

## CRITERIA OF METRIZABILITY

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There are several conditions imposed on a symmetric for a space sufficient to imply the metrizability of the space. In this note we will investigate how Niemyzki-Wilson's condition (II), Arhangel'skii-Martin's condition (A) and Harley III & Fulkner's condition (HF) work on  $\sigma$ -metrics or on strong  $\sigma$ -metrics. When an  $\sigma$ -metric for a space has one of these conditions, the space turns out to be a very familiar one to us; for example, a developable, a  $g$ -developable or a Nagata space. Correlation between these conditions proven in this note makes us derive easily the three metrization theorems mentioned above, from Bing's theorem all together.

Let  $X$  be a topological space and  $d$  a nonnegative real-valued function defined on  $X \times X$  such that  $d(x, y) = 0$  if and only if  $x = y$ . Such a function  $d$  is called an  $\sigma$ -metric [15] for  $X$  provided that a subset  $U$  of  $X$  is open if and only if  $d(x, X-U) > 0$  for each  $x \in U$ . An  $\sigma$ -metric  $d$  is called a *strong  $\sigma$ -metric* [15] if each sphere  $S(x; r) = \{y \in X : d(x, y) < r\}$  is a neighborhood of  $x$ ; a *symmetric* if  $d(x, y) = d(y, x)$  for each  $x$  and  $y$ ; a *semi-metric* if  $d$  is a symmetric such that  $x \in M$  if and only if  $d(x, M) = 0$ .

A *development* for a space  $X$  is a sequence  $\gamma = (\gamma_1, \gamma_2, \gamma_3, \dots)$  of open covers of  $X$  such that  $\gamma_{n+1}$  refines  $\gamma_n$  and  $[2] \{st(x, \gamma_n) : n \in N\}$  forms a local base at  $x$ . A space is *developable* if it has a development. Bing [3] proved that a space is metrizable if and only if it is paracompact and developable. Let  $g$  be a map from  $N \times X$  to  $2^X$ . We call  $g$  a first countable *COC-map* (=countable open covering map) if  $\mathcal{O}_x = \{g(n, x) : n \in N\}$  forms a local base at  $x$ , and a  $g$ -first countable *CWC-map* (=countable weakly-open covering map) if  $\mathcal{O}_x$  forms a weak-base [2]. Heath [10] characterized developable spaces by existence of first countable *COC-g* with an additional condition that  $x, x_n \in g(n, y_n)$  for each  $n \in N$  implies that the sequence  $\{x_n\}$  converges to  $x$ . Similarly, a space is said to be  *$g$ -developable* (12) if it has a  $g$ -first countable *CWC-map*  $g$  such that  $x, x_n \in g(n, y_n)$  for each  $n \in N$  implies that the sequence  $\{x_n\}$  converges to  $x$ . A Hausdorff space is *developable* ( $g$ -developable) if and only if it is semimetrizable (symmetrizable) via a semi-metric (symmetric, respectively) under which all convergent sequences are Cauchy (see [5] and [12] for details). In [13], it is shown that, among Hausdorff spaces,  $g$ -developable spaces are precisely almost weakly-open  $H$ -images of metric spaces.

A *Nagata space* [6] is a  $T_1$ -space such that for each  $x \in X$ , there exist sequences of neighborhoods of  $x$ ,  $\{f(n, x) : n \in N\}$  and  $\{g(n, x) : n \in N\}$  such that [1] for each  $x$ ,  $\{f(n, x) : n \in N\}$  is a local base at  $x$ , and (2) for all  $x$  and  $y$  in  $X$ ,  $g(n, x) \cup g(n, y) \neq \emptyset$  implies  $x \in f(n, y)$ . Ceder [6] has shown that Nagata spaces are precisely first countable stratifiable spaces. On the other hand, Heath [10] characterized Nagata spaces by first countable *COC-map*: A space is Nagata if and only if it has a first countable *COC-map*  $g$  such that  $g(n, x) \cup g(n, x_n) \neq \emptyset$  implies that the sequence  $\{x_n\}$  converges to  $x$ .

This note is motivated from the following metrization theorems which tell us some appropriate conditions imposed on a symmetric for a space guarantee the metrizability of the space.

