

NOTE ON THE SEMIGROUP OF FUZZY MATRICES

BY JIN BAI KIM

1. Introduction

Let F be a finite subset of the unit interval $[0, 1]$ of the real line. $M_n(F)$ denotes the set of all $n \times n$ fuzzy relation matrices over F . Then $M_n(F)$ form a semigroup under the matrix multiplication and we call it the semigroup of fuzzy (relation) matrices over F [5]. We denote by $C_n(F)$ the set of all circulant fuzzy (relation) matrices over F . Then $C_n(F)$ is a commutative subsemigroup of $M_n(F)$ [6]. [5, 6, 7] are main references for $M_n(F)$ and $C_n(F)$. We define $kI_r = (a_{ij})$ by $a_{ij} = k$ if $i = j = 1, 2, \dots, r$ and $a_{ij} = 0$ if $i \neq j$ or if $i = j = r+1, r+2, \dots, n$. Let R_{rk}^n and D_{rk}^n be respectively an R -class and a D -class containing $kI_r \in M_n(F)$, where k is a member of F . We find the cardinal numbers $|R_{rk}^n|$ and $|D_{rk}^n|$ of the sets R_{rk}^n and D_{rk}^n . We find the number of all idempotents in D_{rk}^n .

2. Elementary properties of the semigroup

We list some elementary properties of $M_n(F)$ and $C_n(F)$.

(1) $M_n(F)$ is not regular. $C_n(F)$ is an abelian subsemigroup of $M_n(F)$ with the cardinality $|C_n(F)| = (|F|)^n$.

(2) If $A \in C_n(F)$, then A^h is an idempotent for some $h \leq n$.

(3) Any idempotent A in $C_n(F)$ is of the form

$$A = a_1 E + a_2 (P^{d_1} + P^{2d_1} + \dots + P^{(t_1-1)d_1}) + \dots + a_m (P^{d_m} + P^{2d_m} + \dots + P^{(t_m-1)d_m}),$$

where $n = d_1 t_1$, $d_1 = d_2 s_2$, $d_2 = d_3 s_3$, \dots , $d_{m-1} = d_m s_m$, $d_1 \neq n$, $d_i \neq d_j$ ($i \neq j$), $a_i \in F$, $a_1 \geq a_2 > a_3 > \dots > a_m \neq 0$, and E is the identity of $M_n(F)$. (See [5, 6] for proofs of items (2) and (3).)

(4) Let $r(A)$ and $c(A)$ denote respectively the row-rank and column-rank of $A \in M_n(F)$. Then $r(A) = 1$ iff $c(A) = 1$. If $D_1 = \{X \in M_n(F) : r(X) = 1\}$, then D_1 is the union of k D -classes of $M_n(F)$, where $k = |F| - 1$. For simplicity, we write $F = \{0, 1, 2, \dots, k\}$.

We denote by D_{ra}^n the D -class of $M_n(F)$ containing aI_r , $0 \neq a \in F$.

THEOREM 1. *Let $0 \neq a \neq b \neq 0$. Then $D_{ra}^n \cap D_{rb}^n = \phi$ (the empty set). Let D_r^n be the union of all D_{ra}^n ($0 \neq a \in F$). If $A \in D_r^n$, then $r(A) = c(A)$.*

Proof. Assume that $a > b$. There is no X in $M_n(F)$ such that $aI_r = (bI_r)X$ and consequently we have shown that $D_{ra}^n \cap D_{rb}^n = \phi$. We show that if $A \in D_r^n$, then $r(A) = r = c(A)$. To show that let $A \in D_{ra}^n$. Then there exists B such that $A \sim B$ and $B \sim (aI_r)$. There exist X, Y, U, V such that $BX = aI_r$, $UA = B$, $aI_r Y = B$ and $VB = A$. We obtain $C(aI_r) \subseteq C(B) \subseteq C(aI_r)$ and hence $C(aI_r) = C(B)$, where $C(B)$ denotes the column space of B . Thus $c(B) = c(aI_r) = r(aI_r) = r$. Since B has at most r non-zero rows, we have that $r(B) \leq r$. From $c(B) = r$, it follows that there exists a submatrix $G = (c_{ij})$ of B of order r such that $c_{ii} = a$ ($i = 1, 2, \dots, r$) and $c_{ij} = 0$ for $i \neq j$. This means that $r(B) = r$. Now from $UA = B$ and $VB = A$, we have that $R(A) = R(VB) \subseteq R(B) = R(UA) \subseteq R(A)$ and $R(A) = R(B)$, where $R(B)$ denotes the row space of B . We obtain $r(A) = r(B) = r$. Similarly, we can show that $c(A) = c(B) = r$. This proves Theorem 1.

