

ON THE NUMERICAL RANGE FOR NONLINEAR OPERATORS

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1. Introduction

Let B be a unital C^* -algebra, $B^\#$ the dual space of B , and X the Hilbert B -module with a B -valued inner product \langle, \rangle [6]. We define the norm $\|\cdot\|_X$ on X by $\|x\|_X = \|\langle x, x \rangle\|^{1/2}$. Then for any bounded linear operator T on X , the B -spatial numerical range $W_B(T)$ is defined by $W_B(T) = \{f(\langle Tx, x \rangle) : x \in X, f \in B^\#, \|x\|_X = \|f\| = f(\langle x, x \rangle) = 1\}$ [7]. In [2], Canavati defined a numerical range for the class of all numerically bounded (nonlinear) maps on a Banach space and gave some of the basic properties of such numerical range. In this paper, we shall define a numerical range for a new class of all B^* -numerically bounded maps on a Hilbert B -module, and study analogous results of it in a systematic way. Among other properties, our numerical range will be compact and connected, and will coincide with $\overline{W_B(T)}$, in the particular case when T is a bounded linear operator on a Hilbert B -module X .

Throughout this paper, a Hilbert B -module X is assumed to have a vector space structure over the complex numbers \mathbf{C} compatible with that of B in the sense that $\lambda(xb) = (\lambda x)b = x(\lambda b)$ ($x \in X, b \in B, \lambda \in \mathbf{C}$). We will use the following notations. $L(X)$ is the Banach space of all bounded linear operators on X . $B(X)$ is the vector space of all continuous maps $P : X \rightarrow X$ such that $\|P(x)\|_X \leq M\|x\|_X$ for some $M \geq 0$ and all x in X . An element of $B(X)$ is called a bounded map on X . $Q(X)$ is the vector space of all quasibounded maps on X [2], [3]. We also denote the operator norm on $L(X)$ by $\|\cdot\|$.

2. Some Banach spaces of nonlinear maps.

In [7], the norm \times weak* topology in $X \times B^\#$ is defined as the product topology in $X \times B^\#$ given by the norm topology on X and the weak* topology on $B^\#$. We consider the following subsets of $X \times B^\#$. $\Pi_r = \{(x, f) \in X \times B^\# : \|x\|_X = \|f\| \geq r, f(\langle x, x \rangle) = \|x\|_X^3\}$ for $r > 0$, and $\Pi_0 = \bigcup_{r>0} \Pi_r$.

The following two results are essentially due to Bonsall, Cain and Schneider [1]. We omit the proofs of them, because they would be similar as in [2].

LEMMA. *Let π denote the natural projection of $X \times B^\#$ onto X , and let A be a subset of Π_r that is relatively closed in Π_r , with respect to the norm \times weak**

topology. Then $\pi(A)$ is a norm closed subset of X .

PROPOSITION 2.1. Each $\Pi_r (r > 0)$ and Π_0 are connected subsets of $X \times B^*$ with the norm \times weak* topology, unless X has dimension one over R .

From now on we shall assume that Π_0 has the norm \times weak* topology induced as a subset of $X \times B^*$. Also we shall assume that X does not have dimension one over R .

DEFINITION 2.1. A continuous map $F : \Pi_0 \longrightarrow X$ is called B^* -bounded if $\|F\|^* = \sup_{\|x\|_X = n_0} \frac{\|F(x, f)\|_X}{\|x\|_X} < \infty$. We denote by $B^*(X)$, the vector space of all B^* -bounded maps. Notice that $\|\cdot\|^*$ is a norm on $B^*(X)$ and $B^*(X)$ is a Banach space. We can consider the vector space $B(X)$ as a vector subspace of $B^*(X)$ in a natural way, namely; if $P \in B(X)$, then the mapping $F(x, f) = P(x)$ belongs to $B^*(X)$ and $\|P\| = \|F\|^*$ [2].

DEFINITION 2.2. A continuous map $F : \Pi_0 \longrightarrow X$ is called B^* -quasibounded if $|F|^* = \limsup_{r \rightarrow \infty} \sup_{\|x\|_X = r} \frac{\|F(x, f)\|_X}{\|x\|_X} < \infty$.

