

ON FINITE SIMPLE GROUPS
—THE UNITARY GROUPS $U_4(q)$

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1. Introduction

Any finite simple group of Lie type has a (B, N) -pair, and such a simple group can be studied by using its (B, N) -pair structure. For example, the projective special unitary group $U_n(q)$, where q is a prime power, is isomorphic to the twisted Chevalley group ${}^2A_{n-1}(q)$ of type A_{n-1} , and so this group can be studied in this manner.

In this paper we study the simple group $U_4(q)$ by using its (B, N) -pair structure. As a matter of fact, this study will be continued to the study on $U_n(q)$. We will explicitly determine elements of ${}^2A_3(q)$ and give a specific isomorphism of $U_4(q)$ onto ${}^2A_3(q)$. Using this information, we will study some special subgroups contained in maximal parabolic subgroups of $U_4(q)$ and find all elements of order p , where $q=p^e$ and p is a prime. We will also explicitly determine the structure of the centralizers of involutions in $U_4(q)$. Note that

$$|U_4(q)| = \frac{1}{d} q^6 (q^2 - 1) (q^3 + 1) (q^4 - 1),$$

where $d = (4, q + 1)$, and that

$$\begin{aligned} d=1 &\iff q=2^e \text{ and } p=2; \\ d=2 &\iff q \equiv 1 \pmod{4}; \quad d=4 \iff q \equiv -1 \pmod{4}. \end{aligned}$$

The determination of involutions and their centralizers in Chevalley groups over a finite field of characteristic 2 has been studied in [1]. And a characterization of $U_4(q)$, where q is odd, has been done in [4] by using matrix presentation. In this paper we treat $U_4(q)$ as the twisted Chevalley group ${}^2A_3(q)$ and every element of subgroups are explicitly expressed. This paper contains some results in the author's paper [3].

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This paper is organized as follows. In section 2 detailed description for the (B, N) -pair structure of ${}^2A_3(q)$ is given. An explicit isomorphism of $U_4(q)$ onto ${}^2A_3(q)$ is also given. In section 3 we prove properties of some special subgroups which are contained in maximal parabolic subgroups of $U_4(q)$. And elements of order p in $U_4(q)$ is also determined. In section 4 and section 5 the structure of the centralizers of involutions in $U_4(q)$ are explicitly determined, where $q \equiv 1 \pmod{4}$ or $q \equiv -1 \pmod{4}$.

The notation and terminology in this paper are standard. They are taken from [6] for the general finite groups and from [2] for the Chevalley groups.

2. The groups $U_4(q)$

Let F be a finite field with q^2 elements, where $q = p^e$ and p is a prime. For each element $\alpha \in F$ define $\bar{\alpha}$ by $\bar{\alpha} = \alpha^q$. Then the map $\sigma : F \rightarrow F$, $\sigma(\alpha) = \bar{\alpha}$, is an automorphism of order 2, and $F_0 = \{\alpha \in F \mid \alpha = \bar{\alpha}\}$ is a subfield of F with q elements.

Let f be a non-degenerate Hermitian product on the four-dimensional vector space F^4 defined by

$$f((\alpha_1, \alpha_2, \alpha_3, \alpha_4), (\beta_1, \beta_2, \beta_3, \beta_4)) = \alpha_1\beta_4 - \alpha_2\beta_3 + \alpha_3\beta_2 - \alpha_4\beta_1,$$

and let $J \in \text{Mat}_4(F)$ be the matrix associated with f . Then the general unitary group $GU_4(q)$ may be identified with the set of all matrices $(\alpha_{ij}) \in \text{Mat}_4(F)$ such that $(\alpha_{ij})^* J (\alpha_{ij}) = J$, where $(\alpha_{ij})^* = (\bar{\alpha}_{ji})$. Thus

$$SU_4(q) = \{A \in GU_4(q) \mid \det A = 1\}, \quad U_4(q) = PSU_4(q) = SU_4(q)/Z,$$

where $Z = \{\text{diag}\{\lambda, \lambda, \lambda, \lambda\} \mid \lambda\bar{\lambda} = 1, \lambda^4 = 1\}$, and Z is cyclic of order $d = (4, q+1)$.

The group $U_4(q)$ is isomorphic to the twisted Chevalley group ${}^2A_3(q)$ of type A_3 . We will use the same notation as in [2] to define elements of ${}^2A_3(q)$. Let Φ be the set of roots of the simple Lie algebra \mathcal{L} of type A_3 over the complex number field, and let $\Pi = \{a, b, c\}$ be a fundamental system in Φ . The positive system of roots in Φ is then $\Phi^+ = \{a, b, c, a+b, b+c, a+b+c\}$. Let $\mathcal{B} = \{h_r, r \in \Pi; e_r, r \in \Phi\}$ be a standard Chevalley basis for \mathcal{L} . For $r, s \in \Phi$ we define $N_{r,s}$ by $[e_r, e_s] = N_{r,s}e_{r+s}$. Then $N_{r,s}$ is either ± 1 or 0 according as $r+s$ is a root or not.

Let $x_r(\alpha)$, $h_r(\lambda)$, $h(\chi)$ and n_r be elements of the Chevalley group

$A_3(q^2)$ as defined in [2], where $r \in \Phi^+$ and $\alpha, \lambda \in F$. The elements $h_r(\lambda)$ and n_r act on \mathcal{B} as follows:

$$(*) \quad \begin{aligned} h_r(\lambda) \cdot h_s &= h_s, & n_r \cdot h_s &= h_{w_r(s)}; & s &\in \Pi, \\ h_r(\lambda) \cdot e_s &= \lambda^{A_{r,s}} e_s, & n_r \cdot e_s &= \eta_{r,s} e_{w_r(s)}, & s &\in \Phi, \end{aligned}$$

where w_r is the reflection determined by r and $A_{r,s} = \frac{2(r,s)}{(r,r)}$. The signs of the structure constants $N_{r,s}$ may be chosen arbitrarily for the pairs $(a,b), (b,c), (a,b+c)$, and then the structure constants for all pairs are uniquely determined. We will set

$$(**) \quad N_{a,b} = 1, \quad N_{b,c} = -1, \quad N_{a,b+c} = -1.$$

Then it is easy to prove the following three propositions.

