

## MODULES OVER THE $\varphi$ - DIFFERENTIAL POLYNOMIAL RINGS

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### I. Introduction

The differential polynomial ring  $A[X, D]$  has been studied by many authors J. Cozzens, C. Faith, R.E. Johnson and D. Mathis and others. The main purpose of the present paper is to study some properties of  $\varphi$ -differential polynomial ring  $A[X, D, \varphi]$  and modules over the  $\varphi$ -differential polynomial ring  $A[X, D, \varphi]$ .

### II. Preliminaries

Throughout the paper,  $A$  will be denoted an associative ring with an identity element, and let  $\varphi : A \rightarrow A$  be a ring monomorphism. Then a  $\varphi$ -derivation of  $A$  is a mapping  $D : A \rightarrow A$  such that  $D(a+b) = D(a) + D(b)$ , and  $D(ab) = \varphi(a)D(b) + D(a)b$  for all  $a, b$  in  $A$ . If  $\varphi$  is an identity map, then  $D$  is called a derivation of  $A$ . For non-commutative rings, for each element  $a$  not in the center of the ring  $A$ , an inner derivation  $D_a$  is defined by  $D_a(y) = ya - ay$ , for all  $y$  in  $A$ . A derivation is outer if it is not inner.

The existence of such  $\varphi$ -derivation can be seen following example ([3]). Let  $K$  be a field contained in  $A$  such that  $\dim_K A = 2$ . Then we can choose a basis  $1$  and  $x$  in  $A$ , where  $1$  is the identity element in  $A$  and  $x$  is not contained in  $K$ . Thus we can represent, for each  $a$  in  $A$ ,  $xa = D(a)1 + \varphi(a)x$  and this defines a ring monic of  $A$  and a  $\varphi$ -derivation  $D$ .

DEFINITION 1. Let  $A$  be a ring and let  $M$  be a right  $A$ -module. Consider a polynomial ring  $A[X, D, \varphi]$  which is defined by usual polynomial addition and multiplication defined by  $aX = X\varphi(a) + D(a)$  where  $\varphi : A \rightarrow A$  is a ring monomorphism and  $D$  is a  $\varphi$ -derivation on  $A$ , such polynomial ring  $A[X, D, \varphi]$  is called a  $\varphi$ -differential polynomial ring in  $D$ .

For such a ring derivation  $D : A \rightarrow A$ , we define a structure map  $D' : M \rightarrow M$  such that

$$\begin{aligned} D'(m+n) &= D'(m) + D'(n) \\ D'(ma) &= D'(m)\varphi(a) + mD(a) \end{aligned}$$

Such  $D'$  is an element of  $\text{Hom}_Z(M, M)$  and it is called  $(D, \varphi)$ -structure map on right  $A$ -module  $M$ , and  $\text{St}(M)$  denotes the collection of all  $(D, \varphi)$ -structure

maps on  $M$ . If  $\varphi$  is an identity map on  $A$ , we call  $\varphi$ -differential polynomial ring as differential polynomial ring and  $(D, \varphi)$ -structure map is called  $D$ -structure map ([5]).

REMARK. The existence of such  $(D, \varphi)$ -structure maps can be proved, if the module is a projective module. ([5]).

DEFINITION 2. Let  $M$  and  $N$  be right  $A$ -modules and let  $D'$  and  $G'$  be  $(D, \varphi)$ -structure maps on  $M$  and  $N$ , respectively. If there is a map  $f: M \rightarrow N$  such that  $G'f = fD'$ , we call such map  $f$  as  $\varphi$ -maps on  $M$  into  $N$ . If  $\varphi$  is an identity map on  $A$ , such  $\varphi$ -map  $f$  will be called  $D$ -map as in the [5].

### III. Right $A[X, D, \varphi]$ -modules

PROPOSITION 1. A right  $A$ -module can be extended to  $A[X, D, \varphi]$ -module if and only if there exist at least one  $(D, \varphi)$ -structure map on  $M$ .

