

EXTENSIONS OF THE WEAK CONTRACTIONS OF DUGUNDJI AND GRANAS

SEHIE PARK AND WON KYU KIM

1. Introduction

A Banach contraction is a selfmap f of a metric space (X, d) satisfying $d(fx, fy) \leq \alpha d(x, y)$ for all $x, y \in X$ and for some $\alpha \in [0, 1)$. The well-known Banach contraction principle states that for complete X , such f has a unique fixed point p and $f^n x \rightarrow p$ for all $x \in X$. There have been numerous literatures on extensions of the principle.

In [3], J. Dugundji and A. Granas extend the principle to a contractive type map which is called a weak contraction and obtain some applications including a domain invariance theorem. They also introduce the concept of weakly expansive maps and establish some of their properties.

In the present paper, we show that the Dugundji-Granias contraction is actually particular to some well known other contractive type maps and that their fixed point results are actually particular to those in [6], [7], [8], and [9]. Moreover, following the methods in [7], [8], [9], we show that fixed point theorems on weak contractions and on weakly expansive maps can be unified to a single theorem. Furthermore, we extend the domain invariance theorem for weakly contractive fields to the one for Meir-Keeler type contractive fields. In the sequel, we follow the notations of [7].

2. Comparisons of contractive type conditions

Let R_+ denote the set of nonnegative reals. A map $\phi : R_+ \rightarrow R_+$ is said to be compactly positive if $\inf \{ \phi(t) \mid a \leq t \leq b \} = \lambda(a, b) > 0$ for any $b > a > 0$ [3].

Consider the following conditions on a selfmap f of a metric space

Received August 25, 1983.

*A research supported by a grant from the Korea Science and Engineering Foundation in 1983-84.

(X, d) :

(Dd) There exists an increasing right continuous function $\phi : R_+ \rightarrow R_+$ such that $\phi(t) < t$ for $t > 0$ and, for any $x, y \in X$, we have

$$d(fx, fy) \leq \phi(d(x, y)).$$

(DG) There exists a compactly positive function $\phi : R_+ \rightarrow R_+$ such that for any $x, y \in X$,

$$d(fx, fy) \leq d(x, y) - \phi(d(x, y)).$$

(Cd) Given $\varepsilon > 0$, there exist $\varepsilon_0 < \varepsilon$ and $\delta_0 > 0$ such that for any $x, y \in X$,

$$\varepsilon \leq d(x, y) < \varepsilon + \delta_0 \text{ implies } d(fx, fy) \leq \varepsilon_0.$$

(Bd) Given $\varepsilon > 0$, there exists $\delta = \delta(\varepsilon) > 0$ such that for any $x, y \in X$,

$$\varepsilon \leq d(x, y) < \varepsilon + \delta \text{ implies } d(fx, fy) < \varepsilon.$$

Note that the condition (Dd) is due to Browder [2], (DG) to Dugundji-Granas [3], (Cd) to Boyd-Wong [1] (also see Hegedüs-Szilagyi [4]), and (Bd) to Meir-Keeler [6].

The following is basic.

LEMMA. (Dd) \implies (DG) \implies (Cd) \implies (Bd).

Proof. (Dd) \implies (DG) is given as Proposition (3.2) by Dugundji-Granas [3]. (Cd) \implies (Bd) is given by Meir-Keeler [6]. It remains to show that (DG) \implies (Cd). In [3], it is shown that (DG) is equivalent to the following condition of Krasnoselskij [5]:

(1) There exists a map $\alpha : R_+ \rightarrow R_+$ satisfying $\sup\{\alpha(t) \mid a \leq t \leq b\} < 1$ for any $b > a > 0$, such that for any $x, y \in X$,

$$d(fx, fy) \leq \alpha(d(x, y)) \cdot d(x, y).$$

On the other hand, in [4], it is shown that (Cd) is equivalent to the following:

(2) There exists a map $\alpha : R_+ \rightarrow [0, 1)$ such that for any $\varepsilon > 0$ there exists a $\delta > 0$ with $\sup\{\alpha(t) \mid \varepsilon \leq t < \varepsilon + \delta\} < 1$ and, for any $x, y \in X$,

$$d(fx, fy) \leq \alpha(d(x, y)) \cdot d(x, y).$$

Then it is clear that (1) \implies (2). This completes our proof.

