ideal  $A$  in  $S[x_1, x_2, \dots, x_n]$  is monic free if and only if  $(A_\tau)$  is monic free for each  $\tau \subseteq X$ .*

**PROOF.** Suppose  $A$  is a monic free ideal in  $S[x_1, x_2, \dots, x_n]$  and  $M$  is a monic ideal such that  $M \subseteq (A_\tau)$ . From  $M \subseteq (A_\tau) \subseteq A$  it follows that  $M = \{0\}$  and  $(A_\tau)$  is monic free. Next suppose  $(A_\tau)$  is monic free for each  $\tau \subseteq X$  and  $M$  is monic such that  $M \subseteq A$ . Since  $M$  is monic we must have  $a_\alpha \phi^\alpha \in M$  for some  $a_\alpha \in S$ . Now  $A = \bigcup_{\tau \subseteq X} A_\tau$  and it follows that  $a_\alpha \phi^\alpha \in A_\tau$  for some  $\tau$  and consequently,  $a_\alpha \phi^\alpha \in (A_\tau)$ . Since  $(A_\tau)$  is monic free we must have  $a_\alpha = 0$  and consequently,  $M = \{0\}$ .

Therefore  $A$  is monic free.

### 3. The structure of monic and $k$ -ideals

The decomposition of an ideal  $A$  in  $S[x_1, x_2, \dots, x_n]$  given in theorem 2.1 is useful in dealing with monic free ideals but not useful in dealing with monic ideals or  $k$ -ideals. The elements in these ideals sometimes split into smaller

pieces. For if  $f+g \in (A_\tau)$  we can make no claim concerning whether or not  $f$  or  $g \in (A_\tau)$  using the fact that  $A_\tau$  is saturated. Hence we need to alter our decomposition a bit to make it more useful. To do this let

$$\tau = \{x_1', x_2', \dots, x_t'\} \subseteq X \text{ and } P_\tau = \sum_{\delta \subseteq \tau} (A_\delta).$$

Now  $P_\tau$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  and  $A_\tau \subseteq P_\tau$ .

**THEOREM 3.1.** *If  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  and  $\tau \subseteq X$ , then*

$$A = P_\tau + \sum_{\lambda \not\subseteq \tau} A_\lambda.$$

**PROOF.** It follows from theorem 2.1 that  $A = \sum_{\tau \subseteq X} (A_\tau)$ . But

$$A = \sum_{\tau \subseteq X} (A_\tau) = \sum_{\delta \subseteq \tau} (A_\delta) + \sum_{\lambda \not\subseteq \tau} A_\lambda = P_\tau + \sum_{\lambda \not\subseteq \tau} A_\lambda.$$

This theorem in a sense factors the ideal  $A$  into two ideals  $P_\tau$  and  $\sum_{\lambda \not\subseteq \tau} A_\lambda$ . This factorization is not unique since  $\tau \subseteq X$  is arbitrary. Thus we get a decomposition for  $A$  for each  $\tau \subseteq X$ .

**THEOREM 3.2.** *If  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  and  $\tau, \lambda \in X$ , then  $P_\tau \cap P_\lambda = P_{\tau \cap \lambda}$ .*

**PROOF.** Let  $f_\mu$  be a polynomial in  $P_\tau \cap P_\lambda$ . Then  $f_\mu \in A_\mu$ ,  $f_\mu \in P_\tau$  and  $f_\mu \in P_\lambda$  and it follows that  $\mu \subseteq \tau$  and  $\mu \subseteq \lambda$ . Consequently,  $\mu \subseteq \tau \cap \lambda$  and thus  $f_\mu \in P_{\tau \cap \lambda}$ . Hence  $P_\tau \cap P_\lambda \subseteq P_{\tau \cap \lambda}$ . Reversing the steps will show that  $P_{\tau \cap \lambda} \subseteq P_\tau \cap P_\lambda$  and consequently, that  $P_{\tau \cap \lambda} = P_\tau \cap P_\lambda$ .

Now if  $f+g$  is a saturated polynomial in  $P_\tau$  we know that  $f \in A_{\lambda_1}$  and  $g \in A_{\lambda_2}$  for some  $\lambda_1, \lambda_2 \subseteq \tau$ . Thus the structure of  $P_\tau$  allows us to know that  $f$  or  $g \in P_\lambda$ . This property of  $P_\lambda$  allows us to prove the following.