$$(2.1) \quad \text{We have } N_{c,b} = N_{b+c,a} = N_{a+b,c} = 1, \quad N_{b,a} = N_{c,a+b} = -1. \\ \text{If } r, s, r+s \in \Phi^+, \text{ then } \eta_{r,s} = N_{r,s} \text{ and } \eta_{r,r} = \eta_{r,-r} = -1.$$

We have

$$\begin{aligned} \eta_{a,c} = \eta_{a,a+b+c} = \eta_{b,a+b} = \eta_{b,b+c} = \eta_{b,a+b+c} = 1, \\ \eta_{c,a} = \eta_{c,a+b+c} = 1, \quad \eta_{a,a+b} = \eta_{c,b+c} = -1. \end{aligned}$$

(2.2) For any $r \in \Phi^+$ we have

$$x_r(\alpha)x_r(\beta) = x_r(\alpha+\beta), \quad h_r(\lambda)h_r(\mu) = h_r(\lambda\mu).$$

If $r, s \in \Phi^+$ are distinct, then

$$[x_r(\alpha), x_s(\beta)] = x_{r+s}(N_{r,s}\alpha\beta), \quad [h_r(\lambda), h_s(\mu)] = 1.$$

And for any $r, s \in \Phi^+$ we have

$$h_r(\lambda)x_s(\alpha)h_r(\lambda)^{-1} = x_s(\lambda^{A_{r,s}}\alpha).$$

(2.3) For any $r, s \in \Phi^+$ we have

$$n_r x_s(\alpha) n_r^{-1} = x_{w_r(s)}(\eta_{r,s}\alpha), \quad n_r h_s(\lambda) n_r^{-1} = h(\chi),$$

where χ is a character defined by $\chi(t) = \lambda^T$ with $T = A_{s,w_r(t)}$.

The nontrivial symmetry ρ of the Dynkin diagram for A_3 is given by $\rho(a) = c, \rho(b) = b, \rho(c) = a$. Thus $S_1 = \{a, c\}$ and $S_2 = \{b\}$ are orbits in Π under the action of ρ . Define $w_1 = w_a w_c$ and $w_2 = w_b$. Then

$$\begin{aligned} w_1(a) = -a, \quad w_1(b) = a+b+c, \quad w_1(c) = -c, \\ w_2(a) = a+b, \quad w_2(b) = -b, \quad w_2(c) = b+c. \end{aligned}$$

Therefore, w_1 and w_2 are involutions satisfying $(w_1 w_2)^4 = 1$. Set $S_3 = \{a+b, b+c\}$ and $S_4 = \{a+b+c\}$. Then we have

$$w_1(S_1) = -S_1, \quad w_1(S_2) = S_4, \quad w_1(S_3) = S_3,$$

$$w_2(S_1) = S_3, \quad w_2(S_2) = -S_2, \quad w_2(S_4) = S_4.$$

Hence the Weyl group W of the twisted Chevalley group ${}^2A_3(q)$ is generated by w_1 and w_2 , and $W = \langle w_1, w_2 \rangle$ is dihedral of order 8.

Now we define elements of ${}^2A_3(q)$ as follows:

$$\begin{aligned} x_1(\alpha) &= x_a(\alpha)x_c(\bar{\alpha}), & \alpha &\in F; & x_2(\beta) &= x_b(\beta), & \beta &\in F_0; \\ x_3(\gamma) &= x_{a+b}(\gamma)x_{b+c}(\bar{\gamma}), & \gamma &\in F; & x_4(\delta) &= x_{a+b+c}(\delta), & \delta &\in F_0; \\ h_1(\mu) &= h_a(\mu)h_c(\bar{\mu}), & \mu &\in F^*; & h_2(\lambda) &= h_b(\lambda), & \lambda &\in F_0^*; \\ n_1 &= n_a n_c, & n_2 &= n_b, \end{aligned}$$

where $F^* = F - \{0\}$ and $F_0^* = F_0 - \{0\}$.

Using (*), (**), and (2.1)~(2.3), we can easily prove the following properties of ${}^2A_3(q)$.

(2.4) *Let*

$$\begin{aligned} U_1 &= \{x_1(\alpha) \mid \alpha \in F\}, & U_2 &= \{x_2(\beta) \mid \beta \in F_0\}, \\ U_3 &= \{x_3(\gamma) \mid \gamma \in F\}, & U_4 &= \{x_4(\delta) \mid \delta \in F_0\}, & U &= U_1 U_2 U_3 U_4. \end{aligned}$$

Then U_1, U_2, U_3, U_4 are elementary abelian p -subgroups such that $|U_1| = |U_3| = q^2$ and $|U_2| = |U_4| = q$. The multiplication in each U_i is given by $x_i(\alpha)x_i(\beta) = x_i(\alpha\beta)$.

The subgroup U is a Sylow p -subgroup of ${}^2A_3(q)$ of order q^6 with center $Z(U) = U_4$. Every element of U is uniquely expressed as a product $x_1(\alpha)x_2(\beta)x_3(\gamma)x_4(\delta)$. We have

$$[x_1(\alpha), x_2(\beta)] = x_3(\alpha\beta)x_4(\alpha\bar{\alpha}\beta), \quad [x_3(\gamma), x_1(\alpha)] = x_4(\alpha\bar{\gamma} + \bar{\alpha}\gamma),$$

and all other types of commutators between elements of the various U_i are trivial.

(2.5) *Let*

$$\begin{aligned} H_1 &= \{h_1(\mu) \mid \mu \in F^*\}, & H_2 &= \{h_2(\lambda) \mid \lambda \in F_0^*\}, \\ H &= H_1 H_2, & d &= (4, q+1). \end{aligned}$$

Then H_1 is a cyclic group isomorphic to $F^*/\langle -1 \rangle$ and

$$h_1(\mu)h_1(\mu') = h_1(\mu\mu'); \quad h_1(\mu) = h_1(\mu') \iff \mu = \pm\mu'.$$

The subgroup H_2 is a cyclic group isomorphic to F_0^* and

$$h_2(\lambda)h_2(\lambda') = h_2(\lambda\lambda'); \quad h_2(\lambda) = 1 \iff \lambda = 1.$$

The subgroup H is abelian of order $\frac{1}{d}(q^2-1)(q-1)$, and every element of H can be expressed as a product $h_1(\mu)h_2(\lambda)$.