REMARK. An example showing $(Bd) \not\Rightarrow (Cd)$ is given by Meir-Keeler [6].

3. Fixed point theorems

Let f be a continuous selfmap of a metric space (X, d) , $C_f = \{g : X \rightarrow X \mid fg = gf, gX \subset fX\}$. For $x_0 \in X$ the sequence $\{fx_n\}_{n=1}^\infty$ is called the f -iteration of x_0 under g , as defined by $fx_n = gx_{n-1}$, $n=0, 1, 2, \dots$, with the understanding that, if $fx_n = fx_{n+1}$ for some n , then $fx_{n+j} = fx_n$ for each $j \geq 0$. The set $\{fx_n\}_{n=1}^\infty$ will be denoted by $O(x_0)$. A point $x_0 \in X$ is said to be regular if $\text{diam } O(x_0) < \infty$.

The following is a consequence of Theorem 2(C δ)' in [7].

THEOREM 3.1. *Let f be a continuous selfmap of a complete metric space (X, d) and $g \in C_f$ continuous. Suppose that X contains a regular point and that*

(C δ)' *for any $\varepsilon > 0$, there exist $\varepsilon_0 < \varepsilon$ and $\delta_0 > 0$ such that for any regular points $x, y \in X$,*

$$\varepsilon \leq \text{diam } (O(x) \cup O(y)) < \varepsilon + \delta_0 \text{ implies } d(gx, gy) \leq \varepsilon_0.$$

Then f and g have a unique common fixed point p in X , and, for any regular $x_0 \in X$, any f -iteration of x_0 under g converges to some $\xi \in X$ satisfying $f\xi = p$.

THEOREM 3.2. [9, Theorem 4] *Let f be a continuous selfmap of a complete metric space (X, d) , $g \in C_f$ continuous and f, g satisfying the following condition:*

(Bk)' *For each $\varepsilon > 0$ there exists a $\delta > 0$ such that $\varepsilon \leq \max \{d(fx, fy), d(fx, gx), d(fy, gy), [d(fx, gy) + d(fy, gx)]/2\} < \varepsilon + \delta$ implies $d(gx, gy) < \varepsilon$.*

Then f and g have a unique common fixed point p in X , and, for any $x_0 \in X$, any f -iteration of x_0 under g converges to some $\xi \in X$ satisfying $f\xi = p$.

If $f=1_X$, the condition (Bk)' will be denoted by (Bk) [10]. Now, from Theorem 3.2, we have

THEOREM 3.3. [8, Theorem 2.4] *Let f be a continuous selfmap of a complete metric space X , and $g \in C_f$, satisfying*

(Bd)' for each $\varepsilon > 0$ there exists a $\delta > 0$ such that, for all $x, y \in X$,
 $\varepsilon \leq d(fx, fy) < \varepsilon + \delta$ implies $d(gx, gy) < \varepsilon$.

Then f and g have a unique common fixed point p in X , and, for any $x_0 \in X$, any f -iteration of x_0 under g converges to some $\xi \in X$ satisfying $f\xi = p$.

Now consider the following condition on f and g :

(DG)' There exists a compactly positive function $\phi : R_+ \rightarrow R_+$ such that for any $x, y \in X$, $d(gx, gy) \leq d(fx, fy) - \phi(d(fx, fy))$.

Imitating (DG) \implies (Bd) \implies (Bk) and (DG) \implies (Cd) \implies (C δ) as in Section 2 and in [4], [10], we have (DG)' \implies (Bk)' and (DG)' \implies (C δ)'.