We denote by $Q^*(X)$, the vector space of all B^* -quasibounded maps. Notice that $|\cdot|^*$ is a seminorm on $Q^*(X)$. Obviously one has $B^*(X) \subset Q^*(X)$ and $|F|^* \leq \|F\|^*$. We can consider the vector space $Q(X)$ as a vector subspace of $Q^*(X)$ in a natural way, namely; if $P \in Q(X)$, then the mapping $F(x, f) = P(x)$ belongs to $Q^*(X)$ and $|P| = |F|^*$. Here $|\cdot|$ is a seminorm on $Q(X)$ [2], [3].

PROPOSITION 2.2. For any $F \in Q^*(X)$, there exists a sequence $\{F_n\}$ in $B^*(X)$ such that $|F_n - F|^* = 0$ ($n = 1, 2, 3, \dots$) and $\|F_n\|^* \longrightarrow |F|^*$ as $n \longrightarrow \infty$.

Proof. Let $\rho^2 = \|x\|_X^2 + \|f\|^2$, and define $F_n(x, f) = F(x, f)$ if $\rho \geq n$, $F_n(x, f) = \frac{\rho}{n} F\left(\frac{n}{\rho}x, \frac{n}{\rho}f\right)$ if $0 < \rho < n$. We have

$$\|F_n\|^* = \sup_{\|x\|_X = n_0} \frac{\|F_n(x, f)\|_X}{\|x\|_X} = \sup_{\|x\|_X = \frac{n}{\sqrt{2}}} \frac{\|F(x, f)\|_X}{\|x\|_X}.$$

Therefore $F_n \in B^*(X)$ for all n large enough and $\|F_n\|^* \longrightarrow |F|^*$ as $n \longrightarrow \infty$.

DEFINITION 2.3. Let $F, G \in Q^*(X)$. The mapping F is said to be B^* -asymptotically equivalent to G if $|F - G|^* = 0$. It is easy to see that this is an equivalence relation.

$\tilde{Q}^*(X)$ is the normed space of all equivalence classes of B^* -quasibounded maps, i. e., $\tilde{Q}^*(X) = Q^*(X) / N(|\cdot|^*)$, where $N(|\cdot|^*) = \{F \in Q^*(X) : |F|^* = 0\}$. The norm on $\tilde{Q}^*(X)$ is the one induced by $|\cdot|^*$ and will be denoted in the same way.

From Proposition 2.2, we see that the mapping $B^*(X) \longrightarrow \tilde{Q}^*(X)$, $F \longrightarrow \tilde{F}$ is onto.

PROPOSITION 2.3. $\tilde{Q}^*(X)$ is a Banach space.

Proof. Let $\{\tilde{F}_n\}$ be a sequence in $\tilde{Q}^*(X)$ such that $\sum \|\tilde{F}_n\|^* < \infty$. We have to show that $\sum \tilde{F}_n$ converges. By Proposition 2.2, for any positive integer n we can choose $G_n \in B^*(X)$ such that $\tilde{G}_n = \tilde{F}_n$ and $\|G_n\|^* \leq \|F_n\|^* + 2^{-n}$. Since $B^*(X)$ is a Banach space, $\sum G_n$ converges to an element $G \in B^*(X)$. From the continuity of the linear projection $B^*(X) \rightarrow \tilde{Q}^*(X)$ we obtain $\sum \tilde{G}_n = \sum \tilde{F}_n = \tilde{G}$.

DEFINITION 2.4. A continuous map $F: \Pi_0 \rightarrow X$ is called B^* -numerically bounded if

$$\omega^*(F) = \limsup_{r \rightarrow \infty} \sup_{\|x\| = r} \frac{|f(\langle F(x, f), x \rangle)|}{\|x\|_X^2 \|f\|} < \infty.$$

We denote by $W^*(X)$, the vector space of all B^* -numerically bounded maps. Notice that ω^* is a seminorm on $W^*(X)$. If $F \in W^*(X)$, then we let

$$\alpha^*(F) = \liminf_{r \rightarrow \infty} \inf_{\|x\| = r} \frac{|f(\langle F(x, f), x \rangle)|}{\|x\|_X^2 \|f\|}.$$

Obviously one has $Q^*(X) \subset W^*(X)$ and $\omega^*(F) \leq |F|^*$.