*Proof.* Let  $M$  be a right  $A[X, D, \varphi]$ -module, then we have  $(ma)X = m(aX)$ , where  $m$  in  $M$  and  $a$  in  $A$ . Using this we can construct a  $(D, \varphi)$ -structure map on  $M$ , by defining  $D'(m) = mX$  for all  $m$  in  $M$ .

$$\begin{aligned} \text{Since } D'(ma) &= (ma)X = m(aX) = m(X\varphi(a) + D(a)) \\ &= (mX)\varphi(a) + mD(a) \\ &= D'(m)\varphi(a) + mD(a). \end{aligned}$$

Conversely, if there exists a  $(D, \varphi)$ -structure map  $D'$  on  $M$ , we define  $D'(m) = mX$ . Then  $M$  is a right  $A[X, D, \varphi]$ -module.

PROPOSITION 2. If a right  $A$ -module  $M$  has a  $(D, \varphi)$ -structure map then any submodule  $N$  and quotient module  $M/N$  also have  $(D, \varphi)$ -structure maps. The converse of the proposition also holds.

*Proof.* Let  $D'$  be a  $(D, \varphi)$ -structure map on  $M$ . Then for the submodule  $N$ ,  $D'$  is a  $(D, \varphi)$ -structure map on  $N$ , too. For the module  $M/N$  define  $E'$  by  $E'(m+N) = D'(m) + N$ . Then  $E'$  is a  $(D, \varphi)$ -structure map,

$$\begin{aligned} \text{since } E'((m+N)a) &= E'(ma+N) = D'(ma) + N \\ &= D'(m)\varphi(a) + mD(a) + N \\ &= (D'(m) + N)\varphi(a) + mD(a) \\ &= E'(m+N)\varphi(a) + mD(a). \end{aligned}$$

The converse is trivial.

This short proposition has many implications as follows. Combining proposition 1 and 2, we can get.

COROLLARY 3. Let  $M$  be a right  $A$ -module and let  $N$  be a submodule of  $M$ .

Then  $M$  is a  $A[X, D, \varphi]$ -module if and only if both  $N$  and  $M/N$  are right  $A[X, D, \varphi]$ -modules.

COROLLARY 4. Let  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  be an exact sequence of  $A$ -modules. Then  $M$  is a  $A[X, D, \varphi]$ -module if and only if both  $M$  and  $M''$  are  $A[X, D, \varphi]$ -modules.

COROLLARY 5. Let  $M$  be a right  $A$ -module, and let  $N$  and  $N'$  be submodules of  $M$ . If  $M = N + N'$  and if  $N$  and  $N'$  are  $A[X, D, \varphi]$ -modules, then so is  $M$ .

COROLLARY 6. A finite direct sum of  $A[X, D, \varphi]$ -modules is a  $A[X, D, \varphi]$ -module.

PROPOSITION 7. Let  $M$  and  $N$  be right  $A$ -modules and let  $M$  be a right  $A[X, D, \varphi]$ -module also. If  $f: M \rightarrow N$  is a surjective  $\varphi$ -map, then  $N$  is a  $A[X, D, \varphi]$ -module also.

*Proof.* Let  $D'$  be a  $(D, \varphi)$ -structure map on  $M$ . Now define a  $(D, \varphi)$ -structure map  $E'$  on  $N$  by  $E'(na) = E'(f(m)a) = fD'(ma)$ , for all  $n$  in  $N$  and  $a$  in  $A$ , where  $m$  is an element in  $M$  such that  $f(m) = n$ . This is well-defined by the linearity of  $D'$ . Now we check such  $E'$  is a  $(D, \varphi)$ -structure map on  $N$ . For all  $n$  in  $N$  and  $a$  in  $A$

$$\begin{aligned} E'(na) &= E'(f(m)a) = fD'(ma) \\ &= f(D'(m)\varphi(a) + mD(a)) \\ &= f(D'(m)\varphi(a)) + f(m)D(a) \\ &= E'f(m)\varphi(a) + f(m)D(a) \\ &= E'(n)\varphi(a) + nD(a). \end{aligned}$$

COROLLARY 8. If  $f: M \rightarrow N$  is a surjective  $D$ -map, if  $M$  is a  $A[X, D]$ -module, then  $N$  is also.

