

DUALIZING COMPLEXES OF SOME 1-DIMENSIONAL LOCAL RINGS

JONG YOULL PARK

The object of this paper is to obtain a Dualizing Complexes of some subrings of $k[[x]]$ where k is an infinite field.

First we study their Local Cohomology [Th 2-1], [Th 4-3] and Multiplicity [Th 3-2].

Secondly we obtain their Dualizing Complexes in the concrete form [Th 4-2]. The rings we consider are of the form $A=k[[x^{e_1}, x^{e_2}, \dots, x^{e_n}]] \subset R=k[[x]]$ where e_i is an integer such that $0 < e_1 < e_2 < \dots < e_n$, $(e_1, e_2, \dots, e_n) = 1$.

The author wishes to express his sincere thanks to Professor H. Matsumura for many invaluable conversations and encouragement.

1. Introduction

We shall recall the definitions and some properties of the Local Cohomology, the Dualizing Complex and the Matlis Duality which are used in this paper.

Let A be a noetherian local ring with maximal ideal m and M be a finitely generated A -module. Let

$$L_m(M) = \{x \in M \mid m^k x = 0 \text{ for some } k\}.$$

Then L_m is a left exact functor.

Let H_m^i be the i -th right derived functor of L_m , then

$$H_m^i(M) = \lim_{\substack{\longrightarrow \\ \nu}} \text{Ext}_A^i(A/m^\nu, M). \quad [10, \text{ p. 428}]$$

This $H_m^i(M)$ is called the i -th Local Cohomology module of the A -module M . Also it can be defined in the usual way taking an injective resolution I^* :

Received

This research is supported by Korea Science and Engineering Foundation Grant 1983.

$$H_m^i(M) = H^i(L_m(I^\bullet)). \quad [9, \text{ p. 76}]$$

A Dualizing Complex for A is an injective complex I^\bullet in $\mathcal{Y}_c^b(A)$ [that is, I^\bullet is bounded and all its cohomology modules are finitely generated] with the following property: whenever X^\bullet is a complex in $\mathcal{Y}_c^b(A)$ then

$$\theta^\bullet : X^\bullet \longrightarrow \text{Hom}_A(\text{Hom}_A(X^\bullet, I^\bullet), I^\bullet)$$

is a quasi-isomorphism. [12, p. 372]

According to [12, p. 378], an injective complex $I^\bullet \in \mathcal{Y}_c^b(A)$ is a Dualizing Complex for A iff the morphism of complexes

$$\alpha^\bullet : A \longrightarrow \text{Hom}_A(I^\bullet, I^\bullet)$$

is a quasi-isomorphism.

If I^\bullet is a Dualizing Complex for A as in [13, p. 201] we define $t(m; I^\bullet)$ to be the unique integer t for which

$$H^t(\text{Hom}_A(k, I^\bullet)) \neq 0 \text{ where } k = A/m.$$

Then m occurs only in I^t .

The injective envelope of $k = A/m$ over A is denoted by $E_A(k)$. Let D denote the Matlis Duality functor:

$$D(-) = \text{Hom}_A(-, E_A(k)).$$

If A is a complete noetherian local ring and M is a finitely generated A -module then $DD(M) = M$. And if A is a noetherian local ring and M is an Artinian A -module then also $DD(M) = M$. [5, p. 528]

If M is a finitely generated A -module and I^\bullet is a Dualizing Complex for A then all the cohomology modules of the complex $H_A(M, I^\bullet)$ are finitely generated. [12, p. 375] So $D(H^i(\text{Hom}_A(M, I^\bullet)))$ is Artinian. And if M is a finitely generated A -module then the Local Cohomology modules $H_m^i(M)$ are Artinian. [4, p. 201]

There is some relation between these two Artinian A -modules.

PROPOSITION 1.1. *Let I^\bullet be a arbitrary Dualizing Complex for A . Let $t = t(m; I^\bullet)$ and M be a finitely generated A -module. Then*

$$H_m^i(M) \cong D(H^{t-i}(\text{Hom}_A(M, I^\bullet)))$$

for each $i \geq 0$. [2, p. 436]

Let $R = k[[x_1, x_2, \dots, x_n]]$ be a formal power series ring over a field

k and $E = k[x_1^{-1}, x_2^{-1}, \dots, x_n^{-1}]$.