DEFINITION 2.5. Let $F \in W^*(X)$ and consider the maps $F_\nu: \Pi_0 \rightarrow X$ and $F_\tau: \Pi_0 \rightarrow X$ given by $F_\nu(x, f) = \frac{f(\langle F(x, f), x \rangle)}{\|x\|_X^2 \|f\|} x$ and $F_\tau(x, f) = F(x, f) - F_\nu(x, f)$. Then $F = F_\nu + F_\tau$. The maps F_ν and F_τ are called the normal and tangent components of F respectively. It is easy to show that if $F \in W^*(X)$, then

- (a) $f(\langle F_\nu(x, f), x \rangle) = f(\langle F(x, f), x \rangle)$, $(x, f) \in \Pi_0$.
- (b) $f(\langle F_\tau(x, f), x \rangle) = 0$, $(x, f) \in \Pi_0$.
- (c) $F_\nu \in Q^*(X)$ and $|F_\nu|^* = \omega^*(F)$. Hence we obtain the following result.

PROPOSITION 2.4. $F \in W^*(X)$ if and only if there exists continuous mappings $G, H: \Pi_0 \rightarrow X$ with $G \in Q^*(X)$ and H satisfying $f(\langle H(x, f), x \rangle) = 0$ $((x, f) \in \Pi_0)$ such that $F = G + H$. Such a map H is called a B^* -orthogonal map.

DEFINITION 2.6. Let $F, G \in W^*(X)$. The mapping F is said to be B^* -asymptotically numerically equivalent to G if $\omega^*(F - G) = 0$. It is easy to see that this is an equivalence relation. $\hat{W}^*(X)$ is the normed space of all equivalence classes of B^* -numerically bounded maps, i.e., $\hat{W}^*(X) = W^*(X) / N(\omega^*)$, where $F \in N(\omega^*)$ iff $\omega^*(F) = 0$. The norm on $W^*(X)$ is the one induced by ω^* , and it will be denoted in the same way.

PROPOSITION 2.5. $\hat{W}^*(X)$ is a Banach space.

Proof. Let $\{\hat{F}_n\}$ be a sequence in $\hat{W}^*(X)$ such that $\sum \omega^*(\hat{F}_n) < \infty$. We have to show that $\sum \hat{F}_n$ converges. Since $\omega^*(\hat{F}) = \omega^*(F) = |F_\nu|^* = |\tilde{F}_\nu|^*(F \in W^*(X))$, where $F_\nu \in Q^*(X)$ is the normal component of F , then we have

$$\sum \|\tilde{F}_{n\nu}\|^* = \sum \omega^*(\hat{F}_n) < \infty. \tag{1}$$

But $\{\tilde{F}_{nv}\}$ is a sequence in the Banach space $\tilde{Q}^*(X)$, and it follows from (1) and Proposition 2.3 that the series $\sum \tilde{F}_{nv}$ converges to $\tilde{F} \in \tilde{Q}^*(X)$. Since the mapping $r : \tilde{Q}^*(X) \rightarrow \hat{W}^*(X)$, $\tilde{F} \rightarrow \hat{F}$ is linear and continuous we must have

$$\sum \hat{F}_{nv} = \sum r(\tilde{F}_{nv}) = r(\tilde{F}) = \hat{F}. \tag{2}$$

But $\hat{F} = \hat{F}_v$ for $F \in W^*(X)$. Hence from (2) we obtain $\sum \hat{F}_n = \hat{F}$.

3. The B^* -numerical range.

DEFINITION 3.1. Let $F \in W^*(X)$ and consider the continuous map $\phi_F : \Pi_0 \rightarrow \mathbf{C}$ given by

$$\phi_F(x, f) = \frac{f(\langle F(x, f), x \rangle)}{\|x\|_X^2 \|f\|}.$$

We define the B^* -numerical range $\Omega^*(F)$ of F as the set $\Omega^*(F) = \bigcap_{r>0} \overline{\phi_F(\Pi_r)}$. In other words, $\lambda \in \Omega^*(F)$ if and only if there exists a sequence $\{(x_n, f_n)\}$ in Π_0 such that $\|x_n\|_X \geq n$ and

$$\frac{f_n(\langle F(x_n, f_n), x_n \rangle)}{\|x_n\|_X^2 \|f_n\|} \rightarrow \lambda \text{ as } n \rightarrow \infty.$$

PROPOSITION 3.1. If $F \in W^*(X)$, then $\Omega^*(F)$ is a nonempty compact connected subset of \mathbf{C} .

Proof. Since $F \in W^*(X)$, then the sets $\overline{\phi_F(\Pi_r)}$ are bounded for all $r > 0$ large enough. Now $\{\overline{\phi_F(\Pi_r)}\}$ is a nested family of compact nonempty sets, therefore by Cantor's theorem $\Omega^*(F) \neq \emptyset$ and is compact. Now from Proposition 2.1 we have that each $\overline{\phi_F(\Pi_r)}$ is a connected subset of \mathbf{C} . Thus $\Omega^*(F)$ being an intersection of a nested family of compact connected sets is connected as well.