*Proof.* Take  $\varphi =$  identity map in Proposition 7.

#### IV. Generalities on ordinary and $\varphi$ -differential modules

In this section, let  $A$  be a commutative ring with a  $\varphi$ -derivation  $D$ , and  $\mathcal{D}$  is a ring of differential polynomials in  $\varphi$ -derivation  $D$  over  $A$  by the usual addition and multiplication induced by the relation  $Da = \varphi(a)D + D(a)$  for all  $a$  in  $A$ . Such  $\mathcal{D}$  is called a ring of  $\varphi$ -differential polynomials in  $D$  over  $A$ . Any left  $\mathcal{D}$ -module is called a  $\varphi$ -differential module. Note that such an  $M$  is necessarily an  $(A, A)$ -bimodule and  $(\mathcal{D}, A)$ -bimodule as well. The action of  $D$  on an element  $m$  in  $M$  is written  $D(m)$ , moreover  $D(ma) = mD(a) + D(m)\varphi(a)$  for all  $a$  in  $A$ . If we take  $\varphi$  to be an identity map,  $\varphi$ -differential module is usually called differential module ([2], [3]).

For two differential modules  $M, N$  we can define a tensor product of  $M$  and  $N$  over  $A$ ,  $M \otimes_A N$ , to be a differential module as follows ([2]),  $D(m \otimes n) = D(m) \otimes n + m \otimes D(n)$ , for all  $D$  in  $\mathcal{D}$ . Moreover  $\text{Hom}_A(M, N)$  also differential module, if  $M$  and  $N$  are differential modules, by the definition in ([2]).

Now we consider the generalities of  $\varphi$ -differential modules, so may assume  $M, N$  as  $\varphi$ -differential modules at first.

**PROPOSITION 9.** *Under the above assumption,  $\text{Hom}_A(M, N)$  is a  $\varphi$ -differential module.*

*Proof)* For  $f$  in  $\text{Hom}_A(M, N)$ , define  $(fa)(m) = f(m)a$ , for all  $a$  in  $A$  and  $m$  in  $M$ . And for all  $D$  in  $\mathcal{D}$ , define  $D*f(m) = Df - \varphi*fD$ , for all  $f$  in  $\text{Hom}_A(M, N)$  where  $\varphi*f$  is a module homomorphism defined by  $\varphi*f(ma) = f(m)\varphi(a)$ . Then it is easy to check that  $D*f$  is an element of  $\text{Hom}_A(M, N)$ . Moreover  $D*(fa)(m) = D(fa)(m) - \varphi*(fa)D(m) = D(f(m)a) - \varphi*(fD(m)a) = D(f(m))\varphi(a) + f(m)D(a) - fD(m)\varphi(a) = (Df(m) - fD(m))\varphi(a) + f(m)D(a) = D*f(m)\varphi(a) + f(m)D(a)$ .

## V. $\varphi$ -differential polynomial rings

In this section we consider the  $\varphi$ -differential polynomial ring  $A[X, D, \varphi]$  itself for the special automorphism  $\varphi : A \rightarrow A$  which is not a constant 1-map and  $\varphi(a) - a$  is 0 or invertible for all  $a$  in  $A$ . With the help of the following lemmas, we extend a kind of Hilbert basis theorem on  $A[X, D]$  and  $A[X, D, \varphi]$

**LEMMA 10** [1]. *For every non-trivial ideal  $L$  of a ring  $A$ , we have  $L \oplus \varphi(L) = A$ .*

**LEMMA 11.** *If  $L$  is non-trivial ideal of a ring  $A$ , then  $L$  is a maximal ideal of  $A$ .*

*Proof.* If  $L \subseteq L' \subseteq A$ , then by the lemma 10, for all  $a$  in  $L'$ , there are  $b$  and  $c$  in  $L$  such that  $a = b + \varphi(c)$ ,  $\varphi(c) = a - b \in L \cap \varphi(L)$  so  $c = 0$ , thus  $a = b$ . This means  $L = L'$ .