If $d=1$ or $d=2$, then $H = H_1 \times H_2$.

If $d=4$, then $H_1 \cap H_2 = \{1, h_2(-1)\}$. In general, we have

$$h_1(\mu)h_2(\lambda) = 1 \iff \mu^d = 1 \text{ and } \mu^2 = \lambda.$$

(2.6) Let $B = UH$. Then B is the normalizer of U in ${}^2A_3(q)$, and the action of $h = h_1(\mu)h_2(\lambda) \in H$ on U is given by

$$\begin{aligned} h^{-1}x_1(\alpha)h &= x_1(\mu^{-2}\lambda\alpha), & h^{-1}x_2(\beta)h &= x_2(\mu\bar{\mu}\lambda^{-2}\beta), \\ h^{-1}x_3(\gamma)h &= x_3(\mu^{-1}\bar{\mu}\lambda^{-1}\gamma), & h^{-1}x_4(\delta)h &= x_4(\mu^{-1}\bar{\mu}^{-1}\delta). \end{aligned}$$

(2.7) Let $M = \langle n_1, n_2 \rangle$ and $N = HM$. Then $H = B \cap N$ and H is normal in N . The action of M on H is given by

$$\begin{aligned} n_1h_1(\mu)n_1 &= h_1(\mu^{-1}), & n_1h_2(\lambda)n_1 &= h_1(\lambda)h_2(\lambda), \\ n_2^{-1}h_1(\mu)n_2 &= h_1(\mu)h_2(\mu\bar{\mu}), & n_2^{-1}h_2(\lambda)n_2 &= h_2(\lambda^{-1}). \end{aligned}$$

There is an isomorphism of N/H onto W which sends n_1H into w_1 and n_2H into w_2 .

If q is even, then M is a dihedral group of order 8 generated by two involutions n_1 and n_2 satisfying $(n_1n_2)^4 = 1$. Moreover, $M \cap H = 1$.

If q is odd, then M is a nonabelian group of order 16 such that

$$\begin{aligned} n_1^2 &= 1, & n_2^2 &= h_2(-1), & (n_1n_2)^4 &= 1, \\ Z(M) &= \langle h_2(-1) \rangle \times \langle (n_1n_2)^2 \rangle, & M' &= \langle [n_1, n_2] \rangle, & M \cap H &= \langle h_2(-1) \rangle. \end{aligned}$$

(2.8) The elements n_1 and n_2 transform the elements of U in the following manner:

$$\begin{aligned} n_1x_1(\alpha)n_1 &= x_1(-\alpha^{-1})h_1(\alpha^{-1})n_1x_1(-\alpha^{-1}), & \alpha &\neq 0; \\ n_2^{-1}x_2(\beta)n_2 &= x_2(-\beta^{-1})h_2(\beta^{-1})n_2x_2(-\beta^{-1}), & \beta &\neq 0; \\ n_1x_2(\beta)n_1 &= x_4(-\beta), & n_1x_3(\gamma)n_1 &= x_3(-\bar{\gamma}), & n_1x_4(\delta)n_1 &= x_2(-\delta), \\ n_2^{-1}x_1(\alpha)n_2 &= x_3(\alpha), & n_2^{-1}x_3(\gamma)n_2 &= x_1(-\gamma), & n_2^{-1}x_4(\delta)n_2 &= x_4(\delta). \end{aligned}$$

(2.9) The subgroups B and N form a (B, N) -pair of ${}^2A_3(q)$. The group ${}^2A_3(q)$ is the disjoint union of eight double cosets of the form BnB , where n runs through the transversal

$$\bar{N} = \{1, n_1, n_2, n_1n_2, n_2n_1, n_1n_2n_1, n_2n_1n_2, (n_1n_2)^2\}$$

of $\langle h_2(-1) \rangle$ in N . In fact, we have $BnB = BnU_n$, where U_n is given in the following table:

$$\begin{array}{l} n : \quad 1 \quad n_1 \quad n_2 \quad n_1n_2 \quad n_2n_1 \quad n_1n_2n_1 \quad n_2n_1n_2 \quad (n_1n_2)^2 \\ U_n : \quad 1 \quad U_1 \quad U_2 \quad U_2U_3 \quad U_1U_4 \quad U_1U_3U_4 \quad U_2U_3U_4 \quad U_1U_2U_3U_4. \end{array}$$

Every element of ${}^2A_3(q)$ can be uniquely expressed as a product bny , where $b \in B$, $n \in \bar{N}$ and $y \in U_n$.

(2.10) There exists an isomorphism of ${}^2A_3(q)$ onto $U_4(q) = SU_4(q)/Z$ which sends

$$\begin{aligned}
 x_1(\alpha) & \text{ into } \begin{pmatrix} 1 & \alpha & & \\ & 1 & & \\ & & 1 & \bar{\alpha} \\ & & & 1 \end{pmatrix} Z, & x_2(\beta)x_3(\gamma)x_4(\delta) & \text{ into } \begin{pmatrix} 1 & \gamma & \delta & \\ & 1 & \beta-\bar{\gamma} & \\ & & 1 & \\ & & & 1 \end{pmatrix} Z, \\
 h_1(\mu) & \text{ into } \begin{pmatrix} \mu & & & \\ & \mu^{-1} & & \\ & & \bar{\mu} & \\ & & & \bar{\mu}^{-1} \end{pmatrix} Z, & h_2(\lambda) & \text{ into } \begin{pmatrix} 1 & & & \\ & \lambda & & \\ & & \lambda^{-1} & \\ & & & 1 \end{pmatrix} Z, \\
 n_1 & \text{ into } \begin{pmatrix} & & 1 & \\ -1 & & & \\ & & & 1 \\ & & -1 & \end{pmatrix} Z, & n_2 & \text{ into } \begin{pmatrix} 1 & & & \\ & 1 & & \\ -1 & & & \\ & & & 1 \end{pmatrix} Z.
 \end{aligned}$$

Note that if q is even then (2.4)~(2.9) are identical with (2.1)~(2.6) in [3].