THEOREM. *The following are equivalent to the metrizability of a space  $X$ .*

1 (Niemyski-Wilson).  *$X$  is regular, symmetrizable via  $d$  such that, whenever  $\lim_n d(y_n, x) = \lim_n d(x_n, y_n) = 0$  then  $\lim_n d(x_n, x) = 0$ .*

2 (Arhangel'skii-Martin).  *$X$  is symmetrizable via  $d$  satisfying  $d(F, K) > 0$  whenever  $F$  is closed,  $K$  compact, and  $F \cap K = \phi$ .*

3 (Harley III & Faulkner).  *$X$  is symmetrizable via  $d$  such that for each closed set  $F$  and each  $x \in X - F$  there exists  $\varepsilon > 0$  such that  $S(x; \varepsilon) \cap S(F; \varepsilon) = \phi$ .*

Consider the following conditions on an  $\sigma$ -metric for a space:

(AN) For each  $x \in X$  and for any  $\varepsilon > 0$  there exists a  $\delta = \delta(x, \varepsilon) > 0$  such that  $y \in S(x; \delta)$  and  $z \in S(x; \delta)$  implies  $d(y, z) < \varepsilon$ .

(II<sub>1</sub>) From  $\lim_n d(x, y_n) = \lim_n d(x_n, y_n) = 0$  it follows that  $\lim_n d(x, x_n) = 0$ .

(II<sub>2</sub>) From  $\lim_n d(y_n, x) = \lim_n d(y_n, x_n) = 0$  it follows that  $\lim_n d(x, x_n) = 0$ .

(A) If  $F \cap K = \phi$ , where  $F$  is closed,  $K$  compact, then  $d(F, K) > 0$ .

(HF<sub>1</sub>) For each closed set  $F$  and each  $x \in X - F$ , there exists  $\varepsilon > 0$  such that  $S(x; \varepsilon) \cap S(F; \varepsilon) = \phi$ .

(HF<sub>2</sub>) For each closed set  $F$  and each  $x \in X - F$ , there exists  $\varepsilon > 0$  such that  $S^*(x; \varepsilon) \cap S^*(F; \varepsilon) = \phi$ , where  $S^*(x; r) = \{y \in X: d(y, x) < r\}$ .

The reader can find (AN) in (1), (II) in (16), (A) in (2) and (HF) in (8). In what follows spaces will mean only Hausdorff spaces.

LEMMA 1. *In a (Hausdorff) space  $X$   $\sigma$ -metrizable via an  $\sigma$ -metric  $d$ ,  $\lim_n d(x, x_n) = 0$  if and only if the sequence  $\{x_n\}$  converges to  $x$ .*

*Proof.* Let  $\{x_n\}$  converge to  $x$ . Assume there exists an  $\varepsilon > 0$  and a subsequence  $\{x_{n_i}: i \in N\}$  of  $\{x_n\}$  such that  $d(x, x_{n_i}) \geq \varepsilon$  for all  $i \in N$ . Let  $F = \{x, x_{n_1}, x_{n_2}, \dots\}$ . For any  $y \in X - F$ , there is a  $\delta > 0$  such that  $S(y; \delta) \subset X - F$  since  $F$  is closed. For  $x$ ,  $S(x; \varepsilon) \subset (X - F) \cup \{x\}$ . These imply that  $F - \{x\}$  is also closed, a contradiction. The converse is obvious.

LEMMA 2. *Let  $\{x_n\}$  be a sequence in a space  $X$  such that any subsequence  $\{x_{n_i}: i \in N\}$  of  $\{x_n\}$  has a subsequence convergent to some fixed point  $x$ . Then the sequence  $\{x_n\}$  converges to  $x$ .*

PROPOSITION 1. *Let  $X$  be a space  $\sigma$ -metrizable via  $d$ . The conditions (II<sub>2</sub>) and (HF<sub>2</sub>) on  $d$  are equivalent.*

*Proof.* Let  $d$  satisfy (II<sub>2</sub>). Assume there exist a closed set  $F$  and a point  $x \in X - F$  such that  $S^*(x; 1/n) \cap S^*(F; 1/n) \neq \phi$  for each  $n \in N$ . Then there are sequences  $\{y_n\}$  in

$X$  and  $\{x_n\}$  in  $F$  such that  $d(y_n, x) < 1/n$  and  $d(y_n, x_n) < 1/n$  for each  $n \in N$ . This implies that the sequence  $\{x_n\}$  converges to  $x$ , a contradiction.

Conversely let  $d$  satisfy  $(HF_2)$ . Suppose

$$\lim_n d(y_n, x) = \lim_n d(y_n, y_n) = 0.$$

To show  $\{x_n\} \rightarrow x$ , we may assume  $x_n \neq x$  for any  $n \in N$ . Let  $F = \text{cl}\{x_n : n \in N\}$ . By the hypothesis,  $S^*(x; 1/n) \cap S^*(F; 1/n) \neq \emptyset$  for any  $n \in N$ . The condition  $(HF_2)$  ensures that  $x \in F$ , and hence that the sequence  $\{x_n\}$  has a subsequence convergent to  $x$ . Passing to subsequences, we have the result.