3. The R -class R_{rm}^n

We note that $F = \{0, 1, 2, \dots, k\}$. Let $0 \neq m \in F$. R_{rm}^n denotes the R -class containing mI_r . We compute the cardinality $|R_{rm}^n|$ of the set R_{rm}^n in the following theorem. $\binom{n}{k}$ denotes the binomial coefficient.

THEOREM 2. *Let r be a positive integer with $1 \leq r \leq n$. Then*

$$|R_{rm}^n| = \sum_{i=0}^r (-1)^i \binom{r}{i} ((m+1)^r - i)^n.$$

To prove the theorem 2 we need lemmas.

LEMMA 1. $\binom{t}{m} + \binom{t}{m+1} = \binom{t+1}{m+1}$.

LEMMA 2. $|R_{1m}^n| = (m+1)^n - m^n$.

Proof. We supply two proofs of Lemma 2. $V_n(m)$ denotes the set of all $1 \times n$ matrices over $F = \{0, 1, 2, \dots, m\}$. Let $\underline{0}$ denote the $(n-1) \times n$ zero matrix. Letting $x = (x_1, x_2, \dots, x_n) \in V_n(m)$, $\underline{x} = \begin{pmatrix} x \\ \underline{0} \end{pmatrix}$ denotes an $n \times n$ matrix formed from x and $\underline{0}$. Then $\underline{x} \in R_{1m}^n$ iff x contains at least one m as its component. We see that $|V_n(m)| = (m+1)^n$. Therefore we see that

$$|R_{1m}^n| = |V_n(m)| - |V_n(m-1)| = (m+1)^n - m^n,$$

proving the lemma.

The second proof is given by the following expression.

$$|R_{1m}^n| = \binom{n}{1} \left(\sum_{t=0}^{n-1} \binom{n-1}{t} (m-1)^t \right) + \binom{n}{2} \left(\sum_{t=0}^{n-2} \binom{n-2}{t} (m-1)^t \right) + \dots \\ + \binom{n}{i} \left(\sum_{t=0}^{n-i} \binom{n-i}{t} (m-1)^t \right) + \dots + \binom{n}{n} = (m+1)^n - m^n.$$

A term of $|R_{1m}^n|$ with the coefficient $\binom{n}{i}$ expresses the number of $\underline{x} = \begin{pmatrix} x \\ 0 \end{pmatrix}$ of R_{1m}^n such that $|\{j: x_j = m\}| = i$, where $x = (x_1, x_2, \dots, x_n) \in V_n(m)$. This also proves the lemma.

LEMMA 3. Let $F = \{0, 1, 2, \dots, k\}$. Let $V_r(F)$ denote the row vector space over F . Then $|V_r(F)| = (k+1)^r$.

Proof of Theorem 2. We prove this theorem by induction on r . We proved this theorem for case $r=1$ in Lemma 2. We assume that Theorem 2 is proved for all r less than r_0 , where r_0 being a fixed positive integer less than n and greater than 1.

Consider $|R_{r_0 m}^n|$. By induction assumption we have that

$$|R_{r_0-1 m}^n| = \sum_{i=0}^{r_0-1} (-1)^i \binom{r_0-1}{i} ((m+1)^{r_0-1-i})^n = Q.$$