We can define the scalar product:

$$(x_1^{\alpha_1} \dots x_n^{\alpha_n})(x_1^{-\beta_1} \dots x_n^{-\beta_n}) = \begin{cases} 0 & \text{if some } \alpha_i > \beta_i \\ \bar{x}_1^{-(\beta_1 - \alpha_1)} \dots x_n^{-(\beta_n - \alpha_n)} & \text{otherwise.} \end{cases}$$

Then E is an R -module. Also we may consider k as an R -module. Then E is the injective envelope of k over R . [8, p. 292]

2. 1-dimensional local domain

Let A be a integral domain. Then the injective envelope of A is the quotient field of A .

If R is a 1-dimensional Gorenstein local domain then R has an injective resolution;

$$0 \longrightarrow R \longrightarrow K \longrightarrow E_R(k) \longrightarrow 0$$

where K is the quotient field of R and $E_R(k)$ is the injective envelope of the residue field k over R . [1, p. 10]

Consider the subring $A = k[[x^{e_1}, x^{e_2}, \dots, x^{e_n}]]$ of $R = k[[x]]$, where $0 < e_1 < e_2 < \dots < e_n$, $(e_1, e_2, \dots, e_n) = 1$.

R is integral over A and the quotient field of them are equal because $(e_1, e_2, \dots, e_n) = 1$.

The injective envelope of k over R is

$$E_R(k) = k[x^{-1}] = kf_0 + kf_1 + kf_2 + \dots$$

where $f_i = 1/x^{i+1} \pmod R$.

THEOREM 2.1. *Let (A, m, k) be a 1-dimensional noetherian local domain. Then the Local Cohomology of A is $H_m^1(A) = K/A$ where K is the quotient field of R . If A is Gorenstein then $H_m^1(A) = E_A(k)$.*

Proof. Let

$$J \cdot : 0 \longrightarrow A \longrightarrow J^0 \longrightarrow J^1 \xrightarrow{\partial_1} J^2 \longrightarrow \dots$$

be a minimal injective resolution of A . Then

$$J^0 = K, \quad J^1 = E_A(K/A).$$

Applying the Local Cohomology functor L_m :

$$L_m(J \cdot) : 0 \longrightarrow L_m(K) \longrightarrow L_m(J^1) \xrightarrow{L_m(\partial_1)} L_m(J^2) \longrightarrow \dots$$

It is clear from the definition that $L_m(K) = 0$ and since A is 1-dimensional local domain any non-zero ideal is \mathcal{M} -primary, hence $K/A = L_m(K/A) \subset L_m(J_1)$. So, $H_m^1(A) = H^1(L_m(J_1)) = \ker(L_m(\partial_1)) = K/A$.

If A is Gorenstein then by [11, p. 185] $H_m^1(A) = E_A(k)$.

3. Multiplicity of $k[[x^{e_1}, x^{e_2}, \dots, x^{e_n}]]$

LEMMA 3.1. *Let e_1, e_2, \dots, e_n be positive integers such that $e_1 < e_2 < \dots < e_n$, $(e_1, e_2, \dots, e_n) = 1$.*

Let S be the semi-group generated by $\{e_1, e_2, \dots, e_n\}$.

Then there is a large integer n such that S contains all integers larger than n .

Proof. Since $(e_1, e_2, \dots, e_n) = 1$ there exist integers n_1, n_2, \dots, n_n such that $n_1 e_1 + n_2 e_2 + \dots + n_n e_n = 1$.

Put $\Gamma = \{i \mid n_i < 0\}$, then $\sum_{i \in \Gamma} n_i e_i = 1 + \sum_{i \in \Gamma} (-n_i) e_i$.

Let $P = \sum_{i \in \Gamma} (-n_i) e_i$. then $p, p+1 \in S$.

It follows that $\{n \in \mathbb{N} \mid n \geq e_1 p\} \subset S$.

Let (A, m) be a d -dimensional local ring, M be a finitely generated A -module and q be an m -primary ideal. Then the Hilbert polynomial of M is

$$\chi_M^q(n) = \frac{e}{d!} n^d + (\text{lower terms}), \quad e \in \mathbb{N}.$$

This e is denoted by $e(q, M)$ and called the Multiplicity of q . [15, p. 129]

THEOREM 3.2. *Let $A = k[[x^{e_1}, x^{e_2}, \dots, x^{e_n}]]$, $0 < e_1 < e_2 < \dots < e_n$, $(e_1, e_2, \dots, e_n) = 1$ Then $e(A) = e(m, A) = e_1$ where m is the maximal ideal of A .*

Proof. The maximal ideal of A is $m = (x^{e_1}, x^{e_2}, \dots, x^{e_n})$.