The following properties of the B^* -numerical range are easy to check.

REMARK. If $F, G \in W^*(X)$ and $\lambda \in \mathbf{C}$, then

- (a) $\Omega^*(F_v) = \Omega^*(F)$ and $\Omega^*(F_\tau) = \{0\}$.
- (b) $\Omega^*(\lambda F) = \lambda \Omega^*(F)$.
- (c) $\Omega^*(\lambda \pi + F) = \lambda + \Omega^*(F)$, where $\pi : X \times B^* \rightarrow X$ denotes the natural projection.
- (d) $\Omega^*(F + G) \subseteq \Omega^*(F) + \Omega^*(G)$.
- (e) $\omega^*(F) = \max \{|\lambda| : \lambda \in \Omega^*(F)\}$. We call $\omega^*(F)$ the B^* -numerical radius of F .

PROPOSITION 3.2. If $F, G \in W^*(X)$ and $\omega^*(F - G) = 0$, then $\Omega^*(F) = \Omega^*(G)$.

Proof. From the above Remark, we have $\Omega^*(F) = \Omega^*(F_v)$ and $\Omega^*(G) = \Omega^*(G_v)$. Also from Remark after Definition 2.5 we have $|F_v - G_v|^* = \omega^*(F - G) = 0$. We shall show that $\Omega^*(F_v) = \Omega^*(G_v)$. Let $\lambda \in \Omega^*(F_v)$. Then there exists a sequence $\{(x_n, f_n)\}$ in Π_0 such that $\|x_n\|_X \geq n$ and

$$\frac{f_n(\langle F_v(x_n, f_n), x_n \rangle)}{\|x_n\|_X^2 \|f_n\|} \rightarrow \lambda \text{ as } n \rightarrow \infty.$$

Now

$$\frac{f_n(\langle(G_\nu - F_\nu)(x_n, f_n), x_n\rangle)}{\|x_n\|_X^2 \|f_n\|} = \frac{f_n(\langle(G_\nu - F_\nu)(x_n, f_n), x_n\rangle)}{\|x_n\|_X^2 \|f_n\|} + \frac{f_n(\langle F_\nu(x_n, f_n), x_n\rangle)}{\|x_n\|_X^2 \|f_n\|} \quad (1)$$

But

$$\frac{|f_n(\langle(G_\nu - F_\nu)(x_n, f_n), x_n\rangle)|}{\|x_n\|_X^2 \|f_n\|} \leq \frac{\|(G_\nu - F_\nu)(x_n, f_n)\|_X}{\|x_n\|_X}$$

and $|G_\nu - F_\nu|^* = 0$ imply

$$\frac{f_n(\langle(G_\nu - F_\nu)(x_n, f_n), x_n\rangle)}{\|x_n\|_X^2 \|f_n\|} \longrightarrow 0. \quad (2)$$

Hence from (1) and (2) we see that

$$\frac{f_n(\langle G_\nu(x_n, f_n), x_n\rangle)}{\|x_n\|_X^2 \|f_n\|} \longrightarrow \lambda \text{ as } n \longrightarrow \infty.$$

Therefore $\Omega^*(F_\nu) \subseteq \Omega^*(G_\nu)$. The inclusion $\Omega^*(G_\nu) \subseteq \Omega^*(F_\nu)$ is proved in the same way.

PROPOSITION 3.3. *If $F \in W^*(X)$, then (a) $\alpha^*(\mu\pi - F) \geq \text{dist}(\mu, \Omega^*(F))$, $\mu \in \mathbf{C}$.*

(b) $\Omega^*(F) = \{\lambda \in \mathbf{C} : \alpha^*(\lambda\pi - F) = 0\}$.

Proof. (a) We shall show a little more, namely; that for any $\mu \in \mathbf{C}$, there exists $\lambda \in \Omega^*(F)$ such that $\alpha^*(\mu\pi - F) = |\mu - \lambda|$. By definition of $\alpha^*(\mu\pi - F)$, there is a sequence $\{(x_n, f_n)\}$ in H_0 such that $\|x_n\|_X \geq n$ and

$$\frac{|f_n(\langle(\mu\pi - F)(x_n, f_n), x_n\rangle)|}{\|x_n\|_X^2 \|f_n\|} \longrightarrow \alpha^*(\mu\pi - F) \quad (1)$$

Since $F \in W^*(X)$, without loss of generality we may assume that the sequence $\left\{ \frac{f_n(\langle F(x_n, f_n), x_n\rangle)}{\|x_n\|_X^2 \|f_n\|} \right\}$ is convergent to some $\lambda \in \Omega^*(F)$.

