

BANACH SPACES OF LIPSCHITS FUNCTIONS

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1. Introduction

Although the notion of Lipschitz continuity is very old and Lipschitz functions have been studied for many years, the Banach space $\text{Lip}(S, d)$ of Lipschitz functions has not developed until quite recently. For example, the following two problems are still open: If (S, d) is compact and infinite and $0 < \alpha < 1$, (1) is $\text{lip}(S, d^\alpha)$ isomorphic with c_0 and (2) is $\text{Lip}(S, d^\alpha)$ isomorphic with m (cf. [6])?

It is known that if (S, d) is an infinite compact subset of Euclidean space, the $\text{lip}(S, d^\alpha)$ ($0 < \alpha < 1$) is isomorphic to c_0 and $\text{Lip}(S, d^\alpha)$ is isomorphic with m . And if (S, d) is compact and $0 < \alpha < 1$, then $\text{Lip}(S, d^\alpha)$ and $\text{lip}(S, d^\alpha)$ are isomorphic to subspaces of m and c_0 , respectively. On the other hand, if (S, d) is a metric space with $\inf \{d(s, t) : s \neq t\} = 0$, then $\text{Lip}(S, d)$ contains a subspace isomorphic to l_∞ and $\text{lip}(S, d^\alpha)$ contains a complemented subspace isomorphic to c_0 . (cf. [6]). The purpose of this paper is to study the relations among Lip , lip , c_0 , m and \mathcal{L}_p spaces and from our results on them to investigate extensions of compact and weakly compact operators related to $\text{lip}(S, d^\alpha)$.

2. Preliminaries

Let (S, d) be a metric space. A complex valued function f defined on S is said to be a *Lipschitz function* if there exists a constant K such that

$$|f(x) - f(y)| \leq kd(x, y), \quad x, y \in S.$$

The smallest such constant K is called the *Lipschitz norm* of f which we shall denote by $\|f\|_d$.

Evidently,

$$\|f\|_d = \sup \{|f(x) - f(y)| / d(x, y) : x, y \in S, x \neq y\}.$$

For a complex valued function f defined on S which is bounded on S , the sup norm $\|f\|_\infty$ of f is defined by

$$\|f\|_\infty = \sup \{|f(x)| : x \in S\}.$$

The collection of all bounded Lipschitz functions on (S, d) will be denoted by $\text{Lip}(S, d)$. It is well known that $\text{Lip}(S, d)$ is a Banach space with the norm $\|\cdot\|$ defined by

$$\|f\| = \|f\|_\infty + \|f\|_d \quad f \in \text{Lip}(S, d).$$

An important subset of $\text{Lip}(S, d)$ consists of all those f in $\text{Lip}(S, d)$ which have the property that

$$|f(x) - f(y)|/d(x, y) \rightarrow 0 \text{ as } d(x, y) \rightarrow 0.$$

This can more precisely be stated as follows: For any $\varepsilon > 0$, there exists $\delta > 0$ such that

$$[|f(x) - f(y)|/d(x, y)] < \varepsilon, \text{ whenever } d(x, y) < \delta.$$

This set of functions in $\text{Lip}(S, d)$ will be denoted by $\text{lip}(S, d)$. $\text{lip}(S, d)$ is a closed subspace of $\text{Lip}(S, d)$. If $0 < \alpha \leq 1$, then d^α is a metric on S , where $d^\alpha(x, y) = (d(x, y))^\alpha$. Thus we may consider $\text{Lip}(S, d^\alpha)$ and $\text{lip}(S, d^\alpha)$ for $0 < \alpha \leq 1$.

It is known that if S is compact and $0 < \alpha \leq 1$, then $\text{Lip}(S, d^\alpha)$ is isometrically isomorphic to the bidual $\text{lip}(S, d^\alpha)^{**}$ of $\text{lip}(S, d^\alpha)$ (cf. [6]).

Below we shall state a few definitions and lemmas necessary for our forthcoming arguments.

DEFINITION 1. The Banach space $m = l_\infty$ is defined to be the space of all bounded sequences, $x = \{\alpha_n\}$ of complex numbers. The Banach space c_0 (a subspace of m) is defined to be the space of all sequences converging to zero.

