

CODIMENSION 2 SUBMANIFOLDS WITH A QUASI- UMBILICAL NORMAL DIRECTION

BY BANG-YEN CHEN AND LEOPOLD VERSTRAELEN^(*)

§ 1 Introduction.

Let M^n be an n -dimensional submanifold of an $(n+2)$ -dimensional Riemannian manifold $M^{n+2}(\tilde{c})$ of constant curvature \tilde{c} . Then there exist two second fundamental tensors, say (h_{ji}) and (k_{ji}) w. r. t. two orthonormal normal vector fields ξ and ξ^\perp . It seems to be interesting to find out some precise relations between these two second fundamental tensors. In [6], one of the authors and K. Yano proved that if (h_{ji}) has only one eigenvalue and ξ is non-parallel (in the normal bundle), then (k_{ji}) has an eigenvalue of multiplicity $\geq n-1$.

In this paper, we shall find out the precise form of (k_{ji}) whenever (h_{ji}) has an eigenvalue of multiplicity $n-1$. In particular, we shall prove that in generic case (k_{ji}) has an eigenvalue of multiplicity $\geq n-3$ (Theorem 3). Some applications are given.

§ 2. Preliminaries.

Let M^n be an n -dimensional submanifold^(**) of an $(n+2)$ -dimensional space form $M^{n+2}(\tilde{c})$ of curvature \tilde{c} . Let \tilde{g} and $\tilde{\nabla}$ be respectively the metric tensor of $M^{n+2}(\tilde{c})$ and the corresponding operator of covariant differentiation. Let g and ∇ be the induced metric, respectively connection, on M^n . The second fundamental form h of M^n is given by

$$(1) \quad \tilde{\nabla}_X Y = \nabla_X Y + h(X, Y)$$

where X and Y are tangent vector fields on M^n . $h(X, Y)$ is a normal vector field on M^n which is symmetric on X and Y . For a normal vector field η on M^n we write

$$(2) \quad \tilde{\nabla}_X \eta = -A_\eta(X) + D_X \eta$$

where $-A_\eta(X)$ and $D_X \eta$ denote respectively the tangential and normal components of $\tilde{\nabla}_X \eta$. A_η is the *second fundamental tensor* of M^n w. r. t. η and D the normal connection of M^n in $M^{n+2}(\tilde{c})$. We have

$$(3) \quad \langle A_\eta(X), Y \rangle = \langle h(X, Y), \eta \rangle$$

where $\langle \cdot, \cdot \rangle$ denotes the scalar product in $M^{n+2}(\tilde{c})$.

Let $\{x^k\}$ be a local coordinate system in M^n (indices k, j, i, h, t, s running over the range $\{1, 2, \dots, n\}$), and put $\partial_i = \partial/\partial x^i$. Let ξ and ξ^\perp be two orthogonal normal sections of

Received by the editors Feb. 17, 1976.

(*) Aspirant N. F. W. O., NATO Research Fellow, Fulbright/Hays Grantee.

(**) Manifolds, mappings, functions, ... are assumed to be sufficiently differentiable, and our discussions are restricted to submanifolds of dimension $n > 3$.

M^n . Then

$$(4) \quad D_j \xi = l_j \xi^+, \quad D_j \xi^+ = -l_j \xi,$$

where $D_j = D_{\partial_j}$ and l_j is the *third fundamental tensor*. ξ and ξ^+ are said to be parallel or non-parallel according to the third fundamental tensor vanishes or never vanishes identically.

In the following we'll denote the second fundamental tensor w. r. t. ξ and ξ^+ respectively by h_{ji} and k_{ji} . Then the *equations of Gauss*, *Codazzi* and *Ricci* are respectively:

$$(5) \quad K_{kjih} = \tilde{c}(g_{kh}g_{ji} - g_{jh}g_{ki}) + h_{kh}h_{ji} - h_{jh}h_{ki} + k_{kh}k_{ji} - k_{jh}k_{ki};$$

$$(6) \quad \nabla_k h_{ji} + l_j k_{ki} = \nabla_j h_{ki} + l_k k_{ji},$$

$$(7) \quad \nabla_k k_{ji} - l_j h_{ki} = \nabla_j k_{ki} - l_k h_{ji};$$

$$(8) \quad \nabla_j l_i - \nabla_i l_j = h_{ii} k_j^i - h_{ji} k_i^i,$$

where K_{kjih} is the *Riemann-Christoffel curvature tensor* of $M^n(K(X, Y) = [\nabla_X, \nabla_Y] - \nabla_{[X, Y]})$, and $h_j^i = h_{ji} g^{ii}$, $k_j^i = k_{ji} g^{ii}$.