**THEOREM 3.3.** *An ideal  $A$  in  $S[x_1, x_2, \dots, x_n]$  is monic if and only if  $P_\tau$  is monic for each  $\tau \subseteq X$ .*

**PROOF.** Suppose  $A$  is a monic ideal and  $f = \sum a_\alpha \phi^\alpha \in P_\tau$ . Now  $a_\alpha \phi^\alpha \in A$  since  $A$  is monic. But  $A = \bigcup_{\tau \subseteq X} A_\delta$  and it follows that  $a_\alpha \phi^\alpha \in A_\lambda$  for some  $\lambda \subseteq X$ . But  $f \in P_\tau$  implies that  $\lambda \subseteq \tau$ . Hence  $a_\alpha \phi^\alpha \in A_\lambda \subseteq P_\tau = \sum_{\lambda \subseteq \tau} (A_\lambda)$  and it follows that  $P_\tau$  is a



monic ideal. Conversely, suppose  $P_\tau$  is monic for each  $\tau \subseteq X$ . Since  $f \in A$  implies that  $f \in A_\tau \subseteq P_\tau$  for some  $\tau \subseteq X$ , it follows that  $A$  must be monic also.

**THEOREM 3.4.** *An ideal  $A$  in  $S[x_1, x_2, \dots, x_n]$  is a  $k$ -ideal if and only if  $P_\tau$  is a  $k$ -ideal for each  $\tau \subseteq X$ .*

**PROOF.** Suppose  $A$  is a  $k$ -ideal and  $f, f+g \in P_\tau$ . Since  $A$  is a  $k$ -ideal and  $P_\tau \subseteq A$  it follows that  $g \in A$ . Now  $A = \bigcup_{\delta \subseteq X} A_\delta$  and it follows that  $g \in A_\lambda$  for some  $\lambda \subseteq X$ . But  $f+g \in P_\tau$  implies that  $\lambda \subseteq \tau$ . Hence  $g \in A_\lambda \subseteq P_\tau = \sum_{\lambda \subseteq \tau} (A_\lambda)$  and consequently,  $P_\tau$  is a  $k$ -ideal. Conversely suppose that  $P_\tau$  is a  $k$ -ideal for each  $\tau \subseteq X$  and  $f, f+g \in A$ . Now  $f \in A_{\lambda_1}$  and  $f+g \in A_{\lambda_2}$  for some  $\lambda_1, \lambda_2 \subseteq X$ . Let  $\tau = \lambda_1 \cup \lambda_2$ . Then  $f, f+g \in P_\tau$  and since  $P_\tau$  is a  $k$ -ideal it follows that  $g \in P_\tau \subseteq A$  and  $A$  is a  $k$ -ideal.

It is interesting to note that an ideal  $A$  can be written  $A = P_\tau + \sum_{\lambda \not\subseteq \tau} (A_\lambda)$  for each  $\tau \subseteq X$ . But  $P_\tau$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  and we must be able to write  $P_\tau = P_\delta + \sum_{\lambda \not\subseteq \delta} (A_\lambda)$ . Thus  $\delta \subseteq \tau \subseteq X$  and  $P_\delta \subseteq P_\tau \subseteq A$ . Consequently, if  $X \supseteq \tau_1 \supseteq \tau_2 \supseteq \dots \supseteq \tau_n$  is a descending chain of subsets of  $X$ , then  $A \supseteq P_{\tau_1} \supseteq P_{\tau_2} \supseteq \dots \supseteq P_{\tau_n}$  is a descending chain of ideals. This fact and theorem 3.4 proves the following.

**THEOREM 3.5.** *If  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  and  $X \supseteq \tau_1 \supseteq \tau_2 \supseteq \dots \supseteq \tau_n$  is a descending sequence of subsets of  $X$ , then  $A \supseteq P_{\tau_1} \supseteq P_{\tau_2} \supseteq \dots \supseteq P_{\tau_n}$ . Further, if  $A$  is a  $k$ -ideal, then each  $P_{\tau_i}$  is a  $k$ -ideal.*

The ideals  $(A_\tau)$  and  $P_\tau$  may look similar but they are very different.  $(A_\tau)$  may be monic free while  $P_\tau$  is monic and  $(A_\tau)$  be a  $k$ -ideal while  $P_\tau$  is not.