DEFINITION 2. Let $1 \leq p \leq \infty$ and $1 \leq \lambda < \infty$. A Banach space X is said to be an $\mathcal{L}_{p, \lambda}$ space if for every finite dimensional subspace B of X there exists a finite dimensional subspace C of X such that $C \supset B$ and the distortion $d(C, l_p^n) \leq \lambda$, where $n = \dim C$. ($d(C, l_p^n) \leq \lambda$ means that there exists an isomorphism T from C onto l_p^n such that $\|T\| \|T^{-1}\| \leq \lambda$)

A Banach space is said to be an \mathcal{L}_p space $1 \leq p \leq \infty$, if it is an $\mathcal{L}_{p, \lambda}$ space for some $1 \leq \lambda < \infty$. It is well known that the \mathcal{L}_p space generalize the $L_p(\mu)$ and $C(K)$ spaces.

DEFINITION 3. A Banach space is said to be *injective* if it is complemented in any Banach space containing it.

DEFINITION 4. Let X be a (real or complex) Banach space and B a closed linear subspace of X . B is said to be *quasi-complemented* if there exists a closed linear subspace D of X such that $B \cap D = \{0\}$, with $B + D$ dense in X (such a subspace D is called a quasi-complement for B).

DEFINITION 5. Let X be a Banach Space and $\{x_n\}$ be a sequence of elem-

ents in X . The series $\sum_{n=1}^{\infty} x_n$ is *w. u. c.* (*weakly unconditional convergent*) if for every permutation (k_n) of indices the series $\sum_{n=1}^{\infty} x_{k_n}$ converges weakly (may be that the limit element does not exist); that is, for any $y \in X^*$, $y(\sum_{n=1}^l x_{k_n})$ converges as $l \rightarrow \infty$.

The series $\sum_{n=1}^{\infty} x_n$ is *u. c.* (*unconditionally convergent*) if for every permutation (k_n) the series $\sum_{n=1}^{\infty} x_{k_n}$ converges.

DEFINITION 6 Let X, Y be Banach spaces. A linear operator T from X to Y is *compact* (resp. *weakly compact*) if and only if, for any bounded set B in X , $T(B)$ is relatively compact (resp. relatively weakly compact) in Y .

Let X, Y, Z be Banach spaces such that $Z \supset X$. Let T be a linear operator from X to Y . A linear operator \tilde{T} from Z to Y is called an *extension* of T if and only if $\tilde{T}|_X = T$.

LEMMA 1. *If (S, d) is an infinite compact subset of Euclidean space, then $\text{lip}(S, d^\alpha)$ ($0 < \alpha < 1$) is isomorphic with c_0 and $\text{Lip}(S, d^\alpha)$ is isomorphic with $l_\infty = m$.*

Proof. Refer to [2], [6].

LEMMA 2. *Let X be a Banach space whose dual X^* contains a subspace isomorphic to c_0 . Then there exists a projection of X onto a subspace which is isomorphic to l_1 ; therefore, X^* contains a subspace which is isomorphic to $m = l_\infty$.*

Proof. Refer to [1].

LEMMA 3. *An infinite dimensional subspace X of m is complemented in m if and only if X is isomorphic to m .*

Proof. Refer to [7].

LEMMA 4.

- (1) X is an \mathcal{L}_1 -space if and only if X^* is injective.
- (2) Every infinite dimensional \mathcal{L}_∞ -space have a subspace isomorphic to c_0 .
- (3) A Banach space X is an \mathcal{L}_p space ($1 \leq p \leq \infty$) if and only if X^* is a \mathcal{L}_q space where $\frac{1}{p} + \frac{1}{q} = 1$ ($q=1$, resp. ∞ if $p=\infty$, resp. 1).

- (4) Every injective space is an \mathcal{L}_∞ space
 (5) A Banach space X is an \mathcal{L}_∞ space if and only if X^{**} is injective, and
 (6) A dual Banach space X (i. e., $X=Y^*$ for some Banach space Y) is injective if and only if X is an \mathcal{L}_∞ space.

Proof. Refer to [9].

3. Theorems

THEOREM 1. $(1_\infty)^*$ does not contain a subspace isomorphic to c_0 .

Proof. If $(1_\infty)^*$ contains a subspace isomorphic to c_0 , then by Lemma 2 there exists a projection of 1_∞ onto a subspace which is isomorphic to 1_1 . But by Lemma 3, 1_1 is isomorphic to 1_∞ , which gives a contradiction. Hence $(1_\infty)^*$ does not contain a subspace isomorphic to c_0 . This completes the proof.