If the Ricci tensor S , whose components are given by $K_{ji} = K_{tji}^t$ where $K_{kji}^h = K_{kjit} g^{th}$, is proportional to the metric tensor g then M^n is said to be *Einstein*; in particular, if $S = 0$ then M^n is said to be *Ricci flat*. Let W and Z be two linearly independent vectors at a point p of M^n and γ the plane section spanned by W and Z . Then the *sectional curvature* for γ is defined by

$$k(\gamma) = \frac{g(K(W, Z)Z, W)}{g(W, W)g(Z, Z) - g(W, Z)^2}.$$

Putting

$$L_{ji} = -\frac{K_{ji}}{n-2} + \frac{Kg_{ji}}{2(n-1)(n-2)},$$

where $K = K_{ji} g^{ji}$, *Weyl's conformal curvature tensor* is given by

$$C_{kji}^h = K_{kji}^h + \delta_k^h L_{ji} - \delta_j^h L_{ki} + L_k^h g_{ji} - L_j^h g_{ki},$$

where δ_k^h are Kronecker deltas and $L_k^h = L_{ki} g^{th}$. If $C_{kji}^h = 0$ then M^n is said to be *conformally flat*.

If on M^n there exist two functions α, β and a unit vector field u_j such that

$$(9) \quad h_{ji} = \alpha g_{ji} + \beta u_j u_i$$

then M^n is said to be *quasi-umbilical* w. r. t. the normal direction ξ . If respectively identically $\alpha = 0$, $\beta = 0$ or $\alpha = \beta = 0$ then M^n is said to be *cylindrical*, *umbilical* or *geodesic* w. r. t. ξ . A quasi-umbilical normal direction ξ which is not umbilical is said to be *properly quasi-umbilical*; in this case the tangent direction of M^n determined by u_j is called the *distinguished direction* w. r. t. ξ . M^n is said to be a *totally quasi-umbilical* subma-

nifold of $M^{n+2}(\tilde{c})$ if M^n is quasi-umbilical w. r. t. 2 orthogonal normal directions [1].

In the following, we shall simply denote by $w_j \parallel u_j$ (respectively $w_j \not\parallel u_j$) that a vector field w_j is parallel (respectively not parallel) to u_j everywhere. In the same way we use the notation $w_j=0$ and $w_j \neq 0$.

§ 3. Submanifolds quasi-umbilical w. r. t. a non-parallel normal direction.

The main purpose of this paragraph is to find expressions for the second fundamental tensor k_{ji} w. r. t. ξ^+ when the second fundamental tensor h_{ji} w. r. t. ξ is given by (9)^(*) and $l_j \neq 0$.

From (6) and (9) we have

$$(10) \quad \begin{aligned} & \alpha_k g_{ji} + \beta_k u_j u_i + \beta u_i \nabla_k u_j + \beta u_j \nabla_k u_i + l_j k_{ki} \\ & = \alpha_j g_{ki} + \beta_j u_k u_i + \beta u_i \nabla_j u_k + \beta u_k \nabla_j u_i + l_k k_{ji}, \end{aligned}$$

where $\alpha_k = \partial_k \alpha$, $\beta_k = \partial_k \beta$. Transvecting g^{ji} to (10) gives

$$(11) \quad l^t k_{kt} = -(n-1)\alpha_k - \beta_k + (\beta u) u_k + k_t^t l_k + \beta u^t \nabla_t u_k + \beta u_k \nabla^t u_t,$$

where $(\beta u) = \beta_i u^i$, $l^s = g^{st} l_t$, $k_t^t = k_{ts} g^{st}$, $\nabla^t = g^{ts} \nabla_s$.

Transvecting l^j to (10) gives

$$(12) \quad \begin{aligned} l^2 k_{ki} &= (l\alpha) g_{ki} + (l\beta) u_k u_i + \beta u_i l^t \nabla_t u_k + \beta u_k l^t \nabla_t u_i \\ &\quad - \alpha_k l_i - (lu) \beta_k u_i - \beta u_i l^t \nabla_t u_k - \beta (lu) \nabla_k u_i + l_k l^t k_{ti}, \end{aligned}$$

where $l^2 = l_i l^i$, $(l\alpha) = l_i \alpha^i$, $(l\beta) = l_i \beta^i$, $(lu) = l_i u^i$. From (11) and (12) we obtain

$$(13) \quad \begin{aligned} l^2 k_{ki} &= (l\alpha) g_{ki} + (l\beta) u_k u_i + k_t^t l_k l_i + [(\beta u) + \beta \nabla^t u_t] l_k u_i \\ &\quad - (n-1) l_k \alpha_i - \alpha_k l_i - l_k \beta_i - (lu) \beta_k u_i + \beta (u_k l^t + l_k u^t) \nabla_t u_i \\ &\quad + \beta u_i l^t (\nabla_t u_k - \nabla_k u_t) - \beta (lu) \nabla_k u_i. \end{aligned}$$