Using (2.4)~(2.10) we can construct a group which is isomorphic to $SU_4(q)$ in the following way:

(2.11) Let $\bar{H}_1 = \{\bar{h}_1(\mu) \mid \mu \in F^*\}$ be a cyclic group isomorphic to F^* , whose multiplication is defined by $\bar{h}_1(\mu)\bar{h}_1(\mu') = \bar{h}_1(\mu\mu')$. Let $\bar{H} = \bar{H}_1 \times H_2$ and define the relations between $\bar{h}_1(\mu)$ and $x_i(\alpha)$, n_1 , n_2 to be the same as those relations between $h_1(\mu)$ and $x_i(\alpha)$, n_1 , n_2 in (2.4)~(2.8).

Then the group $\bar{G} = \langle U, \bar{H}, n_1, n_2 \rangle$ is isomorphic to $SU_4(q)$. Moreover, an isomorphism of \bar{G} onto $SU_4(q)$ can be defined as in (2.10) by replacing $h_1(\mu)$ by $\bar{h}_1(\mu)$ and omitting Z .

3. Parabolic subgroups and elements of order p

From now on we identify $U_4(q)$ with the twised Chevalley group ${}^2A_3(q)$, where $q = p^e$ and p is a prime. The letter which is introduced in section 2 will keep its meaning throughout this paper.

In this section we will discuss some properties of maximal parabolic subgroups of $U_4(q)$. We also explicitly determine all the elements of $U_4(q)$ of order p and the centralizers of some elements of order p .

(3.1) Let

$$B_1 = B \cup Bn_1U_1, \quad B_2 = B \cup Bn_2U_2.$$

Then B_1 and B_2 are maximal parabolic subgroups of $U_4(q)$, and every parabolic subgroups of $U_4(q)$ is conjugate to B, B_1, B_2 or $U_4(q)$.

Proof. This follows from the (B, N) -pair structure of $U_4(q)$.

(3.2) Let

$$B_1 = B \cup Bn_1U_1, \quad P_1 = UH_1 \cup UH_1n_1U, \quad P = U_1H_1 \cup U_1H_1n_1U_1.$$

Then the following hold:

(1) B_1 has a maximal normal p -subgroup $U_2U_3U_4$, which is an elementary abelian p -subgroup of order q^4 . The subgroup $U_1H \cup U_1Hn_1U_1$ is a complement of $U_2U_3U_4$ in B_1 .

(2) P_1 is a normal subgroup of B_1 such that $B_1 = P_1H_2$ and B_1/P_1 is cyclic. And P is a complement of a normal subgroup $U_2U_3U_4$ in P_1 .

Moreover, $P \cong PSL_2(q^2)$.

Proof. Using (2.4)~(2.9) we can easily prove the assertions. Note that

$$h_2(\lambda)^{-1}n_1h_2(\lambda) = h_1(\lambda)n_1.$$

It is easy to show that there exists an isomorphism of the group P onto $PSL_2(q^2)$ which sends $x_1(\alpha)$, $h_1(\mu)$, n_1 into

$$\begin{pmatrix} 1 & \alpha \\ & 1 \end{pmatrix} Z_1, \quad \begin{pmatrix} \mu & \\ & \mu^{-1} \end{pmatrix} Z_1, \quad \begin{pmatrix} & 1 \\ -1 & \end{pmatrix} Z_1,$$

respectively. Here Z_1 denotes the center of $SL_2(q^2)$. Notice that the above matrices are obtained from the corresponding matrices given in (2.10) in a suitable way.

(3.3) Let

$$B_2 = B \cup Bn_2U_2, \quad P_2 = UH_2 \cup UH_2n_2U_2, \quad Q = U_2H_2 \cup U_2H_2n_2U_2.$$

Then the following hold:

(1) B_2 has a maximal normal p -subgroup $U_1U_3U_4$ of order q^5 . The subgroup $U_2H \cup U_2Hn_2U_2$ is a complement of $U_1U_3U_4$ in B_2 .

(2) P_2 is a normal subgroup of B_2 such that $B_2 = P_2H_1$ and B_2/P_2 is cyclic. And Q is a complement of a normal subgroup $U_1U_3U_4$ in P_2 .

(3) P_2 isomorphic to a subgroup of $SU_4(q)$ and

$$Q \cong SL_2(q) \cong SU_2(q).$$

Proof. The assertions can be proved by using (2.4)~(2.9). Note that

$$h_1(\mu)^{-1}n_2h_1(\mu) = h_2(\mu\bar{\mu})n_2.$$

By (2.11) we can prove that there exists a monomorphism of P_2 into $SU_4(q)$ which sends $x_1(\alpha)$, $x_2(\beta)x_3(\gamma)x_4(\delta)$, $h_2(\lambda)$, n_2 into

$$\begin{pmatrix} 1 & \alpha & & \\ & 1 & & \\ & & 1 & \bar{\alpha} \\ & & & 1 \end{pmatrix}, \begin{pmatrix} 1 & \gamma & \delta & \\ & 1 & \beta & -\bar{\gamma} \\ & & 1 & \\ & & & 1 \end{pmatrix}, \begin{pmatrix} 1 & & & \\ & \lambda & & \\ & & \lambda^{-1} & \\ & & & 1 \end{pmatrix}, \begin{pmatrix} 1 & & & \\ & & 1 & \\ & -1 & & \\ & & & 1 \end{pmatrix},$$

respectively. Using this monomorphism we can show that there exists an isomorphism of Q onto $SL_2(q)$ which sends $x_2(\beta)$, $h_2(\lambda)$, n_2 into

$$\begin{pmatrix} 1 & \beta \\ & 1 \end{pmatrix}, \begin{pmatrix} \lambda & \\ & \lambda^{-1} \end{pmatrix}, \begin{pmatrix} & 1 \\ -1 & \end{pmatrix},$$

respectively. Hence we have $Q \cong SL_2(q) \cong SU_2(q)$.