The first term of Q is $((m+1)^{r_0-1})^n$ which becomes

$$((m+1)^{r_0})^n - ((m+1)^{r_0-1})^n = (u)^n - (u-1)^n$$

if we assume $R_{r_0-1 m}^n$ has changed to $R_{r_0 m}^n$, by a careful application of Lemma 4, where $u = (m+1)^{r_0}$ which is justified by Lemma 3. Consider the second term $-(r_0-1)((m+1)^{r_0-1}-1)^n$ of Q . This quantity becomes $-(r_0-1)(v^n - (v-1)^n)$ as $R_{r_0-1 m}^n$ becomes $R_{r_0 m}^n$ by Lemma 4, where $v = (m+1)^{r_0-1}$ which is justified by Lemma 3. In similar fashion, by applying Lemma 4 and Lemma 3 to each term $(-1)^i \binom{r_0-1}{i} ((m+1)^{r_0-1-i})^n = q$ of Q , we claim that q becomes

$$(-1)^i \binom{r_0-1}{i} (((m+1)^{r_0-i})^n - ((m+1)^{r_0-(i+1)})^n)$$

as $R_{r_0-1 m}^n$ becomes $R_{r_0 m}^n$. Now using the identity $\binom{t}{s-1} + \binom{t}{s} = \binom{t+1}{s}$ of Lemma 1, we obtain

$$|R_{r_0 m}^n| = ((m+1)^{r_0})^n - \binom{r_0}{1} ((m+1)^{r_0-1})^n + \dots + (-1)^{r_0} \binom{r_0}{r_0} ((m+1)^{r_0-r_0})^n \\ = \sum_{i=0}^{r_0} (-1)^i \binom{r_0}{i} ((m+1)^{r_0-i})^n.$$

This proves Theorem 2.

THEOREM 3. $|D_{rm}^n| = \frac{1}{r!} (|R_{rm}^n|)^2$.

Proof. We can prove that $|L_{rm}^n| = |R_{rm}^n|$, where L_{rm}^n denotes the L -class containing mI_r . We can also show that $|H_{rm}^n| = r!$, where H_{rm}^n denotes the H -class containing mI_r . This proves Theorem 3.

4. The number of all idempotents in D_{rm}^n

$E(S)$ denotes the set of all idempotents of a subset S of a semigroup. We find $|E(D_{rm}^n)|$ in Theorem 4.

LEMMA 4. $|E(D_{1m}^n)| = ((m+1)^2)^n - ((m+1)^2 - 1)^n$.

We give two different proofs of Lemma 4.

Proof. (1). Let $x = (x_1, x_2, \dots, x_n) \in V_n(F)$ and $\mathbf{0}$ denotes the $(n-1) \times n$ zero matrix. Then $\begin{pmatrix} x \\ \mathbf{0} \end{pmatrix} \in R_{1m}^n$ if $x_i = m$ for some i . $\underline{x} = \begin{pmatrix} x \\ \mathbf{0} \end{pmatrix} \in R_{1m}^n$ is an idempotent iff $x_1 = m$. Thus the number of all idempotents in R_{1m}^n is equal to $(m+1)^{n-1}$. It is not difficult to show that there are u R -classes each of which contains exactly $(m+1)^{n-1}$ idempotents, where $u = \binom{n}{1} m^{n-1}$. Now consider an R -class R_A which contains $A = (a_{ij})$, where $a_{11} = a_{21} = m$ and $a_{ij} = 0$ if $a_{11} \neq a_{ij} \neq a_{21}$. We can show that $R_A \subseteq D_{1m}^n$ and $|E(R_A)| = (m+1)^{n-2} \langle (m+1)^2 - m^2 \rangle$. We can show that there are exactly u R -classes R_B such that $|E(R_A)| = |E(R_B)|$ and $R_B \subseteq D_{1m}^n$, where $u = \binom{n}{2} m^{n-2}$. By the foregoing argument, we can write

$$\begin{aligned} |E(D_{1m}^n)| &= \binom{n}{1} m^{n-1} (m+1)^{n-1} + \binom{n}{2} m^{n-2} (m+1)^{n-2} ((m+1)^2 - m^2) + \\ &\quad \dots + \binom{n}{r} m^{n-r} (m+1)^{n-r} ((m+1)^r - m^r) + \dots + \binom{n}{n} ((m+1)^n - m^n) \\ &= (m+1)^{2n} - ((m+1)^2 - 1)^n. \end{aligned}$$

This proves Lemma 4.