Transvecting u^j to (10) gives

$$(14) \quad \begin{aligned} (lu) k_{ki} &= (\alpha u) g_{ki} + (\beta u) u_k u_i - (\alpha_k + \beta_k) u_i \\ &\quad + \beta u_i u^t \nabla_t u_k + \beta u_k u^t \nabla_t u_i - \beta \nabla_k u_i + l_k u^t k_{ti}, \end{aligned}$$

where $(\alpha u) = \alpha_i u^i$. Transvecting u^i to (14) gives

$$(15) \quad (lu) u^t k_{kt} = -\alpha_k - \beta_k + [(\alpha u) + (\beta u)] u_k + \beta u^t \nabla_t u_k + k(u, u) l_k,$$

where $k(u, u) = k_{is} u^s$. From (14) and (15) we obtain

$$(16) \quad \begin{aligned} (lu)^2 k_{ki} &= (\alpha u) (ul) g_{ki} + (\beta u) (ul) u_k u_i + k(u, u) l_k l_i \\ &\quad + [(\alpha u) + (\beta u)] l_k u_i - l_k \alpha_i - l_k \beta_i - (lu) \beta_k u_i - (lu) \alpha_k u_i \end{aligned}$$

(*) It will be permanently assumed that ξ is a proper quasi-umbilical section.

$$\begin{aligned}
& + \beta l_k u^t \nabla_{i u_i} + \beta (lu) (u_i u^t \nabla_{i u_k} + u_k u^t \nabla_{i u_i}) \\
& - \beta (lu) \nabla_{i u_i}.
\end{aligned}$$

Taking difference of (15) and (16) we have

$$\begin{aligned}
(17) \quad [l^2 - (lu)^2] k_{ki} &= [(\alpha) - (\alpha u) (ul)] g_{ki} + [(l\beta) - (\beta u) (ul)] u_k u_i \\
& + [k_i^t - k(u, u)] l_k l_i + [\beta \nabla^t u_t - (\alpha u)] l_k u_i - (n-2) l_k \alpha_i \\
& - \alpha_k l_i + (lu) \alpha_k u_i + \beta (u_k l^t \nabla_{i u_i} + u_i l^t \nabla_{i u_k}) \\
& - \beta (lu) (u_i u^t \nabla_{i u_k} + u_k u^t \nabla_{i u_i}) - \beta u_i l^t \nabla_{i u_t}.
\end{aligned}$$

Since k_{ki} is symmetric we derive from (17) that

$$\begin{aligned}
(18) \quad \beta (lu) l^t \nabla_{i u_t} &= [(n-3) l^2 + (lu)^2] \alpha_k + [(lu) \beta \nabla^t u_t - (lu) (u\alpha) \\
& - (n-3) (\alpha)] l_k + [l^2 (\alpha u) - l^2 \beta \nabla^t u_t + \beta l^t \nabla_{i u_s} - (lu) (\alpha)] u_k.
\end{aligned}$$

Thus (17) becomes

$$\begin{aligned}
(19) \quad (lu) [l^2 - (lu)^2] k_{ki} &= (lu) [(\alpha) - (\alpha u) (ul)] g_{ki} + [(lu) (l\beta) \\
& - (lu)^2 (u\beta) + \beta l^t \nabla_{i u_s} - (lu) (\alpha) - l^2 \beta \nabla^t u_t + (\alpha u) l^2] u_k u_i \\
& + (lu) [k_i^t - k(u, u)] l_k l_i + (n-3) (\alpha) l_k u_i - (n-3) l^2 \alpha_k u_i \\
& - (n-2) (lu) l_k \alpha_i - (lu) \alpha_k l_i + \beta (lu) (u_k l^t \nabla_{i u_i} + u_i l^t \nabla_{i u_k}) \\
& - \beta (lu)^2 (u_i u^t \nabla_{i u_k} + u_k u^t \nabla_{i u_i}).
\end{aligned}$$

Again using symmetry of k_{ki} we find that

$$(20) \quad [l^2 - (lu)^2] \alpha_i = [(\alpha) - (lu) (u\alpha)] l_i + [l^2 (\alpha u) - (\alpha) (lu)] u_i.$$