Therefore, from Theorems 3.1 and 3.3 we obtain the following

THEOREM 3.4. *Let f be a continuous selfmap of a complete metric space X and $g \in C_f$ satisfying the condition (DG)'. Then f and g have a unique common fixed point p in X , and, for any $x_0 \in X$, any f -iteration of x_0 under g converges to some $\xi \in X$ satisfying $f\xi = p$.*

Theorem 3.4 unifies the main fixed point results on weak contractions and on weak expansions in [3]. In fact, by putting $f = 1_X$, we obtain

COROLLARY 1 [3, Theorem (1.4)] *Let (X, d) be complete and $g : X \rightarrow X$ a weak contraction, that is, g satisfies (DG). Then g has a fixed point p , and $g^n x \rightarrow p$ for each $x \in X$.*

By putting $g = 1_X$ in Theorem 3.4, we have

COROLLARY 2 [3, Remark in Section 5] *Let (X, d) be complete and $f : X \rightarrow X$ be a surjective weak expansion, that is, there exists a compactly positive function $\phi : R_+ \rightarrow R_+$ such that for any $x, y \in X$,*

$$d(fx, fy) \geq d(x, y) + \phi(d(fx, fy)).$$

Then f has a fixed point p , and, $f^n x \rightarrow p$ for each $x \in X$.

4. Domain invariance for the Meir-Keeler type contractive fields

Let E be a Banach space and $U \subset E$ open. Given $F : U \rightarrow E$, the map $f : U \rightarrow E$ given by $fx = x - Fx$ is called the field (of displacements) associated with F .

LEMMA. Let $F : E \rightarrow E$ be a selfmap of a metric space (E, d) satisfying (Bd). For any $r > 0$, if $d(x, Fx) \leq \delta(r)$ for some $x \in E$, then F maps $B(x, r + \delta(r))$ into itself.

Proof. Suppose $y \in B(x, r + \delta(r))$. If $d(x, y) < r$, then $d(Fx, Fy) < r$, since F is contractive. If $r \leq d(x, y) < r + \delta(r)$, then $d(Fx, Fy) < r$, since F satisfies (Bd). Therefore, in any case,

$$d(x, Fy) \leq d(x, Fx) + d(Fx, Fy) < \delta(r) + r.$$

REMARK. Actually F maps $\bar{B}(x, r + \delta(r))$ into itself since F is continuous.

THEOREM 4.1. Let E be a Banach space, $U \subset E$ open, $F : U \rightarrow E$ satisfy (Bd), and $f : U \rightarrow E$ its associated field. Then

(a) $f : U \rightarrow E$ is an open map, and

(b) $f : U \rightarrow fU$ is a homeomorphism.

Proof. (a) For each $x \in U$ and a ball $B(x, r) \subset U$, we show that there is a ball $B(fx, \rho) \subset f(B(x, r))$. Suppose $0 < r' < r$. Then there exists $\delta(r') > 0$ satisfying (Bd) with respect to F .

Case (i) $r' + \delta(r') < r$: Choose any $x_0 \in B(fx, \delta(r'))$. Define $G : \bar{B}(x, r') \rightarrow E$ by $Gy = x_0 + Fy$. Then G also satisfies (Bd). Since

$$\|Gx - x\| = \|x_0 + Fx - x\| = \|x_0 - fx\| < \delta(r')$$

by Lemma, G maps $\bar{B}(x, r' + \delta(r'))$ into itself. Therefore, G has a unique fixed point y_0 in $\bar{B}(x, r' + \delta(r'))$. Since $y_0 = Gy_0 = x_0 + Fy_0$, we have $fy_0 = x_0$. Since x_0 is arbitrary in $B(fx, \delta(r'))$, we have

$$B(fx, \delta(r')) \subset f(\bar{B}(x, r' + \delta(r'))) \subset f(B(x, r)).$$

Therefore f is open.

Case (ii) $r \leq r' + \delta(r')$: Let $\delta = (r - r')/2 > 0$. If $r' \leq \|x - y\| < r' + \delta$, then $\|Fx - Fy\| < r'$. As in the Case (i), we choose $x_0 \in B(fx, \delta)$ and define $G : \bar{B}(x, r') \rightarrow E$ by $Gy = x_0 + Fy$. Then G satisfies (Bd) and

$$\|Gx - x\| = \|x_0 + Fx - x\| = \|x_0 - fx\| < \delta.$$

Hence, by Lemma, G maps $\bar{B}(x, r' + \delta)$ into itself. Therefore, we have

$$B(fx, \delta) \subset f(\bar{B}(x, r' + \delta)) \subset f(B(x, r)),$$

and f is open.