(3.4) *Let*

$$P = U_1 H_1 \cup U_1 H_1 n_1 U_1, \quad n_2^{-1} P n_2 = U_3 H_3 \cup U_3 H_3 h_2(-1) n_2 n_1 n_2 U_3,$$

where $H_3 = n_2^{-1} H_1 n_2 = \{h_1(\mu) h_2(\mu \bar{\mu}) \mid \mu \in F^*\}$. Then

$$P \cong n_2^{-1} P n_2 \cong PSL_2(q^2), \quad \langle P, n_2^{-1} P n_2 \rangle = U_4(q).$$

Proof. The first assertion follows from (3.2). Recall that $n_2^{-1} = h_2(-1) n_2$. Since $[U_3, U_1] = U_4$, $n_1 U_4 = U_2 n_1$, $H_1 H_3 = H$, the subgroup $\langle P, n_2^{-1} P n_2 \rangle$ contains a maximal parabolic subgroup $B_1 = B \cup B n_1 U_1$. Hence we have $\langle P, n_2^{-1} P n_2 \rangle = U_4(q)$, by (3.1).

Compared to the subgroup P , the subgroup $Q = U_2 H_2 \cup U_2 H_2 n_2 U_2$ has a nice property as we can see in the next proposition. Note that if q is even then $h_2(-1) = h_2(1) = 1$, and if q is odd then $h_2(-1)$ is an involution. In any case n_1 is an involution.

(3.5) *Let*

$$Q = U_2 H_2 \cup U_2 H_2 n_2 U_2, \quad R = n_1 Q n_1 = U_4 H_4 \cup U_4 H_4 n_1 n_2 n_1 U_4,$$

where $H_4 = n_1 H_2 n_1 = \{h_1(\lambda) h_2(\lambda) \mid \lambda \in F_0^*\}$. Then the following hold:

(1) *We have*

$$Q \cong R \cong SL_2(q) \cong SU_2(q), \quad [Q, R] = 1, \\ Z(Q) = Z(R) = Q \cap R = H_2 \cap H_4 = \langle h_2(-1) \rangle.$$

(2) *Let $E = QR$ and $K = H_2 H_4$. Then E and K are subgroups and we have*

$$E = U_2 U_4 K \cup U_2 U_4 K n_2 U_2 \cup U_2 U_4 K n_1 n_2 n_1 U_4 \cup U_2 U_4 K (n_1 n_2)^2 U_2 U_4.$$

If q is even, then $E = Q \times R$ and $|E| = q^2(q^2 - 1)^2$.

If q is odd, then E is the central product of Q and R such that $Z(E) = Z(Q) = Z(R) = \langle h_2(-1) \rangle$, and $|E| = \frac{1}{2} q^2 (q^2 - 1)^2$.

Proof. It is easy to see that Q and R centralize each other. Now the

assertion can be easily proved by (3.3) and (2.4)~(2.8).

We will explicitly determine all elements of order p in $U_4(q)$. Since U is a Sylow p -subgroup of $U_4(q)$, it suffices to find all elements of order p in U .

(3.6) *The following is the list of all elements of order p which are contained in the Sylow p -subgroup $U=U_1U_2U_3U_4$.*

(i) *the case when $p=2$.*

every nonidentity element in $U_2U_3U_4$, and

every element of the form $x_1(\alpha)x_3(\alpha\beta)x_4(\delta)$, where $\alpha \in F^$;*

$\beta, \delta \in F_0$.

(ii) *the case when $p=3$.*

every nonidentity element in $U_2U_3U_4$, and

every nonidentity element in $U_1U_3U_4$.

(iii) *the case when $p \geq 5$.*

every nonidentity element in U .

Proof. By the assertion (3) in (3.3) there exists a monomorphism of U into $SU_4(q)$. For each element a of U , let $A \in SU_4(q)$ be the matrix corresponding to a under this monomorphism. Then it is clear that $(A-I)^4=0$ holds, where I and 0 are the identity matrix and zero matrix, respectively. On the other hand, F is a field of characteristic p . Therefore, if $p \geq 5$ then we have $0=(A-I)^p=A^p-I$, which implies that $a^p=1$. Hence (iii) holds.

An easy calculation yields (i) and (ii).

It is easy to show that $x_4(1)$ and $x_3(1)$ are not conjugate in $U_4(q)$. Hence there are at least two conjugacy classes of elements of order p . In particular, if q is even, that is, if $p=2$, then there are exactly two conjugacy classes of involutions in $U_4(q)$. By (3.2) and (3.3) it is easy to prove the following two propositions (cf. [3]).

(3.7) *Let C be the centralizer of $x_4(1)$ in $U_4(q)$. Then*

$$C=UL \cup ULn_2U_2 \subset B_2=B \cup Bn_2U_2,$$

where $L=\{h_1(\mu) \mid \mu \in F^, \mu\bar{\mu}=1\}$, and C is of order $q^6(q+1)^2$ or $\frac{1}{2}q^6(q+1)^2$ according as q is even or odd.*

Moreover, $U_1U_3U_4$ is a maximal normal p -subgroup of C , and $U_2L \cup U_2Ln_2U_2$ is a complement of $U_1U_3U_4$ in C .

(3.8) Let C_1 be the centralizer of $x_3(1)$ in $U_4(q)$. Then

$$C_1 = \bar{U}_1 U_2 U_3 U_4 J \cup \bar{U}_1 U_2 U_3 U_4 J h_2(-1) n_1 \bar{U}_1 \subset B_1 = B \cup B n_1 U_1,$$

where

$$\begin{aligned} \bar{U}_1 &= \{x_1(\alpha) \mid \alpha \in F, \bar{\alpha} = -\alpha\}, \\ J &= \{h_1(\lambda) \mid \lambda \in F_0^*\} \cup \{h_1(\mu) h_2(-1) \mid \mu \in F^*, \bar{\mu} = -\mu\}. \end{aligned}$$

The subgroup $U_2 U_3 U_4$ is a maximal normal p -subgroup of C_1 , and $\bar{U}_1 J \cup \bar{U}_1 J h_2(-1) n_1 \bar{U}_1$ is a complement of $U_2 U_3 U_4$ in C_1 .

If q is even, then $|C_1| = q^5(q^2 - 1)$ and

$$(\bar{U}_1 J \cup \bar{U}_1 J n_1 \bar{U}_1) \cong SL_2(q) = PSL_2(q).$$

If $q \equiv 1 \pmod{4}$, then $|C_1| = q^5(q^2 - 1)$.