From (19) and (20) follows

LEMMA 1. *Let $h_{ji} = \alpha g_{ji} + \beta u_j u_i$. If $D_j \xi = l_j \xi^t \neq 0$, $(lu) = l_i u^i \neq 0$ and $l_j \not\propto u_j$ at a point p , then at p we have*

$$\begin{aligned}
(21) \quad k_{ji} &= [l^2 - (lu)^2]^{-1} [(\alpha) - (\alpha u) (ul)] g_{ji} + (lu)^{-1} [l^2 - (lu)^2]^{-2} \times \\
& \{ (lu)^4 (u\beta) + (lu)^3 [(\alpha) - (l\beta)] + l^2 (lu)^2 [\beta \nabla^t u_t - (\alpha u) - (\beta u)] \\
& + l^2 (lu) [(l\beta) + (n-4) (\alpha)] + [l^2 - (lu)^2] \beta l^t \nabla_{i u_s} \\
& - l^4 [\beta \nabla^t u_t + (n-4) (\alpha u)] \} u_j u_i + [l^2 - (lu)^2]^{-1} \times \\
& \{ k_i^t - k(u, u) - (n-1) [l^2 - (lu)^2]^{-1} [(\alpha) - (lu) (u\alpha)] \} l_j l_i \\
& + [l^2 - (lu)^2]^{-2} [(\alpha) (lu) - (u\alpha) l^2] (l_j u_i + l_i u_j)
\end{aligned}$$

$$\begin{aligned}
& + \beta[l^2 - (lu)^2]^{-1} \{u_j[l^t - (lu)u^t]\nabla_i u_i \\
& + u_i[l^t - (lu)u^t]\nabla_i u_j\}.
\end{aligned}$$

Next we consider the case $(lu) = 0$. Then from (13) and (15) we obtain

$$\begin{aligned}
(22) \quad l^2 k_{ki} &= (l\alpha)g_{ki} + (l\beta)u_k u_i + [k_i^t - nk(u, u)]l_k l_i \\
& + [\beta\nabla^t u_t - (n-1)(\alpha u) - (n-2)(\beta u)]l_k u_i - [(\alpha u) + \beta u]u_k l_i \\
& + (n-2)l_k \beta_i + \beta_k l_i - (n-2)\beta l_k u^t \nabla_i u_i - \beta l_i u^t \nabla_k u_k \\
& - \beta u_i l^t \nabla_k u_t + \beta(u_k l^t \nabla_i u_i + u_i l^t \nabla_k u_k).
\end{aligned}$$

From (22) it follows by the symmetry of k_{ki} that

$$(23) \quad \beta l^t \nabla_k u_t = \beta u_k l^t u^s \nabla_s u_t + [\beta \nabla^t u_t - (n-2)(\alpha u)]l_k$$

and

$$\begin{aligned}
(24) \quad (n-3)\beta l^2 u^t \nabla_i u_k &= [\beta l^2 \nabla^t u_t - (n-2)l^2(\alpha u) - (n-3)l^2(\beta u) \\
& - \beta l^t l^s \nabla_s u_t]u_k + (n-3)[\beta l^t l^s \nabla_i u_s - (\beta l)]l_k + (n-3)l^2 \beta_k.
\end{aligned}$$

Making use of these expressions in (22) we obtain

LEMMA 2. Let $h_{ji} = \alpha g_{ji} + \beta u_j u_i$. If $D_j \xi \equiv l_j \xi^+ \neq 0$ and $l_j \perp u_j$ at a point p , then at p we have

$$\begin{aligned}
(25) \quad k_{ji} &= l^{-2} \{ (l\alpha)g_{ji} + [(l\beta) - \beta l^t u^s \nabla_s u_t]u_j u_i + l^{-2}[l^2 k_i^t \\
& - n l^2 k(u, u) - (n-1)\beta u^t l^s \nabla_i u_s + (n-1)(l\beta)]l_j l_i \\
& - (\alpha u)(u_j l_i + l_j u_i) + \beta(u_j l^t \nabla_i u_i + u_i l^t \nabla_j u_j) \}.
\end{aligned}$$

From Lemmas 1 and 2 we immediately have

THEOREM 3. Let $h_{ji} = \alpha g_{ji} + \beta u_j u_i$. If $D_j \xi \equiv l_j \xi^+ \neq 0$ and $l_j \not\perp u_j$ at a point p , then at p k_{ji} has an eigenvalue of multiplicity $\geq n-3$.