COROLLARY 2. $(1_\infty)^*$ is not injective.

Proof. If $(1_\infty)^*$ is injective, then $(1_\infty)^*$ contains a subspace isomorphic to c_0 ([7]). By Theorem 1, this is a contradiction. Hence $(1_\infty)^*$ is not injective.

COROLLARY 3. The following conditions are equivalent.

- (a) There does not exist in $(1_\infty)^*$ a w. u. c. series which is not u. c.
 (b) There does not exist in the space $(1_\infty)^*$ a w. u. c. series such that $\inf_n \|x_n\| > 0$.
 (c) $(1_\infty)^*$ does not contain a subspace isomorphic to c_0 .

Proof. It follows from Theorem 1 and [1].

THEOREM 4. If S is an infinite compact subset of Euclidean space and $0 < \alpha < 1$, then

- (1) $(1_\infty)^*$ does not contain a subspace isomorphic with $\text{lip}(S, d^\alpha)$,
 (2) $\text{Lip}(S, d^\alpha)^*$ does not contain a subspace isomorphic with c_0 , and
 (3) $\text{Lip}(S, d^\alpha)^*$ does not contain a subspace isomorphic with $\text{lip}(S, d^\alpha)$.

Proof. (1). By Lemma 1 and Theorem 1, $\text{lip}(S, d^\alpha)$ is isomorphic with c_0 and $(1_\infty)^*$ does not contain a subspace isomorphic with c_0 . Therefore $(1_\infty)^*$ does not contain a subspace isomorphic with $\text{lip}(S, d^\alpha)$.

(2). By Lemma 1 and Theorem 1, $\text{Lip}(S, d^\alpha)$ is isomorphic with 1_∞ and $(1_\infty)^*$ does not contain a subspace which is isomorphic with c_0 . Therefore, $\text{Lip}(S, d^\alpha)^*$ does not contain a subspace isomorphic with c_0 .

(3). (3) is clear.

THEOREM 5. *Let (S, d) be an infinite compact subset of Euclidean space. Then $\text{lip}(S, d^\alpha)^*$ ($0 < \alpha < 1$) is a separable infinite dimensional \mathcal{L}_1 -space.*

Proof Banach Space X is a separable infinite dimensional \mathcal{L}_1 -space if and only if X^* is isomorphic to l_∞ ([9]). Since $[\text{lip}(S, d^\alpha)^*]^* = \text{Lip}(S, d^\alpha)$ is isomorphic to l_∞ , (Lemma 1, [6]) $\text{lip}(S, d^\alpha)^*$ is a separable infinite dimensional \mathcal{L}_1 -space.

THEOREM 6. *Let (S, d) be compact and infinite and $0 < \alpha < 1$. Then the following assertions are equivalent:*

- (a) $\text{Lip}(S, d^\alpha)$ is injective,
- (b) $\text{Lip}(S, d^\alpha)$ is an \mathcal{L}_∞ space,
- (c) $\text{lip}(S, d^\alpha)$ is an \mathcal{L}_∞ space,
- (d) $\text{lip}(S, d^\alpha)^*$ is an \mathcal{L}_1 space, and
- (e) $\text{Lip}(S, d^\alpha)$ is isomorphic with l_∞ .

Moreover, the above equivalent conditions (a) \sim (e) implies that

- (f) $\text{Lip}(S, d^\alpha)^*$ does not contain a subspace isomorphic with c_0 ,

Proof. (a) \Leftrightarrow (b) By Lemma 4, (6), the dual Banach space $\text{Lip}(S, d^\alpha) = [\text{lip}(S, d^\alpha)^*]^*$ is an injective space if and only if $\text{Lip}(S, d^\alpha)$ is an \mathcal{L}_∞ space.

(a) \Leftrightarrow (c) By Lemma 4, (5), $\text{Lip}(S, d^\alpha) = [\text{lip}(S, d^\alpha)^*]^*$ is injective if and only if $\text{lip}(S, d^\alpha)$ is \mathcal{L}_∞ space. Thus (a) and (c) are equivalent.