Let $S_p = \{\sum_{i=1}^n a_i e_i \mid a_i \geq 0, \sum_{i=1}^n a_i \geq p\}$. Then $S_{p+1} = \bigcup_{i=1}^n (S_p + e_i)$.

So $S_{p+1} \supset S_p + e_1$.

If λ_p be the number of elements of the set $(\mathbb{N} + e_1 p) - S_p$ then $\{\lambda_p\}$ is a decreasing sequence in the non-negative integers. So it must be stationary. There exists $n > 0$ such that $\lambda_n = \lambda_{n+1}$ Consequently $S_{n+1} =$

$S_n + e_1$.

So $x^{e_1}m^n = m^{n+1}$. This means that (x^{e_1}) is a reduction ideal of m . So

$$e(m) = e((x^{e_1})). \quad [7, \text{ p. 146}]$$

Since A is a Cohen-Macaulay ring,

$$e((x^{e_1})) = l(A/x^{e_1}) \text{ where } l(A/x^{e_1}) \text{ is the length of } A/x^{e_1}.$$

Let S be the semi-group generated by $\{e_1, e_2, \dots, e_n\}$. Then $l(A/(x^{e_1}))$ is the number of elements of the set $S - (S + e_1)$.

Now we claim that the number of elements of $S - (S + e_1)$ is e_1 .

Define a mapping γ from $\{0, 1, \dots, e_1 - 1\}$ to $S - (S + e_1)$ by

$$\gamma(i) = \min \{x \in S \mid x \equiv i \pmod{e_1}\}$$

for any $i \in \{0, 1, \dots, e_1 - 1\}$.

For p in [Lemma 3-1], assume $e_1(p - j) + i \in S$ and $e_1(p - j - 1) + i \notin S$ for $0 \leq j < p$, then $\{x \in S \mid x - e_1 \in S, x \equiv i \pmod{e_1}\} \neq \emptyset$.

For any $x \in S - (S + e_1) : x \in S, x - e_1 \notin S, x \equiv i \pmod{e_1}$ for $0 \leq i \leq e_1 - 1$.

Assume $x \neq \gamma(i)$, then $x > \gamma(i)$. So $x - \gamma(i) = pe_1 (p > 0)$.

$$x - e_1 = \gamma(i) + (p - 1)e_1 \in S.$$

This is the contradiction to $x - e_1 \notin S$. So $x = \gamma(i)$.

Consequently, γ is one-to-one correspondence.

Hence the number of elements of the set $S - (S + e_1)$ is e_1 .

By [Lemma 3-1], S has a tail, that is, there is an element $t = \sup(\mathbf{N} - S)$. S is called symmetric if S satisfies the property:

$$s \in S \text{ iff } t - s \in S.$$

PROPOSITION 3-3. *The subring $k[[x^{e_1}, x^{e_2}, \dots, x^{e_n}]]$ is Gorenstein iff the semi-group S is symmetric.* [3, p. 749]

4. Dualizing Complex for $k[[x^{e_1}, x^{e_2}, \dots, x^{e_n}]]$

Let (A, m, k) be a local ring. If an A -module F is an essential extension of k over A and E is the injective envelope of F over A , then E is also the injective envelope of k over A .

Let $B_i = \{\xi \in F \mid m^i \cdot \xi = 0\}$. If $l(B_i) = l(A/m^i)$ for all i , then $F = E$. This follows from [5, Th 3-10, p. 524].

THEOREM 4.1. *Let $A = k[[x^{e_1}, x^{e_2}, \dots, x^{e_n}]] \subset R = k[[x]]$. Let S be the*

semi-group generated by $\{e_1, e_2, \dots, e_n\}$, and $\mathbf{N}-S = \{\beta_1, \beta_2, \dots, \beta_\lambda\}$. Set $N = \sum_{i=1}^{\lambda} kf_{\beta_i}$ in the injective envelope of $E_R(k)$ of k over R . Then $F = E_R(k)/N$ is an injective envelope of k over A .

Proof. $E_R(k) = k[x^{-1}] = K/R = kf_0 + kf_1 + \dots$ where $f_i \equiv 1/x^{i+1} \pmod{R}$. $F = E_R(k)/N = \sum_{i \in S} kf_i$. For any x^{e_i} ($i=1, 2, 3, \dots, n$) and f_{β_j} ($j=1, 2, \dots, \lambda$)

$$f_{\beta_j} \cdot x^{e_i} = f_{\beta_j - e_i}$$

Suppose $\beta_j - e_i \notin \mathbf{Z}-S$ for some i, j then $\beta_j - e_i \in S$. So $\beta_j \in S$, a contradiction. So $\beta_j - e_i \in \mathbf{Z}-S$. This implies that N is an A -module. So F is a A -module.