Finally, in case $l_j = (lu)u_j$, we derive from (17) that

$$(26) \quad (n-2)\alpha_i = [(lu)k_i^t - (lu)k(u, u) + \beta \nabla^t u_t - (\alpha u)]u_i.$$

Substitution of (26) in (13) leads to the following:

THEOREM 4. Let $h_{ji} = \alpha g_{ji} + \beta u_j u_i$. If $D_j \xi \equiv l_j \xi^+ \neq 0$ and $l_j \not\perp u_j$ at a point p , then at p we have

$$\begin{aligned}
(27) \quad k_{ji} &= l^{-2} \{ (l\alpha)g_{ji} + [l^2 k(u, u) + 2(l\beta) - (l\alpha)]u_j u_i \\
& - (lu)(\beta_j u_i + u_j \beta_i) - \beta(lu)\nabla_j u_i + \beta(lu)(u_i u^t \nabla_j u_j + 2u_j u^t \nabla_i u_i) \}.
\end{aligned}$$

§ 4. Locus of certain submanifolds.

In this section we prove that for a submanifold M^n of $M^{n+2}(\bar{c})$ which is quasi-umbilical w. r. t. a normal direction ξ the distribution defined by $u_j dx^j = l_j dx^j = 0$ is involutive, and study the corresponding integral submanifolds.

THEOREM 5. *Let M^n be a submanifold of $M^{n+2}(\bar{c})$ with $h_{ji} = \alpha g_{ji} + \beta u_j u_i$.*

- (i) *If $l_j \neq 0$ and $l_j \not\propto u_j$, then M^n is foliated by hypersurfaces which are umbilical w. r. t. ξ and a normal direction spanned by ξ^+ and u_j .*
(ii) *If $l_j \neq 0$ and $l_j \propto u_j$, then M^n is foliated by submanifolds of codimension 2 which are umbilical w. r. t. ξ , ξ^+ and u_j .*
(iii) *If $l_j = 0$, then M^n is foliated by hypersurfaces which are umbilical w. r. t. ξ and u_j .*

In all three cases ξ is parallel in the normal bundle of the leaves of M^n in $M^{n+2}(\bar{c})$.

Proof. (i) In case $l_j \neq (lu)u_j$, it follows by straightforward calculations from (8), (9), (14), (21) and (25) that the distribution $u_j dx^j = l_j dx^j = 0$ is integrable. From (21) and (25) we see that the corresponding integral submanifolds M^{n-2} of M^n are umbilical w. r. t. ξ^+ . Consequently, by (13), they are also umbilical w. r. t. u_j .

(ii) In case $l_j \neq 0$ and $l_j = (lu)u_j$, it follows from (27) by the symmetry of k_{ki} that the distribution $u_j dx^j = 0$ is integrable. Also from (27) it is clear that the corresponding integral submanifolds M^{n-1} of M^n are umbilical w. r. t. a normal direction spanned by ξ^+ and u_j .

(iii) In case $l_j = 0$, we find by transvecting u^i to (10) that

$$(28) \quad \beta(\nabla_k u_j - \nabla_j u_k) = (\alpha_j + \beta_j)u_k - (\alpha_k + \beta_k)u_j,$$

which implies that the distribution $u_j dx^j = 0$ is integrable. Since (14) actually becomes

$$(29) \quad \beta \nabla_k u_i = (\alpha u)g_{ki} + (\beta u)u_k u_i - (\alpha_k + \beta_k)u_i + \beta(u_i u^l \nabla_l u_k + u_k u^l \nabla_l u_i)$$

the corresponding integral submanifolds M^{n-1} of M^n are umbilical w. r. t. u_j .

Of course in all cases the integral submanifolds under consideration are umbilical w. r. t. ξ .

Finally, let D' denote the normal connection of $M^{n-1}(M^{n-2})$ in $M^{n+2}(\bar{c})$. Then, since from (2) and (9) it follows that

$$(30) \quad \tilde{\nabla}_{X'} \xi = -\alpha X' + D_{X'} \xi$$

where X' is a tangent vector field on $M^{n-1}(M^{n-2})$, we have

$$(31) \quad D'_{X'} \xi = D_{X'} \xi.$$

From (31) and (4) we see that ξ is parallel on $M^{n-1}(M^{n-2})$.

REMARKS.

1. From Theorem 5 and [4] we conclude that the leaves $M^{n-1}(M^{n-2})$ of M^n are *spheri-*

cal submanifolds, i. e. lie in a hypersphere of $M^{n+2}(\bar{c})$.

