

## A Study on Interpolating Sequences

by In-seon Hwang

### 1. Introduction

Let  $H^\infty$  be the Banach algebra of bounded analytic functions on the unit disc  $D$  in the complex plane. We denote by  $M(H^\infty)$  the set of complex homomorphisms of  $H^\infty$ .  $M(H^\infty)$  is called the maximal ideal space of  $H^\infty$ . It is well known [5, p.268] that  $M(H^\infty)$  is a compact Hausdorff space with respect to the weak star topology, and  $\|m\| = m(1) = 1$  for each complex homomorphism  $m$  in  $M(H^\infty)$ .

Writing

$$\hat{f}(m) = m(f), \quad f \in H^\infty, m \in M(H^\infty),$$

we have a homomorphism  $f \rightarrow \hat{f}$  from  $H^\infty$  into  $C(M(H^\infty))$ , the algebra of continuous complex-valued functions on  $M(H^\infty)$ . This homomorphism is called the Gelfand transform. Let  $\Delta$  be the set of point evaluations, that is, the complex homomorphisms  $m_\lambda$  in  $M(H^\infty)$  defined by  $m_\lambda(f) = f(\lambda)$ , and let  $Z$  be the identity function on  $D$ . Then it follows a theorem [4, p.160] that  $\hat{Z}$  maps  $\Delta$  homeomorphically onto  $D$ .

A function of the form

$$B(Z) = Z^k \prod_{n=1}^{\infty} \frac{|Z_n|}{Z_n} \frac{Z_n - Z}{1 - \overline{Z_n}Z}$$

is called a Blaschke product. Here  $k$  is a nonnegative integer and  $\{Z_n\}$  is a sequence in  $D$  such that  $Z_n \neq 0$  and  $\sum (1 - |Z_n|) < \infty$ .  $B(Z)$  converges uniformly on compact subsets of  $D$  and is bounded in modulus by 1 and so is an element of  $H^\infty$  with sup norm 1 [6, p.333].

A sequence  $\{Z_n\}$  in  $D$  is called an interpolating sequence if, for every bounded sequence of complex numbers  $\{W_n\}$ , there exists a function  $f$  in  $H^\infty$  such that  $f(Z_n) = W_n$  for every  $n$ .

A geometric characterization of interpolating sequences is expressed in terms of the pseudo-hyperbolic metric  $\rho$ , defined by

$$\rho(a, b) = \left| \frac{a-b}{1-\overline{a}b} \right|, \quad a, b \in D.$$

Carleson's interpolation theorem [1] states that a sequence  $\{Z_n\}$  in  $D$  is an interpolating sequence if and only if

$$\inf_n \prod_{k \neq n} \rho(Z_n, Z_k) > 0$$

In this paper, we consider the hull of sequences in  $M(H^\infty)$ , and investigate the relationship between the maximal ideal space of  $H^\infty$  and interpolating sequences.

## 2. Main results

Let  $S = \{m_k\}$  be a sequence of distinct points in  $M(H^\infty)$ . By the hull of  $S$ , we mean the set of all points  $m$  in  $M(H^\infty)$  such that  $\hat{f}(m) = 0$  for every  $\hat{f}$  which vanishes on  $S$ .

**Proposition 1.** *If  $S = \{Z_k\}$  is a sequence of distinct points in  $D$ , then the following statements are equivalent.*

(a)  $\text{hull}(S) = \bar{S}$ .

(b) If  $B$  is a Blaschke product with zeros  $\{Z_k\}$ , then every zero of  $\hat{B}$  on  $M(H^\infty)$  is in  $\bar{S}$ .

**Proof.** First, suppose that (a) holds, and that  $f = 0$  on  $S$ . Put  $f = Bg$ . If  $\hat{B}(m) = 0$ , then  $\hat{f}(m) = \hat{B}(m)\hat{g}(m) = 0$ . Hence  $m$  belongs to  $\text{hull}(S) = \bar{S}$ .

We now show that (b) implies (a). It is clear that  $\bar{S} \subset \text{hull}(S)$ . Suppose  $m \in \text{hull}(S)$ . Since  $B = 0$  on  $S$ , it follows that  $\hat{B}(m) = 0$ ; hence,  $m \in \bar{S}$ , by (b). Consequently, we have  $\text{hull}(S) = \bar{S}$ .

Let  $X$  be a compact Hausdorff space. For each  $x$  in  $X$  we define the complex function  $m_x$  on  $C(X)$  by  $m_x(f) = f(x)$  for every  $f$  in  $C(X)$ . It is immediate that  $m_x$  is in  $M(C(X))$ , the maximal ideal space of the Banach algebra  $C(X)$ .