Now k is a submodule of F since k is isomorphic to $k\bar{f}_0$. For any non-zero element $\xi \in F$

$$\xi = a_0\bar{f}_0 + \dots + a_n\bar{f}_n$$

where $a_i \in A$, $n \notin \{\beta_1, \beta_2, \dots, \beta_\lambda\}$.

So x^n is an element of A and satisfies $x^n\xi = a_n\bar{f}_0 \in k\bar{f}_0$, $x^n\xi \neq 0$. It follows that F is an essential extension on k over A .

Next we claim that

$$l(B_n) = l(A/m^n) \text{ for all } n > 0$$

where $B_n = \{\xi \in F \mid m^n \cdot \xi = 0\}$.

Let $S_p = \{\sum_{i=1}^n a_i e_i \mid a_i \geq 0, \sum a_i \geq p\}$ as in [Th. 3-2]. Then

$$A/m^n = \sum_{j \in S - S_n} kx^j.$$

And $B_n = \sum_{i \in S - S_n} k\bar{f}_i$ by some calculation. That is $l(A/m^n) = l(B_n)$. So F is the injective envelope of k over A .

THEOREM 4.2. *Assumption is the same as in [Th. 4-1] and let $K = Q(A)$. Then*

$$I^* : 0 \longrightarrow K \longrightarrow E_A(k) \longrightarrow 0$$

is a Dualizing Complex for A .

Proof. From [Th. 4-1], we get an exact sequence:

$$0 \longrightarrow N \longrightarrow E_R(k) \longrightarrow E_A(k) \longrightarrow 0.$$

Now we have the following commutative diagram:

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \downarrow & & \\
 & & \phi^{-1}(N) & \rightarrow & N & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \rightarrow & R & \rightarrow & K & \xrightarrow{\phi} & E_R(k) \rightarrow 0 \\
 & & & & \parallel & \searrow & \downarrow g \\
 I^\cdot : & & 0 & \rightarrow & K & \xrightarrow{\partial} & E_A(k) \rightarrow 0 \\
 & & & & & & \downarrow \\
 & & & & & & 0
 \end{array}$$

where ∂ is defined by $g \circ \phi$. Then I^\cdot is a bounded injective complex and $H^1(I^\cdot) = 0, H^0(I^\cdot) \cong \phi^{-1}(N) = R + \sum_{i=1}^l kf_{\beta_i}$, hence I^\cdot has finitely generated cohomology.

It remains to prove that

$$\alpha^\cdot : A \longrightarrow \text{Hom}_A(I^\cdot, I^\cdot)$$

is a quasi-isomorphism.

In the same way in [12, p. 375], we consider the following diagram:

$$\begin{array}{ccccc}
 0 & \longrightarrow & A & \longrightarrow & 0 \\
 \downarrow & & \downarrow \alpha_0 & & \downarrow \\
 0 & \longrightarrow & \text{Hom}_A(E, E) \oplus \text{Hom}_A(K, K) & \xrightarrow{\partial_F} & \text{Hom}_A(K, E) \longrightarrow 0
 \end{array}$$

where $\alpha_0(a) = (a, a)$ for any $a \in A$, and

$$\partial_F(\phi, \psi) = \phi \cdot \partial + (-1)^1 \partial \cdot \psi \text{ for any } \phi \in \text{Hom}_A(E, E), \psi \in \text{Hom}_A(K, K).$$

Here, $\text{Hom}_A(E, E) \cong A$ [5, p. 522]

$$\text{Hom}_A(K, K) \cong K$$

$$\text{Hom}_A(K, E) \cong K. \text{ [6, p. 575]}$$

So, $\ker(\partial_F) = \{(a, a) \in A \oplus K \mid a \in A\} \cong A$

$$\partial_F(a, b) = a - b \text{ for any } a \in A, b \in K.$$

So ∂_F is surjective. It follows that α^\cdot is a quasi-isomorphism.