2. For results on submanifolds M^n of a Riemannian manifold N^m which are *umbilical* w. r. t. an $(m-n-1)$ -dimensional normal subbundle, we refer to [6, 7].

we recall that a vector field X on a Riemannian manifold V is called a *conformal* (in particular a *Killing*) vector field if $\mathcal{L}_X g = 2\rho g$ ($\mathcal{L}_X g = 0$), where g is the metric tensor of V , \mathcal{L} Lie differentiation, ρ a function. The field of 1-forms associated with a conformal (Killing) vector field will also be said to be conformal (Killing). We have the following:

LEMMA 6. *The leaves of the foliation on a Riemannian manifold associated with a conformal (respectively Killing) field of 1-forms are totally umbilical (respectively totally geodesic) hypersurfaces.*

From Lemma 6 and Theorems 4 and 5 (i), we obtain

PROPOSITION 7. *Let M^n be a submanifold of $M^{n+2}(\bar{c})$ with $h_{ij} = \alpha g_{ji} + \beta u_j u_i$ where u_j is conformal. If $l_j \neq 0$ and $l_j \not\parallel u_j$, then M^n is a locus of $(n-1)$ -spheres.*

§ 5. $h_{ji} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_k u_j = 0$.

The main purpose of this paragraph is to find expressions for the second fundamental tensor k_{ji} w. r. t. ξ^+ when M^n is quasi-umbilical w. r. t. ξ and the corresponding distinguished section is parallel.

In case ξ is non-parallel we obtain from the equation (6) of Codazzi, making use of the symmetry of k_{ki} and the fact that since u_j is parallel the sectional curvatures for plane sections containing u_j vanish, the following.

LEMMA 8. *Let $h_{ji} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_k u_i = 0$. If $l_j \neq 0$ at a point p , then at p we have*

$$(32) \quad k_{ji} = \alpha g_{ji} + \beta u_j u_i + c l_j l_i$$

whereby a, b, c satisfy one of the following cases

$$(33) \quad a = (\alpha)l^{-2}, \quad b = k_i^i - n(\alpha)l^{-2}, \quad c = 0;$$

$$(34) \quad a = -b = (\alpha)l^{-2}, \quad c = k_i^i l^{-2} - (n-1)(\alpha)l^{-4}.$$

In particular k_{ji} has an eigenvalue of multiplicity $\geq n-2$.

From Lemma 8 we immediately have

THEOREM 9. *A submanifold M^n of $M^{n+2}(\bar{c})$ for which $h_{ij} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_k u_j = 0$ and $l_j \neq 0$ is a locus of $(n-1)$ -dimensional totally quasi-umbilical submanifolds.*

REMARK. From Theorem 9 and [5] it follows that a submanifold M^n of $M^{n+2}(\bar{c})$ with $n > 4$ which is quasi-umbilical w. r. t. a non-parallel normal direction with parallel corresponding distinguished direction is a locus of $(n-1)$ -dimensional conformally flat spaces.

Next we consider the case where ξ is parallel.

LEMMA 10. Let $h_{ji} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_k u_i = 0$. If $l_j = 0$ at a point p , then at p we have either

$$(35) \quad k_{ji} u^j v^i = 0 \text{ for any vector field } v^k,$$

or

$$(36) \quad k_{ji} = \lambda g_{ji} + \mu u_j u_i$$

where μ is a non-zero function and

$$(37) \quad \lambda = -[\bar{c} + (\alpha + \beta)\alpha]\mu^{-1}.$$

Proof. If ξ is parallel then the normal connection of M^n in $M^{n+2}(\bar{c})$ is flat. Consequently the second fundamental tensors can be diagonalized simultaneously, i. e. by an appropriate choice of basis for tangent space of M^n we have

$$(38) \quad h_{ji} = \begin{pmatrix} \alpha + \beta & & & 0 \\ & \alpha & & \\ & & \ddots & \\ 0 & & & \alpha \end{pmatrix}, \quad k_{ji} = \begin{pmatrix} \lambda_1 & & & 0 \\ & \lambda_2 & & \\ & & \ddots & \\ 0 & & & \lambda_n \end{pmatrix}.$$