Thus from (1) we obtain $\alpha^*(\mu\pi - F) = |\mu - \lambda|$.

(b) Let $\Lambda = \{\lambda \in \mathbf{C} : \alpha^*(\lambda\pi - F) = 0\}$. Then from (a) we have $\Lambda \subseteq \Omega^*(F)$. Now let $\lambda \in \Omega^*(F)$. Then there is a sequence $\{(x_n, f_n)\}$ in H_0 such that $\|x_n\|_X \geq n$ and $\frac{f_n(\langle F(x_n, f_n), x_n\rangle)}{\|x_n\|_X^2 \|f_n\|} \longrightarrow \lambda$.

This in turn implies that $\frac{f_n(\langle(\lambda\pi - F)(x_n, f_n), x_n\rangle)}{\|x_n\|_X^2 \|f_n\|} \longrightarrow 0$, and hence that $\alpha^*(\lambda\pi - F) = 0$. Therefore $\lambda \in \Lambda$ and $\Omega^*(F) \subseteq \Lambda$.

PROPOSITION 3.4. *Let $F, G \in W^*(X)$ and $\mu \in \mathbf{C}$. Then*

- (a) $0 \leq \alpha^*(F) \leq \omega^*(F)$.
- (b) $\alpha^*(\mu F) = |\mu| \alpha^*(F)$.
- (c) $\alpha^*(F + G) \leq \alpha^*(F) + \omega^*(G)$
- (d) $\alpha^*(F) - \omega^*(G) \leq \alpha^*(F + G)$
- (e) $|\alpha^*(F) - \alpha^*(G)| \leq \omega^*(F - G)$. So α^* is actually defined in $\hat{W}^*(X)$.

(f) $\alpha^*(F) \leq |\lambda|$ if $\lambda \in \Omega^*(F)$.

Proof. (a) and (b) follow from the definitions.

$$\begin{aligned} (c) \quad \alpha^*(F+G) &= \lim_{r \rightarrow \infty} \inf_{\|f\|_r} \frac{|f(\langle (F+G)(x, f), x \rangle)|}{\|x\|_{X^2} \|f\|} \\ &\leq \lim_{r \rightarrow \infty} \inf_{\|f\|_r} \frac{|f(\langle F(x, f), x \rangle)|}{\|x\|_{X^2} \|f\|} + \lim_{r \rightarrow \infty} \sup_{\|f\|_r} \frac{|f(\langle G(x, f), x \rangle)|}{\|x\|_{X^2} \|f\|} \\ &= \alpha^*(F) + \omega^*(G). \end{aligned}$$

(d) It follows from (c).

(e) We have from (c) $\alpha^*(F) = \alpha^*(F-G+G) \leq \omega^*(F-G) + \alpha^*(G)$. Hence $|\alpha^*(F) - \alpha^*(G)| \leq \omega^*(F-G)$.

(f) From (d) and Proposition 3.3 (b) we have

$$\alpha^*(F) - |\lambda| \leq \alpha^*(\lambda\pi - F) = 0, \quad \lambda \in \Omega^*(F).$$

PROPOSITION 3.5. *If $F, G \in W^*(X)$, then $\gamma(\Omega^*(F), \Omega^*(G)) \leq \omega^*(F-G)$. Here γ is the Hausdorff metric in $\Gamma(\mathbf{C})$, which denotes the set of all non-void closed bounded subsets of (\mathbf{C}, d) .*

Proof. We have

$$\gamma(\Omega^*(F), \Omega^*(G)) = \max \left\{ \sup \{ \text{dist}(\lambda, \Omega^*(F)) : \lambda \in \Omega^*(G) \}, \sup \{ \text{dist}(\lambda, \Omega^*(G)) : \lambda \in \Omega^*(F) \} \right\}. \quad (1)$$

and from Proposition 3.3

$$\text{dist}(\lambda, \Omega^*(F)) \leq \alpha^*(\lambda\pi - F), \quad \text{dist}(\lambda, \Omega^*(G)) \leq \alpha^*(\lambda\pi - G). \quad (2)$$