(d) \Leftrightarrow (b) If $\text{lip}(S, d^\alpha)^*$ is an \mathcal{L}_1 space, then by Lemma 4, (1), $\text{Lip}(S, d^\alpha) = [\text{lip}(S, d^\alpha)^*]^*$ is injective and hence $\text{Lip}(S, d^\alpha)$ is an \mathcal{L}_∞ space ((a) \Leftrightarrow (b)).

Conversely, if $\text{Lip}(S, d^\alpha)$ is an \mathcal{L}_∞ space, then $\text{Lip}(S, d^\alpha)$ is injective ((a) \Leftrightarrow (b)) and hence $\text{Lip}(S, d^\alpha)$ is an \mathcal{L}_∞ space ((a) \Leftrightarrow (c)).

Therefore $\text{lip}(S, d^\alpha)^*$ is an \mathcal{L}_1 space (cf. Lemma 4, (3))

(d) \Leftrightarrow (e) If $\text{Lip}(S, d^\alpha)$ is isomorphic with l_∞ , then $\text{Lip}(S, d^\alpha)$ is injective. Since (a) implies (d), $\text{lip}(S, d^\alpha)^*$ is an \mathcal{L}_1 space.

Conversely, if $\text{lip}(S, d^\alpha)^*$ is an \mathcal{L}_1 space, then, since $\text{lip}(S, d^\alpha)^*$ is a separable infinite dimensional Banach space (cf. [6], (iii)). $\text{Lip}(S, d^\alpha) = [\text{lip}(S, d^\alpha)^*]^*$ is isomorphic with l_∞ (cf. [9]). Now (e) \implies (f) is clear from Theorem 1.

We offer here an alternative proof for (a) \implies (f). We note that $\text{Lip}(S, d^\alpha)$ is isomorphic with a subspace of l_∞ (cf. [6]). If $\text{Lip}(S, d^\alpha)$ is injective, then l_∞ is isomorphic with $\text{Lip}(S, d^\alpha) \oplus Y$ for some subspace Y of l_∞ . That is, $l_\infty \cong \text{Lip}(S, d^\alpha) \oplus Y$. Therefore $(l_\infty)^* \cong \text{Lip}(S, d^\alpha)^* \oplus Y^*$. Hence if $\text{Lip}(S, d^\alpha)^*$ contains a subspace isomorphic with c_0 , then $(l_\infty)^*$ also contains a subspace isomorphic with c_0 , which contradicts to Theorem 1.

THEOREM 7. *Let X be separable infinite dimensional \mathcal{L}_1 space. Then X^{**} does not contain a subspace isomorphic to c_0 .*

Proof. By [9], X^* is isomorphic with l_∞ and hence $X^{**} = (l_\infty)^*$. Since $(l_\infty)^*$ does not contain a subspace isomorphic with c_0 , X^{**} also contains no subspace isomorphic with c_0 .

THEOREM 8. *Let (S, d) be infinite compact subset of Euclidean space. Then $\text{lip}(S, d^\alpha)^*$ ($0 < \alpha < 1$) has a complemented subspace isomorphic to l_1 .*

Proof. $\text{lip}(S, d^\alpha)^{**} = \text{Lip}(S, d^\alpha)$ is isomorphic to l_∞ ([6]) and $l_\infty \supset c_0$. By Lemma 2, $\text{Lip}(S, d)^*$ has a complemented subspace isomorphic to l_1 .

Although c_0 [resp. $\text{lip}(S, d^\alpha)$] is not complemented in l_∞ [resp. $\text{Lip}(S, d^\alpha)$] ([6]), c_0 is quasi-complemented in l_∞ ([11], [12]).

We will show that $\text{lip}(S, d^\alpha)$ is quasi-complemented in $\text{Lip}(S, d^\alpha)$.

THEOREM 9. *If (S, d) is an infinite compact subset of Euclidean space, $\text{lip}(S, d^\alpha)$ ($0 < \alpha < 1$) is quasi-complemented in $\text{Lip}(S, d^\alpha)$.*

Proof. $\text{lip}(S, d^\alpha)$ [resp. $\text{Lip}(S, d^\alpha)$] is isomorphic to c_0 (resp. l_∞) by Lemma 1. Since c_0 is quasi-complemented in l_∞ , $\text{lip}(S, d^\alpha)$ is quasi-complemented in $\text{Lip}(S, d^\alpha)$.

Now we turn our arguments on the extension of compact and weakly compact operators.