(b) Let $fx = fy$. Then $x - Fx = y - Fy$ implies $x - y = Fx - Fy$. Since F is contractive, we should have $x = y$. Therefore f is injective. Since f is a continuous open bijection between U and fU , f is a homeomorphism.

REMARK. Note that Dugundji and Granas [3, Theorem 2.1] obtained Theorem 4.1 with respect to the condition (DG) instead of (Bd).

Using the above theorem, we can obtain some corollaries corresponding to results of Dugundji-Granas [3].

COROLLARY 1. *Let E be a Banach space and $F : E \rightarrow E$ satisfy (Bd). Then the associated field f is a homeomorphism of E onto itself.*

COROLLARY 2. *Let X be any space, E a Banach space and $f : X \rightarrow E$ an embedding of X onto an open set $U \subset E$. Let $g : X \rightarrow E$ be a map such that $g \circ f^{-1} : U \rightarrow E$ satisfies (Bd). Then $x \rightarrow fx - gx$ is also an open embedding of X into E .*

COROLLARY 3. [3, Proposition 2.4] *Let E be a Banach space, $U \subset E$ open, and $f : U \rightarrow E$ a C^1 -map. If its derivative $Df(x_0) : E \rightarrow E$ is an isomorphism, then f maps a neighborhood of x_0 homeomorphically onto a neighborhood of fx_0 .*

Let (E, d) be a metric space. By imitating the definition of a contractive type map, we define the following expansive type map.

DEFINITION. Let (E, d) be a complete metric space. A map $f : E \rightarrow E$ is called a (Bd)-type expansive map if for any $\varepsilon > 0$, there exists $\delta(\varepsilon) > 0$ such that

$$\varepsilon \leq d(fx, fy) < \varepsilon + \delta(\varepsilon) \text{ implies } d(x, y) < \varepsilon.$$

Of course, such a map need not be continuous. If f is a surjective (Bd)-type expansive map, then f^{-1} is well defined and satisfies (Bd). Therefore we obtain a result by using Theorem 4.1.

THEOREM 4.2. *Let E be a Banach space and $F : E \rightarrow E$ a (Bd)-type expansive surjective map. Then the associated field f is a bijective open map.*

References

1. D.W. Boyd and J.S.W. Wong, *On nonlinear contractions*, Proc. Amer. Math. Soc. **20** (1969), 458-464.
2. F.E. Browder, *On the convergence of successive approximations for nonlinear functional equations*, Indag. Math. **30** (1968), 27-35.
3. J. Dugundji and A. Granas, *Weakly contractive maps and elementary domain invariance theorem*, Bull. Greek Math. Soc. **19** (1978), 141-151.
4. M. Hegedüs and T. Szilagyi, *Equivalent conditions and a new fixed point theorem in the theory of contractive type mappings*, Math. Japonica **25** (1980), 147-157.
5. M. Krasnoselskij [and others], *Approximate solution of operator equations*, Groningen, Wolters-Noordhoff.
6. A. Meir and E. Keeler, *A theorem on contraction mappings*, J. Math. Anal. Appl. **2** (1969), 526-529.
7. S. Park, *On general contractive type conditions*, J. Korean Math. Soc. **17** (1980), 131-140.
8. S. Park and J. S. Bae, *Extensions of a fixed point theorem of Meir and Keeler*, Arkiv för Mat. **19** (1981), 223-228.
9. S. Park and B. E. Rhoades, *Meir-Keeler type contractive conditions*, Math. Japonica **26** (1981), 13-20.
10. S. Park and K. P. Moon, *On generalized Meir-Keeler type contractive conditions*, J. Nat. Acad. Sci., Korea, Nat. Sci. Series **22**(1983), 31-41.

Seoul National University
Seoul 151, Korea