Now, let  $I$  be any left ideal of  $\varphi$ -differential polynomial ring  $A[X, D, \varphi]$ . If  $I$  contains a polynomial of degree  $n$ , define  $L_n(I)$  to be the set of 0 and the elements  $a$  in  $A$  that appear as a coefficient of  $X^n$  of a polynomial in  $I$  having degree  $n$ . Then  $L_n(I)$  is a left ideal of  $A$ .

**LEMMA 12** [1]. *If  $I$  and  $J$  are ideals of a ring  $A[X, D, \varphi]$  such that  $I \subseteq J$  and if  $L_i(I) = L_i(J)$  for  $i = 1, 2, 3, \dots$ , then  $I = J$ .*

**THEOREM A.** *Let  $A$  be a Noetherian ring with a  $\varphi$ -derivation which is not a constant 1-map and  $\varphi(a) - a$  is 0 or invertible for all  $a$  in  $A$ , then the  $\varphi$ -differ-*

*ential polynomial ring*  $A[X, D, \varphi]$  *is a left Noetherian ring.*

*Proof.* Let  $I$  be a non-trivial left ideal of  $A[X, D, \varphi]$ , and let  $L_n(I)$  be as above remark. If  $t = \sum_{i=0}^n a_i X^i$  in  $I$  has degree  $n$ , then  $Xt = X(\sum_{i=0}^n a_i X^i) = \varphi(a_n) X^{n+1} + \sum_{i=0}^n \varphi(a_i) X^i + \sum_{i=0}^n D(a_i)$ . By the assumption, if  $\varphi(a) - a = 0$ , we have

$$L_n(I) \subseteq L_{n+1}(I) \cdots \cdots \tag{1}$$

Otherwise,  $\varphi(a) - a$  is invertible, by the lemma 11 each  $L_n(I)$  is a maximal ideal of  $A$ , in which case there is no problem to prove the theorem.

Now let

$$I_0 \subseteq I_1 \subseteq I_2 \subseteq \cdots \subseteq I_n \subseteq \cdots \tag{2}$$

be an ascending sequence of left ideals of  $A[X, D, \varphi]$ .

For  $i \leq j$ ,  $I_i \subseteq I_j$  implies

$$L_q(I_i) \subseteq L_q(I_j) \cdots \cdots \tag{3}$$

Now we consider an ascending sequence  $L_0(I_0) \subseteq L_1(I_1) \subseteq \cdots \subseteq L_n(I_n) \subseteq \cdots$ . Since  $A$  is left Noetherian, there exists  $q$  such that  $L_i(I_j) = L_q(I_q)$  for all  $i \geq q$  and  $j \geq q$ . For fixed  $i$ , look at the sequence  $L_i(I_0) \subseteq L_i(I_1) \subseteq \cdots \subseteq L_i(I_n) \subseteq L_i(I_{n+1}) \subseteq \cdots$ , there exists  $n(i)$  such that  $L_i(I_j) = L_i(I_{n(i)})$  for all  $j$ ,  $n(i)$ , the integer  $n(i)$  is bounded, since ring  $A$  is Noetherian, say by  $n_0$ . And then  $L_i(I_j) = L_i(I_{n_0})$  for  $i=1, 2, 3, \dots$ , by the lemma 12, we have  $I_j = I_{n_0}$  for all  $j \geq n_0$ . Thus in (2), the ascending sequence is stopped.

**COROLLARY B.** *If  $A$  is a Noetherian ring. Then differential polynomial ring,  $A[X, D]$ , is Noetherian ring. [2].*

### References

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