THEOREM 4.3. *Let the assumption be the same [Th. 4-1]. Then the Local Cohomology $H_m^1(A) \cong K/A$ satisfies $H_m^1(A) \cong D(H^0(I^\cdot))$, and $H^0(I^\cdot) \cong R + N'$ where $N' = \sum_{i \in N-S} k \cdot 1/x^{i+1}$. If A is Gorenstein, then*

$$H^0(I^\cdot) \cong A.$$

Proof. By [Proposition 1.1], $t=t(m; I^\cdot)=1$.

$$H_m^1(A) \cong D(H^0(I^\cdot))$$

where $H^0(I^\cdot) = \ker \partial = R + N'$.

If A is Gorenstein, then by [Th. 2-1],

$$H^0(I^\cdot) \cong D(H_m^1(A)) = \text{Hom}_A(E, E) \cong A.$$

5. Examples

Consider the subrings $A = k[[x^4, x^5]]$, $B = k[[x^3, x^5, x^7]]$ of $R = k[[x]]$.

By using [Proposition 3-3],

A is Gorenstein for $S_A = \{0, (), (), (), 4, 5, (), (), 8, 9, 10, (), 12, 13, \dots\}$ is symmetric. Take $N = kf_1 + kf_2 + kf_3 + kf_6 + kf_7 + kf_{11}$, a A -submodule of the injective envelope of k over R . Then $H_m^1(A) \cong E_A(k) = E_R(k)/N = k\bar{f}_0 + k\bar{f}_4 + k\bar{f}_5 + k\bar{f}_8 + k\bar{f}_9 + k\bar{f}_{10} + k\bar{f}_{12} + \dots$

and $H^0(I^\cdot) \cong R + N'$ [Th. 4-3]

$$\begin{aligned} &= R + kx^{-2} + kx^{-3} + kx^{-4} + kx^{-7} + kx^{-8} + kx^{-12}. \\ &\cong k + kx^4 + kx^5 + kx^8 + kx^9 + kx^{10} + x^{12}R. \\ &\cong A. \end{aligned}$$

B is not Gorenstein for $S_B = \{0, (), (), 3, (), 5, 6, 7, \dots\}$ is not symmetric. Take $N = kf_1 + kf_2 + kf_4$, a B -submodule of the injective envelope of k over R . Then $H_m^1(B) \cong K/B \cong D(H^0(I^\cdot))$, where $H^0(I^\cdot) \cong R + N' = R + kx^{-2} + kx^{-3} + kx^{-5}$.

References

1. H. Bass, *On the ubiquity of Gorenstein rings*, Math. Z, **82** (1963), 8-28.
2. M.H. Bijan-Zadeh and R.Y. Sharp, *On Grothendick's local duality theorem*, Math. Proc. Camb. Phil. **85** (1979), 431-437.
3. E. Kunz, *The value-semigroup of a one-dimensional Gorenstein ring*, Proc. Am. Math. Soc, **25** (1970), 748-751.
4. I.G. Macdonald and R.Y. Sharp, *An elementary proof of the non-vanishing of certain local cohomology modules*, Quart. J. Math. Oxford (2), **23** (1972), 197-204.
5. E. Matlis, *Injective modules over noetherian rings*, Pacific J. Math, **8** (1958), 511-528.

6. _____, *Some properties of noetherian domains of dimension one*, Can. J. Math, **13**(1961), 569-586.
7. D.G. Northcott, D. Rees, *Reduction of ideals in local rings*, Proc. Camb. Phil. Soc, **50** (1954), 145-158.
8. _____, *Injective envelope and inverse polynomials*, J. Lon. Math. Soc. (2), **8** (1974), 290-296.
9. P. Roberts, *Homological invariants of modules over commutative rings*, Seminaire de Math. Super. Dep. of Univ. de Montréal, **72** (1980).
10. R. Y. Sharp, *Local cohomology theory in commutative algebra*, Quart. J. Math. Oxford (2), **21**(1971), 423-434.
11. _____, *Some results on the vanishing of local cohomology modules*, Proc. Lon. Math. Soc (3), **30** (1975), 177-195.
12. _____, *Dualizing complexes for commutative noetherian rings*, Math. Proc. Camb. Phil. Soc. **78** (1975), p. 369-386.
13. _____, *A commutative noetherian ring which possesses a dualizing complex is acceptable*, Math. Proc. Camb. Phil. Soc. **82** (1977).
14. D. W. Sharpe and P. Vámos, *Injective modules*, Camb. Tracts in Math **62** (1972).
15. 松村英之, 可換環論, 共立講座, 現代数学, **4** (1980), 日本語版.

Chonnam National University
Kwangju 500, Korea