**THEOREM 1.** *The following are equivalent:*

- (1)  $X$  is  $g$ -developable,
- (2)  $X$  is symmetrizable via a symmetric satisfying (AN),
- (3)  $X$  is  $\sigma$ -metrizable via an  $\sigma$ -metric satisfying (AN), and
- (4)  $X$  is  $\sigma$ -metrizable via an  $\sigma$ -metric satisfying  $(II_2)$  (or equivalently,  $(HF_2)$ ).

*Proof.* Lee (12) has shown  $(1 \Leftrightarrow 2)$ .  $(2 \Rightarrow 3)$  is obvious.

$(3 \Rightarrow 4)$ . Let  $d$  be a compatible  $\sigma$ -metric satisfying (AN). We can determine a positive real-valued function  $\delta$  on  $X \times N$  such that  $\text{diam } S(x; \delta(x, n)) < 1/n$  and that  $\delta(x, n+1) < \delta(x, n)$ . Define a new  $\sigma$ -metric  $b$  by

$$b(x, y) = 1/\inf\{j \in N : d(x, y) \geq \delta(x, j)\}$$

Note that  $b(x, y) < 1/n$  if and only if  $d(x, y) < \delta(x, n)$ . Hence  $S_b(x; 1/n) = S_d(x; \delta(x, n))$ . This implies that  $b$  is a compatible  $\sigma$ -metric for  $X$ . To show  $b$  satisfies  $(II_2)$ , let

$$\lim_n b(y_n, x) = \lim_n b(y_n, x_n) = 0.$$

Then there exists a subsequence  $\{n_i : i \in N\}$  of  $N$  such that

$$b(y_{n_i}, x) < 1/i \text{ and } b(y_{n_i}, x_{n_i}) < 1/i$$

for each  $i \in N$ . By the definition of  $b$ ,  $d(y_{n_i}, x) < \delta(y_{n_i}, i)$  and  $d(y_{n_i}, x_{n_i}) < \delta(y_{n_i}, i)$ , hence  $x, x_{n_i} \in S_d(y_{n_i}, \delta(y_{n_i}, i))$ , which implies  $d(x, x_{n_i}) < 1/i$ . Thus we have a subsequence  $\{x_{n_i} : i \in N\}$  of  $\{x_n\}$  convergent to  $x$ . Passing to subsequences, we have the result.

$(4 \Rightarrow 1)$ . Let  $d$  be a compatible  $\sigma$ -metric for  $X$  satisfying  $(II_2)$ . We define a  $g$ -first countable  $CWC$ -map  $g$  by  $g(n, x) = S(x; 1/n)$ . Let  $x, x_n \in g(n, y_n)$ . This implies

$$d(y_n, x) < 1/n \text{ and } d(y_n, x_n) < 1/n.$$

Now the condition  $(II_2)$  tells us that the sequence  $\{x_n\}$  converges to  $x$ .

Note that the condition (AN) and  $(II_2)$  on  $\sigma$ -metrics are not equivalent. If they are equivalent, a space symmetrizable via a symmetric satisfying (AN) would be metrizable (See Theorem 3 below), which is false. Also note that semimetrizability of a space via a semimetric satisfying (AN) is not equivalent to  $g$ -developability of that space. In fact, we have the following

THEOREM 1'. *The following are equivalent:*

- (1)  $X$  is developable,
- (2)  $X$  is semimetrizable via a semimetric satisfying (AN), and
- (3)  $X$  is  $\sigma$ -metrizable via a strong  $\sigma$ -metric satisfying (II<sub>2</sub>) (or equivalently, (HF<sub>2</sub>)).

*Proof.* (1 $\Rightarrow$ 2). See Morton Brown [5].

(2 $\Rightarrow$ 3). In the proof of Theorem 1 (3 $\Rightarrow$ 4), note that the new  $\sigma$ -metric  $b$  is actually a strong one if  $d$  is strong.

(3 $\Rightarrow$ 1). See Nedev (16), Lemma 14.

Let us give our attention to another direction. The equivalence of (II<sub>1</sub>) and (A) on  $\sigma$ -metrics was noted by Nedev [16] whose proof is given in the following

PROPOSITION 2. *Let  $X$  be a space  $\sigma$ -metrizable via  $d$ . The conditions (II<sub>1</sub>), (HF<sub>1</sub>) and (A) on  $d$  are equivalent.*

*Proof.* (II<sub>1</sub> $\Rightarrow$ HF<sub>1</sub>). See the corresponding part of Proposition 1.