**Lemma 2.** *Let  $\varphi$  denote the mapping from  $X$  to  $M(C(X))$  defined by  $\varphi(x) = m_x$ . Then  $\varphi$  defines a homeomorphism from  $X$  onto  $M(C(X))$ , where  $M(C(X))$  is given the relative weak star topology on  $C(X)^*$ .*

**Proof.** See [2, p. 33].

Let  $l^\infty$  denote the space of bounded complex sequences. With the norm  $\|x\| = \sup |x_n|$  and with the pointwise multiplication  $(xy)_n = x_n y_n$ ,  $l^\infty$  is a Banach algebra. The maximal ideal space of  $l^\infty$  has the special name  $\beta N$ , the Stone-Čech compactification of the positive integers  $N$ . The Stone-Čech compactification  $\beta N$  can be

**Lemma 3.** *Let  $X$  be a compact Hausdorff space and let  $\tau : N \rightarrow X$  be a continuous mapping. Then the mapping  $\tau$  has a unique continuous extension  $\tau : \beta N \rightarrow X$ . If  $\tau(N)$  is dense in  $X$  and if the images of disjoint subsets of  $N$  have disjoint closures in  $X$ , then the extension  $\tau$  is a homeomorphism of  $\beta N$  onto  $X$ .*

**Proof.** See [3, p. 186].

**Theorem 4.** *If  $S = \{Z_k\}$  is a sequence of distinct points in the open unit disc  $D$ , then the following statements are equivalent.*

(a)  $S$  is an interpolating sequence.

(b) Every idempotent sequence can be interpolated.

(c)  $\bar{S}$  in  $M(H^\infty)$  is homeomorphic to the Stone-Čech compactification of the positive integers, and if  $B$  is the Blaschke product with zeros  $\{Z_k\}$ , then every zero of  $\hat{B}$  on  $M(H^\infty)$  is in  $\bar{S}$ .

**Proof.** First, we shall suppose that (a) holds and let  $W = \{W_k\}$  be an idempotent sequence. Then  $H^\infty|_S = l^\infty$  and  $W \in l^\infty$ . Hence, there exists a function  $f$  in  $H^\infty$  such that  $f(Z_k) = W_k$  for all  $k$ . This proves that condition (a) implies (b).

We shall show that (b) implies (a). We note that  $\hat{H}^\infty|_S$  is a complex linear subalgebra of  $l^\infty$  which contains every idempotent in  $l^\infty$ . Let  $I$  be the closed ideal consisting of those elements  $f$  in  $H^\infty$  such that  $\hat{f} = 0$  on  $S$ . Then  $f + I \mapsto \hat{f}|_S$  is an isomorphism between the quotient algebra

$H^\infty/I$  and the algebra  $\hat{H}^\infty|S$ . The standard quotient norm on  $H^\infty/I$  is a Banach algebra norm; hence  $\|\hat{f}|S\| = \|f+I\|$  is a Banach algebra norm on  $\hat{H}^\infty|S$ . Consequently, we have  $\hat{H}^\infty|S = l^\infty$ . Hence (b) implies (a).

We now prove that (a) implies (c). Since  $H^\infty|S = l^\infty$ , it follows that every bounded function on the sequence  $S$  is the restriction to  $S$  of a continuous function  $\hat{f}$ ; hence each  $Z_k$  is isolated from the points  $Z_j$ ,  $j \neq k$ . In other words,  $S$  is discrete as a topological subspace of  $M(H^\infty)$ . Therefore,  $S$  is homeomorphic to the positive integers. Each bounded function on  $S$  has a continuous extension to  $\bar{S}$ , by (a). The functions  $\hat{f}, f$  in  $H^\infty$ , separate the points of  $\bar{S}$ , since they separate the points of  $M(H^\infty)$ . Since  $S$  is dense in  $\bar{S}$ , it follows from Lemma 3 that  $\bar{S}$  is homeomorphic to the Stone-Čech compactification of the positive integers. For any  $m$  in the hull of  $S$ , the mapping  $\sigma: \hat{f}|S \rightarrow f(m)$  defines a complex homomorphism of the algebra  $\hat{H}^\infty|S$ . But  $\hat{H}^\infty|S = l^\infty$ , which is isomorphic to the algebra  $C(\bar{S})$  of all continuous functions on  $\bar{S}$ . Therefore,  $\sigma$  belongs to the algebra  $C(\bar{S})$ . Hence, by Lemma 2,  $\sigma$  is evaluation at a point of  $\bar{S}$ , that is,  $m$  is in  $\bar{S}$ . We conclude that  $\bar{S} = \text{hull}(S)$ . So, by Proposition 1, every zero of  $\hat{B}$  on  $M(H^\infty)$  is in  $\bar{S}$ .

To prove that (c) implies (b), let  $I$  be the closed ideal consisting those elements  $f$  in  $H^\infty$  such that  $\hat{f} = 0$  on  $S$ . Then  $f+I \mapsto \hat{f}|S$  is an isomorphism between  $H^\infty/I$  and  $H^\infty|S$ . Hence  $\hat{H}^\infty|S$  is a commutative Banach algebra, using the norm inherited from  $\hat{H}^\infty/I$ . It is immediate that every complex homomorphism of  $\hat{H}^\infty|S$  is evaluation at a point of the hull of  $S$ . Since  $\text{hull}(S) = \bar{S}$  and  $\bar{S}$  is the stone-Čech compactification of the discrete countable space  $S$ , the maximal ideal space of  $\hat{H}^\infty|S$  is the totally disconnected space  $\bar{S}$ . By the theorem of Shilov,  $\hat{H}^\infty|S$  contains every idempotent continuous function on  $\bar{S}$ . Hence, we have (b).

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