Since the sectional curvature for any plane section containing u_j is zero we have

$$(39) \quad (\alpha + \beta)\alpha + \lambda_1 \lambda_\tau + \bar{c} = 0,$$

where $\tau \in \{2, 3, \dots, n\}$. If $\lambda_1 = 0$, then clearly (35) holds. If $\lambda_1 \neq 0$, then it follows from (39) that

$$(40) \quad \lambda_\tau = -[\bar{c} + (\alpha + \beta)\alpha]\lambda_1^{-1}.$$

Still in case ξ is parallel, we derive from (39) that for a submanifold M^n of E^{n+2} if $\lambda_1 = 0$ then either $\alpha = 0$ or $\alpha + \beta = 0$. Therefore by the parallelism of u_j we conclude using [9], that M^n is a product submanifold $M^1 \times M^{n-1} \subset E^2 \times E^n$ or $E^1 \times M^{n-1} \subset E^1 \times E^{n+1}$. In the first case M^{n-1} is an arbitrary hypersurface of E^n . In the second case M^{n-1} is a submanifold of E^{n+1} for which ξ determines a parallel umbilical normal direction; consequently $M^{n-1} \subset S^n \subset E^{n+1}$, where S^n denotes a hypersphere of E^{n+1} . If $\lambda_1 \neq 0$ it follows from Lemma 10 that M^n is a totally quasi-umbilical submanifold with 1 distinguished direction. By the parallelism of u_j we conclude that M^n is a product submanifold $M^1 \times S^{n-1} \subset E^2 \times E^n$. Summarizing we formulate

THEOREM 11. A submanifold M^n of E^{n+2} for which $h_{ji} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_k u_j = 0$ and $l_j = 0$ is one of the following product submanifolds:

$$M^1 \times M^{n-1} \subset E^2 \times E^n, \quad E^1 \times M^{n-1} \subset E^1 \times S^n \subset E^{n+2}.$$

§ 6. α constant or β constant or ξ isoperimetric.

Throughout this paragraph we assume that ξ is non-parallel and u_j is parallel.

PROPOSITION 12. *Let $h_{ji} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_k u_i = 0$. If $l_j \neq 0$ at a point p and α is constant, then at p , k_{ji} is one of the following:*

$$(41) \quad k_{ji} = k_i^t u_j u_i;$$

$$(42) \quad k_{ji} = l^{-2} k_i^t l_j l_i, \quad (l_j \perp u_j).$$

In particular if $l_j \neq 0$ and $\alpha = 0$, then the ambient space form is necessarily euclidean and M^n is a product submanifold $M^1 \times E^{n-1} \subset E^3 \times E^{n-1}$.

Proof. If α is constant, then (33) and (34) reduce to (41) and (42); in case (42), $l_j \perp u_j$ follows from the fact that actually the normal connection of M^n is flat [2]. In both cases we clearly have

$$(43) \quad (\alpha + \beta)\alpha + \tilde{c} = 0.$$

Therefore if $\alpha = 0$ we must have $\tilde{c} = 0$. From [9] and the assumption that ξ is cylindrical and non-parallel it follows that M^n is the product submanifold of a space curve and E^{n-1} .

REMARK. In particular Proposition 12 asserts that if ξ is in the mean curvature direction of M^n , then ξ^+ is geodesic. Using [7] this implies that ξ must be cylindrical.

We recall that ξ is by definition *isoperimetric* if h_i^t is constant [1]. From Lemma 8 and formula (13) we obtain after some calculations

PROPOSITION 13. *Let $h_{ji} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_k u_j = 0$. If $l_j \neq 0$ at a point p and either β is constant or $n\alpha + \beta$ is constant, then at p , k_{ji} is one of the following:*

$$(44) \quad k_{ji} = (l\alpha)l^{-2}g_{ji} + [k_i^t - nl^{-2}(l\alpha)]u_j u_i;$$

$$(45) \quad k_{ji} = l^{-2}k_i^t l_j l_i, \quad (l_j \perp u_j).$$

From [5] and Propositions 12 and 13 we immediately have

THEOREM 14. *A submanifold M^n of $M^{n+2}(\tilde{c})$ for which $h_{ji} = \alpha g_{ji} + \beta u_j u_i$, $\nabla_k u_i = 0$, $l_j \neq 0$ and (i) α is constant or (ii) β is constant or (iii) $n\alpha + \beta$ is constant, is conformally flat; in particular if ξ is cylindrical, then M^n is flat.*

§ 7. Einstein and conformally flat submanifolds.

In this section we study the submanifolds M^n which are quasi-umbilical w. r. t. a normal direction with parallel corresponding distinguished section and which are Einstein or conformally flat.

THEOREM 15. *If a submanifold M^n of $M^{n+2}(\tilde{c})$ for which $h_{ji} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_j u_{ii} = 0$ is Einstein, then necessarily $\tilde{c} = 0$ and M^n is flat.*

Proof. By the parallelism of u_j , the Ricci curvature of M^n w. r. t. u_j vanishes. Consequently an Einstein submanifold M^n is Ricci flat.