#### 4. Weak $k$ -ideals and $k$ -closures

It is well known that every ideal in a semiring is not a  $k$ -ideal but every ideal is contained in a  $k$ -ideal. Let  $A$  be an ideal in a semiring  $S$ . The ideal

$$\bar{A}_k = \bigcap \{B \mid B \text{ is a } k\text{-ideal and } B \subseteq A\}$$

is called the  $k$ -closure of  $A$ . It is clear that  $\bar{A}_k$  is the "smallest"  $k$ -ideal containing  $A$ . We call an ideal  $A$  in  $S[x_1, x_2, \dots, x_n]$  a *weak  $k$ -ideal* if there is an integer  $n$  such that  $A$  is a  $k$ -ideal with respect to all polynomials in  $A$  with degree less than or equal to  $n$ . The largest such integer is called the  $k$ -degree of  $A$ . If no such integer exists then  $A$  is said to have  $k$ -degree  $\infty$ . Now if  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  and  $n$  is a fixed integer let

$$A_{f_n} = \{f \in A \text{ and degree } f \leq n\}.$$

The ideal

$\bar{A}_{k_n} = \bigcap \{B \mid B \text{ is a weak } k\text{-ideal with } k\text{-degree at least } n \text{ and } A_{f_n} \subseteq B\}$  will be called the weak  $k$ -closure of  $A$ .

We now apply these concepts to saturated polynomials. If  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  let

$$A_{\tau f_n} = A_\tau \cap A_{f_n}.$$

Thus  $A_{\tau f_n}$  is the set of all polynomials in  $A$  saturated in  $S[x_1', x_2', \dots, x_t']$  with degree less than or equal to  $n$ . It is clear that  $A_{f_n} = \bigcup_{\tau \subseteq X} A_{\tau f_n}$ . Now we have

$A = \bigcup_n A_{f_n}$  and from section 2 we have  $A = \bigcup_{\tau \subseteq X} A_\tau$ . Hence

$$A = \bigcup_n A_{f_n} = \bigcup_n \left\{ \bigcup_{\tau \subseteq X} A_{\tau f_n} \right\}.$$

We will denote the weak  $k$ -closure of the ideal  $(A_\tau)$  by  $(\bar{A}_\tau)_{k_n}$  and the  $k$ -closure of  $A_\tau$  by  $(\bar{A}_\tau)_k$ .

**THEOREM 4.1.** *If  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  then  $\bar{A}_k = \sum_{\tau \subseteq X} (\bar{A}_\tau)_k$ .*

**PROOF.** From theorem 2.1 we have  $A = \sum_{\tau \subseteq X} (A_\tau)$  where  $A_\tau \cap A_\delta = \{0\}$  for  $\tau \neq \delta$ . Since  $\bar{A}_k$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  we have  $\bar{A}_k = \sum_{\tau \subseteq X} (\bar{A}_k)_\tau$ . Now  $(A_\tau)$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  and  $(\bar{A}_\tau)_k$  is the smallest  $k$ -ideal containing  $(A_\tau)$ . Also  $(\bar{A}_k)_\tau$  is a  $k$ -ideal in  $S[x_1, x_2, \dots, x_n]$  containing  $(A_\tau)$  and  $(\bar{A}_\tau)_k$ . Since  $\bar{A}_k$  is the smallest  $k$ -ideal containing  $A$  it follows that  $(\bar{A}_k)_\tau$  is the smallest  $k$ -ideal containing  $(A_\tau)$ . Consequently,  $(\bar{A}_k)_\tau = (\bar{A}_\tau)_k$  and it follows that  $\sum (\bar{A}_k)_\tau = \sum (\bar{A}_\tau)_k$  and hence  $\bar{A}_k = \sum_{\tau \subseteq X} (\bar{A}_\tau)_k$ .

**COROLLARY 4.2.** *If  $A$  is an ideal in  $S[x_1, x_2, \dots, x_n]$  then  $\bar{A}_k = (\bar{P}_\tau)_k + \sum_{\lambda \not\subseteq \tau} (\bar{A}_\lambda)_k$ .*

**PROOF.** Theorem 4.1 assures that

$$\bar{A}_k = \sum_{\tau \subseteq X} (\bar{A}_\tau)_k = \sum_{\delta \subseteq \tau} (\bar{A}_\delta)_k + \sum_{\lambda \not\subseteq \tau} (\bar{A}_\lambda)_k = (\bar{P}_\tau)_k + \sum_{\lambda \not\subseteq \tau} (\bar{A}_\lambda)_k.$$