(HF<sub>1</sub> $\Rightarrow$ A). Assume there exist a closed  $F$  and a compact  $K$  such that  $F \cap K = \emptyset$  and  $d(F, K) = 0$ . Then there exists a sequence  $\{x_n\}$  in  $K$  such that  $d(F, y_n) < 1/n$  for each  $n \in N$ . Since  $K$  is compact, there exist a subsequence  $\{y_{ni}\}$  of  $\{y_n\}$  and a point  $x \in K$  such that  $d(x, y_{ni}) < 1/i$  for each  $i \in N$ . Now  $S(x; 1/i) \cap S(F; 1/i) \neq \emptyset$  for each  $i \in N$  implies that  $x \in F$  a contradiction.

(A $\Rightarrow$ II<sub>1</sub>). Assume  $\lim d(x, y_n) = \lim d(x_n, y_n) = 0$  but  $\lim d(x, x_n) \neq \phi$ . There exist a subsequence  $\{x_{ni}\}$  of  $\{x_n\}$  and a closed set  $F$  not containing  $x$  but containing all  $x_{ni}$ . Let  $K$  be a compact set  $\{x, y_k, y_{k+1}, \dots\}$  such that  $K \cap F = \emptyset$ . But  $d(F, K) \leq \inf d(x_{ni}, y_{ni}) = 0$ , a contradiction.

THEOREM 2. *The following are equivalent:*

- (1)  $X$  has a  $g$ -first countable CWC-map  $g$  such that  $g(n, x) \cap g(n, x_n) \neq \emptyset$  for each  $n \in N$  implies that the sequence  $\{x_n\}$  converges to  $x$ ,
- (2)  $X$  is  $\sigma$ -metrizable via an  $\sigma$ -metric satisfying (II<sub>1</sub>) or equivalently, (HF<sub>1</sub>) or (A).

*Proof.* (1 $\Rightarrow$ 2). Define an  $\sigma$ -metric  $d$  for  $X$  by

$$d(x, y) = 1/\inf \{j \in N: y \notin g(j, x)\}.$$

Suppose  $\lim_n d(x, y_n) = \lim_n d(x_n, y_n) = 0$ . There exist subsequences  $\{x_{ni}\}$ ,  $\{y_{ni}\}$  of  $\{x_n\}$ ,  $\{y_n\}$ , respectively, such that  $d(x, y_{ni}) < 1/i$  and  $d(x_{ni}, y_{ni}) < 1/i$ , or equivalently,  $y \in g(i, x) \cap g(i, x_{ni})$  for each  $i \in N$ . By hypothesis,  $\{x_{ni}\} \rightarrow x$ . Passing to subsequences, the sequence  $\{x_n\}$  converges to  $x$ .

(2 $\Rightarrow$ 1). Modify the corresponding part of Theorem 1.

THEOREM 2'. *The following are equivalent:*

- (1)  $X$  is a Nagata space, and
- (2)  $X$  is  $\sigma$ -metrizable via a strong  $\sigma$ -metric satisfying (II<sub>1</sub>), or equivalently, (HF<sub>1</sub>) or (A).

*Proof.* Note that in the proof of Theorem 2 (1 $\Rightarrow$ 2), the  $\sigma$ -metric induced from  $g$  is a strong one if  $g$  is a first countable COC-map. Conversely, a first countable space with

a  $g$ -first countable CWC-map satisfying the condition (1) of Theorem 2 is indeed a Nagata space (See (19), Theorem 1.10).

LEMMA 3 (Nedev, (16)). *If a space is symmetrizable via a symmetric satisfying  $(II_1)$ , the space is first countable.*

Now we are able to prove the three metrization theorems all together.

THEOREM 3 (Niemytzki-Wilson, Arhangel'skii-Martin, Herley III & Faulkner). *A space is metrizable if and only if it is symmetrizable via a symmetric satisfying  $(II)$ , or equivalently  $(A)$  or  $(HF)$ .*

*Proof.* In the case of symmetric, the conditions  $(II_1)$ ,  $(II_2)$ ,  $(HF_1)$ ,  $(HF_2)$  and  $(A)$  on a symmetric are equivalent. By virtue of Lemma 3, such a space is first countable. Note that all  $o$ -metrics on a Hausdorff space are equivalent(11). Applying Theorem 1' and Theorem 2', we know such a space is developable and Nagata. Since a paracompact developable space is metrizable(3), the proof is completed.

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