(2) (The second proof of Lemma 4). For simplicity we write $F = \{0, 1, 2, \dots, m\}$ instead of $F = \{0 = r_1, r_2, \dots, r_m = 1 : r_i \in [0, 1]\}$. Consider $E(D_{1m}^n)$. $A \in E(D_{1m}^n)$ iff there exist $x = (x_1, x_2, \dots, x_n)^t$ (t means that 'transpose') and $y = (y_1, y_2, \dots, y_n)$ such that $xy = A$ and $yx = m$. This shows that if $A \in$

$E(D_{1m}^n)$ then there exists i such that $x_i = m = y_i$ and $xy = A$. Now we consider the meaning of $(m+1)^{2n} - m^{2n}$. $(m+1)^{2n}$ means the number of $xy = A$ such that $x = (x_1, x_2, \dots, x_n)^t$ and $y = (y_1, y_2, \dots, y_n)$, $x_i, y_i \in F$. m^{2n} means that

$$|\{xy : x_i, y_j \in \{0, 1, 2, \dots, m-1\} = F \setminus m\}| = m^{2n}.$$

This proves that $|E(D_{1m}^n)| = (m+1)^{2n} - m^{2n}$.

Note that if we set $r=1$, in Theorem 4, then $2(m+1)^r + rm^2 - 1 = (m+1)^2$. If $r=2$, then $2(m+1)^r + rm^2 - 1 = (2m+1)^2$.

DEFINITION. We denote by $M_{m,n}(F)$ the set of all $m \times n$ matrices over F . We define a square matrix $I(m, r) = (a_{ij})$ of order r as the following: $a_{ii} = m$ and $a_{ij} = 0$ for all $i \neq j$. We define

$$X = \{\underline{x} = \begin{pmatrix} I(m, r) \\ x \end{pmatrix} \in M_{r+1, r}(F)\} \text{ and } Y = \{\underline{y} = (I(m, r), y) \in M_{r, r+1}(F)\},$$

where $x = (x_1, x_2, \dots, x_r)$ and $y = (y_1, y_2, \dots, y_r)^t$. Note that $\underline{x}\underline{y}$ is a member of $M_{r+1, r+1}(F)$ for $\underline{x} \in X$ and $\underline{y} \in Y$.

LEMMA 5. Let $\underline{x}Y = \{\underline{x}y : \underline{x} \in X, \underline{y} \in Y\}$. Then

- (1) $|E(\underline{x}Y)| = (m+1)^r$ when $x = (0, 0, \dots, 0)$.
- (2) $|E(\underline{x}Y)| = m+1$ when $x = (x_1, x_2, \dots, x_r)$ contains just one nonzero element.
- (3) $|E(\underline{x}Y)| = 1$ when x contains at least two nonzero components.

The proof of Lemma 5 is trivial and we omit it.

Lemma 5 has an important meaning in Theorem 4. For (2) of Lemma 5, there exist mr such vectors $x = (x_1, x_2, \dots, x_r)$ each of which contains just one non-zero element (referring to $F = \{0, 1, 2, \dots, m\}$). We define a number $rm(m+1)$ for (2). Consider (1) of Lemma 5. There is just one vector $x = (0, 0, \dots, 0)$, the zero-vector, and we define a number $(m+1)^r$ for (1). For (3), we define a number $(m+1)^r - mr - 1$. The sum of these three numbers $rm(m+1)$, $(m+1)^r$ and $(m+1)^r - mr - 1$ is equal to $2(m+1)^r + rm^2 - 1 = t$ and t has a significant meaning for $|E(D_{rm}^n)|$.

$$\text{THEOREM 4. } |E(D_{rm}^n)| = \frac{1}{r!} \sum_{i=0}^r (-1)^i \binom{r}{i} (t-i)^n,$$

where $t = 2(m+1)^r + rm^2 - 1$.

