

THE CLOSED IDEALS OF AN INFINITE SEMIFINITE FACTOR

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1. Introduction.

Throughout the paper, H denotes an infinite dimensional complex Hilbert space, $B(H)$ the set of all (bounded, linear) operators on H . Even if it has been a folklore in operator theory to characterize the nonzero closed (two sided) ideals in $B(H)$ ([6], [9], [13]) and the ideal of relatively compact operators in a II_∞ -factor ([1], [2], [7], [8], [14]), there has'nt appeared a unified theory for this characterizing problem, to the best of my knowledge, governing both the cases of I_∞ -factors and II_∞ -factors.

The purpose of the paper is to show that there is such a unified way for characterization of the nonzero closed ideals in an infinite semifinite factor, based on our earlier work [11].

2. Ideals.

LEMMA 1. *Any nonzero left ideal I_* of a von Neumann algebra A contains a nonzero projection.*

Proof. Let $x \in I_*$ and let $x = u|x|$ be the left polar decomposition of x . Since the partial isometry $u \in A$, we see that $|x| = u^*x \in I_*$. We may assume that $|x| \neq 0$ without loss of generality. There is $\varepsilon > 0$ such that $E[\varepsilon, \infty) \neq 0$, where $E(\cdot)$ is the spectral measure of $|x|$. We abbreviate $E[\varepsilon, \infty)$ to e . Then $\text{range}(|x|e) \subset \text{range}(|x|)$. Since the origin of the complex plane is an isolated point of the spectrum $\sigma(|x|e)$, we see that $\text{range}(|x|e)$ is closed. Let p be the nonzero range projection of $|x|e$. Thus $p = |x|y$ for some $y \in A$, by the strengthening ([11] Lemma 1.) of R. G. Douglas result ([4] p. 413 Theorem 1),

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since $\text{range}(p) \subset \text{range}(|x|)$. It follows that $p = y^*|x| \in I_*$.

LEMMA 2. *If I_* is an ideal in a factor A and contains an infinite projection p (relative to A), then I_* contains every finite projections of A .*

Proof. Let q be an arbitrary finite projection of A . Since A is a factor and p is infinite, we see that $q < p$. Hence $q \sim p_1 \leq p$. Since $p_1 = p_1 p \in I_*$, we can easily show that $q \in I_*$.

LEMMA 3. *Let I_* be an ideal in a factor A and contain a nonzero finite projection p of A . Then I_* contains every finite projection q of A .*

Proof. Let p be a nonzero finite projection of A such that $p \in I_*$, and let q be any finite projection of A . If $q < p$, then a similar argument as above Lemma 2, we see that $q \in I_*$. Now, let $p < q$. Let $\{q_i\}_{i \in \Gamma}$ be a maximal family of mutually orthogonal subprojections of q such that $p \sim q_i$, for every $i \in \Gamma$. Since $\sum_{i \in \Gamma} q_i \leq q$ and q is finite, we see that the cardinality $\text{card}(\Gamma)$ of Γ must be finite. Consequently $\sum_{i \in \Gamma} q_i \in I_*$. Now, $p \not\sim q - \sum_{i \in \Gamma} q_i$ by the maximality of $\{q_i\}_{i \in \Gamma}$. Consequently, $q - \sum_{i \in \Gamma} q_i < p$. Again, this implies that $q - \sum_{i \in \Gamma} q_i \in I_*$. Thus, $q = \sum_{i \in \Gamma} q_i + (q - \sum_{i \in \Gamma} q_i) \in I_*$.

THEOREM 1. *If I_* is a nonzero ideal in a factor A , then I_* contains all the finite projections of A .*

Proof. Combine the above lemmas 1, 2 and 3.

DEFINITION 1. Let e be a projection in an infinite semifinite von Neumann algebra A . When e is a finite projection relative to A , we say that e has the *relative finite rank* and denote this fact by the symbol $\text{rank}(e) < \aleph_0$. Now let e be an infinite projection of A and assume further that there exists a family $\{e_i\}_{i \in \Gamma}$ of mutually orthogonal nonzero finite projections in A such that $e = \sum_{i \in \Gamma} e_i$. Then we define the *relative rank* $\text{rank}(e)$ of e by $\text{rank}(e) = \text{card}(\Gamma)$, where $\text{card}(\Gamma)$ is the cardinality of Γ ([11] Definition 1).

By (p. 252 [3] Lemma 6), it is clear that $\text{rank}(e)$ of an infinite projection e in A is well defined independent of the choice of the

expressions $e = \sum_{i \in I} e_i$ and that $\text{rank}(e) \leq \text{rank}(f)$, whenever $e \prec f$, e, f are infinite projections in A .

For $x \in A$, let $l(x)$ denote the left support of x in A that is the range projection of x in A . For every infinite cardinal α , we define $I_\alpha = \{x \in A : \text{rank}(l(x)) < \alpha\}$ and $J_\alpha = \bar{I}_\alpha$, the norm closure of I_α in A ([11] Definition 3).