Also Proposition 3.3 and 3.4 (c) imply

$$\begin{aligned} \alpha^*(\lambda\pi - F) &= \alpha^*((\lambda\pi - G) + (G - F)) \leq \alpha^*(\lambda\pi - G) + \omega^*(G - F) \\ &= \omega^*(F - G), \quad \lambda \in \Omega^*(G) \end{aligned} \quad (3)$$

and

$$\begin{aligned} \alpha^*(\lambda\pi - G) &= \alpha^*((\lambda\pi - F) + (F - G)) \leq \alpha^*(\lambda\pi - F) + \omega^*(F - G) \\ &= \omega^*(F - G), \quad \lambda \in \Omega^*(F). \end{aligned} \quad (4)$$

From (1) - (4) we obtain $\gamma(\Omega^*(F), \Omega^*(G)) \leq \omega^*(F-G)$.

4. The numerical range and the B^* -asymptotic spectrum

DEFINITION 4.1. *Let $X_0 = X - \{0\}$. A continuous map $P : X_0 \rightarrow X$ is called B -numerically bounded if the map $F : \Pi_0 \rightarrow X$ given by $F(x, f) = P(x)$ is B^* -numerically bounded.*

In this case the numbers $\omega^*(F)$, $\alpha^*(F)$ and the B^* -numerical range $\Omega^*(F)$ are denoted by $\omega(P)$, $\alpha(P)$ and $\Omega(P)$ respectively.

We denote by $W(X)$ the vector space of all B -numerically bounded maps on X_0 . Notice that $W(X)$ can be considered, in a natural way, as a vector subspace of $W^*(X)$, and that ω is a seminorm on $W(X)$. Obviously one has $B(X) \subset Q(X) \subset W(X)$ and $\omega(P) \leq |P| \leq \|P\|$.

PROPOSITION 4.1. *If $T \in L(X)$, then*

$$(a) \quad \Omega(T) = \overline{W_B(T)}.$$

(b) $\omega(T) = \omega_B(T)$, where $\omega_B(T)$ denotes the B -spatial numerical radius of T [7].

Proof. Obvious.

DEFINITION 4.2. For any $F \in Q^*(X)$, we define

$$d^*(F) = \lim_{r \rightarrow \infty} \inf_{\|x\|_X = r} \frac{\|F(x, f)\|_X}{\|x\|_X},$$

and the B^* -asymptotic spectrum $\Sigma^*(F)$ of F , as the set $\Sigma^*(F) = \{\lambda \in \mathbb{C} : d^*(\lambda\pi - F) = 0\}$ where π denotes the natural projection of $X \times B^*$ onto X .

It is easy to show the following properties; If $F, G \in Q^*(X)$ and $\mu \in \mathbb{C}$, then

- (a) $0 \leq d^*(F) \leq |F|^*$.
- (b) $d^*(\mu F) = |\mu| d^*(F)$.
- (c) $d^*(F+G) \leq d^*(F) + |G|^*$.
- (d) $d^*(F) - |G|^* \leq d^*(F+G)$.
- (e) $|d^*(F) - d^*(G)| \leq |F-G|^*$.
- (f) $d^*(F) \leq |\lambda|$, $\lambda \in \Sigma^*(F)$.

PROPOSITION 4.2. If $F, G \in Q^*(X)$ and $\mu \in \mathbb{C}$, then

- (a) $\Sigma^*(F) \subseteq \Omega^*(F)$.
- (b) If $|F-G|^* = 0$, then $\Sigma^*(F) = \Sigma^*(G)$.
- (c) $r^*(F) \leq |F|^*$, where $r^*(F) = \sup\{|\lambda| : \lambda \in \Sigma^*(F)\}$ is the B^* -asymptotic spectral radius of F .
- (d) $\Sigma^*(F)$ is compact.
- (e) $\Sigma^*(\mu F) = \mu \Sigma^*(F)$.
- (f) $\Sigma^*(\mu\pi + F) = \mu + \Sigma^*(F)$.

Proof. (a) It follows from the obvious inequality $\alpha^*(F) \leq d^*(F)$ and Proposition 3.3.

(b) Immediate from the previous remark (e).

(c) Let $\lambda \in \Sigma^*(F)$. By the previous remark (d) we have

$$|\lambda| - |F|^* \leq d^*(\lambda\pi - F) = 0.$$

(d) By the previous remark (e), the mapping $\lambda \rightarrow d^*(\lambda\pi - F)$ is continuous and hence $\Sigma^*(F)$ is closed. By (c) it is bounded and hence compact.

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