Proof. We prove this theorem by induction on r . For the case of $r=1$, we have Lemma 4 which proves Theorem 4. We assume that the theorem is proved for all $r < r_0$, where r_0 is a fixed positive integer less than n . This means that

$$|E(D_{r_0-1}^n)| = \frac{1}{(r_0-1)!} \sum_{i=0}^{r_0-1} (-1)^i \binom{r_0-1}{i} (t(r_0-1)-i)^n,$$

where $t(s) = 2(m+1)^s + sm^2 - 1$. Consider A in $D_{r_0}^n$. For A there exist $U \in L_{r_0}^n$ and $V \in R_{r_0}^n$ such that $A = UV$. For a moment, we suppose that A were $\bar{A} = \bar{U}\bar{V}$, $\bar{U} \in L_{r_0-1}^n$, $\bar{V} \in R_{r_0-1}^n$. Applying Lemma 4 to \bar{A} which changes to A , and a careful consideration of the meaning of Lemma 5, we can realize that the first term $\binom{r_0-1}{0} (t(r_0-1))^n$ of $|E(D_{r_0-1}^n)|$ changes to $\binom{r_0-1}{0} (t(r_0))^n - (t(r_0)-1)^n$ as \bar{A} changes to A or r_0-1 changes to r_0 . The foregoing argument applies to the second term $\binom{r_0-1}{1} (t(r_0-1)-1)^n$ which changes to $\binom{r_0-1}{1} ((t(r_0)-1)^n - (t(r_0)-2)^n)$ as \bar{A} changes to A . We apply the foregoing argument to each term of $|E(D_{r_0-1}^n)|$ and using $\binom{s}{m} + \binom{s}{m-1} = \binom{s+1}{m}$ of Lemma 1, we obtain the formula for $|E(D_{r_0}^n)|$. This proves the theorem.

5. Some additional results

It is difficult to find the number of all D -classes of the semigroup $M_n(F)$ but we have the following.

PROPOSITION 1. *The number of all D -classes of the semigroup $M_2(F)$ of all 2×2 fuzzy matrices over F is given by $\sum_{i=0}^m (2i^2 - i + 1)(m - i + 1)$, where m is given by $|F| = m + 1$.*

Note that if $m=1$, then the number above is equal to 4. We know that the semigroup $M_2(\{0, 1\})$ of all 2×2 boolean matrices over the set $\{0, 1\}$ of two elements has four D -classes:

$$D_{\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}}, D_{\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}}, D_{\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}} \text{ and } D_{\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}}.$$

We consider now Theorem 2. Let $A \in M_n(F)$. $R(A)$ and $L(A)$ denote respectively the row space and the column space of A . R_A and L_A denote respectively the R -class and the L -class containing A . The following is the generalized form of Theorem 2.

PROPOSITION 2. $|R_A| = \sum_{i=0}^{c(A)} (-1)^i \binom{c(A)}{i} (|L(A)| - i)^n$ and
 $|L_A| = \sum_{i=0}^{r(A)} (-1)^i \binom{r(A)}{i} (|R(A)| - i)^n.$

The proof of Proposition 2 is similar to that of Theorem 2 and we omit the proofs of Propositions 1 and 2.

References

1. K. K. Butler, *On $(0, 1)$ -matrix semigroups*, Dissertation, George Washington University, D. C. 1970.
2. K. K. Butler, *On $(0, 1)$ -matrix semigroups*, *Semigroup Forum* **3** (1971), 74-79.
3. K. K. Butler, *Combinatorial properties of binary semigroups*, *Periodica Mathematica, Hungarica* **5** (1974), 3-46.
4. A. H. Clifford and G. B. Preston, *The algebraic theory of semigroups*, Vol. 1, AMS Math. Surveys No. 7, Providence, R. I., 1961.
5. Jin Bai Kim, *A certain matrix semigroup*, *Math. Japonica* **22-5** (1978), 519-522.
6. Jin Bai Kim, *On the circulant fuzzy matrices*, *Math. Japonica*.
7. Jin Bai Kim, *On the semigroup of the circulant fuzzy matrices*, submitted to a journal.
8. Jin Bai Kim, *On the structures of linear semigroups*, *J. Combinatorial Theory* **11** (1971), 62-71.
9. S. Schwarz, *On the semigroup of binary relations on a finite set*, *Czechoslovak Math. J.* **24** (1974), 252-253.
10. S. Schwarz, *A counting theorem in the semigroup of circulant boolean matrices*, *Czechoslovak Math. J.* **27** (1977), 504-510.

West Virginia University, U. S. A.