### 5. Example

Let  $A = (2, x^n + 2, y^m + 2, z^t)$ ,  $n > m > t > 1$ , be an ideal in  $Z^+[x, y, z]$ . Now  $A$  is a weak  $k$ -ideal of degree  $(m-1)$ . To see this we check the basis elements of  $A$  and note that  $y^m + 2, 2 \in A$  but  $y^m \notin A$ . Thus  $A$  is not a  $k$ -ideal. Now any polynomial in  $y$  of degree  $(m-1)$  or less is of the form  $\sum a_i y^i$  and  $A$  is certainly

a  $k$ -ideal with respect to these polynomials since  $2a_{xy^i} \in A$ . Similarly,  $A$  is a  $k$ -ideal with respect to all polynomials in  $x$  of degree  $(n-1)$  or less, and  $A$  is a  $k$ -ideal with respect to all polynomials in  $z$ . Since  $n > m$ ,  $(n-1) > (m-1)$  and it follows that  $A$  is a weak  $k$ -ideal with  $k$ -degree  $(m-1)$ . Now let us partition  $A$  into saturated polynomials. Let  $\tau_0 = \phi$ ,  $\tau_1 = \{x\}$ ,  $\tau_2 = \{y\}$ ,  $\tau_3 = \{z\}$ ,  $\tau_4 = \{x, y\}$ ,  $\tau_5 = \{x, z\}$ ,  $\tau_6 = \{y, z\}$ ,  $\tau_7 = \{x, y, z\} = X$ .

Then the saturated ideals are

$$\begin{aligned} A_{\tau_0} &= (2), & A_{\tau_1} &= (x^n + 2) \subseteq Z^+[x], & A_{\tau_2} &= (y^m + 2) \subseteq Z[y], \\ A_{\tau_3} &= (z^t) \subseteq Z^+[z], & A_{\tau_4} &= (x^n + y) + (y^m + 2) \subseteq Z^+[y, z], \\ A_{\tau_5} &= (x^n + 2) + (z^t) \subseteq Z^+[x, z], & A_{\tau_6} &= (y^m + 2) + (z^t) \subseteq Z[y, z], \\ A_{\tau_7} &= (x^n + 2) + (y^m + 2) + (z^t) \subseteq Z^+[x, y, z]. \end{aligned}$$

Now

$$\begin{aligned} P_{\tau_0} &= (A_{\tau_0}) \text{ and } \bar{P}_{\tau_0 k} = (A_{\tau_0}), \\ P_{\tau_1} &= (A_{\tau_1}) + (A_{\tau_0}) = (x^n + 2) + (2) \text{ and } \bar{P}_{\tau_1 k} = (x^n, 2) \\ P_{\tau_2} &= (A_{\tau_2}) + (A_{\tau_0}) = (y^m + 2) + (2) \text{ and } \bar{P}_{\tau_2 k} = (y^m, 2) \\ P_{\tau_3} &= (A_{\tau_3}) + (A_{\tau_0}) = (z^t) + (2) \text{ and } \bar{P}_{\tau_3 k} = P_{\tau_3} \\ P_{\tau_4} &= (A_{\tau_4}) + (A_{\tau_1}) + (A_{\tau_2}) + (A_{\tau_0}) = (x^n + 2) + (y^m + 2) + (2) \text{ and } \bar{P}_{\tau_4 k} = (x^n, y^m, 2) \\ P_{\tau_5} &= (A_{\tau_5}) + (A_{\tau_3}) + (A_{\tau_1}) + (A_{\tau_0}) = (x^n + 2) + (z^t) + (2) \text{ and } \bar{P}_{\tau_5 k} = (x^n, z^t, 2) \\ P_{\tau_6} &= (A_{\tau_6}) + (A_{\tau_3}) + (A_{\tau_2}) + (A_{\tau_0}) = (y^m + 2) + (z^t) + (2) \text{ and } \bar{P}_{\tau_6 k} = (y^m, z^t, 2) \\ P_{\tau_7} &= A \text{ and } \bar{P}_{\tau_7 k} = \bar{A}_k = (x^n, y^m, z^t, 2). \end{aligned}$$

A few observations.

1. The  $k$ -degree of  $P_{\tau_2}, P_{\tau_4}, P_{\tau_6}$  and  $P_{\tau_7}$  is  $(m-1)$ , while the  $k$ -degree of  $P_{\tau_1}$  and  $P_{\tau_5}$  is  $(n-1)$ , and the  $k$ -degree of  $P_{\tau_3}$  is  $\infty$ .

2. Each  $A_{\tau}$  is a  $k$ -ideal and has  $k$ -degree  $\infty$ .

3. It is clear that for each  $\tau \subseteq X$

$$k\text{-degree } A \leq k\text{-degree } P_{\tau} \leq k\text{-degree } A_{\tau}.$$

4.  $X \supseteq \tau_5 \supseteq \tau_3 \supseteq \tau_0$  and it follows that  $A \supseteq P_{\tau_5} \supseteq P_{\tau_3} \supseteq P_{\tau_0}$  is a descending chain of ideals. Also  $\bar{A}_k \supseteq \bar{P}_{\tau_5 k} \supseteq \bar{P}_{\tau_3 k} \supseteq \bar{P}_{\tau_0 k}$  is a descending chain of  $k$ -ideals.

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