THEOREM 10. *Let (S, d) be compact and infinite. Let $0 < \alpha < 1$. If $\text{Lip}(S, d^\alpha)$ is injective, then for any Banach space Y and Z , the following are true:*

- (1) *Every compact operator T from Y to $\text{Lip}(S, d^\alpha)$ [resp. $\text{lip}(S, d^\alpha)$] has a compact extension \tilde{T} from Z ($Z \supset Y$) to $\text{Lip}(S, d^\alpha)$ [resp. $\text{lip}(S, d^\alpha)$].*
- (2) *Every compact operator T from $\text{Lip}(S, d^\alpha)$ [resp. $\text{lip}(S, d^\alpha)$] to Y has a compact extension \tilde{T} from $Z \supset \text{Lip}(S, d^\alpha)$ [resp. $Z \supset \text{lip}(S, d^\alpha)$] to Y .*
- (3) *Every weakly compact operator from $\text{lip}(S, d^\alpha)$ to Y has a weakly compact extension T from $Z \supset \text{lip}(S, d^\alpha)$ to Y .*

Proof. Since we assumed that $\text{Lip}(S, d^\alpha)$ is injective, by Theorem 5, $\text{Lip}(S, d^\alpha)$ and $\text{lip}(S, d^\alpha)$ are \mathcal{L}_∞ -space. The theorem follows from the Lindenstrauss' characterization of the \mathcal{L}_∞ -space (cf. [7], [9]).

COROLLARY 11. *Let (S, d) be a compact infinite subset of Euclidean space. Then (1), (2), (3) stated in Theorem 10, hold for $\text{lip}(S, d^\alpha)$ and $\text{Lip}(S, d^\alpha)$ for $0 < \alpha < 1$.*

Proof. If (S, d) is a compact infinite subset of Euclidean space, then $\text{Lip}(S, d^\alpha)$ is isomorphic with l_∞ and $\text{Lip}(S, d^\alpha)$ is injective (Th. 6). Therefore, by Theorem 10 our corollary is true.

We shall prove here that the counterpart of (3) in Theorem 10 does not hold in general.

THEOREM 12. *Let (S, d) be a compact infinite metric space. We assume that $\inf \{d(s, t) \mid s \neq t\} = 0$. Then there is a weakly compact operator T from a Banach space Y to $\text{lip}(S, d^\alpha)$ which does not have any weakly compact extension \tilde{T} from a certain Banach space Z ($Z \supset Y$) to $\text{lip}(S, d^\alpha)$.*

Proof. By the result obtained in [6], if $\inf \{d(s, t) \mid s \neq t\} = 0$, then $\text{lip}(S, d^\alpha)$ contains a subspace isomorphic with c_0 . Let T be the formal identity operator from l_2 to c_0 ; that is, T maps the sequence (x_1, x_2, x_3, \dots) in l_2 to the same sequence in c_0 . We can regard T as a linear operator from l_2 to $\text{lip}(S, d^\alpha)$. Now since l_2 is reflexive, it follows that T is weakly compact.

Let $Z = l_\infty$ be the space of all bounded sequences. Then $l_\infty \supset l_2$. This is true since l_2 is separable and separable Banach space can be imbedded in l_∞ [10].

Now the weakly compact operator T from l_2 to $\text{lip}(S, d^\alpha)$ does not have a weakly compact extension \tilde{T} from $Z = l_\infty$ to $\text{lip}(S, d^\alpha)$. In fact, if there is an extension \tilde{T} , then \tilde{T} has to map a weakly convergent sequence in Z to a norm convergent sequence in $\text{lip}(S, d^\alpha)$. However, if we let $\{e_i\}_{i=1}^\infty$ be the natural basis of l_2 , then $\{e_i\}_{i=1}^\infty$ converges weakly to 0 in Z . While $\{Te_i\}_{i=1}^\infty$ does not converge in the norm of $\text{lip}(S, d^\alpha)$. Thus T has no weakly compact extension.

THEOREM 13. *Let T be an operator from a $C(K)$ space ($K = \text{The Stone-Čech compactification of the integers}$) into a l_∞^* . Then T is weakly compact.*

Proof. If T is an operator from a $C(K)$ into a Banach space X which does not have a subspace isomorphic to c_0 , T is weakly compact [10].

By Theorem 1, T is weakly compact.

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