If in case $l_j=0$ (35) holds, then we obtain by a straight forward calculation from $S=0$ that

$$(46) \quad \lambda_c^2 - k_t^t \lambda_c - (n-2)\alpha^2 = 0$$

and moreover $\alpha + \beta = 0$ or $\alpha = 0$. From this we see that M^n is cylindrical w. r. t. ξ^+ . If in case $l_j \neq 0$ (34) holds with $l_j \not\propto u_j$ and $c \neq 0$, then the Ricci tensor of M^n is found to be

$$(47) \quad \begin{aligned} K_{ji} = & \{(n-1)\bar{c} + (n-1)\alpha^2 + (l\alpha)l^{-2}[k_t^t - (l\alpha)l^{-2}]\} g_{ji} \\ & + \{(n-2)\alpha\beta - (l\alpha)l^{-2}[k_t^t - (l\alpha)l^{-2}]\} u_j u_i \\ & + l^{-2}[k_t^t - (n-1)l^{-2}(l\alpha)][k_t^t - (n-3)l^{-2}(l\alpha)] l_j l_i \\ & + l^{-4}(lu)(l\alpha)[k_t^t - (n-1)l^{-2}(l\alpha)](u_j l_i + u_i l_j). \end{aligned}$$

Consequently $S=0$ implies that

$$(48) \quad k_{ji} = l^{-2}(l\alpha)(g_{ji} - u_j u_i - 2l^{-2}l_j l_i), \quad l_j \perp u_j.$$

Then comparing the Ricci curvatures w. r. t. l_j and any vector field orthogonal to u_j and l_j it follows that in this case $(l\alpha) = 0$.

From the preceding observations and Lemmas 8 and 10 it follows that a Ricci flat submanifold M^n is totally quasi-umbilical; more precisely we find after some additional calculations that M^n is totally cylindrical.

Since a totally quasi-umbilical Einstein submanifold of a space form is also a space form, we conclude that actually M^n is flat. Moreover by the cylindricity of ξ and ξ^+ the ambient space form must be euclidean.

THEOREM 16. *A conformally flat submanifold M^n of $M^{n+2}(\bar{c})$ for which $h_{ji} = \alpha g_{ji} + \beta u_j u_i$ with $\nabla_j u_i = 0$ is a locus of $(n-1)$ -dimensional space forms.*

Proof. From [8] it is known that if M^n is conformally flat then ξ^+ is quasi-umbilical. From Lemmas 8 and 10 this implies that either the distinguished direction w. r. t. ξ^+ is determined by u_j or ξ^+ is cylindrical with corresponding distinguished direction $l_j \perp u_j$. By the parallelism of u_j the integral submanifolds M^{n-1} of the distribution $u_i dx^i = 0$ are geodesic w. r. t. their normal direction determined by u_j . Since moreover they are umbilical w. r. t. ξ and umbilical or cylindrical w. r. t. ξ^+ , the submanifolds M^{n-1} have constant sectional curvature.

Bibliography

- [1] Chen, B.-Y., *Geometry of Submanifolds*, Marcel Dekker, New York, 1973.
- [2] Chen, B.-Y., Teng, T.-H., *Flatness of normal connections of totally quasi-umbilical submanifolds and its applications*, Soochow J. Math. and Nat. Sci., **1** (1975), 9-16.
- [3] Chen, B.-Y., Verstraelen, L., *A Survey on Quasi-Umbilical Submanifolds*, Med. Koninkl. Acad. Wetensch. Lett. Schone Kunst. Belgie, Kl. Wetensch., to appear.
- [4] Chen, B.-Y., Yano, K., *Integral formulas for submanifolds and their applications*, J. Diff. Geom., **5** (1971), 467-477.
- [5] Chen, B.-Y., Yano, K., *Sous-variétés localement conformes à un espace euclidien*, C. R. Acad. Sc. Paris, **275** (1972), 123-126.
- [6] Chen, B.-Y., Yano, K., *Submanifolds umbilical w.r.t. a nonparallel normal direction*, J. Diff. Geom., **8** (1973), 589-597.
- [7] Chen, B.-Y., Yano, K., *Submanifolds umbilical w.r.t. a non-parallel normal subbundle*, Kōdai Math. Sem. Rep., **25** (1973), 289-296.
- [8] Chen, B.-Y., Yano, K., *Some results on conformally flat submanifolds*, Tamkang J. Math., **4** (1973), 167-174.
- [9] Moore, J. D., *Isometric immersions of Riemannian products*, J. Diff. Geom., **5** (1971), 159-168.

Michigan State University