For an infinite cardinal α the *relative α -topology* T_α on H is defined as the locally convex topology on H , generated by the set of all seminorms p_M of the form

$$x \in H \rightarrow \sup \{ |(x, y)| : y \in M_1 \},$$

where M varies over F_α and F_α is the set of all nonzero closed subspaces of H each of whose range projections belongs to A with the relative $\text{rank} < \alpha$. Here M_1 denotes the unit ball of M ([11] Definition 4).

We put S as the set of all T_α -neighborhoods s of 0 in H such that s is a finite intersection of sets $\{y \in H : p_M(y) < \varepsilon\}$, where M varies over F_α and ε varies over the set of all positive numbers. We regard S as a directed set with respect to the order $s \leq t$, meaning $t \subset s$.

The following is obtained in ([11] Theorem 1).

THEOREM A. *Let A be an infinite semifinite von Neumann algebra, P the set of all projections of A , α an infinite cardinal. The next six statements are pairwise equivalent.*

- (i) $x \in J_\alpha$.
- (ii) If $q \in P$, $q(H) \subset x(H)$, then $\text{rank}(q) < \alpha$.
- (iii) If $p \in P$ and x is bounded below on $P(H)$, then $\text{rank}(p) < \alpha$.
- (iv) For every $\varepsilon > 0$, there exists $p \in P$ such that $\|xp\| \geq \varepsilon$ and $\text{rank}(I-p) < \alpha$.
- (v) $x|_{H_1} : H_1 \rightarrow H$ is norm continuous with respect to T_α on H_1 and the norm on H , where H_1 denotes the unit ball of H .
- (vi) For every norm bounded net $\{\xi_s : s \in S\}$ in H such that $\xi_s \rightarrow 0$ in T_α , we have $x\xi_s \rightarrow 0$ in norm.

It is the main purpose of our paper to show that these J_α 's just constitute the set of all nonzero closed ideals of A when A is an infinite semifinite factor (See Theorem 5). From now on, we only consider the case when A is an infinite semifinite factor.

LEMMA 4. *Let e be an arbitrarily given nonzero finite projection in A . Then there exists an infinite family $\{e_i\}_{i \in I}$ of mutually orthogonal*

nonzero finite projections in A such that $p = \sum_{i \in \Gamma} e_i$ and that $e_i \sim e$ for all $i \in \Gamma$, where p is any given infinite projection in A .

Proof. By comparability of two projections in a factor and Zorn's lemma, one can find a maximal family $\{p_i\}_{i \in \Gamma}$ of nonzero mutually orthogonal finite subprojections of p in A such that $p_i \sim e$ for all $i \in \Gamma$. We write $f = p - \sum_{i \in \Gamma} p_i$. Then $f(\sum_{i \in \Gamma} p_i) = 0$ and $f < p_i$, for all $i \in \Gamma$, by the maximality of $\{p_i\}_{i \in \Gamma}$. By the same proof of (II) in ([15] pp. 97-98), we see that there exists a family $\{e_i\}_{i \in \Gamma}$ of nonzero finite mutually orthogonal subprojections e_i of p such that $p = \sum_{i \in \Gamma} e_i$ and that $e_i \sim e$ for all $i \in \Gamma$.

DEFINITION 2. (An analogue to Definition 6.2 in [13] p. 604) Let A be an infinite semifinite factor and let I_* be a nonzero ideal of A . The (relative) height $h(I_*)$ of I_* is defined by

$$h(I_*) = \sup \{ \text{rank}(p) : p \in P \},$$

when I_* contains at least one infinite projection of A , and

$$h(I_*) = \aleph_0,$$

when I_* does not contain any infinite projection of A (See Theorem 1). We call that $h(I_*)$ is *accessible* if there is an infinite projection $p \in P$ in A such that $\text{rank}(p) = h(I_*)$. Otherwise, the height $h(I_*)$ is called *inaccessible*.

If the height $h(I_*)$ is inaccessible, then it is immediate to see that $h(I_*)$ is a limit cardinal (p. 604 [13]).

LEMMA 5. If p and q are projections in A such that $\text{rank}(p) = \text{rank}(q) \geq \aleph_0$, then $p \sim q$.

Proof. We fix a nonzero finite projection e in A . By Lemma 4, there exist two families $\{e_i\}_{i \in \Gamma}$ and $\{f_i\}_{i \in \Gamma}$ each of which consists of nonzero finite mutually orthogonal projections such that $e_i \sim e \sim f_i$ for all $i \in \Gamma$ and that $p = \sum_{i \in \Gamma} e_i$, $q = \sum_{i \in \Gamma} f_i$. Consequently, $p \sim q$.

LEMMA 6. Let I_* be a nonzero ideal of A . Let p be an infinite projection in A such that $p \in I_*$. Then for any projection q in A such that $\text{rank}(q) \leq \text{rank}(p)$, we have that $q \in I_*$ (cf. p. 604 [13] Lemma 6.1)

Proof By Lemma 2, we may assume that q is an infinite projection. If $q < p$, then by a similar argument as the proof of Lemma 2, one

sees that $q \in I_*$. Let $p < q$, then $\text{rank}(p) \leq \text{rank}(q)$. Hence $\text{rank}(p) = \text{rank}(q)$ with the aid of the hypothesis of the present lemma. Lemma 5 then implies that $p \sim q$.