If $q \equiv -1 \pmod{4}$, then $|C_1| = \frac{1}{2} q^5(q^2 - 1)$.

4. Involutions and their centralizers in $U_4(q)$, where $q \equiv 1 \pmod{4}$

In this section we assume that $q \equiv 1 \pmod{4}$, and we will determine the centralizers of involutions in $U_4(q)$. We have

$$d = (4, q+1) = 4, \quad |U_4(q)| = \frac{1}{2} q^6 (q^2 - 1)^2 (q^2 + 1) (q^3 + 1).$$

(4.1) Let C be the centralizer of the involution $h_2(-1)$ in $U_4(q)$. Then the following hold:

(1) We have

$$\begin{aligned} C &= U_2 U_4 H \cup U_2 U_4 H n_1 \cup U_2 U_4 H n_2 U_2 \cup U_2 U_4 H n_1 n_2 U_2 \cup U_2 U_4 H n_2 n_1 U_4 \\ &\quad \cup U_2 U_4 H n_1 n_2 n_1 U_4 \cup U_2 U_4 H n_2 n_1 n_2 U_2 U_4 \cup U_2 U_4 H (n_1 n_2)^2 U_2 U_4, \\ |C| &= q^2 (q^2 - 1)^2 (q + 1). \end{aligned}$$

(2) Let

$$\begin{aligned} D &= U_2 U_4 H \cup U_2 U_4 H n_2 U_2 \cup U_2 U_4 H n_1 n_2 n_1 U_4 \cup U_2 U_4 H (n_1 n_2)^2 U_2 U_4, \\ E &= U_2 U_4 K \cup U_2 U_4 K n_2 U_2 \cup U_2 U_4 K n_1 n_2 n_1 U_4 \cup U_2 U_4 K (n_1 n_2)^2 U_2 U_4, \\ Q &= U_2 H_2 \cup U_2 H_2 n_2 U_2, \\ R &= n_1 Q n_1 = U_4 H_4 \cup U_4 H_4 n_1 n_2 n_1 U_4, \quad H_4 = n_1 H_2 n_1, \quad K = H_2 H_4. \end{aligned}$$

Then D and E are normal subgroups of C such that

- i) $C \supset D \supset E = QR$, $|C : D| = 2$, $|D : E| = q + 1$,
- ii) $C = D \langle n_1 \rangle$, $D = E H_1$, and D/E is cyclic of order $q + 1$,
- iii) E is the central product of Q and R , where

$$Q \cong R \cong SL_2(q) \cong SU_2(q).$$

Proof. By (2.8) the centralizer of $h_2(-1)$ in U is U_2U_4 , and by (2.7), N centralizes $h_2(-1)$. From this fact and (2.9) we can prove the assertion (1). Using (3.5) and (2.5)~(2.9), we can easily prove the assertion (2).

(4.2) *Let C_1 be the centralizer of the involution $h_1(\xi_0)h_2(-1)$ in $U_4(q)$, where ξ_0 is a primitive 4-th root of unity in F^* . Then the following hold:*

(1) *We have*

$$C_1 = U_1H \cup U_1Hn_1U_1 \cup U_1Hn_2n_1n_2 \cup U_1H(n_1n_2)^2U_1, \\ |C_1| = q^2(q^4 - 1)(q - 1).$$

(2) *Let*

$$D_1 = U_1H \cup U_1Hn_1U_1, \quad P = U_1H_1 \cup U_1H_1n_1U_1.$$

Then D_1 and P are normal subgroups of C_1 such that

- i) $C_1 \supset D_1 \supset P$, $|C_1 : D_1| = 2$, $|D_1 : P| = q - 1$,
- ii) $C_1 = D_1 \langle n \rangle = P \langle H_2, n \rangle$ and $D_1 = PH_2$ are semidirect products, where $n = (n_1n_2)^2$ and $\langle H_2, n \rangle$ is dihedral of order $2(q - 1)$.
- iii) $P \cong PSL_2(q^2)$.

Proof. The centralizer of $h_1(\xi_0)h_2(-1)$ in U is U_1 , and the centralizer of this involution in N is $H \langle n_1, n_2n_1n_2 \rangle$. Hence the assertion (1) holds. Now we can prove the assertion (2) by using (3.2).

(4.3) *There are exactly two conjugacy classes of involutions in $U_4(q)$ with representatives $h_2(-1)$ and $h_1(\xi_0)h_2(-1)$. Thus the centralizer of any involution in $U_4(q)$ is conjugate in $U_4(q)$ to either C or C_1 .*

This result is known (cf. [4]). Thus we will sketch the proof. Let 2^m be the highest power of 2 dividing $q - 1$, that is, $2^m \parallel q - 1$. Then

$$2^m \parallel |F_0^*|, \quad 2^{m+1} \parallel |F^*|, \quad 2^{2m+3} \parallel |N|, \quad 2^{2m+3} \parallel |U_4(q)|.$$

Hence any Sylow 2-subgroup of N is a Sylow 2-subgroup of $U_4(q)$, and so every involution of $U_4(q)$ is conjugate in $U_4(q)$ to an involution in N . The following is the list of all involutions in N .

- | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (I) $h_2(-1);$
$h_1(\mu)h_2(-1)n_1, \mu \in F^*;$
$h_1(\mu)h_2(\mu\bar{\mu})n_2n_1n_2, \mu \in F^*;$
$h_1(\mu)h_2(\lambda)(n_1n_2)^2, \lambda \in F_0^*,$
$\mu \in F^*, \bar{\mu} = -\mu.$ | (II) $h_1(\xi_0); h_1(\xi_0)h_2(-1);$
$h_1(\mu)n_1, \mu \in F^*;$
$h_1(\xi_0)h_2(\lambda)n_2, \lambda \in F_0^*;$
$h_1(\xi_0\lambda)h_2(\lambda)n_1n_2n_1, \lambda \in F_0^*;$
$h_1(\mu)h_2(-\mu\bar{\mu})n_2n_1n_2, \mu \in F^*;$
$h_1(\mu)h_2(\lambda)(n_1n_2)^2, \mu, \lambda \in F_0^*.$ |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Now we can show that involutions in (I) are conjugate in $U_4(q)$ to $h_2(-1)$, and involutions in (II) are conjugate to $h_1(\xi_0)h_2(-1)$.

5. Involutions and their centralizers in $U_4(q)$, where $q \equiv -1 \pmod{4}$

In this section we assume that $q \equiv -1 \pmod{4}$, and we will determine the centralizers of involutions in $U_4(q)$.

Assume that $q \equiv -1 \pmod{4}$. Then

$$d = (4, q+1) = 4, \quad |U_4(q)| = \frac{1}{4}q^6(q^2-1)^2(q^2+1)(q^3+1).$$

(5.1) *Let C be the centralizer of the involution $h_2(-1)$ in $U_4(q)$. Then the following hold:*

(1) *We have*

$$C = U_2U_4H \cup U_2U_4Hn_1 \cup U_2U_4Hn_2U_2 \cup U_2U_4Hn_1n_2U_2 \cup U_2U_4Hn_2n_1U_4 \\ \cup U_2U_4Hn_1n_2n_1U_4 \cup U_2U_4Hn_2n_1n_2U_2U_4 \cup U_2U_4H(n_1n_2)^2U_2U_4,$$

$$|C| = \frac{1}{2}q^2(q^2-1)^2(q+1).$$

(2) *Let*

$$D = U_2U_4H \cup U_2U_4Hn_2U_2 \cup U_2U_4Hn_1n_2n_1U_4 \cup U_2U_4H(n_1n_2)^2U_2U_4,$$

$$E = U_2U_4K \cup U_2U_4Kn_2U_2 \cup U_2U_4Kn_1n_2n_1U_4 \cup U_2U_4K(n_1n_2)^2U_2U_4,$$

$$Q = U_2H_2 \cup U_2H_2n_2U_2,$$

$$R = n_1Qn_1 = U_4H_4 \cup U_4H_4n_1n_2n_1U_4,$$

$$H_4 = n_1H_2n_1, \quad K = H_2H_4.$$

Then D and E are normal subgroups of C such that

$$\text{i) } C \supset D \supset E = QR, \quad |C : D| = 2, \quad |D : E| = \frac{1}{2}(q+1),$$

$$\text{ii) } C = D\langle n_1 \rangle = E\langle L, n_1 \rangle, \quad D = EL, \quad E \cap L = \langle h_2(-1) \rangle,$$

where $L = \{h_1(\mu) \mid \mu \in F^, \mu^{2(q+1)} = 1\}$ is cyclic of order $q+1$, and $\langle L, n_1 \rangle$ is a dihedral group of order $2(q+1)$.*

iii) E is the central product of Q and R , where

$$Q \cong R \cong SL_2(q) \cong SU_2(q).$$

Proof. The proof is essentially same as the proof of (4.1). We will prove the part ii) of (2).

Let ω be a primitive element of F . Then $F^* = \langle \omega \rangle$ and $F_0^* = \langle \omega^{q+1} \rangle$.

Let $\xi = \omega^{\frac{1}{2}(q-1)}$. Since $q \equiv -1 \pmod{4}$, two integers $\frac{1}{2}(q-1)$ and

$q+1$ are relatively prime. Hence we have $F^* = \langle \xi \rangle \times F_0^*$. This implies that $H_1 = L \times L_1$, where

$$L = \langle h_1(\xi) \rangle = \{h_1(\mu) \mid \mu \in F^*, \mu^{2(q+1)} = 1\}, \quad L_1 = \{h_1(\lambda) \mid \lambda \in F_0^*\}.$$

Therefore, $H = KL$ and $K \cap L = \langle h_2(-1) \rangle$. Note that $h_2(-1) = h_1(\xi_0)$, where ξ_0 is a primitive 4 -th root of unity which is in $\langle \xi \rangle$. Since the involution n_1 inverts $h_1(\xi)$, the group $\langle L, n_1 \rangle$ is a dihedral group of order $2(q+1)$.

Using the above results we can prove the assertion ii).

(5.2) *Assume that $q \equiv -1 \pmod{8}$. Let ξ be a primitive 8 -th root of unity in F^* and let $\xi_0 = \xi^2$. Then $h_1(\xi)n_2$ is an involution of $U_4(q)$.*

Let C_1 be the centralizer of the involution $h_1(\xi)n_2$ in $U_4(q)$. Then the following hold:

(1) *We have*

$$C_1 = U_0 H_0 \cup U_0 \mathcal{F} \cup U_0 H_0 n_1 n_2 n_1 U_0 \cup U_0 \mathcal{F} n_1 n_2 n_1 U_0,$$

$$|C_1| = \frac{1}{4} q^3 (q+1) (q^2-1) (q^3+1),$$

where

$$U_0 = \{x_1(\alpha) x_3(-\xi_0 \alpha) x_4(\delta) \mid \alpha \in F, \delta \in F_0\},$$

$$H_0 = \{h_1(\mu) h_2(\lambda) \mid \mu \in F^*, \lambda \in F_0^*, \mu \bar{\mu} = \lambda^2\},$$

$$\mathcal{F} = \{x_2(\beta) h_1(\mu) h_2(\lambda) n_2 x_2(\beta) \mid \mu \in F^*, \lambda \in F_0^*, \beta \in F_0, \mu \bar{\mu} (\beta^2 + 1) = \lambda^2\}.$$

(2) *Let*

$$T = H_0 \cup \mathcal{F},$$

$$T_0 = \{h_1(\lambda) h_2(\lambda), x_2(\beta) h_1((\beta - \xi_0)^{-1} \lambda) h_2(\lambda) n_2 x_2(\beta) \mid \lambda, \beta \in F_0^*\}.$$

Then

$$C_1 = U_0 T \cup U_0 T n_1 n_2 n_1 U_0, \quad U_0 T = U_0 H_0 \cup U_0 \mathcal{F}.$$

Moreover, $U_0 T$, T , T_0 are subgroups of a maximal parabolic subgroup $B_2 = B \cup B n_2 U_2$ such that $U_0 T \supset T \supset T_0$ and U_0 is normal in $U_0 T$.