THEOREM 2. *Let I_* be a two sided ideal of A and let $\beta = h(I_*) \geq \aleph_0$. If the height $h(I_*)$ is accessible, then $I_* = J_{\beta+1}$ (cf. Theorem 6.2 [13] p. 604)*

Proof. Let $x \in I_*$. We want to apply (ii) \rightarrow (i) of Theorem A. Assume that $p \in P$ be any projection in A satisfying $p(H) \subset x(H)$. Then $\text{rank}(p) \leq h(I_*) = \beta < \beta + 1$, noticing $p \in I_*$ ([11] Lemma 1). By (ii) \rightarrow (i) of Theorem A, we see that $x \in J_{\beta+1}$. Conversely, let $x \in J_{\beta+1}$. Then there is a sequence: $\{x_n\} \subset I_{\beta+1}$ such that $\|x_n - x\| \rightarrow 0$. Thus, $\text{range}(x) \subset \bigvee_{n=1}^{\infty} \overline{\text{range}(x_n)}$, the closed linear span of $\text{range}(x_n)$'s. On the other hand $\text{rank}(l(x_n)) < \beta + 1$, that is, $\text{rank}(l(x_n)) \leq \beta$, for every n . It is easy to see that the relative rank of the projection whose range is $\bigvee_{n=1}^{\infty} \text{range}(x_n)$ is $\leq \aleph_0 \beta = \beta$. Consequently, $\text{rank}(l(x)) \leq \beta < \beta + 1$. Since $h(I_*)$ is accessible, there is $p \in P \cap I_*$ such that $\beta = \text{rank}(p)$. Since $\text{rank}(l(x)) \leq \beta = \text{rank}(p)$, we see that $l(x) \in I_*$ by Lemma 6. Hence $x = l(x)x \in I_*$.

THEOREM 3. *Let I_* be an ideal of A . Let $\beta = h(I_*)$ be an infinite cardinal which is also inaccessible, then β is a limit cardinal number and $I_\beta \subset I_* \subset J_\beta$ (cf. Theorem 6.3 [13] p. 605).*

Proof. If $x \in I_\beta$, then $\text{rank}(l(x)) < \beta$. As we already mentioned that an inaccessible $h(I_*) (= \beta)$ is a limit cardinal, there exists a cardinal β_1 such that $\text{rank}(l(x)) < \beta_1 < \beta$. By definition of $h(I_*)$, there is $p \in P \cap I_*$ such that $\beta_1 \leq \text{rank}(p)$. Hence $\text{rank}(l(x)) < \text{rank}(p)$. If β_1 is an infinite cardinal so is $\text{rank}(p)$. Even if β_1 is a finite cardinal, it can be taken arbitrarily large. Hence, again $\text{rank}(p)$ is an infinite cardinal. Thus, by Lemma 6, $x \in I_*$.

Now let $x \in I_*$. For any $p \in P$ with $p(H) \subset \text{range}(x)$, we see that $p \in I_*$ by Lemma 1 of [11]. Then $\text{rank}(p) < h(I_*)$ by the inaccessibility of $h(I_*)$. Hence $\text{rank}(p) < \beta$. By (ii) \rightarrow (i) of Theorem A, we see that $x \in J_\beta$.

THEOREM 4. *Let $\beta \geq \aleph_0$ be a cardinal number. Let β be not a limit cardinal number. Then $I_\beta = J_\beta$ (cf. Theorem 6.4 [13] p. 605).*

Proof. $h(I_\beta) \leq \beta$. Note that $\text{rank}(p) \neq \beta$, for any $p \in I_\beta \cap P$. Thus $\text{rank}(p) \leq \beta - 1$, since β is not a limit cardinal. On the other hand one can easily show that there is $q \in P \cap I_\beta$ such that $\text{rank}(q) = \beta - 1$. Consequently $h(I_\beta) = \beta - 1$. By Theorem 2, $I_\beta = J_{(\beta-1)+1} = J_\beta$.

THEOREM 5. *Let J be a nonzero closed ideal in an infinite semifinite factor A . Then there is a cardinal number α ; $\aleph_0 \leq \alpha \leq h+1$, where $h = \text{rank}(I)$ (See Lemma 4) such that $J = J_\alpha$ (cf. Corollary 6.2 [13] p. 605).*

Proof. Let us put $\beta = h(J)$. Then $\beta \geq \aleph_0$ by Theorem 1 and Definition 2. When $h(J)$ is accessible, we get $J = J_{\beta+1}$ by Theorem 2. When $h(J)$ is inaccessible, $I_\beta \subset J \subset J_\beta$, by Theorem 3. Thus $J = \bar{I}_\beta = J_\beta$.

REMARK. In [12], the set of selfcommutators in an infinite semifinite factor has been characterized by introducing the notion of weighted spectra with respect to J_h , $h = \text{rank}(I)$ (cf. [5]).

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