(3) *Let*

$$D_1 = U_0 T_0 \cup U_0 T_0 n_1 n_2 n_1 U_0.$$

Then D_1 is a normal subgroup of C_1 such that

$$i) \quad C_1 = D_1 J, \quad D_1 \cap J = \langle h_2(-1) \rangle, \quad \text{and}$$

C_1/D_1 is cyclic of order $\frac{1}{4}(q+1)$, where $J = \{h_1(\mu) \mid \mu \in F^*, \mu \bar{\mu} = 1\}$ is

a cyclic group of order $\frac{1}{2}(q+1)$, and

$$ii) \quad D_1 \cong SU_3(q).$$

Proof. Since $q \equiv -1 \pmod{8}$, we have $\xi\bar{\xi}=1$. Hence $h_1(\xi)n_2$ is an involution, by (2.7).

Using (2.9) and (2.10) we can prove the assertion (1). By definition of T , we have $U_0T = U_0H_0 \cup U_0\mathcal{F}$ and it is the centralizer of $h_1(\xi)n_2$ in B_2 . Hence U_0T is a subgroup. Now it is easy to show that T and T_0 are subgroups and U_0 is normal in U_0T .

Suppose that $\mu\bar{\mu}=\lambda^2$, where $\mu \in F^*$ and $\lambda \in F_0^*$. If we set $\eta=\mu\lambda^{-1}$, then we have $\mu=\lambda\eta$ and $\eta\bar{\eta}=1$. Suppose that $\mu\bar{\mu}(\beta^2+1)=\lambda^2$ where $\mu \in F^*$, $\lambda \in F_0^*$ and $\beta \in F_0$. Set $\eta=\mu\lambda^{-1}(\beta-\xi_0)$. Since $\xi_0\bar{\xi}_0=1$ and $\bar{\xi}_0=-\xi_0$, we have $\eta\bar{\eta}=1$ and $\mu=(\beta-\xi_0)^{-1}\lambda\eta$. Let

$$H_4 = n_1 H_2 n_1 = \{h_1(\lambda)h_2(\lambda) \mid \lambda \in F_0^*\}, \quad J = \{h_1(\eta) \mid \eta \in F^*, \eta\bar{\eta}=1\}.$$

Then the above results yield that $H_0 = H_4 J$ and $T = H_0 \cup \mathcal{F} = T_0 J$. Since J normalizes U_0 and centralizes both T_0 and $n_1 n_2 n_1$, the subgroup D_1 is a normal subgroup of C_1 . And we have $C_1 = D_1 J$ and $D_1 \cap J = H_4 \cap J = \langle h_2(-1) \rangle$, where $h_2(-1) = h_1(\xi_0)$.

Finally we can show that there exists an isomorphism of D_1 onto $SU_3(q)$ which sends

$x_1(\alpha)x_3(-\xi_0\alpha)x_4(\delta)$, $h_1(\lambda)h_2(\lambda)$, $x_2(\beta)h_1(\beta-\xi_0)^{-1}n_2x_2(\beta)$, $n_1n_2n_1$
into

$$\begin{pmatrix} 1 & 2\alpha & -\xi_0\alpha\bar{\alpha}+\delta \\ & 1 & -\xi_0\bar{\alpha} \\ & & 1 \end{pmatrix}, \begin{pmatrix} \lambda & \\ & 1 \\ & & \lambda^{-1} \end{pmatrix}, \begin{pmatrix} (\beta-\xi_0)^{-1} & & \\ & \frac{\beta-\xi_0}{\beta+\xi_0} & \\ & & \beta+\xi_0 \end{pmatrix}, \begin{pmatrix} & -1 \\ & 1 \\ 1 & \end{pmatrix},$$

respectively.

This completes the proof of (5.2).

(5.3) *If $q \equiv 3 \pmod{8}$, then there is exactly one conjugacy classes of involutions in $U_4(q)$ with representative $h_2(-1)$.*

If $q \equiv -1 \pmod{8}$, then there exactly two conjugacy classes of involutions in $U_4(q)$ with representatives $h_2(-1)$ and $h_1(\xi)n_2$.

The above result is known (cf. [4]). Thus we will sketch the proof.

Assume that $q \equiv -1 \pmod{4}$ and let 2^m be the highest power of 2 dividing $q+1$, that is, $2^m \parallel q+1$. Then

$$m \geq 2, \quad 2 \parallel |F_0^*|, \quad 2^{m+1} \parallel |F^*|, \quad 2^{m+3} \parallel |N|, \quad 2^{3m+1} \parallel |C|, \quad 2^{3m+1} \parallel |U_4(q)|.$$

Hence any Sylow 2-subgroup of C is a Sylow 2-subgroup of $U_4(q)$.

Thus any involution of $U_4(q)$ is conjugate in $U_4(q)$ to an involution of C . The following is the list of all involutions in C .

(I)

$$\begin{aligned} &h_2(-1); \\ &x_2(\beta)x_4(\mu\bar{\mu}\beta)h_1(\mu)n_1, \beta \in F_0, \mu \in F^*; \\ &x_2(-\beta)x_4(-\delta)h_1(\mu)h_2(\mu\bar{\mu})n_2n_1n_2x_2(\beta)x_4(\delta), \beta, \delta \in F_0, \mu \in F^*; \\ &x_2(-\beta)x_4(-\delta)h_1(\mu)h_2(\lambda)(n_1n_2)^2x_2(\beta)x_4(\delta), \beta, \delta \in F_0, \mu \in F^*, \\ &\hspace{15em} \lambda \in F_0^*, \bar{\mu} = \pm\mu. \end{aligned}$$

(II) only when $m \geq 3$ (ξ is a primitive 8-th root in F^*)

$$\begin{aligned} &x_2(-\beta)h_1(\xi)h_2(\lambda)n_2x_2(\beta), \beta \in F_0, \lambda \in F_0^*; \\ &x_4(-\delta)h_1(\xi\lambda)h_2(\lambda)n_1n_2n_1x_4(\delta), \delta \in F_0, \lambda \in F_0^*. \end{aligned}$$

Note that

$$m=2 \iff q \equiv 3 \pmod{8}; \quad m \geq 3 \iff q \equiv -1 \pmod{8}.$$

Now we can show that every involution in (I) is conjugate in $U_4(q)$ to $h_2(-1)$, and every involution in (II) is conjugate in $U_4(q)$ to $h_1(\xi)n_2$.

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